

Further, I hereby authorize and direct the Exchange's Membership Services Department to post said offer pursuant to its procedure and transfer such membership interest. I agree to be bound by the rules of the Exchange with respect to the sale of the membership interest.

I am aware that upon the sale of the membership interest, all membership privileges associated with such membership shall be terminated at the time of sale.

I also understand that the following information will be provided to the Internal Revenue Service: the name and Social Security/Tax Identification Number of the seller; the type of membership interest; and, the date and amount of the sale.

Name of Individual Owner or Authorized Signor (please print)

Area Code - Telephone Number

Tax I.D. Number

Area Code - Telephone Number

Signature of Seller

Area Code - Telephone Number

Date

Qualifying/Badge Firm

Notary Signature & Seal

Wiring Instructions

Bank: _____

City, State: _____

Account Name: _____

ABA#: _____

Account#: _____

Authorized negotiator (please print)

Mother's Maiden Name

Email Address

By signing your name in the space below, you are acknowledging that you have read and understand the Membership Market Procedures.

Signature of Seller: _____

*Exchange refers to CME, CBOT, NYMEX and COMEX

Accepted: _____ /OC: _____

Liens checked: _____
(Initials)

Copy of
Driver's License: _____
(Initials)

Request for Taxpayer Identification Number and Certification

**Give Form to the
 requester. Do not
 send to the IRS.**

Print or type See Specific Instructions on page 2.	Name (as shown on your income tax return)	
	Business name/disregarded entity name, if different from above	
	Check appropriate box for federal tax classification (required): <input type="checkbox"/> Individual/sole proprietor <input type="checkbox"/> C Corporation <input type="checkbox"/> S Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> Trust/estate	
	<input type="checkbox"/> Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=partnership) ▶	
	<input type="checkbox"/> Other (see instructions) ▶	
Address (number, street, and apt. or suite no.)		Requester's name and address (optional)
City, state, and ZIP code		
List account number(s) here (optional)		

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on the "Name" line to avoid backup withholding. For individuals, this is your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN* on page 3.

Social security number									

Note. If the account is in more than one name, see the chart on page 4 for guidelines on whose number to enter.

Employer identification number									

Part II Certification

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and
3. I am a U.S. citizen or other U.S. person (defined below).

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions on page 4.

Sign Here	Signature of U.S. person ▶	Date ▶
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General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Purpose of Form

A person who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) to report, for example, income paid to you, real estate transactions, mortgage interest you paid, acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA.

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN to the person requesting it (the requester) and, when applicable, to:

1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
2. Certify that you are not subject to backup withholding, or
3. Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income.

Note. If a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

Definition of a U.S. person. For federal tax purposes, you are considered a U.S. person if you are:

- An individual who is a U.S. citizen or U.S. resident alien,
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States,
- An estate (other than a foreign estate), or
- A domestic trust (as defined in Regulations section 301.7701-7).

Special rules for partnerships. Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax on any foreign partners' share of income from such business. Further, in certain cases where a Form W-9 has not been received, a partnership is required to presume that a partner is a foreign person, and pay the withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid withholding on your share of partnership income.

MEMBERSHIP MARKET PROCEDURES

CME Group's membership market is a service provided by CME Group to facilitate the purchase and sale of memberships. A request to buy or sell a membership may be made by fax, E-mail or in person. Each bid or offer from a buyer or seller is time-stamped and processed in the order it is received. Listed below are the procedures followed by CME Group Membership Services Department ("Department") to facilitate a fair and accurate market. The CME/CBOT membership market telephone number is 312-435-3482 the NYMEX/COMEX membership number is 212-299-2370.

The Department phone numbers are 312-435-3480 and 212-299-2370. The Facsimile number for CME/CBOT transactions is 312--341-7302 and for NYMEX/COMEX transactions is 212-301-4613.

When a request to buy or sell a membership is received in the Department, a membership market slip is time-stamped and completed with the following information:

Name and telephone number of buyer or seller
Division of membership being bought or sold
Instructions

When an initial bid to purchase a membership is submitted to the Department, the bid form is checked to confirm the division, amount of the bid, mother's maiden name, officer guarantee from a clearing firm, guarantee limit or cashier's check and contact information. All new bids are confirmed by phone if they are not submitted in person. The bid is then entered into the market. Bids must be in increments of \$500.

When an initial offer to sell a membership is submitted to the Department, the offer form is checked to confirm the division and membership number, amount of offer, signature of seller, mother's maiden name, and contact information. All offer forms need to be notarized and a copy of the seller's driver's license must be submitted. Department staff will then check for and resolve any existing liens on the membership such as control agreements or authorizations to sell. All new offers are confirmed by phone if they are not submitted in person. The offer is then entered into the market. Offers must be in increments of \$500.

If there is a change being made to an existing bid or offer, the amount, the date, and time of the changes are recorded on the bid/offer form and initialed by staff. The buyer or seller making the change either initials the form himself, or sends the Department a **SIGNED** fax making the change. **NO CHANGE WILL BE EFFECTIVE UNTIL RECEIVED IN WRITING.**

The high bid and low offer are displayed to the public for each division. All other bids and offers are confidential. All bids and offers are maintained in the appropriate ascending or descending order.

Membership market slips are processed in sequential order based on **division and time received**. Department staff will review market slips by division. (Negotiations may occur simultaneously in all markets.) Instructions must indicate if an individual is requesting to negotiate, hit an existing bid, lift an existing offer or cancel a current bid or offer. **A membership market slip with instructions to buy or hit the bid, sell or lift the offer or cancel a current bid or offer will have priority over all existing time-stamped slips requesting to negotiate and will move to front of the line for processing.**

Once a bid or offer is posted, it is the buyer's or seller's responsibility to monitor the membership market. The Department does not call any existing bids or offers if the market changes. Changes are posted on our website at www.cmegroup.com on Mercquote and a recorded message at 312-930-8207 for CME/CBOT and 212-299-2400 for NYMEX/COMEX. Current bids or offers are also available by calling the CME/CBOT Department at 312-435-3480 and the NYMEX/COMEX department at 212-299-2370.

The high bid or low offer in the market has the option to initiate a negotiation. Department staff will contact the highest bid or lowest offer to ask if they're willing to negotiate if they are the phone number of the high bid or low offer will be given to the appropriate party; if a price is agreed upon the parties must submit in writing to the Department (via fax or in person) changes to their prices at the same time.

During negotiations, the high bid or low offer has the opportunity to immediately cancel their bid or offer.

During a negotiation, no changes will be made to the market (Mercquote, telephone recording etc.) until a final price has been negotiated. If no agreement is made between the bid and offer and no changes have been made to the high bid and low offer, the market remains the same.

Even if a negotiation is in process, priority will be given to an order to sell the bid, buy the offer or cancel a bid or offer. Any such order will move to the front of the line and will hit a bid or lift an offer even if that bid or offer is currently being negotiated. If you decide to negotiate a purchase or sale, then you should be aware that even while in negotiations, someone may enter the market and hit the bid or lift the offer and thereby trump your negotiations.

If you have any questions, please contact the CME/CBOT Membership Services Department at 312- 435-3480 or the NYMEX/COMEX Membership Services Department at 212- 299-2370.