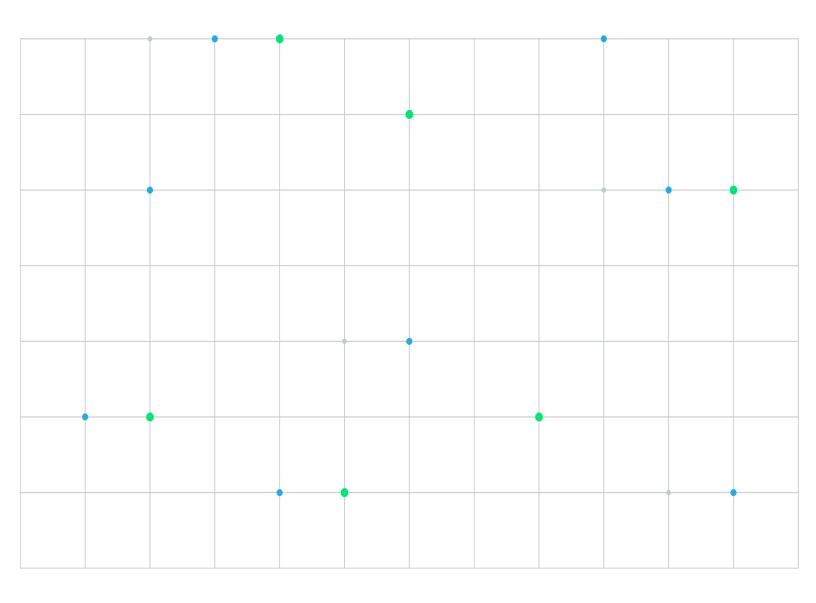


# AutoCert+ Access User Manual

June 26, 2025



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# **Getting Started**

Welcome to the AutoCert+ Access AutoCert+ Access online help system.

CME Group requires that all client systems transacting on CME Globex via iLink order routing or processing CME Group market data are certified by AutoCert+, an automated testing tool for validating client system functionality. CME Group offers two customer testing environments, Certification and New Release, for system validation prior to connecting to and transacting on CME Group platforms. Certification ensures messaging and processing reliability and the capability to gracefully recover during abnormal message processing events.

# Additional Resources

- <u>Client Systems Wiki</u>- for more information on certification environments, system requirements, and network connectivity.
- Navigating AutoCert+ learn more about general navigation for the application.
- Certification Overview Video

# What's New

The list below illustrates the updates made to the AutoCert+ Access Help system.

Date	Topic	Description
26 June 2025	Navigating the Certification Tool	Added Application System refresh function
06 Oct 2022	Format	Website and PDF format changes only
08 Oct 2021	Accessing AutoCert+	Updated login and logout procedures.
13 April 2021		Updated screenshots and steps to add <b>Market</b> drop-down menu.
26 Feb 2021	Accessing AutoCert+	Updated steps in "Subscribing to CME Globex Test Environment Notifications."
11 Jul 2019	Navigating the Certification Tool	Added Purpose, Type, Category, 1603, 1604, 1605.
27 Feb 2018	All	Extensive rewrite.
14 Dec 2015	Getting Started	Removed mention of VPN in step 1 under "Obtaining Access to AutoCert+."
	Logging In	Added step 1 with AutoCert+ URL.
05 Oct 2015	Getting Started	New Users can subscribe and unsubscribe to notifications regarding the test environment.
15 Oct 2014	Getting Started	Added link to ACP Access video. Added Feedback button to toolbar.
28 Mar 2014		Streamlined Help system, and moved sections to the Client Systems Wiki.
21 Sep 2012		Streamlined Help system.
07 Jul 2012	Getting Started, Navigating the Certification Tool, Completing the Certification Process, Logging In	Added navigation instructions, updated login and getting started procedures, updated post-cert interview info, and formatted according to new ACP Help system standards.
26 Sep 2011		Updated for ACP 4.0 and developed WebHelp system.
15 Mar 2011	Customer Support	Updated CSET email address.
26 May 2009		Updated Overview section and Performance Test Mode Message.
23 Apr 2009		Add a warning note to the Introduction about tag 60.
09 Feb 2009	Separate Sender's CompID Per	Update Separate Sender's CompID Per

#### AutoCert+ Access

Date	Торіс	Description
	Environment	Environment section, removing a sentence about the SenderCompID.
13 Jan 2009		Update references to cmegroup.com.
14 Nov 2008		<ul> <li>Addition discussion of iLink 2.x capability in AutoCert+.</li> <li>Renaming of New Release Certification Environment to New Release Environment.</li> <li>Remove references to FX MarketSpace, Cabinet Orders and FXMS Position Limits.</li> </ul>
04 Sept 2008		Update screen captures and re-brand CME Group. Also, updated content with correct location of XML configuration file.

