

BrokerTec Legal Entity and Regulatory Structure

Effective 9 October 2025

BrokerTec Legal Entity and Regulatory Structure

| Platform | | | |
|--|--|---|--|
| | UK Gilt Repos | European Government Bonds, Securities Financing Transactions (SFTs) | US Treasury Actives, US Treasury Repo, Corporates Repo (RFQ only), MBS Repo (RFQ only) |
| | BTEE for CLOB, BTAM/BTQE for BrokerTec Quote (RFQ) | BTAM for CLOB, BTAM/BTQE for BrokerTec Quote (RFQ) | BTEC for BrokerTec NY CLOB BTEC/BTEA for BrokerTec Chicago CLOB BTEC/BTEQ for BrokerTec Quote |
| Operating entity | Venue | | |
| BrokerTec Americas LLC (LEI: 213800U91OWU6L9YIT33) | BrokerTec | | X |
| BrokerTec Europe Limited (LEI: 2138002G11GKI3V4UG48) | BrokerTec EU MTF (FCA regulated) | X (CLOB) | |
| CME Amsterdam B.V. (LEI: 2138004TYNQCB7MLTG76) | BrokerTec EU Regulated Market (AFM regulated) | X (RFQ) | X |

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- BrokerTec Europe Limited offers the BrokerTec EU MTF from the UK, in accordance with the BrokerTec EU MTF Rulebook, and is regulated by the FCA. For the avoidance of doubt, as noted in the table above, BrokerTec Europe Limited offers the products specified via CLOB only.
- CME Amsterdam B.V. operates the BrokerTec EU Regulated Market (RM) in accordance with the BrokerTec EU RM Rulebook, and is regulated by the AFM. For the avoidance of doubt, as noted in the table above, CME Amsterdam B.V. offers the products specified via CLOB or RFQ.
- BrokerTec Americas LLC offers Central Limit Order Books (“CLOB”) in NY and Chicago, both in accordance with the BrokerTec CLOB Rulebook and respective Appendices. Its Form ATs are filed with the SEC. It also offers RFQ trading of Repo instruments on the BrokerTec Quote platform in accordance with its Rulebook.
- MIC and Segment MIC codes set out in the ‘Platform’ row in the format as follows, where (as applicable):
 - MIC specified: **MIC**; or
 - MIC and Segment MIC specified: **MIC/Segment MIC**.

