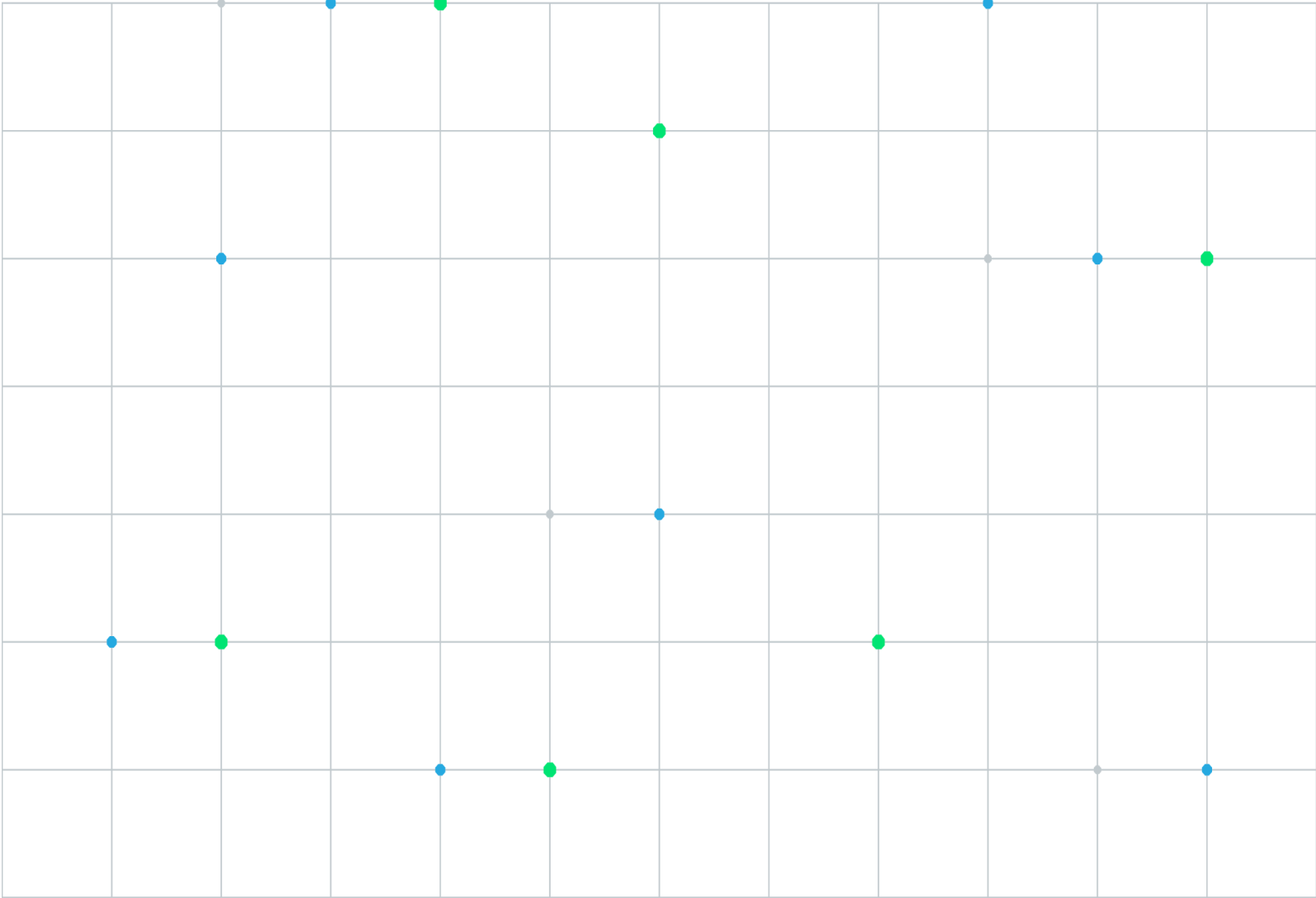


AutoCert+ CME STP FIX for BrokerTec User Manual

4 September 2025



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Getting Started

The AutoCert+ tool is an automated testing tool for validating application functionality. It provides an easy-to-use web interface for walking through CME Group certification scenarios.

To facilitate the process of connecting a customer application, CME Group provides a dedicated certification environment to allow customers to test their systems before they complete certification.

This Help system accompanies the AutoCert+ CME STP FIX for BrokerTec test suite.

► To run and navigate AutoCert+:

1. Complete the steps detailed in the [Process Summary: BrokerTec New Release Testing Setup](#).
2. [Log into](#) the automated certification tool.
3. Review general AutoCert+ test suite [navigation](#) and [general interview](#) information.
4. Navigate to the Autocert+ - BrokerTec EU/US - CME STP FIX test suite.
 - **Tester:** Automatically assigned based on the user's CME Group Login ID.
 - **Company Name:** Select the company to test
 - **Market:** BrokerTec EU/US
 - **Purpose:** CME STP
 - **Application System:** Select the client system to test. Use the refresh (🔄) button to update the list to include a recently created application.
 - **Test Suite:** CME STP FIX
 - **API ID:** Assign an ID to use for the test

Assignment of a Sendercomp ID is also required to test with a valid instrument; refer to Certification test instructions.

Current Testing Configuration

<p>Tester: Ronnie Test</p> <p>Company Name: <input type="text" value="TradeCo LLC"/></p> <p>Market: <input type="text" value="BrokerTec EU/US"/></p> <p>Purpose: <input type="text" value="CME STP"/></p> <p>Application System: <input type="text" value="BrokerTec_STP - 0.1"/> 🔄</p> <p>Test Suite: <input type="text" value="CME STP FIX"/></p> <p style="color: red; font-weight: bold; margin-top: 10px;">NOTE: This test suite utilizes the NEWRELEASE environment.</p> <p>API ID: <input type="text" value="Select API ID"/> ASSIGN</p>	<p>Type: Automated Trading System</p> <p>Category: Prop System</p> <p>1603: BrokerTec_STP</p> <p>1604: 0.1</p> <p>1605: TesterRon</p>
--	---

Test Suite Status:

Pre-Certification Interview:	❗ Not Complete
Test Suite Status:	❗ Not Tested

5. Complete the [Interview](#) for this test suite.
6. Complete applicable [test cases](#).
7. Complete the certification process from the [Post Certification](#) tab.

What's New

The list below illustrates the updates made to the AutoCert+ CME STP FIX for BrokerTec Help system.


Date	Topic	Description
4 September 2025	Certification Tests	Added CME STP FIX Recovery for BrokerTec tests to main test suite.
21 October 2022	Format	Website and PDF format changes only.
12 July 2022	Certification Tests	New test cases added: Post Trade Event
21 Jan 2022	Interview	Updated Interview screenshot with bilateral trades question
	Snapshot Request	Added "Bilateral Snapshot Request"
	Trade Capture Report Request	Added "Bilateral Trade Capture Report Request Test"
29 Jun 2020		Initial release

Interview

The interview consists of a series of questions about your application.

You must complete the pre-certification interview before running the tests.

1. Select a **API ID** from the drop-down menu and click **ASSIGN**.

A screenshot of a web form element. It consists of a label 'API ID:' followed by a dropdown menu with the text 'Select API ID' and a downward arrow. To the right of the dropdown is an orange button with the text 'ASSIGN' in white capital letters. The entire form is enclosed in a thin black rectangular border.

API ID:

2. Select the Interview tab, answer the questions, then click **Complete**.

Based on initial interview question selections additional questions may appear. Selected responses are used to determine applicable [test cases](#) and related test data.

Interview	Test Suite	Test Case	Post Certification	Help
Are you a Prime Broker?				
<input type="radio"/> Yes <input type="radio"/> No				
Does your system support multiple parties via a single Trade Capture Report Request?				
<input type="radio"/> Yes <input type="radio"/> No				
Does your system support EU Products?				
<input type="radio"/> Yes <input type="radio"/> No				
What types of Product will your system support?				
<input checked="" type="checkbox"/> US Treasuries <input checked="" type="checkbox"/> US Repo <input checked="" type="checkbox"/> EU Bonds <input checked="" type="checkbox"/> EU Repo				
Does your system support GC Repo Trades?				
<input type="radio"/> Yes <input type="radio"/> No				
How will your system be supporting multi-leg securities? Select one or more:				
<input checked="" type="checkbox"/> Spread Level (MLegRptTyp="3" / 442=3) <input checked="" type="checkbox"/> Leg Level (MLegRptTyp="2" / 442=2) <input type="checkbox"/> None				
Will your application support Snapshot (263=0) Trade Capture Report Request?				
<input checked="" type="radio"/> Yes <input type="radio"/> No				
Will your application support Snapshot + Updates (263=1) Trade Capture Report Request?				
<input checked="" type="radio"/> Yes <input type="radio"/> No				
Which type of Trade Capture Report Requests will your application support (choose 1)				
<input checked="" type="radio"/> Both Standard and Filtered Trade Capture Report Requests <input type="radio"/> Only Filtered Trade Capture Report Requests <input type="radio"/> Only Standard Trade Capture Report Requests				
If your application can support Filtered Trade Capture Report Requests, please state which filters are supported? Select one or more. Please beware: Without utilizing Last Update Time (Tag 779) on a Trade Capture Report/Subscription Request or supporting the Snapshot/Query Request, your software will be lacking any recovery method. In order to proceed, you must choose one of these solutions. If you have further questions, please contact your Global Account Manager.				
<input checked="" type="checkbox"/> Trade Input Source (578) <input checked="" type="checkbox"/> Security Exchange (207) <input checked="" type="checkbox"/> Security Type (167) <input checked="" type="checkbox"/> Security ID (48) <input checked="" type="checkbox"/> Last Update Time (779) <input checked="" type="checkbox"/> Trade Date (75) <input checked="" type="checkbox"/> Clearing Business Date (715)				
Which type of Snapshot Requests will your application support (choose 1)				
<input checked="" type="radio"/> Both Standard and Filtered Snapshot Requests <input type="radio"/> Only Filtered Snapshot Requests <input type="radio"/> Only Standard Snapshot Requests				
If your application can support Filtered Snapshot Requests, please state which filters are supported?***Start time is mandatory***Select one or more. Please beware: Without utilizing Last Update Time (Tag 779) on a Trade Capture Report/Subscription Request or supporting the Snapshot/Query Request, your software will be lacking any recovery method. In order to proceed, you must choose one of these solutions. If you have further questions, please contact your Global Account Manager.				
<input checked="" type="checkbox"/> Trade ID (1003) <input checked="" type="checkbox"/> Secondary Trade ID (1004) <input checked="" type="checkbox"/> Client Order ID (11) <input checked="" type="checkbox"/> Trade Input Source (578) <input checked="" type="checkbox"/> Security Exchange (207) <input checked="" type="checkbox"/> Security Type (167) <input checked="" type="checkbox"/> Security ID (48) <input checked="" type="checkbox"/> Clearing Business Date (715) <input checked="" type="checkbox"/> Trade Date (75) <input checked="" type="checkbox"/> End Time (9594)				
Does your system support bilateral trades?				
<input type="radio"/> Yes <input type="radio"/> No				
When Performing a recovery, which request type does your application support?				
<input type="checkbox"/> Trade Capture Report Request - Subscription request with LastUpdateTm (Tag 779) <input type="checkbox"/> Trade Capture Report Request - Snapshot with StartTime (Tag 9593)				
As part of the failover recovery process, you may receive a duplicate ticket(s). Attest that your system is able to properly recognize and handle duplicate ticket(s) received during recovery? NOTE: duplicate tickets can be identified and tracked using TrdReportID (Tag 571)				
<input type="radio"/> Acknowledge <input type="radio"/> Not Acknowledge				
Outages may span across trade dates. Customers must be able to recover messages from a previous trade date. Attest that your system is able to properly recognize and recover missed trades from a previous trade date.				
<input type="radio"/> Acknowledge <input type="radio"/> Not Acknowledge				
Complete				

Certification Tests

The AutoCert+ CME STP FIX for BrokerTec certification tests consist of the following:

- [Session Management](#)
- [Trade Capture Report Request](#)
- [Snapshot Request](#)
- [Post Trade Event](#)
- [Processing Additional Tags](#)
- [Subscription Failover Recovery Test](#)
- [Snapshot Failover Recovery Test](#)

Session Management

The purpose of this test is to verify that the client system can successfully maintain an STP FIX Session.

Available Tests

- [Session Management Test - Detect a Gap](#)
- [Session Management Test - Process a Test Request and Respond to Resend Request](#)

Session Management Test - Detect a Gap

The purpose of this test is to verify that the client system can successfully logon to an STP FIX Session and properly manage through a gap scenario.

► To run a Session Management - Detect a Gap test:

1. Select a **API ID** from the drop-down menu and click **ASSIGN** if not already selected.
2. Select an **Instrument** from the drop-down menu and click **Select** if not already selected.

The screenshot shows the 'Test Case' tab selected in the top navigation bar. Below the tabs, the title 'Steps of the test: Session Management Test - Detect a Gap' is displayed. A red warning message states: 'This test could take up to several minutes. Please do not close the window during the test. Closing window/stopping test requires to rerun the test from step1.' Below this, the 'Purpose' section explains: 'The purpose of this test is to verify that the client system can successfully logon to an STP FIX Session and properly manage through a gap scenario.' At the bottom, there is a form with a label 'Instrument(s):', a dropdown menu 'Select Instrument(s) - Channel', and a 'Select' button. The 'Select' button is highlighted with a yellow border.

3. Click **START TEST** to begin.

Note: DO NOT select the "START TEST" button once the test is in progress.

4. Submit a proper [Logon \(35=A\)](#).
 5. Receive a successful [Logon Acknowledgement \(35=A\)](#).
 6. Submit a [Trade Capture Report Request \(35=AD|263=1\)](#).
 7. Receive a responding [heartbeat](#) message and detect a gap.
 8. Submit a proper [Resend Request \(35=2\)](#).
 9. Receive a missed business message.
 10. Send a [Heartbeat Message in response \(35=0\)](#).
 11. Receive a [Heartbeat Message \(35=0\)](#).
 12. Send Proper [Heartbeat Message in response \(35=0\)](#).
- [View Session Management - Detect a Gap screen](#)

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Steps of the test: Session Management Test - Detect a Gap

This test could take up to several minutes. Please do not close the window during the test. Closing window/stopping test requires to rerun the test from step1.

Purpose
The purpose of this test is to verify that the client system can successfully login to an STP FIX Session and properly manage through a gap scenario.

