

BrokerTec Legal Entity and Regulatory Structure

Effective 2 September 2024

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		UK Gilt Repos	European Government Bonds, Securities Financing Transactions (SFTs)	US Treasury Actives, US Treasury Repo, Corporates (Corporates on RFQ only)
Platform		BTEE for CLOB, BTQE for BrokerTec Quote (RFQ)	BTAM for CLOB, BTQE for BrokerTec Quote (RFQ)	BrokerTec CLOB BrokerTec Quote BrokerTec Stream
Operating entity	Venue			
BrokerTec Americas LLC (LEI: 213800U91OWU6L9YIT33)	BrokerTec			X
BrokerTec Europe Limited (LEI: 2138002G11GK13V4UG48)	BrokerTec EU MTF (FCA regulated)	X (CLOB)		
CME Amsterdam B.V. (LEI: 2138004TYNQC7MLTG76)	BrokerTec EU Regulated Market (AFM regulated)	X (RFQ)	X	

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- BrokerTec Europe Limited offers the BrokerTec EU MTF from the UK, in accordance with the BrokerTec EU MTF Rulebook, and is regulated by the FCA.
- CME Amsterdam B.V. operates the BrokerTec EU Regulated Market (RM) in accordance with the BrokerTec EU RM Rulebook, and is regulated by the AFM.
- BrokerTec Americas LLC offers the BrokerTec Central Limit Order Book (“CLOB”) in accordance with the BrokerTec CLOB Rulebook and its Form ATS filed with the SEC. It also offers the trading of US Government Repo on the BrokerTec Quote platform and US Treasury Actives on the BrokerTec Stream platform in accordance with their respective Rulebooks.
- MIC codes in brackets under ‘Platform’ row.

