

Delegated Reporting Service Registration Form (for U.S. Markets)

Chicago Mercantile Exchange Inc. (the “Clearing House” or “CME”), in its capacity as delegee, is offering an EMIR Delegated Reporting Service (“Service”) to entities with a reporting obligation under Regulation (EU) No 648/2012 of the European Parliament and of the Council on OTC derivatives, central counterparties and trade repositories dated 4 July 2012 (known as the European Markets Infrastructure Regulation (“EMIR”). Under this Service, CME will transmit to an EMIR registered trade repository all required counterparty and common data (the “Data”) relating to trades cleared by the Clearing House for European clients subject to the reporting obligations in Article 9(1) of EMIR (“EU Reporting Clients”), that opt-in to the Service by completing this Registration Form.

By virtue of completing the Registration Form and opting into the Service, the EU Reporting Client understands and accepts that the Data will be reported to CME European Trade Repository (“CME ETR”) on behalf of the EU Reporting Client by CME pursuant to Article 9(1) of EMIR and will be recorded, safeguarded and made available by CME ETR to certain regulatory authorities in accordance with the requirements of EMIR. CME European Trade Repository is a trading name of CME Trade Repository Limited, a CME group company located in London, England, which is an EMIR registered trade repository, authorized and supervised in the EU by the European Securities and Markets Authority (“ESMA”).

Any EU Reporting Client that opts-in to the Service consents to CME providing the Data required by EMIR to CME ETR to fulfill the EU Reporting Client’s reporting obligations under EMIR and acknowledges and agrees that the EU Reporting Client will remain responsible for its own compliance with the requirements of EMIR, notwithstanding the delegation of EMIR reporting to CME under the terms of the Service. The EU Reporting Client agrees that it shall not hold CME liable by reason of any action performed pursuant to the Service, to the extent permitted by applicable law, and confirms that it is bound by the CME Rulebook, as amended from time to time. EU Reporting Clients further agree, to the extent necessary, to use their best efforts to ensure that CME receives the Data from their Futures Commission Merchant (“FCM”) or directly from the EU Reporting Client and understands and agrees that the EU Reporting Client shall remain responsible for the accuracy of the information provided to CME and for the Data maintained by CME ETR relating to its trades reported under the Service. If any EU Reporting Client chooses not to opt-in to the Service, it understands and stipulates that it will report its EMIR reportable trades directly to CME ETR or to another registered or recognized EMIR trade repository, as required under EMIR.

EU Reporting Client (the “Company”) must complete this Registration Form electronically by having an authorized representative check the box below and fill in his or her name and details where indicated.

I hereby acknowledge that I have read, agree to and have the authority to bind Company to all the terms and conditions contained within or incorporated under this Registration Form, including but not limited to the relevant provisions within the CME Rulebook and any applicable terms and conditions relating to the Service from time to time.

Company Name

Email

Authorized Representative

Date

/ /

Title

Schedule A: Delegated Reporting Service Registration (for U.S. Markets)

Request Type

Add-on to existing CME ClearPort, Swap Data Repository or European Trade Repository Registration
CME Group's Delegated Reporting Service Registration Only

1. Registration (*Required)

Company Information

Company Name*

Parent Company (if applicable)

Parent Company LEI

Street Address*

Address Line 2

City*

County/State/Province*

Zip/Postal Code*

Country*

EMIR Designations

(Help Section at End of Form)

LEI:

Check to indicate that a Legal Entity Identifier has not been established with a registered LEI issuer.

LEI Issuer:

Entity Classification*:

Corporate Sector*:

Clearing Threshold*:

Primary Supervisory Authority*:

Check to indicate additional supervisory authorities to provide to CME Group

Domicile*:

2. Company Contacts

Administrative Contact

First Name*	Surname*	Email*
Street Address*	Address Line 2	Company Name
City*	County/State/Province*	Zip/Postal Code* Country*
Phone Number*		
Security Questions:		
1. Birth Place*	2. Date of Birth* (MM/DD/YYYY)	3. School*

First Name	Surname	Email
Street Address	Address Line 2	Company Name
City	County/State/Province*	Zip/Postal Code Country
Phone Number		
Security Questions:		
1. Birth Place	2. Date of Birth (MM/DD/YYYY)	3. School

3. CME Clearing Members

Select the Clearing Member who you will be contacting with notification of your Delegated Reporting Service opt-in status.