Selected Instrument(s): U30-
Unlock

Note: Press the Start Test button to start or restart a Test. Please do not press the Start Test button again if you are currently running this test.
START TEST

Test Not Running

Description	Flag	Status
1 Submit a proper Logon (35=A)		Not Tested
2 Receive a successful Logon Acknowledgement (35=A)		Not Tested
3 Submit a Trade Capture Report Request (35=AD and 263=1)		Not Tested
4 Receive a responding heartbeat message and detect a gap		Not Tested
5 Submit a proper Resend Request (35=2)		Not Tested
6 Receive a missed business message		Not Tested
7 Send a Heartbeat Message in response (35=0)		Not Tested
8 Receive a Heartbeat Message (35=0)		Not Tested
9 Send Proper Heartbeat Message in response (35=0)		Not Tested

Key: not tested complete pending failed

REFRESH

Session Management Test - Process a Test Request and Respond to Resend Request

The purpose of this test is to verify that the client system can successfully process a Test Request message and properly respond to a resend request.

► To run a Session Management - Process a Test Request and Respond to Resend Request test:

1. Select a **SenderComp** from the drop-down menu and click **ASSIGN** if not already selected.
2. Select a **Contract** from the drop-down menu and click **Select** if not already selected.
3. Click **START TEST** to begin.

Note: DO NOT select the "START TEST" button once the test is in progress.

4. Submit a proper [Logon \(35=A\)](#).
5. Receive a successful [Logon Acknowledgement \(35=A\)](#).
6. Submit a [Trade Capture Report Request \(35=AD\)](#).
7. Receive a corresponding [Trade Capture Report Acknowledgment \(35=AQ\)](#).
8. Send a [Heartbeat Message \(35=0\)](#).

9. Receive a [Test Request Message \(35=1\)](#).
10. Send a [Heartbeat Message in response \(35=0\)](#).
11. Receive a [Resend Request \(35=2\)](#).
12. Submit a [Sequence Reset-Gap Fill message \(35=4\)](#).
13. Receive a [Heartbeat Message \(35=0\)](#).
14. Send Proper [Heartbeat Message \(35=0\)](#).
15. Receive a [Heartbeat Message \(35=0\)](#).

[View Process a Test Request and Respond to Resend Requests screen](#)

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[Test Suite](#)
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[Post Certification](#)
[Help](#)

Steps of the test: Session Management Test - Process a Test Request and Respond to Resend Request

This test could take up to several minutes. Please do not close the window during the test. Closing window/stopping test requires to rerun the test from step1.

Purpose
 The purpose of this test is to verify that the client system can successfully process a Test Request message and properly respond to a resend request.

Selected Instrument(s): U05-[\[Instrument Name\]](#) [Unlock](#)

Note: Press the Start Test button to start or restart a Test. Please do not press the Start Test button again if you are currently running this test.

[START TEST](#)

Test Not Running

Description	Flag	Status
1 Submit a proper Logon (35=A)		Not Tested
2 Receive a successful Logon Acknowledgement (35=A)		Not Tested
3 Submit a Trade Capture Report Request (35=AD)		Not Tested
4 Receive a corresponding Trade Capture Report Acknowledgement (35=AQ)		Not Tested
5 Send a Heartbeat Message (35=0)		Not Tested
6 Receive a Test Request Message (35=1)		Not Tested
7 Send a Heartbeat Message in response (35=0)		Not Tested
8 Receive a Resend Request (35=2)		Not Tested
9 Submit a Sequence Reset-Gap Fill message (35=4)		Not Tested
10 Receive a Heartbeat Message (35=0)		Not Tested
11 Send Proper Heartbeat Message (35=0)		Not Tested
12 Receive a Heartbeat Message (35=0)		Not Tested

Key: not tested complete pending failed

[REFRESH](#)

Trade Capture Report Request

The purpose of this test is to verify that the client system can successfully submit and maintain a CME STP FIX Trade Capture Report Request while properly processing Trade Capture Reports.

Available Tests

- [Standard Trade Capture Report Request Test](#)
- [Filtered Trade Capture Report Request Test](#)
- [Multiple Parties via Trade Capture Report Request Test](#)
- [Bilateral Trade Capture Report Request](#)

Standard Trade Capture Report Request Test

The example test procedure below is for a **Standard Trade Capture Report Request (BTUS)** and may not appear in your test suite. The tests included in the test suite will depend on the answers provided in the [Interview](#).

► To run a Standard Trade Capture Report Request test:

1. Select a **SenderComp** from the drop-down menu and click **ASSIGN** if not already selected.
2. Click **START TEST** to begin.



Note: DO NOT select the "START TEST" button once the test is in progress.

3. Submit a proper [Logon \(35=A\)](#).
4. Receive a successful [Logon Acknowledgement Logon \(35=A\)](#).
5. Submit a [Trade Capture Report Request \(35=AD and 263=1\)](#).
6. Receive a [Subscription Response \(35=AQ\)](#).
7. Receive [Trade Capture Report\(s\) \(35=AE\)](#).
8. Submit a proper [Logout Request \(35=5\)](#).
9. Receive a successful [Logout Acknowledgement \(35=5\)](#).

[View Standard Trade Capture Report test screen](#)

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Steps of the test: Standard Trade Capture Report Request (BTUS) for Globex Trades - Trading Firm

This test could take up to several minutes. Please do not close the window during the test. Closing window/stopping test requires to rerun the test from step1.

Purpose
The purpose of this test is to verify that the client system can successfully submit and maintain a Trade Capture Report Request while properly processing Trade Capture Reports.

Selected Instrument(s): U30 **Unlock**

Note: Press the Start Test button to start or restart a Test. Please do not press the Start Test button again if you are currently running this test.

START TEST

Test Not Running

Description	Flag	Status
1 Submit a proper Logon Request (35=A)		Not Tested
2 Receive a successful Logon Acknowledgement (35=A)		Not Tested
3 Submit a Trade Capture Report Request (35=AD and 263=1)		Not Tested
4 Receive a Subscription Response (35=AQ)		Not Tested
5 Receive Trade Capture Report(s) (35=AE)		Not Tested
6 Submit a proper Logout Request (35=5)		Not Tested
7 Receive a successful Logout Acknowledgement (35=5)		Not Tested

Key: not tested complete pending failed

REFRESH

Filtered Trade Capture Report Request Test

The example test procedure below is for a **Venue Filtered Trade Capture Report Request** and may not appear in your test suite. The tests included in the test suite will depend on the answers you provided in the [Interview](#).

► To run a Filtered Trade Capture Report Request test:

1. Select a **SenderComp** from the drop-down menu and select **ASSIGN** if not already selected.
2. Select a **Contract** from the drop-down menu and select **Select** if not already selected.
3. Select **START TEST** to begin.

Note: DO NOT select the "START TEST" button once the test is in progress.

4. Submit a proper [Logon \(35=A\)](#).
5. Receive a successful [Logon Acknowledgement Logon \(35=A\)](#).
6. Submit a [Filtered Trade Capture Report Request \(35=AD and 263=1\)](#).
7. Receive a [Filtered Subscription Response \(35=AQ\)](#).
8. Receive [Trade Capture Report\(s\) \(35=AE\)](#).
9. Submit a proper [Logout Request \(35=5\)](#).
10. Receive a successful [Logout Acknowledgement \(35=5\)](#).

[View Filtered Trade Capture Report test screen](#)

[Interview](#) [Test Suite](#) [Test Case](#) [Post Certification](#) [Help](#)

Steps of the test: Venue Filtered Trade Capture Report Request (BTUS) for Globex Trades - Trading Firm

This test could take up to several minutes. Please do not close the window during the test. Closing window/stopping test requires to rerun the test from step 1.

Purpose
The purpose of this test is to verify that the client system can successfully submit and maintain a Filtered Trade Capture Report Request while properly processing Trade Capture Reports.

Selected Instrument(s): U30- [Unlock](#)

Note: Press the Start Test button to start or restart a Test. Please do not press the Start Test button again if you are currently running this test. [START TEST](#)

Test Not Running

Description	Flag	Status
1 Submit a proper Logon Request (35=A)		Not Tested
2 Receive a successful Logon Acknowledgement (35=A)		Not Tested
3 Submit a Filtered Trade Capture Report Request (35=AD and 263=1)		Not Tested
4 Receive a Filtered Subscription Response (35=AQ)		Not Tested
5 Receive Trade Capture Report(s) (35=AE)		Not Tested
6 Submit a proper Logout Request (35=5)		Not Tested
7 Receive a successful Logout Acknowledgement (35=5)		Not Tested

Key: not tested complete pending failed

[REFRESH](#)

Additional Filtered Trade Capture Test screens: For test instructions, refer to the [Filtered Trade Capture Report Request](#) test.

[Exchange Filtered test screen](#)

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Steps of the test: Exchange Filtered Trade Capture Report Request (BTUS) for Globex Trades - Trading Firm

This test could take up to several minutes. Please do not close the window during the test. Closing window/stopping test requires to rerun the test from step 1.

Purpose
The purpose of this test is to verify that the client system can successfully submit and maintain a Filtered Trade Capture Report Request while properly processing Trade Capture Reports.

Selected Instrument(s): U05-
Unlock

Note: Press the Start Test button to start or restart a Test. Please do not press the Start Test button again if you are currently running this test.

START TEST

Test Not Running

Description	Flag	Status
1 Submit a proper Logon Request (35=A)		Not Tested
2 Receive a successful Logon Acknowledgement (35=A)		Not Tested
3 Submit a Filtered Trade Capture Report Request (35=AD and 263=1)		Not Tested
4 Receive a Filtered Subscription Response (35=AQ)		Not Tested
5 Receive Trade Capture Report(s) (35=AE)		Not Tested
6 Submit a proper Logout Request (35=5)		Not Tested
7 Receive a successful Logout Acknowledgement (35=5)		Not Tested

Key: not tested complete pending failed

REFRESH

Product Type Filtered test screen

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Steps of the test: Product Type Filtered Trade Capture Report Request (BTUS) for Globex Trades - Trading Firm

This test could take up to several minutes. Please do not close the window during the test. Closing window/stopping test requires to rerun the test from step1.

Purpose
The purpose of this test is to verify that the client system can successfully submit and maintain a Filtered Trade Capture Report Request while properly processing Trade Capture Reports.

Selected Instrument(s): U05- [Unlock](#)

Note: Press the Start Test button to start or restart a Test. Please do not press the Start Test button again if you are currently running this test. [START TEST](#)

Test Not Running

Description	Flag	Status
1 Submit a proper Logon Request (35=A)		Not Tested
2 Receive a successful Logon Acknowledgement (35=A)		Not Tested
3 Submit a Filtered Trade Capture Report Request (35=AD and 263=1)		Not Tested
4 Receive a Filtered Subscription Response (35=AQ)		Not Tested
5 Receive Trade Capture Report(s) (35=AE)		Not Tested
6 Submit a proper Logout Request (35=5)		Not Tested
7 Receive a successful Logout Acknowledgement (35=5)		Not Tested

Key: not tested complete pending failed

[REFRESH](#)

Product Filtered test screen

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Steps of the test: Product Filtered Trade Capture Report Request (BTUS) for Globex Trades - Trading Firm

This test could take up to several minutes. Please do not close the window during the test. Closing window/stopping test requires to rerun the test from step1.

Purpose
The purpose of this test is to verify that the client system can successfully submit and maintain a Filtered Trade Capture Report Request while properly processing Trade Capture Reports.

Selected Instrument(s): U05 **Unlock**

Note: Press the Start Test button to start or restart a Test. Please do not press the Start Test button again if you are currently running this test.

START TEST

Test Not Running

Description	Flag	Status
1 Submit a proper Logon Request (35=A)		Not Tested
2 Receive a successful Logon Acknowledgement (35=A)		Not Tested
3 Submit a Filtered Trade Capture Report Request (35=AD and 263=1)		Not Tested
4 Receive a Filtered Subscription Response (35=AQ)		Not Tested
5 Receive Trade Capture Report(s) (35=AE)		Not Tested
6 Submit a proper Logout Request (35=5)		Not Tested
7 Receive a successful Logout Acknowledgement (35=5)		Not Tested

Key: not tested complete pending failed

REFRESH

Trade Date Filtered test screen

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Steps of the test: Trade Date Filtered Trade Capture Report Request (BTUS) for Globex Trades - Trading Firm

This test could take up to several minutes. Please do not close the window during the test. Closing window/stopping test requires to rerun the test from step1.

Purpose
The purpose of this test is to verify that the client system can successfully submit and maintain a Filtered Trade Capture Report Request while properly processing Trade Capture Reports.

Selected Instrument(s): U05 **Unlock**

Note: Press the Start Test button to start or restart a Test. Please do not press the Start Test button again if you are currently running this test.

START TEST

Test Not Running

Description	Flag	Status
1 Submit a proper Logon Request (35=A)		Not Tested
2 Receive a successful Logon Acknowledgement (35=A)		Not Tested
3 Submit a Filtered Trade Capture Report Request (35=AD and 263=1)		Not Tested
4 Receive a Filtered Subscription Response (35=AQ)		Not Tested
5 Receive Trade Capture Report(s) (35=AE)		Not Tested
6 Submit a proper Logout Request (35=5)		Not Tested
7 Receive a successful Logout Acknowledgement (35=5)		Not Tested

Key: not tested complete pending failed

REFRESH

Clearing Business Date Filtered test screen

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Steps of the test: Clearing Business Date Filtered Trade Capture Report Request (BTUS) for Globex Trades - Trading Firm

This test could take up to several minutes. Please do not close the window during the test. Closing window/stopping test requires to rerun the test from step1.

Purpose
The purpose of this test is to verify that the client system can successfully submit and maintain a Filtered Trade Capture Report Request while properly processing Trade Capture Reports.

Selected Instrument(s): U05-; **Unlock**

Note: Press the Start Test button to start or restart a Test. Please do not press the Start Test button again if you are currently running this test.

START TEST

Test Not Running

Description	Flag	Status
1 Submit a proper Logon Request (35=A)		Not Tested
2 Receive a successful Logon Acknowledgement (35=A)		Not Tested
3 Submit a Filtered Trade Capture Report Request (35=AD and 263=1)		Not Tested
4 Receive a Filtered Subscription Response (35=AQ)		Not Tested
5 Receive Trade Capture Report(s) (35=AE)		Not Tested
6 Submit a proper Logout Request (35=5)		Not Tested
7 Receive a successful Logout Acknowledgement (35=5)		Not Tested

Key: not tested complete pending failed

REFRESH

Last Updated Time Filtered test screen

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Steps of the test: Last Updated Time Filtered Trade Capture Report Request (BTUS) for Globex Trades - Trading Firm

This test could take up to several minutes. Please do not close the window during the test. Closing window/stopping test requires to rerun the test from step 1.

