

MARKET REGULATION ADVISORY NOTICE

From	<i>NEX SEF Limited (“NEX SEF”)</i>
To	<i>All EBS NDF Platform Participants</i>
Subject	<i>User Maintenance and Access</i>
Rule References	<i>901</i>
Effective Date	<i>May 16, 2022</i>
Notice Date	<i>March 8, 2022</i>
Notice Number	<i>2022-04</i>

NEX SEF | User Maintenance and Access

Overview

This MRAN is being issued to inform market participants of user access criteria and how the information is maintained. This MRAN is pending all relevant CFTC regulatory review periods. Note, all capitalized terms shall have the meaning outlined in the Rulebook.

User Maintenance and Access

Initial login information: The Trading Floor Administrator (“TFA”) is responsible for allocating an initial ID to each Authorised Trader. The NEX SEF Dealing Service will allocate default password to the Authorised Trader. When an Authorised Trader first signs on using this default password, he/she is required to change the password immediately. For Global Access, in addition to the above, the Facility will complete the user authentication process on behalf of the TFA.

Password Procedures: An Authorised Trader shall:

- A. change passwords regularly;
- B. never use another Authorised Trader’s ID to sign on;
- C. never disclose passwords to other Authorised Traders or any other person;
- D. only sign in at one Workstation at any one time
- E. always sign off at the end of a trading session;
- F. never automate the sign on process (unless as part of the EBS Ai Client Application); and
- G. never turn off the monitor while signed on.

Login Issues: It is the responsibility of an Authorised Trader to contact the TFA if problems arise during sign on or if a password is forgotten. If an Authorised Trader believes that someone else has obtained his or her password, he or she must change his password and notify the TFA.

An Authorised Trader is given three opportunities to enter a correct password prior to having his or her Trader ID disabled. In the event that an Authorised Trader’s ID is disabled, the Authorised Trader must contact his or her TFA who will reset his or her Trader ID. If the TFA is not available, the Authorised Trader may contact the Facility for assistance.

Message of the Day: After the sign on procedure has been successfully completed, the Authorised Trader will receive the date and time of his or her last sign on. At this time the Authorised Trader may receive a “message of the day”. The Authorised Trader is responsible for contacting the TFA if the date and time displayed does not correspond to when he or she last signed on.

Facility Discretion: At the discretion of the Facility, any Workstation may be signed off by the Facility. In these instances, any outstanding Bids/Offeres will be removed by the NEX SEF Dealing Service automatically.