Clearing Firms

ABN AMRO Clearing Chicago LLC	Jump Trading Futures, LLC
ADM Investor Services, Inc.	J.P. Morgan Securities LLC
Advantage Futures, LLC	Knight Capital Americas LLC
Bank of Montreal	Longwood Trading
Barclays Capital Inc.	Macquarie Futures USA LLC
BMO Capital Markets Corp.	Marex North America LLC
BNP Paribas Prime Brokerage, Inc.	Merrill Lynch, Pierce, Fenner & Smith Inc.
BNP Paribas Securities Corp.	Mizuho Securities USA Inc.
BNY Mellon Clearing, LLC	Morgan Stanley & Co. LLC
BOCI Commodities and Futures Limited +	Nanhua Futures (Hong Kong) Co., Ltd. +
BP Energy Company	Newedge USA, LLC
BP Products North America Inc.	Nomura Securities International, Inc.
Bunge Chicago, Inc.	Phillip Futures Inc.
Cantor Fitzgerald & Co.	Proxima Clearing, LLC
China Merchants Futures (HK) Co., Limited +	Rabo Securities USA Inc.
CHS Hedging, Inc.	Rand Financial Services Inc.
CIBC World Markets Corp.	RBC Capital Markets LLC
Citigroup Global Markets Inc.	RBS Securities Inc.
Credit Agricole Corporate and Investment Bank	RDG Trading
Credit Suisse Securities (USA) LLC	R.J. O'Brien & Associates, LLC
Credit Suisse International	Ronin Capital, LLC
Crossland, LLC	Rosenthal Collins Group, L.L.C.
Cunningham Commodities, Inc.	Royal Bank of Canada
Daiwa Capital Markets America Inc.	Santander Investment Securities Inc.
Deutsche Bank Securities Inc.	Societe Generale
Dorman Trading, L.L.C.	State Street Global Markets, LLC
E D & F Man Capital Markets Inc.	Straits Financial LLC
Eagle Market Makers, Inc.	Term Commodities Inc.
EFL Futures Limited	The Bank of Nova Scotia
G.H. Financials, LLC	The Royal Bank of Scotland plc
Gelber Group, LLC	Timber Hill LLC
Goldman, Sachs & Co.	TradeLink L.L.C.
Goldman Sachs Execution & Clearing, L.P.	UBS Securities LLC
HSBC Securities (USA) Inc.	Vision Financial Markets LLC
INTL FCStone	Wells Fargo Securities, LLC
Jefferies Bache, LLC	

Delegated Reporting Service Updates

EU Reporting Clients using the Delegated Reporting Service will be notified of any material modifications to the Service in advance of their effective date. For more information regarding the Service please visit cmegroup.com/delegatedreporting.

Delegated Registration Help

To comply with the EMIR reporting requirements, the following information is required from EU Reporting Clients in order to assist CME in populating the reports to be submitted to CME ETR on behalf of such customers –

- Legal Entity Identifier (LEI) is a unique ID associated with a single corporate entity and would be used for identifying the parties to financial transactions. Please visit <http://www.lei.org/> for more information
- LEI Issuer endorsed by Global Legal Entity Identifier System (GLEIS)
- Entity Classification identifies the type of entity engaging in trading activity
- Corporate Sector under which the nature of the firm's activities are classified. Classifications are the following:
 - N/A: use in case of coverage by Legal Entity Identifier (LEI) or in case of non-financial counterparties
 - Assurance Undertaking: authorised in accordance with Directive 2002/83/EC
 - Credit Institution: authorised in accordance with Directive 2006/48/EC
 - Investment Firm: in accordance with Directive 2005/39/EC
 - Alternative Investment Fund Managed by AIFMs: authorized or registered in accordance with Directive 2011/61/EU
 - Institution for Occupational Retirement Provision: within the meaning of Article 6(a) of Directive 2003/41/EC
 - Reinsurance Undertaking: authorised in accordance with Directive 2005/68/EC
 - UCITS And Its Management Company: authorised in accordance with Directive 2009/65/EC
- Clearing Threshold specifies if the entity is above or below the EMIR defined Clearing Threshold (not applicable to Financial Counterparties)
- Primary Supervisory Authority identifies regulator(s) overseeing the firm in EU
 - A checkbox has been provided to indicate if the applicant has multiple Supervisory Authorities beyond its primary. When checked, CME will contact the applicant to acquire those additional details.
- Domicile identifies the country where the entity is domiciled

Access to CME European Trade Repository

Where an EU Reporting Client wishes to access or update Data (reported on its behalf by CME to CME ETR under the Service or otherwise), the EU Reporting Client should register as a User of CME ETR by signing the CME ETR User Agreement. Further information on the services provided by CME ETR and copies of the User Agreement are available from <http://www.cmegroup.com/etr>.

No charge is payable by EU Reporting Clients to CME ETR for view only access to Data held by CME ETR.