Purpose
The purpose of this test is to verify that the client system can successfully submit and maintain a Filtered Trade Capture Report Request while properly processing Trade Capture Reports.

Selected Instrument(s): U05-
Unlock

Note: Press the Start Test button to start or restart a Test. Please do not press the Start Test button again if you are currently running this test.

START TEST

Test Not Running

Description	Flag	Status
1 Submit a proper Logon Request (35=A)		Not Tested
2 Receive a successful Logon Acknowledgement (35=A)		Not Tested
3 Submit a Filtered Trade Capture Report Request (35=AD and 263=1)		Not Tested
4 Receive a Filtered Subscription Response (35=AQ)		Not Tested
5 Receive Trade Capture Report(s) (35=AE)		Not Tested
6 Submit a proper Logout Request (35=5)		Not Tested
7 Receive a successful Logout Acknowledgement (35=5)		Not Tested

Key: not tested complete pending failed

REFRESH

Spread Type (MLegRptType=3) Filtered test screen

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Steps of the test: Spread Type(MLegRptType=3) Filtered Trade Capture Report Request (BTUS) for Multi-legged Globex Trades - Trading Firm

This test could take up to several minutes. Please do not close the window during the test. Closing window/stopping test requires to rerun the test from step1.

Purpose
The purpose of this test is to verify that the client system can successfully submit and maintain a Filtered Trade Capture Report Request while properly processing Trade Capture Reports.

Selected Instrument(s): UB02 UB02-UB02-UB02 **Unlock**

Note: Press the Start Test button to start or restart a Test. Please do not press the Start Test button again if you are currently running this test.

START TEST

Test Not Running

Description	Flag	Status
1 Submit a proper Logon Request (35=A)		Not Tested
2 Receive a successful Logon Acknowledgement (35=A)		Not Tested
3 Submit a Filtered Trade Capture Report Request (35=AD and 263=1)		Not Tested
4 Receive a Filtered Subscription Response (35=AQ)		Not Tested
5 Receive Trade Capture Report(s) (35=AE)		Not Tested
6 Submit a proper Logout Request (35=5)		Not Tested
7 Receive a successful Logout Acknowledgement (35=5)		Not Tested

Key: not tested complete pending failed

REFRESH

Spread Type(MLegRptType=2) Filtered test screen

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Steps of the test: Spread Type(MLegRptType=2) Filtered Trade Capture Report Request (BTUS) for Multi-legged Globex Trades - Trading Firm

This test could take up to several minutes. Please do not close the window during the test. Closing window/stopping test requires to rerun the test from step 1.

Purpose
The purpose of this test is to verify that the client system can successfully submit and maintain a Filtered Trade Capture Report Request while properly processing Trade Capture Reports.

Selected Instrument(s): UB02 **Unlock**

Note: Press the Start Test button to start or restart a Test. Please do not press the Start Test button again if you are currently running this test.

START TEST

Test Not Running

	Description	Flag	Status
1	Submit a proper Logon Request (35=A)		Not Tested
2	Receive a successful Logon Acknowledgement (35=A)		Not Tested
3	Submit a Filtered Trade Capture Report Request (35=AD and 263=1)		Not Tested
4	Receive a Filtered Subscription Response (35=AQ)		Not Tested
5	Receive Trade Capture Report(s) (35=AE). Verify the values for the first Trade Capture Report received		Not Tested
6	Submit a proper Logout Request (35=5)		Not Tested
7	Receive a successful Logout Acknowledgement (35=5)		Not Tested

Key: not tested complete pending failed

REFRESH

Multiple Parties via Trade Capture Report Request Test

These tests appear because you selected **Yes** for the [Interview](#) question "Does your system support multiple parties via a single Trade Capture Report Request?"

The example test procedure below is for a **Trade Capture Report Request (BTUS) for Multiple Parties** and may not appear in your test suite. The tests included in the test suite will depend on the answers you provided in the [Interview](#).

- Trade Capture Report Request (BTUS) for Globex Trades for Multiple Parties - Trading Firm
- Multiple Parties via Snapshot Request (BTUS) for Globex Trades - Trading Firm

Note:

► **To run a Multiple Parties via Trade Capture Report Request test:**

1. Select a **SenderComp** from the drop-down menu and select **ASSIGN** if not already selected.
2. Select a **Contract** from the drop-down menu and select **Select** if not already selected.
3. Select **START TEST** to begin.

Note: DO NOT select the "START TEST" button once the test is in progress.

4. Submit a proper [Logon \(35=A\)](#).
5. Receive a successful [Logon Acknowledgement Logon \(35=A\)](#).
6. Submit a [Trade Capture Report Request for more than one \(1\) entity \(35=AD and 263=1\)](#).
7. Receive a [Trade Capture Report Response \(35=AQ\)](#).
8. Receive [Trade Capture Report\(s\) for more than one \(1\) entity \(35=AE\)](#).
9. Submit a proper [Logout Request \(35=5\)](#).
10. Receive a successful [Logout Acknowledgement \(35=5\)](#).

View Trade Capture Report Request for Globex Trades for Multiple Parties test screen

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Steps of the test: Trade Capture Report Request (BTUS) for Globex Trades for Multiple Parties - Trading Firm

This test could take up to several minutes. Please do not close the window during the test. Closing window/stopping test requires to rerun the test from step 1.

Purpose
The purpose of this test is to verify that the client system can successfully submit and maintain a Trade Capture Report Request while properly processing Trade Capture Reports for multiple parties.

Selected Instrument(s): U05- **Unlock**

Note: Press the Start Test button to start or restart a Test. Please do not press the Start Test button again if you are currently running this test.

START TEST

Test Not Running

Description	Flag	Status
1 Submit a proper Logon Request (35=A)		Not Tested
2 Receive a successful Logon Acknowledgement (35=A)		Not Tested
3 Submit a Trade Capture Report Request for more than one (1) entity (35=AD and 263=1)		Not Tested
4 Receive a Trade Capture Report Response (35=AQ)		Not Tested
5 Receive Trade Capture Report(s) for more than one (1) entity (35=AE). Verify the values for the first Trade Capture Report received		Not Tested
6 Submit a proper Logout Request (35=5)		Not Tested
7 Receive a successful Logout Acknowledgement (35=5)		Not Tested

Key: not tested complete pending failed

REFRESH

Bilateral Trade Capture Report Request

The purpose of this test is to verify that the client system can successfully submit and maintain a CME STP FIX Trade Capture Report Request while properly processing Trade Capture Reports.

1. Select a **SenderComp** from the drop-down menu and select **ASSIGN** if not already selected.
2. Select a **Contract** from the drop-down menu and click **Select** if not already selected.
3. Select **START TEST** to begin.

Note: DO NOT select the "START TEST" button once the test is in progress.

► **To run a Bilateral Trade Capture Report Request test:**

1. Submit a [Logon \(35=A\)](#).
2. Receive a successful [Logon Acknowledgement \(35=A\)](#).
3. Submit a [Trade Capture Report Request \(35=AD and 263=1\)](#).
4. Receive a [Trade Capture Report Response \(35=AQ\)](#).
5. Receive [Trade Capture Report\(s\) \(35=AE\)](#) with a bilateral trade.
6. Receive [Trade Capture Report\(s\) \(35=AE\)](#) with a bilateral trade reject.
7. Submit a proper [Logout Request \(35=5\)](#).
8. Receive a successful [Logout Acknowledgement \(35=5\)](#).

[View Bilateral Trade - Trade Capture Report Request test screen](#)

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Steps of the test: Bilateral Trade - Trade Capture Report Request

This test could take up to several minutes. Please do not close the window during the test. Closing window/stopping test requires to rerun the test from step 1.

Purpose
The purpose of this test is to verify that the client system can receive and process Bilateral Trade and Bilateral Trade reject Trade Capture Reports. Successfully submit and maintain a CME STP FIX Trade Capture Report Request while properly processing Trade Capture Reports.

Selected Instrument(s): PTB
Unlock

Note: Press the Start Test button to start or restart a Test. Please do not press the Start Test button again if you are currently running this test.

START TEST
Test Not Running

Description	Flag	Status
1 Submit a proper Logon Request (35=A)		Not Tested
2 Receive a successful Logon Acknowledgement (35=A)		Not Tested
3 Submit a Trade Capture Report Request (35=AD and 263=1)		Not Tested
4 Receive a Subscription Response (35=AQ)		Not Tested
5 Receive a Trade Capture Report (35=AE) with Bilateral Trade		Not Tested
6 Receive Trade Capture Report(s) (35=AE) with Bilateral Trade Reject		Not Tested
7 Submit a proper Logout Request (35=5)		Not Tested
8 Receive a successful Logout Acknowledgement (35=5)		Not Tested

Key: not tested complete pending failed

REFRESH

Snapshot Request

The purpose of this test is to verify that the client system can successfully submit CME STP FIX API Snapshot (Query) Requests and receive CME STP FIX API Snapshot (Query) Responses.

Standard Snapshot Request

Note: The example test procedure below is for a **Standard Snapshot Request (BTUS)** and may not appear in your test suite. The tests included in the test suite will depend on the answers you provided in the [Interview](#).

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Steps of the test: Standard Snapshot Request (BTUS) for Globex Trades - Trading Firm

This test could take up to several minutes. Please do not close the window during the test. Closing window/stopping test requires to rerun the test from step 1.

Purpose
The purpose of this test is to verify that the client system can successfully submit and maintain a Snapshot Request while properly processing Trade Capture Reports.

Contracts :
Select Contract - Channel
Select

Description	Flag	Status
1 Submit a proper Logon Request (35=A)		Not Tested
2 Receive a successful Logon Acknowledgement (35=A)		Not Tested
3 Submit a Snapshot Request (35=AD and 263=0)		Not Tested
4 Receive a Snapshot Response (35=AQ)		Not Tested
5 Receive Trade Capture Report(s) (35=AE)		Not Tested
6 Receive a Snapshot Response (35=AQ)		Not Tested
7 Submit a proper Logout Request (35=5)		Not Tested
8 Receive a successful Logout Acknowledgement (35=5)		Not Tested

Key: not tested complete pending failed

REFRESH

▶ To run a Standard Snapshot Request test:

1. Select a **SenderComp** from the drop-down menu and click **ASSIGN** if not already selected.
2. Select a **Contract** from the drop-down menu and click **Select** if not already selected.
3. Click **START TEST** to begin.

Note: DO NOT select the "START TEST" button once the test is in progress.

4. Submit a proper [Logon Request \(35=A\)](#).
5. Receive a successful [Logon Acknowledgement \(35=A\)](#).
6. Submit a [Snapshot Request \(35=AD and 263=0\)](#).
7. Receive a [Snapshot Response \(35=AQ\)](#).
8. Receive [Trade Capture Report\(s\) \(35=AE\)](#).
9. Receive a [Snapshot Response \(35=AQ\)](#).

10. Submit a proper [Logout Request \(35=5\)](#).
11. Receive a successful [Logout Acknowledgement \(35=5\)](#).

View [Standard Snapshot Request \(BTUS\) for Globex Trades](#) test screen

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Steps of the test: Standard Snapshot Request (BTUS) for Globex Trades - Trading Firm

This test could take up to several minutes. Please do not close the window during the test. Closing window/stopping test requires to rerun the test from step 1.

Purpose
The purpose of this test is to verify that the client system can successfully submit and maintain a Snapshot Request while properly processing Trade Capture Reports.

Contracts :
Select Contract - Channel ▼
Select

Description	Flag	Status
1 Submit a proper Logon Request (35=A)		Not Tested
2 Receive a successful Logon Acknowledgement (35=A)		Not Tested
3 Submit a Snapshot Request (35=AD and 263=0)		Not Tested
4 Receive a Snapshot Response (35=AQ)		Not Tested
5 Receive Trade Capture Report(s) (35=AE)		Not Tested
6 Receive a Snapshot Response (35=AQ)		Not Tested
7 Submit a proper Logout Request (35=5)		Not Tested
8 Receive a successful Logout Acknowledgement (35=5)		Not Tested

Key: not tested complete pending failed

REFRESH

Filtered Snapshot Request Test

Note: The example test procedure below is for a **Venue Filtered Snapshot Request (BTUS)** and may not appear in your test suite. The tests included in the test suite will depend on the answers you provided in the Interview.

► To run a Filtered Snapshot Request test:

1. Select a **SenderComp** from the drop-down menu and click **ASSIGN** if not already selected.
2. Select a **Contract** from the drop-down menu and click **Select** if not already selected.
3. Click **START TEST** to begin.

Note: DO NOT select the "START TEST" button once the test is in progress.

4. Submit a proper [Logon Request \(35=A\)](#).
5. Receive a successful [Logon Acknowledgement \(35=A\)](#).
6. Submit a [Filtered Snapshot Request \(35=AD and 263=0\)](#).
7. Receive a [Filtered Snapshot Response \(35=AQ\)](#).
8. Receive [Trade Capture Report\(s\) \(35=AE\)](#).
9. Receive a [Snapshot Response \(35=AQ\)](#).

10. Submit a proper [Logout Request \(35=5\)](#).
11. Receive a successful [Logout Acknowledgement \(35=5\)](#).

Additional Filtered Snapshot Test screens: For test instructions, refer to the [Filtered Snapshot Report Request](#) test.
[Venue Filtered Snapshot Request](#) test screen

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Steps of the test: Venue Filtered Snapshot Request (BTUS) for Globex Trades - Trading Firm

This test could take up to several minutes. Please do not close the window during the test. Closing window/stopping test requires to rerun the test from step 1.

Purpose
The purpose of this test is to verify that the client system can successfully submit and maintain a Filtered Snapshot Request while properly processing Trade Capture Reports.