# **Accessing AutoCert+**

To access AutoCert+, users must have an active CME Group Login.

This topic provides the following information:

- · Registering and Obtaining Access to AutoCert+
- Subscribing to Test Environment Notifications
- Logging In / Logging Out
- Additional Functions

# Registering and Obtaining Access to AutoCert+



To register for a CME Group Login:

Prior to logging in, complete the following:

- Use the CME Group Login service to register and activate a user profile.
- Contact your Global Account Manager (GAM) to request to AutoCert+ access and associate your account with the correct entity.
- After your profile is activated, provide your CME Group Login ID to your Global Account Manager (GAM), which is used to finalize AutoCert+ access.



Note: For login or registration questions or issues, refer to the CME Group Login instructions or contact EASE.

# Subscribing to CME Globex Test Environment Notifications

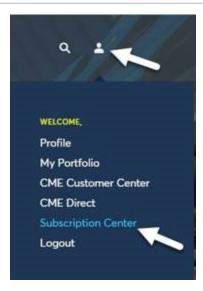
The email you receive after being granted access to AutoCert+ contains a link to the CME Group Subscription Center, which allows you to subscribe or unsubscribe to test environment notifications, including New Release and Certification.





To access the CME Group Subscription Center:

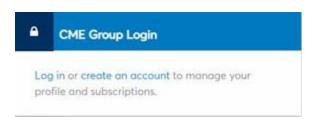
1. Navigate to http://cmegroup.com/subscribe or from www.cmegroup.com, select your Profile > Subscription Center:



If you are not logged in via your CME Group Login profile, you will be prompted to log in or to create an account:



Note: For additional information, see the CME Group Login site.



- 2. From the Subscription Center, select the **CME Globex Test Environments** check box to subscribe to these email notifications, or deselect the **CME Globex Test Environments** check box to unsubscribe from these email notifications.
- 3. Select Save.

# Logging In

CME Group recommends using a recent version of the <u>supported browsers</u> (Google Chrome, Internet Explorer) to access and operate application functions.



#### To log into AutoCert+:

- 1. Navigate to <a href="https://loginnr.cmegroup.com/sso/ssologin.action">https://loginnr.cmegroup.com/sso/ssologin.action</a>.
- 2. Enter login credentials and select Login.

For additional information about creating or managing a CME Group Login, or using multi-factor authentication, please review the CME Group Login User Help System.

#### Additional Functions

For additional details, refer to the following CME Group Login resources:

- Forgot User ID: After validating profile details, receive a username reminder to the registered email address.
- Forgot Password: After validating profile details, security validation and questions, a password reset email is sent to the registered email address.
- Manage Profile: Create and manage user details.

Users are required to periodically review and update their profile details. Dormant user profiles will be deactivated after an extended inactivity period.

# **Logging Out**

To protect accounts and data and after completing activity, users should log out of the CME Customer Center and close the browser session.



#### To log out of AutoCert+:

- 1. Select the **User** icon.
- 2. Select Logout.

System timeout: Sessions are active for eight hours; logging out then logging in again resets the session logout timer. After a period of inactivity authentication may be required to perform additional functions.

For additional information about creating or managing a CME Group Login, or using multi-factor authentication, please review the CME Group Login User Help System.

# **Certification Process**

- Navigating the Certification Tool
- Filling Out an Interview
- Certification Dedicated Instruments
- · Certification Testing
- Post Certification Interview

# **Navigating the Certification Tool**

The AutoCert+ tool provides an easy-to-use web interface for navigating through CME Group certification scenarios.

