CME Group

CME Global Repository Services: User Testing Request Form

For users seeking to test CME Global Repository Services, please fill out the fields below and use the "SEND" button at the end of the form for processing. This form can be used to access CME European Trade Repository, CME Swap Data Repository, CME REMIT Registered Reporting Mechanism, CME Canadian Trade Repository, and/or CME Australian Trade Repository.

Note that this form is limited to users seeking to create access and directly test via User Website, secure FTP, and/or web services API connectivity methods in either CSV or FIXML formats. Users seeking to test via a physical connection should not use this form and instead execute the User Agreement; including schedule B.

Service Providers (such as middleware providers, SEFs and DCMs) seeking to integrate and test CME's Global Repository Service systems should refrain from using this form and contact repository@cmegroup.com

Please choose one of the following:

CME Swap Data Repository ("CME SDR")   CME Australian Trade Repository ("CME ATR")

CME European Trade Repository ("CME ETR")   CME REMIT Reporting

CME Canadian Trade Repository ("CME CTR")

1. Registration (*Required)

Company Information

Company Name*

Parent Company (if applicable)   Parent Company LEI (if applicable)

Street Address*   Address Line 2

City*   County/State/Province* Zip/Postal Code*   Country*

(Help Section at End of Form)

LEI   ACER Code   MIC

Source

Domicile* U.S. Entity   E.U. Entity   Canadian Entity   Other Entity

E.U. Country: Non U.S./E.U. Country: Canadian Province:

* denotes required information

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2. Dodd-Frank Registration (if applicable)

Asset Class*	Entity Classification*			
Credit	Swap Dealer	Major Swap Participant	Non-SD/MSP	Commercial End User
Commodities	Swap Dealer	Major Swap Participant	Non-SD/MSP	Commercial End User
Foreign Exchange	Swap Dealer	Major Swap Participant	Non-SD/MSP	Commercial End User
Interest Rates	Swap Dealer	Major Swap Participant	Non-SD/MSP	Commercial End User

3. EMIR Registration (if applicable)

Asset Class*	Entity Classification*		
Credit	Financial Counterparty	Non-Financial Counterparty	In scope Non-Financial Counterparty
Commodities	Financial Counterparty	Non-Financial Counterparty	In scope Non-Financial Counterparty
Foreign Exchange	Financial Counterparty	Non-Financial Counterparty	In scope Non-Financial Counterparty
Interest Rates	Financial Counterparty	Non-Financial Counterparty	In scope Non-Financial Counterparty
Equity	Financial Counterparty	Non-Financial Counterparty	In scope Non-Financial Counterparty

4. Canadian Registration (if applicable)

Asset Class*	Entity Classification*
Credit	Local Counterparty
Commodities	Local Counterparty
Foreign Exchange	Local Counterparty
Interest Rates	Local Counterparty

5. Australian Registration (if applicable)

Asset Class*

Credit

Commodities

Equity

Foreign Exchange

Interest Rates

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6. System Users

First Name   Last Name   Email   Phone Number

Systems Rights

Admin (Upload/Amend/View)   View Only   No Access

CME Group Login

A CME Group login is a self-managed, secure user profile which contains contact and security information. CME now requires all system users to create user profiles prior to gaining access to CME applications. If you or any required CME users do not have a CME Group login, you will need to gain one before submitting this application. Please click here to complete an instant application for a CME Group login.

First Name   Last Name   Email   Phone Number

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Repository Registration Help

To comply with CFTC's, ESMA's, ACER's, ASIC's and Canadian Regulator's (OSC, AMF and MSC) transaction reporting regulations, the following information is required from participants:

LEI: Legal Entity Identifier (LEI) is a unique ID associated with a single corporate entity and would be used for identifying the parties to reportable transactions. For U.S. entities, the Global Markets Entity Identifier (GMEI) should be used. Please visit www.gmeiutility.org for more information about GMEI registration. For E.U. entities, please visit www.leiroc.org for more information about LEI registration.

Asset Class: Category of asset for which trades will be reported. Commodities asset class covers Agricultural, Energy and Metal products.

Entity Classification: For each Asset Class identify the type of entity engaging in trading activity. Entity Classification should be the same for all asset classes.

EMIR Classifications:
Financial Counterparty (FC): Any firm authorised under European Union Financial Services legislation, including but not limited to investment firms; credit institutions; insurances, assurance, and reinsurance undertakings; UCITS and their management companies; AIFs managed by AIFMs; and institutions for occupational retirement provision.

Non-Financial Counterparty (NFC): Any firm established in the European Union other than a financial counterparty or CCP.

In-Scope Financial Counterparty (NFC+): Any non-financial counterparty that exceeds thresholds set by ESMA.

Third Country: Any firm domiciled outside of the European Union.

Dodd-Frank Classifications:
Swap Dealer (SD): Any person/entity who breaches the de minimis swaps threshold and who i) holds itself out as a dealer in swaps; ii) makes a market in swaps; iii) regularly enters into swaps with counterparties as an ordinary course of business; or iv) engages in activity causing itself to be commonly known in the trade as a dealer.

Major Swap Participant (MSP): Any person/entity that either i) maintains substantial position in any major swap categories; ii) has outstanding swaps that create substantial counterparty exposure that could have adverse effects on the financial stability of the U.S banking system or financial markets; iii) is highly leveraged relative to the amount of capital such entity holds.

Non-SD/MSP: Any financial person/entity that is neither classified as a swap dealer nor major swap participant.

Commercial End User: Any non-financial person/entity that is neither classified as a swap dealer nor major swap participant.

Canadian Classifications:
A **local counterparty** is, at the time of the transaction:

• (A) a person or company organized under the laws of, or having its head office or principal place of business in the province

• (B) an affiliate of (a) if responsible for its liabilities

• (C) parties required to registered under provincial securities law as a derivatives dealer

Verification Officer (People Administrator):
The administrative user representative is given CME European Trade Repository Administrator privileges for all supported asset classes. The Verification Officer has visibility to user lists and authority to add and remove firm users. The Verification Officer can optionally have additional system rights such as trade submission, viewing and trade verification (by asset class). There can be more than one Verification Officer.

Admin User: Admin users can submit transactions via the Participant User Interface. Additionally, the Admin User can view and download reports from the Participant User Interface.