Selected Instrument(s): U0: Unlock

Note: Press the Start Test button to start or restart a Test. Please do not press the Start Test button again if you are currently running this test.
START TEST

Test Not Running

Description	Flag	Status
1 Submit a proper Logon Request (35=A)		Not Tested
2 Receive a successful Logon Acknowledgement (35=A)		Not Tested
3 Submit a Filtered Snapshot Request (35=AD and 263=0)		Not Tested
4 Receive a Filtered Snapshot Response (35=AQ)		Not Tested
5 Receive Trade Capture Report(s) (35=AE)		Not Tested
6 Receive a Snapshot Response (35=AQ)		Not Tested
7 Submit a proper Logout Request (35=5)		Not Tested
8 Receive a successful Logout Acknowledgement (35=5)		Not Tested

Key: not tested complete pending failed

REFRESH

Exchange Filtered Snapshot Request test screen

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Steps of the test: Exchange Filtered Snapshot Request (BTUS) for Globex Trades - Trading Firm

This test could take up to several minutes. Please do not close the window during the test. Closing window/stopping test requires to rerun the test from step1.

Purpose
The purpose of this test is to verify that the client system can successfully submit and maintain a Filtered Snapshot Request while properly processing Trade Capture Reports.

Selected Instrument(s): U0: 26.07.2014 10:00:00 AM
Unlock

Note: Press the Start Test button to start or restart a Test. Please do not press the Start Test button again if you are currently running this test.

START TEST

Test Not Running

	Description	Flag	Status
1	Submit a proper Logon Request (35=A)		Not Tested
2	Receive a successful Logon Acknowledgement (35=A)		Not Tested
3	Submit a Filtered Snapshot Request (35=AD and 263=0)		Not Tested
4	Receive a Filtered Snapshot Response (35=AQ)		Not Tested
5	Receive Trade Capture Report(s) (35=AE)		Not Tested
6	Receive a Snapshot Response (35=AQ)		Not Tested
7	Submit a proper Logout Request (35=5)		Not Tested
8	Receive a successful Logout Acknowledgement (35=5)		Not Tested

Key: not tested complete pending failed

REFRESH

Product Type Filtered Snapshot Request test screen

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Steps of the test: Product-Type Filtered Snapshot Request (BTUS) for Globex Trades - Trading Firm

This test could take up to several minutes. Please do not close the window during the test. Closing window/stopping test requires to rerun the test from step1.

Purpose
The purpose of this test is to verify that the client system can successfully submit and maintain a Filtered Snapshot Request while properly processing Trade Capture Reports.

Selected Instrument(s): U00000000000000000000
Unlock

Note: Press the Start Test button to start or restart a Test. Please do not press the Start Test button again if you are currently running this test.

START TEST

Test Not Running

Description	Flag	Status
1 Submit a proper Logon Request (35=A)		Not Tested
2 Receive a successful Logon Acknowledgement (35=A)		Not Tested
3 Submit a Filtered Snapshot Request (35=AD and 263=0)		Not Tested
4 Receive a Filtered Snapshot Response (35=AQ)		Not Tested
5 Receive Trade Capture Report(s) (35=AE)		Not Tested
6 Receive a Snapshot Response (35=AQ)		Not Tested
7 Submit a proper Logout Request (35=5)		Not Tested
8 Receive a successful Logout Acknowledgement (35=5)		Not Tested

Key: not tested complete pending failed

REFRESH

Product Filtered Snapshot Request test screen

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Steps of the test: Product Filtered Snapshot Request (BTUS) for Globex Trades - Trading Firm

This test could take up to several minutes. Please do not close the window during the test. Closing window/stopping test requires to rerun the test from step1.

Purpose

The purpose of this test is to verify that the client system can successfully submit and maintain a Filtered Snapshot Request while properly processing Trade Capture Reports.

Selected Instrument(s): U00000000000000000000

Unlock

Note: Press the Start Test button to start or restart a Test. Please do not press the Start Test button again if you are currently running this test.

START TEST

Test Not Running

Description	Flag	Status
1 Submit a proper Logon Request (35=A)		Not Tested
2 Receive a successful Logon Acknowledgement (35=A)		Not Tested
3 Submit a Filtered Snapshot Request (35=AD and 263=0)		Not Tested
4 Receive a Filtered Snapshot Response (35=AQ)		Not Tested
5 Receive Trade Capture Report(s) (35=AE)		Not Tested
6 Receive a Snapshot Response (35=AQ)		Not Tested
7 Submit a proper Logout Request (35=5)		Not Tested
8 Receive a successful Logout Acknowledgement (35=5)		Not Tested

REFRESH

Key:
 not tested
 complete
 pending
 failed

Trade-ID Filtered Snapshot Request test screen

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Steps of the test: Trade-ID Filtered Snapshot Request (BTUS) for Globex Trades - Trading Firm

This test could take up to several minutes. Please do not close the window during the test. Closing window/stopping test requires to rerun the test from step1.

Purpose
The purpose of this test is to verify that the client system can successfully submit and maintain a Filtered Snapshot Request while properly processing Trade Capture Reports.

Selected Instrument(s): U0: US 30YR TREASURY NOTE
Unlock

Note: Press the Start Test button to start or restart a Test. Please do not press the Start Test button again if you are currently running this test.

START TEST

Test Not Running

	Description	Flag	Status
1	Submit a proper Logon Request (35=A)		Not Tested
2	Receive a successful Logon Acknowledgement (35=A)		Not Tested
3	Submit a Filtered Snapshot Request (35=AD and 263=0)		Not Tested
4	Receive a Filtered Snapshot Response (35=AQ)		Not Tested
5	Receive Trade Capture Report(s) (35=AE)		Not Tested
6	Receive a Snapshot Response (35=AQ)		Not Tested
7	Submit a proper Logout Request (35=5)		Not Tested
8	Receive a successful Logout Acknowledgement (35=5)		Not Tested

Key: not tested complete pending failed

REFRESH

Secondary-Trade-ID Filtered Snapshot Request test screen

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Steps of the test: Secondary-Trade-ID Filtered Snapshot Request (BTUS) for Globex Trades - Trading Firm

This test could take up to several minutes. Please do not close the window during the test. Closing window/stopping test requires to rerun the test from step1.

Purpose
The purpose of this test is to verify that the client system can successfully submit and maintain a Filtered Snapshot Request while properly processing Trade Capture Reports.

Selected Instrument(s): U0: 25 07/11/2014 14:02:00
Unlock

Note: Press the Start Test button to start or restart a Test. Please do not press the Start Test button again if you are currently running this test.

START TEST

Test Not Running

Description	Flag	Status
1 Submit a proper Logon Request (35=A)		Not Tested
2 Receive a successful Logon Acknowledgement (35=A)		Not Tested
3 Submit a Filtered Snapshot Request (35=AD and 263=0)		Not Tested
4 Receive a Filtered Snapshot Response (35=AQ)		Not Tested
5 Receive Trade Capture Report(s) (35=AE)		Not Tested
6 Receive a Snapshot Response (35=AQ)		Not Tested
7 Submit a proper Logout Request (35=5)		Not Tested
8 Receive a successful Logout Acknowledgement (35=5)		Not Tested

Key: not tested complete pending failed

REFRESH

Client-Order ID Filtered Snapshot Request test screen

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Steps of the test: Client-Order-ID Filtered Snapshot Request (BTEU) for Globex Trades - Trading Firm

This test could take up to several minutes. Please do not close the window during the test. Closing window/stopping test requires to rerun the test from step1.

Purpose
The purpose of this test is to verify that the client system can successfully submit and maintain a Filtered Snapshot Request while properly processing Trade Capture Reports.

Selected Instrument(s): ITBOND 5.0 010940
Unlock

Note: Press the Start Test button to start or restart a Test. Please do not press the Start Test button again if you are currently running this test.

START TEST

Test Not Running

Description	Flag	Status
1 Submit a proper Logon Request (35=A)		Not Tested
2 Receive a successful Logon Acknowledgement (35=A)		Not Tested
3 Submit a Filtered Snapshot Request (35=AD and 263=0)		Not Tested
4 Receive a Filtered Snapshot Response (35=AQ)		Not Tested
5 Receive Trade Capture Report(s) (35=AE)		Not Tested
6 Receive a Snapshot Response (35=AQ)		Not Tested
7 Submit a proper Logout Request (35=5)		Not Tested
8 Receive a successful Logout Acknowledgement (35=5)		Not Tested

Key: not tested complete pending failed

REFRESH

Trade-Date Filtered Snapshot Request test screen

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Steps of the test: Trade-Date Filtered Snapshot Request (BTEU) for Globex Trades - Trading Firm

This test could take up to several minutes. Please do not close the window during the test. Closing window/stopping test requires to rerun the test from step 1.

Purpose
The purpose of this test is to verify that the client system can successfully submit and maintain a Filtered Snapshot Request while properly processing Trade Capture Reports.

Selected Instrument(s): ITBOND 5.0 010940
Unlock

Note: Press the Start Test button to start or restart a Test. Please do not press the Start Test button again if you are currently running this test.

START TEST

Test Not Running

Description	Flag	Status
1 Submit a proper Logon Request (35=A)		Not Tested
2 Receive a successful Logon Acknowledgement (35=A)		Not Tested
3 Submit a Filtered Snapshot Request (35=AD and 263=0)		Not Tested
4 Receive a Filtered Snapshot Response (35=AQ)		Not Tested
5 Receive Trade Capture Report(s) (35=AE)		Not Tested
6 Receive a Snapshot Response (35=AQ)		Not Tested
7 Submit a proper Logout Request (35=5)		Not Tested
8 Receive a successful Logout Acknowledgement (35=5)		Not Tested

Key: not tested complete pending failed

REFRESH

Clearing-Business-Date Filtered Snapshot Request test screen

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Steps of the test: Clearing-Business-Date Filtered Snapshot Request (BTEU) for Globex Trades - Trading Firm

This test could take up to several minutes. Please do not close the window during the test. Closing window/stopping test requires to rerun the test from step 1.

Purpose
The purpose of this test is to verify that the client system can successfully submit and maintain a Filtered Snapshot Request while properly processing Trade Capture Reports.

Selected Instrument(s): ITBOND 5.0 010940
Unlock

Note: Press the Start Test button to start or restart a Test. Please do not press the Start Test button again if you are currently running this test.

START TEST

Test Not Running

Description	Flag	Status
1 Submit a proper Logon Request (35=A)		Not Tested
2 Receive a successful Logon Acknowledgement (35=A)		Not Tested
3 Submit a Filtered Snapshot Request (35=AD and 263=0)		Not Tested
4 Receive a Filtered Snapshot Response (35=AQ)		Not Tested
5 Receive Trade Capture Report(s) (35=AE)		Not Tested
6 Receive a Snapshot Response (35=AQ)		Not Tested
7 Submit a proper Logout Request (35=5)		Not Tested
8 Receive a successful Logout Acknowledgement (35=5)		Not Tested

Key: not tested complete pending failed

REFRESH

Start-End-Time Filtered Snapshot Request test screen

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Steps of the test: Start-End-Time Filtered Snapshot Request (BTEU) for Globex Trades - Trading Firm

This test could take up to several minutes. Please do not close the window during the test. Closing window/stopping test requires to rerun the test from step 1.

Purpose
The purpose of this test is to verify that the client system can successfully submit and maintain a Filtered Snapshot Request while properly processing Trade Capture Reports.

Selected Instrument(s): ITBOND 5.0 010940
Unlock

Note: Press the Start Test button to start or restart a Test. Please do not press the Start Test button again if you are currently running this test.

START TEST

Test Not Running

Description	Flag	Status
1 Submit a proper Logon Request (35=A)		Not Tested
2 Receive a successful Logon Acknowledgement (35=A)		Not Tested
3 Submit a Filtered Snapshot Request (35=AD and 263=0)		Not Tested
4 Receive a Filtered Snapshot Response (35=AQ)		Not Tested
5 Receive Trade Capture Report(s) (35=AE)		Not Tested
6 Receive a Snapshot Response (35=AQ)		Not Tested
7 Submit a proper Logout Request (35=5)		Not Tested
8 Receive a successful Logout Acknowledgement (35=5)		Not Tested

Key: not tested complete pending failed

REFRESH

Spread Type(MLegRptType=3) Filtered Snapshot Request test screen

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Steps of the test: Spread Type(MLegRptType=3) Filtered Snapshot Request (BTUS) for Multi-legged Globex Trades - Trading Firm

This test could take up to several minutes. Please do not close the window during the test. Closing window/stopping test requires to rerun the test from step1.

Purpose
The purpose of this test is to verify that the client system can successfully submit and maintain a Filtered Snapshot Request while properly processing Trade Capture Reports.

Instrument(s):
Select Instrument(s) - Channel ▼
Select

Description	Flag	Status
1 Submit a proper Logon Request (35=A)		Not Tested
2 Receive a successful Logon Acknowledgement (35=A)		Not Tested
3 Submit a Filtered Snapshot Request (35=AD and 263=0)		Not Tested
4 Receive a Filtered Snapshot Response (35=AQ)		Not Tested
5 Receive Trade Capture Report(s) (35=AE)		Not Tested
6 Receive a Snapshot Response (35=AQ)		Not Tested
7 Submit a proper Logout Request (35=5)		Not Tested
8 Receive a successful Logout Acknowledgement (35=5)		Not Tested

Key: not tested complete pending failed

REFRESH

Spread Type(MLegRptType=2) Filtered Snapshot Request test screen

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Steps of the test: Spread Type(MLegRptType=2) Filtered Snapshot Request (BTUS) for Multi-legged Globex Trades - Trading Firm

This test could take up to several minutes. Please do not close the window during the test. Closing window/stopping test requires to rerun the test from step1.

Purpose
The purpose of this test is to verify that the client system can successfully submit and maintain a Filtered Snapshot Request while properly processing Trade Capture Reports.

Selected Instrument(s): UB02:0624-UB30:0552 **Unlock**

Note: Press the Start Test button to start or restart a Test. Please do not press the Start Test button again if you are currently running this test.

START TEST

Test Not Running

Description	Flag	Status
1 Submit a proper Logon Request (35=A)		Not Tested
2 Receive a successful Logon Acknowledgement (35=A)		Not Tested
3 Submit a Filtered Snapshot Request (35=AD and 263=0)		Not Tested
4 Receive a Filtered Snapshot Response (35=AQ)		Not Tested
5 Receive Trade Capture Report(s) (35=AE). Verify the values for the first Trade Capture Report received		Not Tested
6 Receive a Snapshot Response (35=AQ)		Not Tested
7 Submit a proper Logout Request (35=5)		Not Tested
8 Receive a successful Logout Acknowledgement (35=5)		Not Tested

REFRESH

Key: not tested complete pending failed

Not sending Spread Type(MLegRptType) Filter for Snapshot Request

The purpose of this test is to verify that the client system can successfully submit and maintain a Snapshot Request without MLegRptType filter while properly processing Trade Capture Reports.