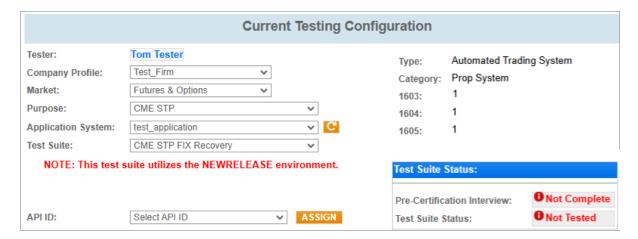
The main AutoCert+ window includes the following tabs:

• Interview - The Interview tab provides a pre-certification interview in which the responses provided determine which tests are mandatory and optional.



Note: Completion of the Interview is mandatory for all AutoCert+ test suites.

- Test Suite The Test Suite tab displays a list of links to all of the available test cases within the suite.
- Test Case The Test Case tab list the steps for the certification test selected from the Test Suite tab.
- Post Certification The Post Certification tab provides a post-certification interview in which you can provide feedback related to the AutoCert+ test.
- Help The Help tab launches the WebHelp for the current AutoCert+ test suite.



#### To navigate the certification tool:

- 1. Log into AutoCert+.
- From the main certification window, in the Company Name drop-down menu, enter the name of the company performing the certification.
- 3. Select a Market in the drop-down menu.
- 4. Select a Purpose in the drop-down menu.
- 5. In the Application System drop-down menu, select the system being certified.

Note: The list of Application System options is created and maintained via Request Center NR / CERT.

Use the refresh ( ) function to update the list to include recently created Application Systems.

- 6. In the **Test Suite** drop-down menu, select the applicable AutoCert + test suite application.
- 7. Select the appropriate SenderCompID from the list, then click Assign.

Each company is assigned a pool of session IDs. Only one ID can be used at a time for certification testing, as the AutoCert+ tool uses session IDs to identify messages being sent and received by the tester.

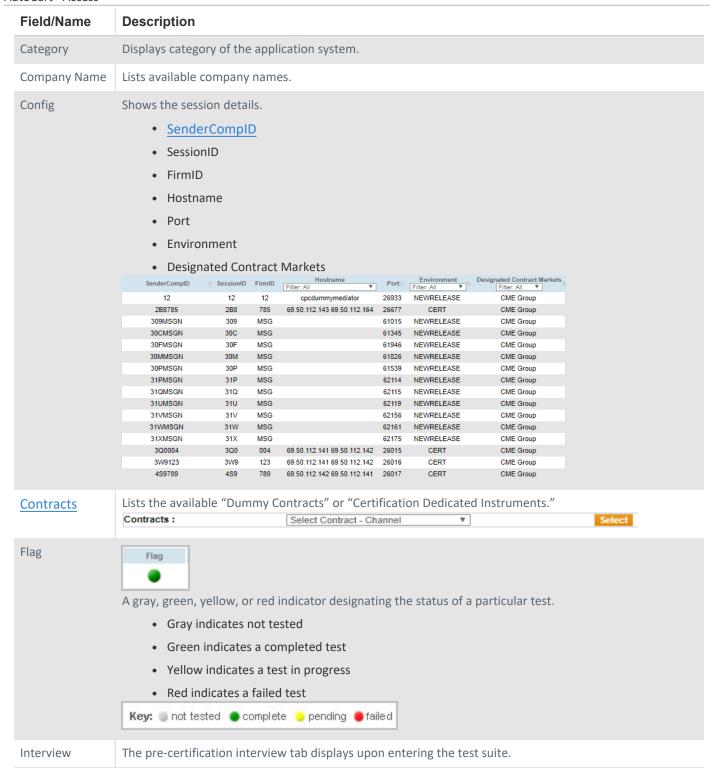
Note: If there are no SenderCompIDs listed, create a SenderComp (GFID + Order Entry Session ID + Fault Tolerance Indicator) for the environment in which you are testing. A separate SenderCompID is required for New Release environment and Certification environment. If you have more than one application to certify, obtain an additional ID.

