

BrokerTec Legal Entity and Regulatory Structure

Effective 23 June 2025

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Platform			
	UK Gilt Repos	European Government Bonds, Securities Financing Transactions (SFTs)	US Treasury Actives, US Treasury Repo, Corporates Repo (RFQ only), MBS Repo (RFQ only)
	BTEE for CLOB, BTQE for BrokerTec Quote (RFQ)	BTAM for CLOB, BTQE for BrokerTec Quote (RFQ)	BTEC for BrokerTec CLOB BTEQ for BrokerTec Quote BrokerTec Stream (until 31 July 2025)
Operating entity	Venue		
BrokerTec Americas LLC (LEI: 213800U91OWU6L9YIT33)	BrokerTec		X
BrokerTec Europe Limited (LEI: 2138002GI1GKI3V4UG48)	BrokerTec EU MTF (FCA regulated) X (CLOB)		
CME Amsterdam B.V. (LEI: 2138004TYNQCB7MLTG76)	BrokerTec EU Regulated Market (AFM regulated) X (RFQ)	X	

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- BrokerTec Europe Limited offers the BrokerTec EU MTF from the UK, in accordance with the BrokerTec EU MTF Rulebook, and is regulated by the FCA.
- CME Amsterdam B.V. operates the BrokerTec EU Regulated Market (RM) in accordance with the BrokerTec EU RM Rulebook, and is regulated by the AFM.
- BrokerTec Americas LLC offers the BrokerTec Central Limit Order Book (“CLOB”) in accordance with the BrokerTec CLOB Rulebook and its Form ATS filed with the SEC. It also offers the trading of US Government Repo on the BrokerTec Quote platform in accordance with its Rulebook.
- MIC codes set out in the ‘Platform’ row.

