FREQUENTLY ASKED QUESTIONS

ELIGIBILITY

1. What are the criteria to qualify as a Non-Professional Subscriber?
   The criteria to qualify as a Non-Professional Subscriber are outlined in the Non-Professional Subscriber Certification Form.

2. What is the definition of “small business entity?”
   Small business entity is not a defined term. The limiting factor is found in point “H” on the Non-Professional Self-Certification Form.

3. I am a user of market data at my firm. Can I qualify as a Non-Professional Subscriber?
   The qualification and criteria for Non-Professional status are done at the Subscriber, not user level. If you are one of any number of users at a firm, your firm is the Subscriber. Subscribers may be individual users if they have executed the Subscriber Agreement for their own use with the data provider.

4. When are Non-Professional Subscriber fees effective?
   Fees for Non-Professional Subscribers who are grandfathered (waived of fees until December 31, 2014), are effective from January 1, 2015. Non-Professional Subscriber fees for new users as of March 1, 2014 are effective immediately.

5. How can I qualify for the Non-Professional rate?
   If the Subscriber meets the criteria for a Non-Professional Subscriber, the Non-Professional Subscriber Certification Form should be completed with each data provider.

6. Are Non-Professional Subscriber fees applicable, if I meet some, but not all of the criteria?
   No, all criteria must be met to qualify for the Non-Professional Subscriber fees.

7. If a Non-Professional Subscriber’s status changes, after meeting and qualifying under all the criteria, what should be done?
   The Subscriber should notify their data provider if a change occurs that would disqualify them from Non-Professional status. Professional fees would then apply.

8. Whose responsibility is it to ensure that the Subscriber meets Non-Professional status?
   It is both the Distributor’s and the Subscriber’s responsibility to make sure that the eligibility requirements for Non-Professional status and fees are met.

9. Why are Non-Professional Subscriber fees limited to only execution platforms?
   Real time fees have always applied to display platforms (those with no execution capabilities, but with viewable data). There has been no change to this policy/fee structure.

10. What if a Subscriber has more than two execution platforms, across multiple Distributors?
    If a Subscriber has more than two access points to market data on trading terminals/execution platforms per Distributor they are considered a Professional Subscriber with each Distributor. If the same Subscriber instead has no more than two access points to market data on trading terminals/execution platforms per Distributor, they may qualify as a Professional with one Distributor, and Non-Professional with the other Distributor, based on the rest of the criteria. Each Distributor qualifies their Subscribers as Professional or Non-Professional. Standard Unit of Count policies apply.

11. I am an individual member; I hold a seat on the Exchange/have Membership, am I a Professional or Non-Professional Subscriber?
    If you hold a seat on the Exchange/have membership, you are considered a Professional Subscriber. Part of the Non-Professional criteria states that the Subscriber must NOT hold or lease any type of membership.

12. SEC and CFTC are US concepts. I am based in Europe; where do I qualify/what other boards confirm my eligibility?
    If you are registered in any country with a corresponding governing agency, you are considered a Professional Subscriber.

13. Is Dubai Mercantile Exchange (DME) data included in the bundled Non-Professional Subscriber fees (Top of Book and/or Market Depth)?
    No, DME Information is not included in the bundled Non-Professional Subscriber Fees. The bundled fees include CME, CBOT, NYMEX and COMEX. Please refer to the Schedule 5 (Fee Schedule) of the MDLA.