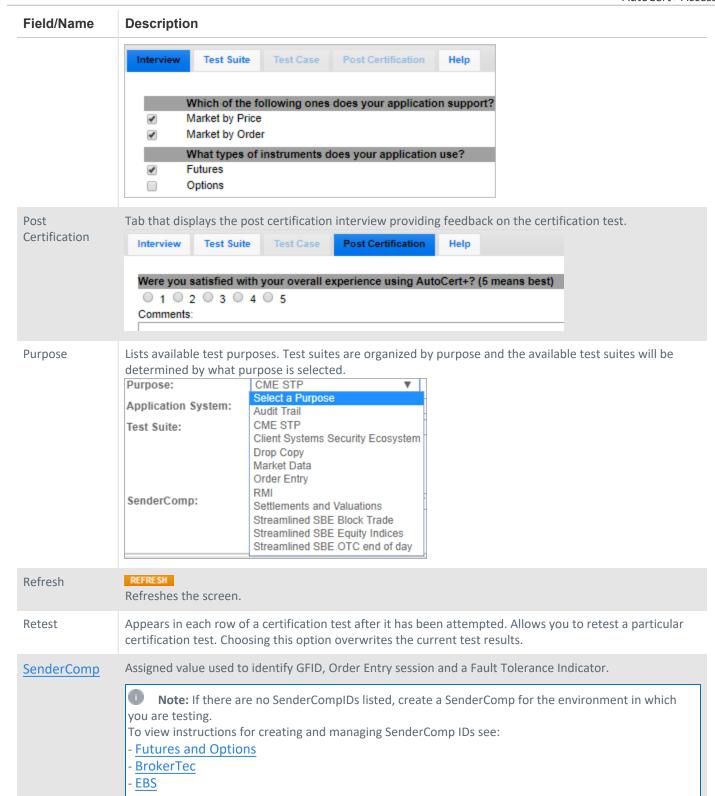
To view instructions for creating and managing SenderComp IDs see:

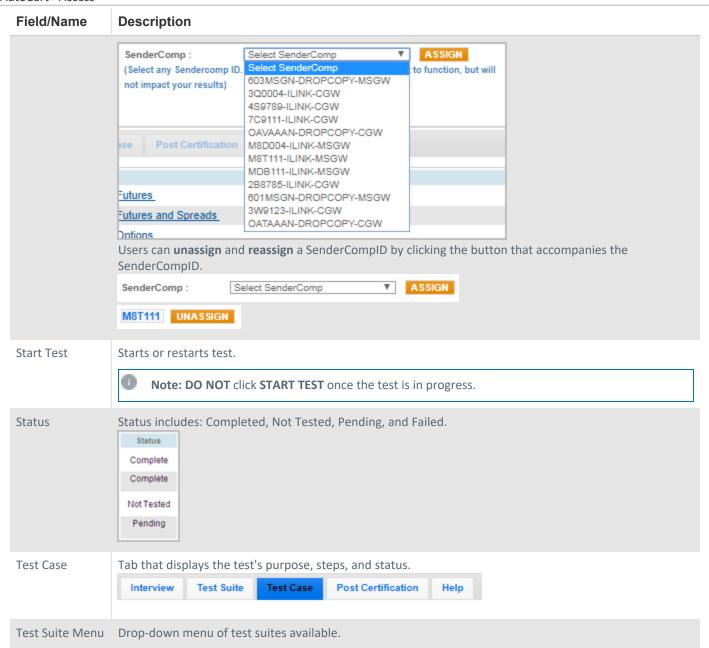
- Futures and Options
- BrokerTec
- EBS
- 8. The Test Suite Status section illustrates the Pre-Certification Interview, Test Suite, and Post-Certification status.