1. Select a **SenderComp** from the drop-down menu and click **ASSIGN** if not already selected.
2. Select a **Contract** from the drop-down menu and click **Select** if not already selected.
3. Click **START TEST** to begin.

Note: DO NOT select the "START TEST" button once the test is in progress.

4. Submit a proper [Logon Request \(35=A\)](#).
5. Receive a successful [Logon Acknowledgement \(35=A\)](#).
6. Submit a [Snapshot Request \(35=AD and 263=0\)](#).
7. Receive a [Snapshot Response \(35=AQ\)](#).
8. Receive [Trade Capture Report\(s\) \(35=AE\)](#).
9. Receive a [Snapshot Response \(35=AQ\)](#).

10. Submit a proper [Logout Request \(35=5\)](#).
11. Receive a successful [Logout Acknowledgement \(35=5\)](#).

View [Not sending Spread Type\(MLegRptType\) Filter for Snapshot Request](#) test screen

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Steps of the test: Not sending Spread Type(MLegRptType) Filter for Snapshot Request (BTUS) - Trading Firm

This test could take up to several minutes. Please do not close the window during the test. Closing window/stopping test requires to rerun the test from step1.

Purpose
The purpose of this test is to verify that the client system can successfully submit and maintain a Snapshot Request without MLegRptType filter while properly processing Trade Capture Reports.

Description	Flag	Status
1 Submit a proper Logon Request (35=A)		Complete
2 Receive a successful Logon Acknowledgement (35=A)		Complete
3 Submit a Snapshot Request without MLegRptType filter (35=AD and 263=0)		Complete
4 Receive a Snapshot Response (35=AQ)		Complete
5 Receive Trade Capture Report(s) (35=AE). Verify the values for the first Trade Capture Report received		Complete
6 Receive a Snapshot Response (35=AQ)		Complete
7 Submit a proper Logout Request (35=5)		Complete
8 Receive a successful Logout Acknowledgement (35=5)		Complete

Key: not tested complete pending failed

REFRESH

Multiple Parties via Snapshot Request

Note: The example test procedure below is for a **Multiple Parties via Snapshot Request (BTUS)** and may not appear in your test suite. The tests included in the test suite will depend on the answers you provided in the Interview.

► To run a Multiple Parties via Snapshot Request test:

1. Select a **SenderComp** from the drop-down menu and click **ASSIGN** if not already selected.
2. Select a **Contract** from the drop-down menu and click **Select** if not already selected.
3. Click **START TEST** to begin.

Note: DO NOT select the "START TEST" button once the test is in progress.

4. Submit a proper [Logon Request \(35=A\)](#).
5. Receive a successful [Logon Acknowledgement \(35=A\)](#).
6. Submit a [Snapshot Request \(35=AD and 263=0\)](#).
7. Receive a [Snapshot Response \(35=AQ\)](#).
8. Receive [Trade Capture Report\(s\) \(35=AE\)](#).

9. Receive a [Snapshot Response \(35=AQ\)](#).
10. Submit a proper [Logout Request \(35=5\)](#).
11. Receive a successful [Logout Acknowledgement \(35=5\)](#).

View [Multiple Parties via Snapshot Request](#) test screen

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Steps of the test: Multiple Parties via Snapshot Request (BTUS) for Globex Trades - Trading Firm

This test could take up to several minutes. Please do not close the window during the test. Closing window/stopping test requires to rerun the test from step1.

Purpose
The purpose of this test is to verify that the client system can successfully submit and maintain a Snapshot Request while properly processing Trade Capture Reports for multiple parties.

Selected Instrument(s): U05-25.577WY6SHZ7NE
Unlock

Note: Press the Start Test button to start or restart a Test. Please do not press the Start Test button again if you are currently running this test.

START TEST

Test Not Running

Description	Flag	Status
1 Submit a proper Logon Request (35=A)		Not Tested
2 Receive a successful Logon Acknowledgement (35=A)		Not Tested
3 Submit a Snapshot Request for more than one (1) entity (35=AD and 263=0)		Not Tested
4 Receive a Snapshot Response (35=AQ)		Not Tested
5 Receive Trade Capture Report(s) for more than one (1) entity (35=AE). Verify the values for the first Trade Capture Report received		Not Tested
6 Receive a Snapshot Response (35=AQ)		Not Tested
7 Submit a proper Logout Request (35=5)		Not Tested
8 Receive a successful Logout Acknowledgement (35=5)		Not Tested

Key: not tested complete pending failed

REFRESH

Bilateral Trade - Snapshot Request

1. Select a **SenderComp** from the drop-down menu and select **ASSIGN** if not already selected.
2. Select a **Contract** from the drop-down menu and click **Select** if not already selected.
3. Select **START TEST** to begin.

Note: DO NOT select the "START TEST" button once the test is in progress.

► To run a Bilateral Snapshot Request test:

1. Submit a proper [Logon Request \(35=A\)](#).
2. Receive a [Logon Acknowledgement \(35=A\)](#).
3. Submit a [Snapshot Request \(35=AD and 263=0\)](#).

4. Receive a [Snapshot Response \(35=AQ\)](#).
5. Receive [Trade Capture Report\(s\) \(35=AE\)](#) with a bilateral trade.
6. Receive [Trade Capture Report\(s\) \(35=AE\)](#) with a bilateral trade reject.
7. Receive a [Snapshot Response \(35=AQ\)](#).
8. Submit a [Logout Request \(35=5\)](#).
9. Receive a [Logout Acknowledgement \(35=5\)](#).

[View Bilateral Trade - Snapshot Request test screen](#)

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Steps of the test: Bilateral Trade - Snapshot Request

This test could take up to several minutes. Please do not close the window during the test. Closing window/stopping test requires to rerun the test from step 1.

Purpose
The purpose of this test is to verify that the client system can receive and process Bilateral Trade and Bilateral Trade reject Trade Capture Reports. Successfully submit and maintain a CME STP FIX Snapshot Request while properly processing Trade Capture Reports.

Selected Instrument(s): PTI
Unlock

Note: Press the Start Test button to start or restart a Test. Please do not press the Start Test button again if you are currently running this test.
START TEST

Test Not Running

Description	Flag	Status
1 Submit a proper Logon Request (35=A)		Not Tested
2 Receive a successful Logon Acknowledgement (35=A)		Not Tested
3 Submit a Snapshot Request (35=AD and 263=0)		Not Tested
4 Receive a Snapshot Response (35=AQ)		Not Tested
5 Receive a Trade Capture Report (35=AE) with Bilateral Trade		Not Tested
6 Receive Trade Capture Report(s) (35=AE) with Bilateral Trade Reject		Not Tested
7 Receive a Snapshot Response (35=AQ)		Not Tested
8 Submit a proper Logout Request (35=5)		Not Tested
9 Receive a successful Logout Acknowledgement (35=5)		Not Tested

Key: not tested complete pending failed

REFRESH

Post Trade Event

The purpose of this test is to verify that the client system can successfully submit and maintain a Trade Capture / Amendment / Cancel Request while properly processing Trade Capture Reports for Post-Trade Events.

Available Tests:

- [Trade Capture Test Cases](#)
- [Trade Amendment Test Cases](#)
- [Trade Cancel Test Cases](#)

Post-Trade Events - Trade Capture Report Request Tests (US Treasuries / EU Repo)

The example test procedure below is for a **Post-Trade Events via Trade Capture Report Request (US Treasuries)** and may not appear in your test suite. Available tests depend on the answers provided in the Interview.

► **To run a Post-Trade Events via Trade Capture Report Request test:**

1. Select a **SenderComp** from the drop-down menu and select **ASSIGN** if not already selected.
2. Select a **Contract** from the drop-down menu and click **Select** if not already selected.
3. Select **START TEST** to begin.

Note: DO NOT select the "START TEST" button once the test is in progress.

4. Submit a proper [Logon \(35=A\)](#).
5. Receive a successful [Logon Acknowledgement \(35=A\)](#).
6. Submit a [Trade Capture Report Request \(35=AD and 263=1\)](#).
7. Receive a [Trade Capture Report Response \(35=AQ\)](#).
8. Receive a [Trade Capture Report \(35=AE\)](#).
9. Receive [Trade Capture Report\(s\) \(35=AE\)](#) with a Transfer Correction.
10. Receive [Trade Capture Report\(s\) \(35=AE\)](#) with a Voided Trade.
11. Submit a proper [Logout Request \(35=5\)](#).
12. Receive a successful [Logout Acknowledgement \(35=5\)](#).

View [Post Trade Events via Trade Capture Report Request](#) test screen

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Steps of the test: Post-Trade Events via Trade Capture Report Request (US Treasuries) for Globex Trades - Trading Firm

This test could take up to several minutes. Please do not close the window during the test. Closing window/stopping test requires to rerun the test from step 1.

Purpose
The purpose of this test is to verify that the client system can successfully submit and maintain a Trade Capture Report Request while properly processing Trade Capture Reports for Post-Trade Events

Selected Instrument(s): UB10_2_7/8_05/32 **Unlock**

Note: Press the Start Test button to start or restart a Test. Please do not press the Start Test button again if you are currently running this test.

START TEST

Test Not Running

Description	Flag	Status
1 Submit a proper Logon Request (35=A)		Complete
2 Receive a successful Logon Acknowledgement (35=A)		Complete
3 Submit a Trade Capture Report Request (35=AD and 263=1)		Complete
4 Receive a Trade Capture Report Response (35=AQ)		Complete
5 Receive a Trade Capture Report (35=AE)		Not Tested
6 Receive Trade Capture Report(s) (35=AE) with a Transfer Correction		Not Tested
7 Receive Trade Capture Report(s) (35=AE) with a Voided Trade		Not Tested
8 Submit a proper Logout Request (35=5)		Not Tested
9 Receive a successful Logout Acknowledgement (35=5)		Not Tested

Key: not tested complete pending failed

REFRESH

Additional Post Trade Events - Trade Capture Report tests: For the following tests refer to the instructions above ([Post-Trade Events via Trade Capture Report Request \(US Treasuries\)](#) for Globex Trades).

Post-Trade Events via Trade Capture Report Request (US Treasuries) for Globex Trades test screen

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Steps of the test: Post-Trade Events via Trade Capture Report Request (US Treasuries) for Globex Trades - Trading Firm

This test could take up to several minutes. Please do not close the window during the test. Closing window/stopping test requires to rerun the test from step1.

Purpose
The purpose of this test is to verify that the client system can successfully submit and maintain a Trade Capture Report Request while properly processing Trade Capture Reports for Post-Trade Events

Selected Instrument(s): UB10 **Unlock**

Note: Press the Start Test button to start or restart a Test. Please do not press the Start Test button again if you are currently running this test.

START TEST

Test Not Running

Description	Flag	Status
1 Submit a proper Logon Request (35=A)		Complete
2 Receive a successful Logon Acknowledgement (35=A)		Complete
3 Submit a Trade Capture Report Request (35=AD and 263=1)		Complete
4 Receive a Trade Capture Report Response (35=AQ)		Complete
5 Receive a Trade Capture Report (35=AE)		Not Tested
6 Receive Trade Capture Report(s) (35=AE) with a Transfer Correction		Not Tested
7 Receive Trade Capture Report(s) (35=AE) with a Voided Trade		Not Tested
8 Submit a proper Logout Request (35=5)		Not Tested
9 Receive a successful Logout Acknowledgement (35=5)		Not Tested

Key: not tested complete pending failed

REFRESH

Post-Trade Events via Trade Capture Report Request (US Repo) for Globex Trades test screen

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Steps of the test: Post-Trade Events via Trade Capture Report Request (US Repo) for Globex Trades - Trading Firm

This test could take up to several minutes. Please do not close the window during the test. Closing window/stopping test requires to rerun the test from step1.

Purpose
The purpose of this test is to verify that the client system can successfully submit and maintain a Trade Capture Report Request while properly processing Trade Capture Reports for Post-Trade Events

No instruments are available. Please contact CSET.

Description	Flag	Status
1 Submit a proper Logon Request (35=A)		Not Tested
2 Receive a successful Logon Acknowledgement (35=A)		Not Tested
3 Submit a Trade Capture Report Request (35=AD and 263=1)		Not Tested
4 Receive a Trade Capture Report Response (35=AQ)		Not Tested
5 Receive a Trade Capture Report (35=AE)		Not Tested
6 Receive a Trade Capture Report (35=AE) for a GC Repo		Not Tested
7 Receive a General Collateral Report (35=BA)		Not Tested
8 Submit a proper Logout Request (35=5)		Not Tested
9 Receive a successful Logout Acknowledgement (35=5)		Not Tested

Key: not tested complete pending failed

REFRESH

Post-Trade Events via Trade Capture Report Request (EU Bonds) for Globex Trades test screen

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Steps of the test: Post-Trade Events via Trade Capture Report Request (EU Bonds) for Globex Trades - Trading Firm

This test could take up to several minutes. Please do not close the window during the test. Closing window/stopping test requires to rerun the test from step1.

Purpose
The purpose of this test is to verify that the client system can successfully submit and maintain a Trade Capture Report Request while properly processing Trade Capture Reports for Post-Trade Events

Selected Instrument(s): ITB **Unlock**

Note: Press the Start Test button to start or restart a Test. Please do not press the Start Test button again if you are currently running this test.
START TEST

Test Not Running

Description	Flag	Status
1 Submit a proper Logon Request (35=A)		Not Tested
2 Receive a successful Logon Acknowledgement (35=A)		Not Tested
3 Submit a Trade Capture Report Request (35=AD and 263=1)		Not Tested
4 Receive a Trade Capture Report Response (35=AQ)		Not Tested
5 Receive a Trade Capture Report (35=AE)		Not Tested
6 Receive Trade Capture Report(s) (35=AE) with a Transfer Correction		Not Tested
7 Receive Trade Capture Report(s) (35=AE) with a Voided Trade		Not Tested
8 Submit a proper Logout Request (35=5)		Not Tested
9 Receive a successful Logout Acknowledgement (35=5)		Not Tested

REFRESH
Key: not tested complete pending failed

► **To run a Post-Trade Events via Trade Capture Report Request (EU Repo) test:**

The purpose of this test is to verify that the client system can successfully submit and maintain a Trade Capture Report Request while properly processing Trade Capture Reports for Post-Trade Events.

The example test procedure below is for a **Post-Trade Events via Trade Capture Report Request (EU Repo) for Globex Trades** and may not appear in your test suite. Available tests depend on the answers provided in the Interview.