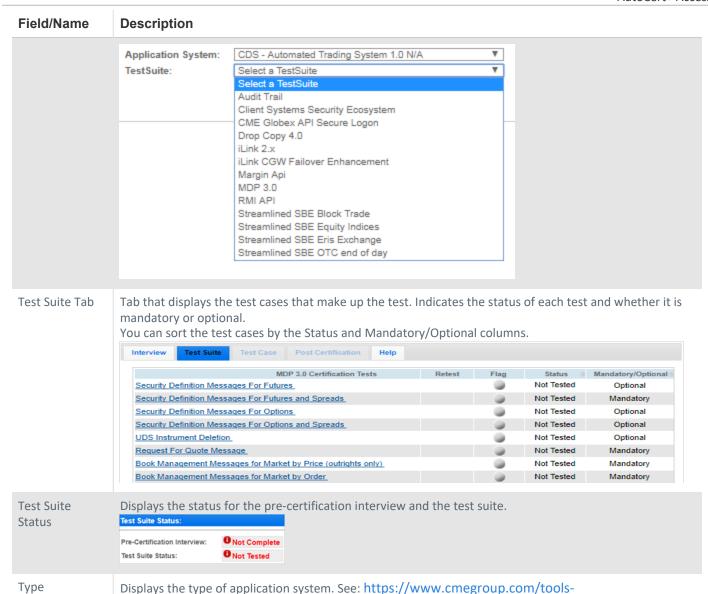
# **Field Descriptions**

Field/Name	Description
1603	Displays the value from tag 1603-ApplicationSystemName of the <u>iLink Logon from Client System to CME Globex message</u> .
1604	Displays the value from tag 1604-TradingSystemVersion of the <u>iLink Logon from Client System to CM Globex message</u> .
1605	Displays the value from tag 1605-ApplicationSystemVendor of the <u>iLink Logon from Client System to CME Globex message</u> .
Active Instruments	Displays information about instruments that are in use. View this page before sending any new orders to confirm that the instrument is available. You cannot select an instrument for a test if that instrument is already being used for testing.  Test Suite Active Instrument Support Config Active Instruments  There are 5 instruments  Sendercomp Company User Instrument(s) Channel Environment Filter All Y CL28. CL BF 28-M9-29 695 NEWRELEASE  MDB111 QA 02WU8.02WK0.02WW8-02WK0 943 CERT
Application System	Application systems that can be used for certification testing. A drop-down menu identifying the system (s) being certified (name, version and type).









information/webhelp/cme-request-center-nr-cert/Content/types.html.

# Filling Out an Interview

The pre-certification interview determines which tests are included in the test suite and which tests are mandatory and optional.

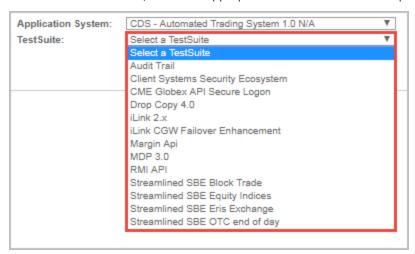


Note: You cannot access test suites and test cases until the completion of the interview.



#### To fill out an interview:

1. On the AutoCert+ screen, select the appropriate Test Suite from the drop-down menu.



The appropriate interview screen appears for the selected Test Suite.

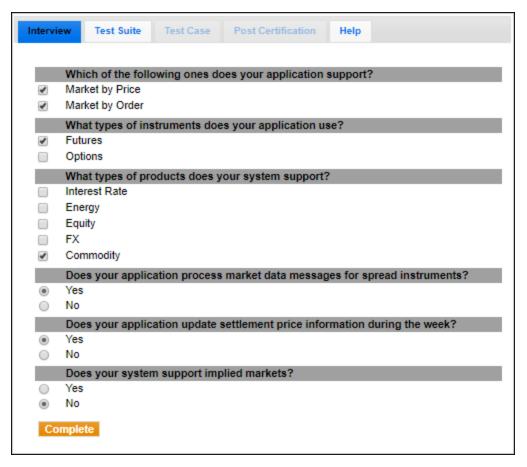
The Test Suite Status box contains the current status of the pre-certification interview and test suite.



2. Select the answers that best describe your system's functionality.

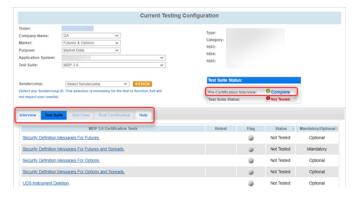


Note: All questions must be answered for the test suite and test cases to be available.



3. Click **Complete** at the bottom of the Interview screen.

The Pre-Certification Interview status changes to Complete, activating the Test Suite and Test Case tabs.

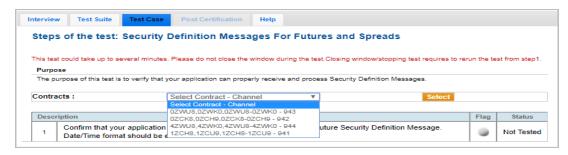


4. Click the appropriate certification test link to begin testing.

# **Certification Dedicated Instruments**

The AutoCert+ Market Data Platform and iLink 2.x Order Entry processes use "Dummy Contracts" or "Certification Dedicated Instruments" during the certification process. When running a test case, you must select an instrument.

The instrument sets presented in the drop-down menu are based on the answers that you provided in the interview.



Once selected, these instruments are locked and cannot be used by other users.



These instruments are certification-dedicated contracts that are not present in production but have all characteristics of production instruments, with the exception of their security description and their symbol. Each set of instruments is associated with a Market Data Platform channel dedicated to certification. It is strongly recommended that you "listen" only to the channel of the instrument set that you selected. This allows, by isolating the market data messages from the rest of the environment, AutoCert+ to reproduce specific scenarios and validate that your system properly processes all events in these scenarios.

**Note:** After a specified period of time without activity in AutoCert+, the certification-dedicated instruments will be released. If you want to continue testing and certifying Market Data Platform or iLink 2.X on AutoCert+, you will have to go through the same process mentioned above and select a new set of instruments which may be different than the previously selected set.

Note that for the recovery tests, additional certification-dedicated instruments may be introduced by AutoCert+ on the channel to which you are listening.

# **Certification Testing**

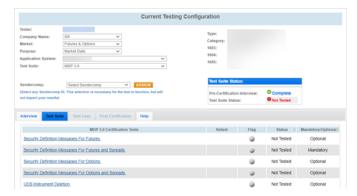
The appropriate certification test links are available after completing the interview. The Status column indicates whether a test has not been performed (not tested), completed, pending, or failed.

See also: Troubleshooting Tips

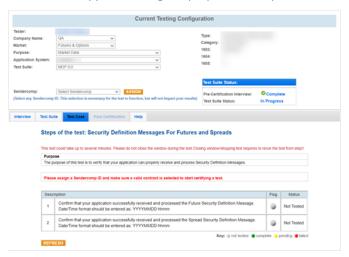


To run a certification test:

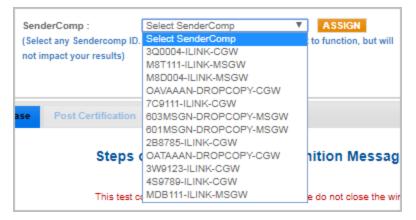
1. Click a test link.



The test case appears, listing the purpose, description, and status of the test.



2. Select a SenderComp and click ASSIGN.



Note: If there are no SenderCompIDs listed, create a SenderComp (GFID + Order Entry Session ID + Fault Tolerance Indicator) for the environment in which you are testing. A separate SenderCompID is required for New Release environment and Certification environment.

To view instructions for creating and managing SenderComp IDs see:

- Futures and Options
- BrokerTec
- EBS

Before the test is started, a different SenderCompID can be unassigned and another re-assgined.



Date/Time format should be e 1ZCH8,1ZCU9,1ZCH8-1ZCU9 - 941

- a. Select **Unassign**.
- b. Select a new SenderCompID.
- c. Click Assign.
- 3. Select a contract and click Select.
  - Contracts:

    Select Contract Channel

    Select Contract Channel

    Description

    Description

    Confirm that your application

    Contract Channel

    DZWU8,0ZWK0,0ZWU8-0ZWK0 943

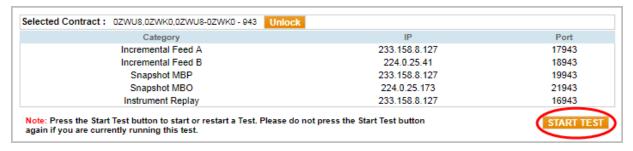
    0ZCK8,0ZCH9,0ZCK8-0ZCH9 942

    4ZWU8,4ZWK0,4ZWW0-4ZWK0 944

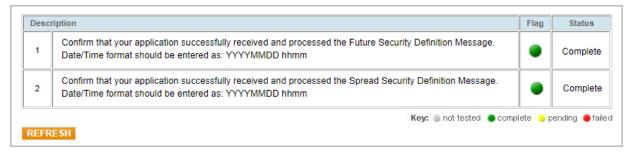
    uture Security Definition Message.

Note: Once selected, these instruments are locked and cannot be used by other users.