1. Select a **SenderComp** from the drop-down menu and select **ASSIGN** if not already selected.
2. Select a **Contract** from the drop-down menu and click **Select** if not already selected.
3. Select **START TEST** to begin.

Note: DO NOT select the "START TEST" button once the test is in progress.

4. Submit a proper [Logon \(35=A\)](#).
5. Receive a successful [Logon Acknowledgement \(35=A\)](#).
6. Submit a [Trade Capture Report Request \(35=AD and 263=1\)](#).
7. Receive a [Trade Capture Report Response \(35=AQ\)](#).
8. Receive a [Trade Capture Report \(35=AE\)](#).
9. Receive [Trade Capture Report\(s\) \(35=AE\)](#) with a Transfer Correction.

10. Receive [Trade Capture Report\(s\) \(35=AE\)](#) with a Voided Trade.
11. Receive a [General Collateral Report \(35=BA\)](#).
12. Submit a proper [Logout Request \(35=5\)](#).
13. Receive a successful [Logout Acknowledgement \(35=5\)](#).

Post-Trade Events via Trade Capture Report Request (EU Repo) for Globex Trades test screen

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Steps of the test: Post-Trade Events via Trade Capture Report Request (EU Repo) for Globex Trades - Trading Firm

This test could take up to several minutes. Please do not close the window during the test. Closing window/stopping test requires to rerun the test from step 1.

Purpose
The purpose of this test is to verify that the client system can successfully submit and maintain a Trade Capture Report Request while properly processing Trade Capture Reports for Post-Trade Events

Selected Instrument(s): EG: **Unlock**

Note: Press the Start Test button to start or restart a Test. Please do not press the Start Test button again if you are currently running this test.

START TEST

Test Not Running

Description	Flag	Status
1 Submit a proper Logon Request (35=A)		Not Tested
2 Receive a successful Logon Acknowledgement (35=A)		Not Tested
3 Submit a Trade Capture Report Request (35=AD and 263=1)		Not Tested
4 Receive a Trade Capture Report Response (35=AQ)		Not Tested
5 Receive a Trade Capture Report (35=AE)		Not Tested
6 Receive Trade Capture Report(s) (35=AE) with a Transfer Correction		Not Tested
7 Receive Trade Capture Report(s) (35=AE) with a Voided Trade		Not Tested
8 Receive a Trade Capture Report (35=AE) for a GC Repo		Not Tested
9 Receive a General Collateral Report (35=BA)		Not Tested
10 Submit a proper Logout Request (35=5)		Not Tested
11 Receive a successful Logout Acknowledgement (35=5)		Not Tested

Key: not tested complete pending failed

REFRESH

Post-Trade Events - Trade Amendment Report Request Tests

The example test procedure below is for a **Post-Trade Events via Trade Capture Report Request (US Treasuries)** and may not appear in your test suite. Available tests depend on the answers provided in the Interview.

► To run a Post Trade Event - Trade Amendment US Treasuries test:

1. Select a **SenderComp** from the drop-down menu and select **ASSIGN** if not already selected.
2. Select a **Contract** from the drop-down menu and click **Select** if not already selected.
3. Select **START TEST** to begin.

Note: DO NOT select the "START TEST" button once the test is in progress.

4. Submit a proper [Logon \(35=A\)](#).
5. Receive a successful [Logon Acknowledgement \(35=A\)](#).
6. Submit a [Trade Capture Report Request \(35=AD and 263=1\)](#).
7. Receive a [Trade Capture Report Response \(35=AQ\)](#).
8. Receive a [Trade Capture Report \(35=AE\)](#).
9. Receive [Trade Capture Report\(s\) \(35=AE\)](#) with a Transfer Correction.
10. Receive [Trade Capture Report\(s\) \(35=AE\)](#) with a Voided Trade.
11. Submit a proper [Logout Request \(35=5\)](#).
12. Receive a successful [Logout Acknowledgement \(35=5\)](#).

View [Post Trade Events -Trade Amendment Report](#) test screen

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Steps of the test: Post Trade Event - Trade Amendment US Treasuries

This test could take up to several minutes. Please do not close the window during the test. Closing window/stopping test requires to rerun the test from step1.

Purpose
The purpose of this test is to verify that the client system can successfully submit and maintain a Trade Capture Report Request while properly processing Trade Capture Reports for Post-Trade Events for US Treasuries.

Selected Instrument(s): UB00_
Unlock

Note: Press the Start Test button to start or restart a Test. Please do not press the Start Test button again if you are currently running this test.
START TEST

Test Not Running

Description	Flag	Status
1 Submit a proper Logon Request (35=A)		Not Tested
2 Receive a successful Logon Acknowledgement (35=A)		Not Tested
3 Submit a Trade Capture Report Request (35=AD 263=1)		Not Tested
4 Receive a Trade Capture Report Response (35=AQ)		Not Tested
5 Receive a Trade Capture Report (35=AE 487=0 828=0) of Original Trade		Not Tested
6 Receive Trade Capture Report(s) (35=AE 487=1 828=0) with Cancel of Original Trade		Not Tested
7 Receive Trade Capture Report(s) (35=AE 487=0 828=0) with Update of Original Trade		Not Tested
8 Submit a proper Logout Request (35=5)		Not Tested
9 Receive a successful Logout Acknowledgement (35=5)		Not Tested

Key: ● not tested ● complete ● pending ● failed

REFRESH

Additional Post Trade Event - Trade Amendment Report test: For the following test refer to the instructions above ([Post-Trade Events - Trade Amendment US Treasuries](#)).

View [Trade Amendment - Cancel Clearing Model for EU Cash, Special Repo or Bilateral Repo Trades](#) test screen

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Steps of the test: Post Trade Event - Trade Amendment - Cancel Clearing Model for EU Cash, Special Repo or Bilateral Repo Trades

This test could take up to several minutes. Please do not close the window during the test. Closing window/stopping test requires to rerun the test from step1.

Purpose
The purpose of this test is to verify that the client system can successfully submit and maintain an STP FIX session while properly processing Trade Capture Reports for Post-Trade Events on EU Cash, Special Repo or Bilateral Repo Trades.

Selected Instrument(s): 45
Unlock

Note: Press the Start Test button to start or restart a Test. Please do not press the Start Test button again if you are currently running this test.
START TEST

Test Not Running

Description	Flag	Status
1 Submit a proper Logon Request (35=A)		Not Tested
2 Receive a successful Logon Acknowledgement (35=A)		Not Tested
3 Submit a Trade Capture Report Request (35=AD 263=1)		Not Tested
4 Receive a Trade Capture Report Response (35=AQ)		Not Tested
5 Receive a Trade Capture Report (35=AE 487=0 828=0) of Original Trade		Not Tested
6 Receive Trade Capture Report(s) (35=AE 487=1 828=0) with Cancellation of Original Trade		Not Tested
7 Receive Trade Capture Report(s) (35=AE 487=0 828=0) with Update to Original Trade		Not Tested
8 Submit a proper Logout Request (35=5)		Not Tested
9 Receive a successful Logout Acknowledgement (35=5)		Not Tested

Key: not tested complete pending failed

REFRESH

► To run a Post Trade Event - Trade Amendment US Treasuries test:

The example test procedure below is for a **Post-Trade Event - Trade Amendment - Offset Clearing Model for EU Cash, Special Repo, GCF or DBV Repo trade** and may not appear in your test suite. Available tests depend on the answers provided in the [Interview](#).

The purpose of this test is to verify that the client system can successfully submit and maintain an STP FIX session while properly processing Trade Capture Reports for Post Trade Events on EU Cash, Special Repo, GCF or DBV Repo Trades.

1. Select a **SenderComp** from the drop-down menu and select **ASSIGN** if not already selected.
2. Select a **Contract** from the drop-down menu and click **Select** if not already selected.
3. Select **START TEST** to begin.

Note: DO NOT select the "START TEST" button once the test is in progress.

4. Submit a proper [Logon \(35=A\)](#).
5. Receive a successful [Logon Acknowledgement \(35=A\)](#).
6. Submit a [Trade Capture Report Request \(35=AD and 263=1\)](#).
7. Receive a [Trade Capture Report Response \(35=AQ\)](#).
8. Receive a [Trade Capture Report \(35=AE|487=0|828=0\)](#) of the original trade.
9. Receive [Trade Capture Report \(35=AE|487=0|828=0\)](#) with a replacement of the original trade.

10. Receive [Trade Capture Report \(35=AE|487=0|828=3\)](#) with an offset of the original trade.
11. Receive [Trade Capture Report \(35=AE|487=0|828=0\)](#) with an onset (tag 10021) trade.
12. Submit a proper [Logout Request \(35=5\)](#).
13. Receive a successful [Logout Acknowledgement \(35=5\)](#).

View Trade Amendment - Offset Clearing Model for EU Cash, Special Repo, GCF or DBV Repo Trades screen

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Steps of the test: Post Trade Event - Trade Amendment - Offset Clearing Model for EU Cash, Special Repo, GCF or DBV Repo Trades

This test could take up to several minutes. Please do not close the window during the test. Closing window/stopping test requires to rerun the test from step1.

Purpose
The purpose of this test is to verify that the client system can successfully submit and maintain an STP FIX session while properly processing Trade Capture Reports for Post-Trade Events on EU Cash, Special Repo, GCF or DBV Repo Trades.

Selected Instrument(s): EGC
Unlock

Note: Press the Start Test button to start or restart a Test. Please do not press the Start Test button again if you are currently running this test.
START TEST

Test Not Running

Description	Flag	Status
1 Submit a proper Logon Request (35=A)		Not Tested
2 Receive a successful Logon Acknowledgement (35=A)		Not Tested
3 Submit a Trade Capture Report Request (35=AD 263=1)		Not Tested
4 Receive a Trade Capture Report Response (35=AQ)		Not Tested
5 Receive a Trade Capture Report (35=AE 487=0 828=0) of Original Trade		Not Tested
6 Receive Trade Capture Report(s) (35=AE 487=2 828=0) with Replace of Original Trade		Not Tested
7 Receive Trade Capture Report(s) (35=AE 487=0 828=3) with Offset of Original Trade		Not Tested
8 Receive Trade Capture Report(s) (35=AE 487=0 828=0) with Onset Trade		Not Tested
9 Submit a proper Logout Request (35=5)		Not Tested
10 Receive a successful Logout Acknowledgement (35=5)		Not Tested

Key: not tested complete pending failed

REFRESH

The example test procedure below is for a **Post-Trade Event - Trade Cancel - Offset and Cancel Clearing Model for EU Repo Trades with Un-Allocated Collateral** and may not appear in your test suite. Available tests depend on the answers provided in the [Interview](#).

The purpose of this test is to verify that the client system can successfully submit and maintain an STP FIX session while properly processing Trade Capture Reports for Post Trade Events on EU Repo Trades with un-allocated collateral.

1. Select a **SenderComp** from the drop-down menu and select **ASSIGN** if not already selected.
2. Select a **Contract** from the drop-down menu and click **Select** if not already selected.
3. Select **START TEST** to begin.

Note: DO NOT select the "START TEST" button once the test is in progress.

4. Submit a proper [Logon \(35=A\)](#).
5. Receive a successful [Logon Acknowledgement \(35=A\)](#).

6. Submit a [Trade Capture Report Request \(35=AD and 263=1\)](#).
7. Receive a [Trade Capture Report Response \(35=AQ\)](#).
8. Receive a [Trade Capture Report \(35=AE|487=0|828=0\)](#) of the original trade.
9. Receive [Trade Capture Report\(s\) \(35=BA|20012=1|910=0\)](#) of the original collateral.
10. Receive [Trade Capture Report\(s\) \(35=AE|487=1|828=0\)](#) with the cancel of the original trade.
11. Receive [Trade Capture Report\(s\) \(35=AE|20012=6|910=0\)](#) with an onset trade.
12. Submit a proper [Logout Request \(35=5\)](#).
13. Receive a successful [Logout Acknowledgement \(35=5\)](#).

Trade Amendment - Offset and Cancel Clearing Model for EU Repo Trades with Un-Allocated Collateral

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Steps of the test: Post Trade Event - Trade Cancel - Offset and Cancel Clearing Model for EU Repo Trades with Un-Allocated Collateral

This test could take up to several minutes. Please do not close the window during the test. Closing window/stopping test requires to rerun the test from step1.

Purpose
The purpose of this test is to verify that the client system can successfully submit and maintain an STP FIX session while properly processing Trade Capture Reports for Post-Trade Events on EU Repo Trades with Un-Allocated Collateral.

Selected Instrument(s): EGCSA.BFOP6Q5XAY7X Unlock

Note: Press the Start Test button to start or restart a Test. Please do not press the Start Test button again if you are currently running this test. START TEST

Test Not Running

Description	Flag	Status
1 Submit a proper Logon Request (35=A)		Not Tested
2 Receive a successful Logon Acknowledgement (35=A)		Not Tested
3 Submit a Trade Capture Report Request (35=AD and 263=1)		Not Tested
4 Receive a Trade Capture Report Response (35=AQ)		Not Tested
5 Receive a Trade Capture Report (35=AE 487=0 828=0) of Original Trade		Not Tested
6 Receive a Collateral Report (35=BA 20012=1 910=0) Original Collateral		Not Tested
7 Receive Trade Capture Report (35=AE 487=1 828=0) with Cancel of Original Trade		Not Tested
8 Receive a Collateral Report (35=BA 20012=6 910=0) with Cancel of Original Collateral		Not Tested
9 Submit a proper Logout Request (35=5)		Not Tested
10 Receive a successful Logout Acknowledgement (35=5)		Not Tested

Key: not tested complete pending failed

REFRESH

Post-Trade Events - Trade Cancel Tests

The example test procedure below is for a **Post Trade Event - Trade Cancel US Treasuries** and may not appear in your test suite. Available tests depend on the answers provided in the Interview.

► To run a Post Trade Event - Trade Cancel US Treasuries test:

1. Select a **SenderComp** from the drop-down menu and select **ASSIGN** if not already selected.
2. Select a **Contract** from the drop-down menu and click **Select** if not already selected.
3. Select **START TEST** to begin.

Note: DO NOT select the "START TEST" button once the test is in progress.

4. Submit a proper [Logon \(35=A\)](#).
5. Receive a successful [Logon Acknowledgement \(35=A\)](#).
6. Submit a [Trade Capture Report Request \(35=AD and 263=1\)](#).
7. Receive a [Trade Capture Report Response \(35=AQ\)](#).
8. Receive a [Trade Capture Report \(35=AE\)](#).
9. Receive [Trade Capture Report\(s\) \(35=AE\)](#) with a Transfer Correction.
10. Receive [Trade Capture Report\(s\) \(35=AE\)](#) with a Voided Trade.
11. Submit a proper [Logout Request \(35=5\)](#).
12. Receive a successful [Logout Acknowledgement \(35=5\)](#).

View [Post Trade Event - Trade Cancel US Treasuries](#) test screen

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Steps of the test: Post Trade Event - Trade Cancel US Treasuries

This test could take up to several minutes. Please do not close the window during the test. Closing window/stopping test requires to rerun the test from step 1.

Purpose
The purpose of this test is to verify that the client system can successfully submit and maintain a Trade Capture Report Request while properly processing Trade Capture Reports for Post-Trade Events for US Treasuries.

Selected Instrument(s): UB 01/01/2018 Unlock

Note: Press the Start Test button to start or restart a Test. Please do not press the Start Test button again if you are currently running this test.
START TEST

Test Not Running

Description	Flag	Status
1 Submit a proper Logon Request (35=A)		Not Tested
2 Receive a successful Logon Acknowledgement (35=A)		Not Tested
3 Submit a Trade Capture Report Request (35=AD 263=1)		Not Tested
4 Receive a Trade Capture Report Response (35=AQ)		Not Tested
5 Receive a Trade Capture Report (35=AE 487=0 828=0) of Original Trade		Not Tested
6 Receive Trade Capture Report(s) (35=AE 487=1 828=0) with Cancel of Original Trade		Not Tested
7 Submit a proper Logout Request (35=5)		Not Tested
8 Receive a successful Logout Acknowledgement (35=5)		Not Tested

Key: not tested complete pending failed

REFRESH

Additional Post Trade Events - Trade Cancel tests: For the following tests refer to the instructions above ([Post-Trade Events - Trade Cancel US Treasuries](#)).

[Trade Cancel - Offset Clearing Model for EU Cash, Special Repo, GCF or DBV Repo Trades](#)

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Steps of the test: Post Trade Event - Trade Cancel - Offset Clearing Model for EU Cash, Special Repo, GCF or DBV Repo Trades

This test could take up to several minutes. Please do not close the window during the test. Closing window/stopping test requires to rerun the test from step1.

Purpose

The purpose of this test is to verify that the client system can successfully submit and maintain an STP FIX session while properly processing Trade Capture Reports for Post-Trade Events on EU Cash, Special Repo, GCF or DBV Repo Trades.

Selected Instrument(s): EGC

Unlock

Note: Press the Start Test button to start or restart a Test. Please do not press the Start Test button again if you are currently running this test.

START TEST

Test Not Running

Description	Flag	Status
1 Submit a proper Logon Request (35=A)		Not Tested
2 Receive a successful Logon Acknowledgement (35=A)		Not Tested
3 Submit a Trade Capture Report Request (35=AD and 263=1)		Not Tested
4 Receive a Trade Capture Report Response (35=AQ)		Not Tested
5 Receive a Trade Capture Report (35=AE 487=0 828=0) of Original Trade		Not Tested
6 Receive Trade Capture Report(s) (35=AE 487=2 828=0) with Replace of Original Trade		Not Tested
7 Receive Trade Capture Report(s) (35=AE 487=0 828=3) with Offset of original trade		Not Tested
8 Submit a proper Logout Request (35=5)		Not Tested
9 Receive a successful Logout Acknowledgement (35=5)		Not Tested

REFRESH

Key: not tested complete pending failed

Trade Cancel - Cancel Clearing Model for EU Cash, Special Repo or Bilateral Repo Trades

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Steps of the test: Post Trade Event - Trade Cancel - Cancel Clearing Model for EU Cash, Special Repo or Bilateral Repo Trades

This test could take up to several minutes. Please do not close the window during the test. Closing window/stopping test requires to rerun the test from step1.

Purpose
The purpose of this test is to verify that the client system can successfully submit and maintain an STP FIX session while properly processing Trade Capture Reports for Post-Trade Events on EU Cash, Special Repo or Bilateral Repo Trades.

Selected Instrument(s): 45
Unlock

Note: Press the Start Test button to start or restart a Test. Please do not press the Start Test button again if you are currently running this test.

START TEST

Test Not Running

Description	Flag	Status
1 Submit a proper Logon Request (35=A)		Not Tested
2 Receive a successful Logon Acknowledgement (35=A)		Not Tested
3 Submit a Trade Capture Report Request (35=AD and 263=1)		Not Tested
4 Receive a Trade Capture Report Response (35=AQ)		Not Tested
5 Receive a Trade Capture Report (35=AE 487=0 828=0) of Original Trade		Not Tested
6 Receive Trade Capture Report(s) (35=AE 487=1 828=0) with Cancellation of Original Trade		Not Tested
7 Submit a proper Logout Request (35=5)		Not Tested
8 Receive a successful Logout Acknowledgement (35=5)		Not Tested

REFRESH

Keys: not tested complete pending failed

Trade Cancel - Offset and Cancel Clearing Model for EU Repo Trades with Un-Allocated Collateral

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Steps of the test: Post Trade Event - Trade Cancel - Offset and Cancel Clearing Model for EU Repo Trades with Un-Allocated Collateral

This test could take up to several minutes. Please do not close the window during the test. Closing window/stopping test requires to rerun the test from step 1.

Purpose
The purpose of this test is to verify that the client system can successfully submit and maintain an STP FIX session while properly processing Trade Capture Reports for Post-Trade Events on EU Repo Trades with Un-Allocated Collateral.

Selected Instrument(s): EGCSA.BFOP6Q5XAY7X **Unlock**

Note: Press the Start Test button to start or restart a Test. Please do not press the Start Test button again if you are currently running this test. **START TEST**

Test Not Running

Description	Flag	Status
1 Submit a proper Logon Request (35=A)		Not Tested
2 Receive a successful Logon Acknowledgement (35=A)		Not Tested
3 Submit a Trade Capture Report Request (35=AD and 263=1)		Not Tested
4 Receive a Trade Capture Report Response (35=AQ)		Not Tested
5 Receive a Trade Capture Report (35=AE 487=0 828=0) of Original Trade		Not Tested
6 Receive a Collateral Report (35=BA 20012=1 910=0) Original Collateral		Not Tested
7 Receive Trade Capture Report (35=AE 487=1 828=0) with Cancel of Original Trade		Not Tested
8 Receive a Collateral Report (35=BA 20012=6 910=0) with Cancel of Original Collateral		Not Tested
9 Submit a proper Logout Request (35=5)		Not Tested
10 Receive a successful Logout Acknowledgement (35=5)		Not Tested

Key: not tested complete pending failed

REFRESH

CSET Assisted Tests - Post Trade Event - Trade Cancel / Offset with Allocated Collateral

The example test procedure below is for a **Post Trade Event - Trade Cancel / Offset with Allocated Collateral** and may not appear in your test suite. These tests appear if the following [interview](#) responses are selected:

- 3. Does your system support EU Products? - Yes
- 4. What types of Product will your system support? - EU Bonds and/or EU Repo
- 5. Which of the following Clearers does your EU Trades utilize? - BME

► To run a Post Trade Event - Trade Cancel - Cancel Clearing Model for EU Repo with Allocated Collateral test:

The purpose of this test is to verify that the client system can successfully submit and maintain an STP FIX session while properly processing Trade Capture Reports for Post-Trade Events on EU Repo with Allocated Collateral.

1. Select a **SenderComp** from the drop-down menu and select **ASSIGN** if not already selected.
2. Select a **Contract** from the drop-down menu and click **Select** if not already selected.

CSET staff will assist with test steps beginning with the Trade Capture Report ([#7](#) of the instructions)

3. Select **START TEST** to begin.

Note: DO NOT select the "START TEST" button once the test is in progress.

4. Submit a proper [Logon \(35=A\)](#).

5. Receive a successful [Logon Acknowledgement \(35=A\)](#).
6. Submit a [Trade Capture Report Request \(35=AD and 263- SubscriptionRequest=1\)](#).
7. Receive a [Trade Capture Report Response \(35=AQ\)](#).
8. Receive a [Trade Capture Report \(35=AE | 487=0 | 828=0\)](#) of the Original Trade

Enter responses for:

- Tag 48-SecurityID
- Tag 31-LastPx
- Tag 32-LastQty

CSET Assisted test begins: Contact the [CSET](#) team via the hotline numbers listed on the test screen.

9. Receive [Collateral Report \(35=BA | 20012=1 | 910=3 | 20015=2\)](#) Original Collateral.

Enter responses for:

- Tag 1040-SecondaryTradeID
- Tag 48-Security ID

10. Receive a *Second* [Collateral Report Allocation Message \(35=BA | 20012=2 | 20015=2\)](#).

Enter responses for:

- Tag 1040-SecondaryTradeID
- Tag 20012-CollateralReportTransactionType

11. Receive a [Collateral Report Canceled Allocation Message \(35=BA | 20012=7 | 910=1002 | 20015=2\)](#).

Enter responses for:

- Tag 1040-SecondaryTradeID
- Tag 910-CollStatus

CSET manually cancels / voids the collateral report in GC Allocation UI (New Release environment)

12. Receive a *Second* [Collateral Report Canceled Allocation Message \(35=BA | 20012=7 | 910=1002 | 20015=2\)](#).

Enter responses for:

- Tag 1040-SecondaryTradeID
- Tag 910-CollStatus

13. Receive [Trade Capture Report \(35=AE | 487=1 | 828=0\)](#) with Cancel of original trade.

Enter responses for:

- Tag 1040-SecondaryTradeID
- Tag 487-TransTyp

CSET manually cancels / voids the trade Capture report (New Release environment)

14. Receive a [Collateral Report \(35=BA | 20012=6 | 910=0\)](#) with Cancel of original Collateral.

Enter responses for:

- Tag 1040-SecondaryTradeID
- Tag 20012-CollateralReportTransactionType

15. Submit a proper [Logout Request \(35=5\)](#).
16. Receive a successful [Logout Acknowledgement \(35=5\)](#).

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Steps of the test: Post Trade Event - Trade Cancel - Cancel Clearing Model for EU Repo with Allocated Collateral

This test could take up to several minutes. Please do not close the window during the test. Closing window/stopping test requires to rerun the test from step1.

Purpose
The purpose of this test is to verify that the client system can successfully submit and maintain an STP FIX session while properly processing Trade Capture Reports for Post-Trade Events on EU Repo with Allocated Collateral.

This Test Case requires the manual assistance of the CSET Team. Please contact CSET directly to schedule.
CSET Hotline:
U.S.: +1 312 930 2322.
Europe: +44 20 3379 3803.
Asia: +65 6593 5593.
CSET Email: cset@cmegroup.com

Selected Instrument(s): 45
Unlock

Note: Press the Start Test button to start or restart a Test. Please do not press the Start Test button again if you are currently running this test.

START TEST

Test Not Running

Description	Flag	Status
1	Submit a proper Logon Request (35=A)	Not Tested
2	Receive a successful Logon Acknowledgement (35=A)	Not Tested
3	Submit a Trade Capture Report Request (35=AD and 263=1)	Not Tested
4	Receive a Trade Capture Report Response (35=AQ)	Not Tested
5	Receive a Trade Capture Report (35=AE 487=0 828=0) of Original Trade	Not Tested
6	Receive a Collateral Report (35=BA 20012=1 910=0) Original Collateral	Not Tested
7	Receive a Collateral Report Allocation Message (35=BA 20012=2 910=3 20015=2)	Not Tested
8	Receive a Second Collateral Report Allocation Message (35=BA 20012=2 910=3 20015=2)	Not Tested
9	Receive a Collateral Report Cancelled Allocation Message (35=BA 20012=7 910=1002 20015=2)	Not Tested
10	Receive a Second Collateral Report Cancelled Allocation Message (35=BA 20012=7 910=1002 20015=2)	Not Tested
11	Receive Trade Capture Report (35=AE 487=1 828=0) with Cancel of original trade	Not Tested
12	Receive a Collateral Report (35=BA 20012=6 910=0) with Cancel of original Collateral	Not Tested
13	Submit a proper Logout Request (35=5)	Not Tested
14	Receive a successful Logout Acknowledgement (35=5)	Not Tested

Key: not tested complete pending failed

REFRESH

► To run a Post Trade Event - Trade Amendment - Cancel Clearing Model for EU Repo with Allocated Collateral test:

The purpose of this test is to verify that the client system can successfully submit and maintain a STP FIX session while properly processing Trade Capture Reports for Post-Trade Events on EU Repo with Allocated Collateral.

1. Select a **SenderComp** from the drop-down menu and select **ASSIGN** if not already selected.
2. Select a **Contract** from the drop-down menu and click **Select** if not already selected.
CSET staff will assist with test steps beginning with the Trade Capture Report ([#7](#) of the instructions)
3. Select **START TEST** to begin.



Note: DO NOT select the "START TEST" button once the test is in progress.

4. Submit a proper [Logon \(35=A\)](#).
5. Receive a successful [Logon Acknowledgement \(35=A\)](#).
6. Submit a [Trade Capture Report Request \(35=AD and 263- SubscriptionRequest=1\)](#).
7. Receive a [Trade Capture Report Response \(35=AQ\)](#).
8. Receive a [Trade Capture Report \(35=AE | 487=0 | 828=0\)](#) of the Original Trade

Enter responses for:

- Tag 48-SecurityID
- Tag 31-LastPx
- Tag 32-LastQty

CSET Assisted test begins: Contact the [CSET](#) team via the hotline numbers listed on the test screen.