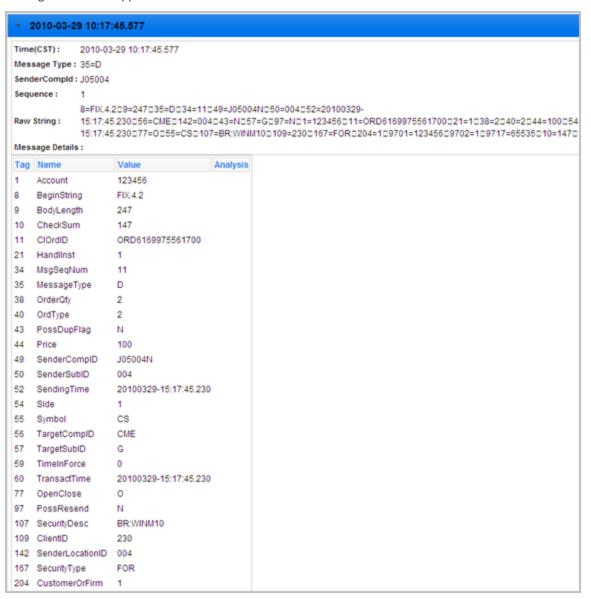
- 4. The status column indicates whether a test has not been performed (not tested), complete, pending, or failed.
  - Note: You can now perform the test in your certification environment using the session ID you were provided.
- 5. Click START TEST.
  - Note: DO NOT click START TEST once the test is in progress.



6. Next to each step in the test case, you can click the flag to view the log information for that step.



The log information appears.



Note: If a test is not proceeding to the next step, clicking the **Refresh** button (**REFRESH**) below the test steps may resolve the issue.

After a certification test has been attempted, you can retest it by clicking the **Retest** button. Choosing this option overwrites the current test results.

# **Troubleshooting Tips**

See the sections below for troubleshooting tips.

## Changing the Contract

• Selecting a different contract in the drop-down menu after a test is completed does not change the status of that test.

#### Running a Test

- For the iLink and Drop Copy test suites, unless the test specifies that you should log on, you should already be logged on and successfully heartbeating (no resend, no test requests) prior to clicking **Start Test**.
- If a test is not proceeding to the next step, clicking the **Refresh** button (**REFRESH**) below the test steps may resolve the issue

#### **Post Certification Interview**

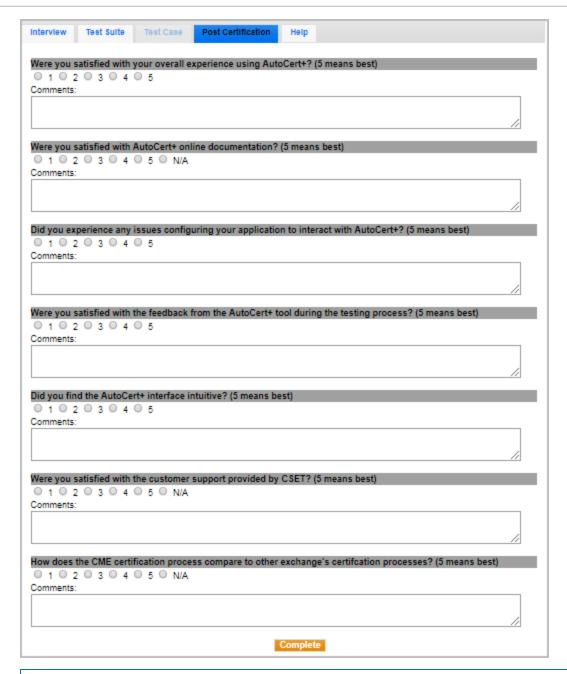
Once you have completed the AutoCert+ <u>interview</u> and <u>certification testing</u> processes, you must complete the Post Certification Interview. The Post Certification Interview allows you to provide feedback and input on your experience with the AutoCert+ environment.



### To complete the Post Certification Interview:

After the test suite and test cases have been completed, the Post Certification tab becomes available.

1. Answer the questions by selecting a value from 1 to 5, with 1 representing a very negative experience and 5 representing a very positive experience.



Note: The Post Certification entries will not be saved until all questions are answered and the **Complete** button has been clicked.

2. After answering all questions, click Complete.