9. Receive [Collateral Report \(35=BA | 20012=1 | 910=3 | 20015=2\)](#) Original Collateral.

Enter responses for:

- Tag 1040-SecondaryTradeID
- Tag 48-Security ID

10. Receive a *Second* [Collateral Report Allocation Message \(35=BA | 20012=2 | 20015=2\)](#).

Enter responses for:

- Tag 1040-SecondaryTradeID
- Tag 20012-CollateralReportTransactionType

11. Receive a [Collateral Report Canceled Allocation Message \(35=BA | 20012=7 | 910=1002 | 20015=2\)](#).

Enter responses for:

- Tag 1040-SecondaryTradeID
- Tag 910-CollStatus

CSET manually cancels / voids the collateral report in GC Allocation UI (New Release environment)

12. Receive a *Second* [Collateral Report Canceled Allocation Message \(35=BA | 20012=7 | 910=1002 | 20015=2\)](#).

Enter responses for:

- Tag 1040-SecondaryTradeID
- Tag 910-CollStatus

13. Receive [Trade Capture Report \(35=AE | 487=1 | 828=0\)](#) with Cancel of original trade.

Enter responses for:

- Tag 1040-SecondaryTradeID
- Tag 487-TransTyp

CSET manually cancels / voids the trade Capture report (New Release environment)

14. Receive a [Collateral Report \(35=BA|20012=6|910=0\)](#) with Cancel of original Collateral.

Enter responses for:

- Tag 1040-SecondaryTradeID
- Tag 20012-CollateralReportTransactionType

15. Submit a proper [Logout Request \(35=5\)](#).

16. Receive a successful [Logout Acknowledgement \(35=5\)](#).

[View Trade Amendment - Cancel Clearing Model for EU Repo with Allocated Collateral screen](#)

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Steps of the test: Post Trade Event - Trade Amendment - Cancel Clearing Model for EU Repo with Allocated Collateral

This test could take up to several minutes. Please do not close the window during the test. Closing window/stopping test requires to rerun the test from step1.

Purpose
The purpose of this test is to verify that the client system can successfully submit and maintain an STP FIX session while properly processing Trade Capture Reports for Post-Trade Events on EU Repo with Allocated Collateral.

This Test Case requires the manual assistance of the CSET Team. Please contact CSET directly to schedule.
CSET Hotline:
U.S.: +1 312 930 2322.
Europe: +44 20 3379 3803.
Asia: +65 6593 5593.
CSET Email: cset@cmegroup.com

Selected Instrument(s): 45
Unlock

Note: Press the Start Test button to start or restart a Test. Please do not press the Start Test button again if you are currently running this test.

START TEST

Test Not Running

Description	Flag	Status
1 Submit a proper Logon Request (35=A)		Not Tested
2 Receive a successful Logon Acknowledgement (35=A)		Not Tested
3 Submit a Trade Capture Report Request (35=AD and 263=1)		Not Tested
4 Receive a Trade Capture Report Response (35=AQ)		Not Tested
5 Receive a Trade Capture Report (35=AE 487=0 828=0) of Original Trade		Not Tested
6 Receive a Collateral Report (35=BA 20012=1 910=0) Original Collateral		Not Tested
7 Receive a Collateral Report Allocation Message (35=BA 20012=2 910=3 20015=2)		Not Tested
8 Receive a second Collateral Report Allocation Message (35=BA 20012=2 910=3 20015=2)		Not Tested
9 Receive a Collateral Report Cancelled Allocation Message (35=BA 20012=7 910=1002 20015=2)		Not Tested
10 Receive a second Collateral Report Cancelled Allocation Message (35=BA 20012=7 910=1002 20015=2)		Not Tested
11 Receive Trade Capture Report (35=AE 487=1 828=0) with Cancel of original trade		Not Tested
12 Receive a Collateral Report (35=BA 20012=6 910=0) with Cancel of original Collateral		Not Tested
13 Receive a Trade Capture Report (35=AE 487=0 828=0) of Updated Trade		Not Tested
14 Receive a Collateral Report (35=BA 20012=1 910=0) of Updated Collateral		Not Tested
15 Submit a proper Logout Request (35=5)		Not Tested
16 Receive a successful Logout Acknowledgement (35=5)		Not Tested

Key: not tested complete pending failed

REFRESH

► **To run a Post Trade Event - Trade Cancel - Offset Clearing Model for EU Repo with Allocated Collateral test:**

The purpose of this test is to verify that the client system can successfully submit and maintain a STP FIX session while properly processing Trade Capture Reports for Post-Trade Events on EU Repo with Allocated Collateral.

1. Select a **SenderComp** from the drop-down menu and select **ASSIGN** if not already selected.
2. Select a **Contract** from the drop-down menu and click **Select** if not already selected.
CSET staff will assist with test steps beginning with the Trade Capture Report ([#7](#) of the instructions)
3. Select **START TEST** to begin.

 **Note:** DO NOT select the "START TEST" button once the test is in progress.

4. Submit a proper [Logon \(35=A\)](#).
5. Receive a successful [Logon Acknowledgement \(35=A\)](#).
6. Submit a [Trade Capture Report Request \(35=AD and 263- SubscriptionRequest=1\)](#).
7. Receive a [Trade Capture Report Response \(35=AQ\)](#).
8. Receive a [Trade Capture Report \(35=AE | 487=0 | 828=0\)](#) of the Original Trade

Enter responses for:

- Tag 48-SecurityID
- Tag 31-LastPx
- Tag 32-LastQty

CSET Assisted test begins: Call the [CSET](#) team via the hotline numbers listed on the test screen.

9. Receive [Collateral Report \(35=BA | 20012=1 | 910=3 | 20015=2\)](#) Original Collateral.

Enter responses for:

- Tag 1040-SecondaryTradeID
- Tag 48-Security ID

10. Receive a *Second* [Collateral Report Allocation Message \(35=BA | 20012=2 | 20015=2\)](#).

Enter responses for:

- Tag 1040-SecondaryTradeID
- Tag 20012-CollateralReportTransactionType

11. Receive a [Collateral Report Canceled Allocation Message \(35=BA | 20012=7 | 910=1002 | 20015=2\)](#).

Enter responses for:

- Tag 1040-SecondaryTradeID
- Tag 910-CollStatus

CSET manually cancels / voids the collateral report in GC Allocation UI (New Release environment)

12. Receive a Second [Collateral Report Canceled Allocation Message \(35=BA | 20012=7 | 910=1002 | 20015=2\)](#).

Enter responses for:

- Tag 1040-SecondaryTradeID
- Tag 910-CollStatus

13. Receive [Trade Capture Report \(35=AE | 487=1 | 828=0\)](#) with Cancel of original trade.

Enter responses for:

- Tag 1040-SecondaryTradeID
- Tag 487-TransTyp

CSET manually cancels / voids the trade Capture report (New Release environment)

14. Receive a [Collateral Report \(35=BA|20012=6|910=0\)](#) with Cancel of original Collateral.

Enter responses for:

- Tag 1040-SecondaryTradeID
- Tag 20012-CollateralReportTransactionType

15. Submit a proper [Logout Request \(35=5\)](#).

16. Receive a successful [Logout Acknowledgement \(35=5\)](#).

[View Trade Cancel - Offset Clearing Model for EU Repo with Allocated Collateral screen](#)

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Steps of the test: Post Trade Event - Trade Cancel - Offset Clearing Model for EU Repo with Allocated Collateral

This test could take up to several minutes. Please do not close the window during the test. Closing window/stopping test requires to rerun the test from step1.

Purpose
 The purpose of this test is to verify that the client system can successfully submit and maintain an STP FIX session while properly processing Trade Capture Reports for Post-Trade Events on EU Repo with Allocated Collateral.

 This Test Case requires the manual assistance of the CSET Team. Please contact CSET directly to schedule.
 CSET Hotline:
 U.S.: +1 312 930 2322.
 Europe: +44 20 3379 3803.
 Asia: +65 6593 5593.
 CSET Email: cset@cmegroup.com

Selected Instrument(s): EGC **Unlock**

Note: Press the Start Test button to start or restart a Test. Please do not press the Start Test button again if you are currently running this test.

START TEST

Test Not Running

Description	Flag	Status
1 Submit a proper Logon Request (35=A)		Not Tested
2 Receive a successful Logon Acknowledgement (35=A)		Not Tested
3 Submit a Trade Capture Report Request (35=AD and 263=1)		Not Tested
4 Receive a Trade Capture Report Response (35=AQ)		Not Tested
5 Receive a Trade Capture Report (35=AE 487=0 828=0) of Original Trade		Not Tested
6 Receive a Collateral Report (35=BA 20012=1 910=0) Original Collateral		Not Tested
7 Receive a Collateral Report Allocation Message (35=BA 20012=2 910=3 20015=2)		Not Tested
8 Receive a second Collateral Report Allocation Message (35=BA 20012=2 910=3 20015=2)		Not Tested
9 Receive a Collateral Report Replace/Cancelled Allocation Message (35=BA 20012=8 910=1002 20015=2)		Not Tested
10 Receive a second Collateral Report Replace/Cancelled Allocation Message (35=BA 20012=8 910=1002 20015=2)		Not Tested
11 Receive an Offset Collateral Report Message (35=BA 20012=2 910=3 20015=2)		Not Tested
12 Receive a second Offset Collateral Report Message (35=BA 20012=2 910=3 20015=2)		Not Tested
13 Receive Trade Capture Report (35=AE 487=1 828=0) with Cancel of original trade		Not Tested
14 Receive a Collateral Report (35=BA 20012=6 910=0) with Cancel of original Collateral		Not Tested
15 Submit a proper Logout Request (35=5)		Not Tested
16 Receive a successful Logout Acknowledgement (35=5)		Not Tested

Key: not tested complete pending failed

REFRESH

► **To run a Post Trade Event - Trade Amendment - Offset Clearing Model for EU Repo with Allocated Collateral test:**

The purpose of this test is to verify that the client system can successfully submit and maintain a STP FIX session while properly processing Trade Capture Reports for Post-Trade Events on EU Repo with Allocated Collateral.

1. Select a **SenderComp** from the drop-down menu and select **ASSIGN** if not already selected.
2. Select a **Contract** from the drop-down menu and click **Select** if not already selected.
CSET staff will assist with test steps beginning with the Trade Capture Report ([#7](#) of the instructions)
3. Select **START TEST** to begin.

 **Note:** **DO NOT** select the "START TEST" button once the test is in progress.

4. Submit a proper [Logon \(35=A\)](#).
5. Receive a successful [Logon Acknowledgement \(35=A\)](#).
6. Submit a [Trade Capture Report Request \(35=AD and 263- SubscriptionRequest=1\)](#).
7. Receive a [Trade Capture Report Response \(35=AQ\)](#).
8. Receive a [Trade Capture Report \(35=AE | 487=0 | 828=0\)](#) of the Original Trade.

Enter responses for:

- Tag 48-SecurityID
- Tag 31-LastPx
- Tag 32-LastQty

CSET Assisted test begins: Call the [CSET](#) team via the hotline numbers listed on the test screen.

9. Receive [Collateral Report \(35=BA | 20012=1 | 910=3 | 20015=2\)](#) Original Collateral.

Enter responses for:

- Tag 1040-SecondaryTradeID
- Tag 48-Security ID

10. Receive a *Second* [Collateral Report Allocation Message \(35=BA | 20012=2 | 20015=2\)](#).

Enter responses for:

- Tag 1040-SecondaryTradeID
- Tag 20012-CollateralReportTransactionType

11. Receive a [Collateral Report Canceled Allocation Message \(35=BA | 20012=7 | 910=1002 | 20015=2\)](#).

Enter responses for:

- Tag 1040-SecondaryTradeID
- Tag 910-CollStatus

CSET manually cancels / voids the collateral report in GC Allocation UI (New Release environment).

12. Receive a *Second* [Collateral Report Canceled Allocation Message \(35=BA | 20012=7 | 910=1002 | 20015=2\)](#).

Enter responses for:

- Tag 1040-SecondaryTradeID
- Tag 910-CollStatus

13. Receive [Trade Capture Report \(35=AE | 487=1 | 828=0\)](#) with Cancel of Original Trade.

Enter responses for:

- Tag 1040-SecondaryTradeID
- Tag 487-TransTyp

CSET manually cancels / voids the trade Capture report (New Release environment)

14. Receive a [Collateral Report \(35=BA|20012=6|910=0\)](#) with Cancel of Original Collateral.

Enter responses for:

- Tag 1040-SecondaryTradeID
- Tag 20012-CollateralReportTransactionType

15. Submit a proper [Logout Request \(35=5\)](#).

16. Receive a successful [Logout Acknowledgement \(35=5\)](#).

[View Trade Amendment - Offset Clearing Model for EU Repo with Allocated Collateral screen](#)

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Steps of the test: Post Trade Event - Trade Amendment - Offset Clearing Model for EU Repo with Allocated Collateral

This test could take up to several minutes. Please do not close the window during the test. Closing window/stopping test requires to rerun the test from step1.

Purpose
The purpose of this test is to verify that the client system can successfully submit and maintain an STP FIX session while properly processing Trade Capture Reports for Post-Trade Events on EU Repo with Allocated Collateral.

This Test Case requires the manual assistance of the CSET Team. Please contact CSET directly to schedule.
CSET Hotline:
U.S.: +1 312 930 2322.
Europe: +44 20 3379 3803.
Asia: +65 6593 5593.
CSET Email: cset@cmegroup.com

Selected Instrument(s): EGCSA:BFOP6Q5XAY7X
Unlock

Note: Press the Start Test button to start or restart a Test. Please do not press the Start Test button again if you are currently running this test.
START TEST

Test Not Running

Description	Flag	Status
1 Submit a proper Logon Request (35=A)		Not Tested
2 Receive a successful Logon Acknowledgement (35=A)		Not Tested
3 Submit a Trade Capture Report Request (35=AD and 263=1)		Not Tested
4 Receive a Trade Capture Report Response (35=AQ)		Not Tested
5 Receive a Trade Capture Report (35=AE 487=0 828=0) of Original Trade		Not Tested
6 Receive a Collateral Report (35=BA 20012=1 910=0) Original Collateral		Not Tested
7 Receive a Collateral Report Allocation Message (35=BA 20012=2 910=3 20015=2)		Not Tested
8 Receive a second Collateral Report Allocation Message (35=BA 20012=2 910=3 20015=2)		Not Tested
9 Receive a Collateral Report Replace/Cancelled Allocation Message (35=BA 20012=8 910=1002 20015=2)		Not Tested
10 Receive a second Collateral Report Replace/Cancelled Allocation Message (35=BA 20012=8 910=1002 20015=2)		Not Tested
11 Receive an Offset Collateral Report Message (35=BA 20012=2 910=3 20015=2)		Not Tested
12 Receive a second Offset Collateral Report Message (35=BA 20012=2 910=3 20015=2)		Not Tested
13 Receive Trade Capture Report (35=AE 487=1 828=0) with Cancel of original trade		Not Tested
14 Receive a Collateral Report (35=BA 20012=6 910=0 20015=0) with Cancel of original Collateral		Not Tested
15 Receive a Trade Capture Report (35=AE 487=0 828=0) of Updated Trade		Not Tested
16 Receive a Collateral Report (35=BA 20012=1 910=0) of Updated Collateral		Not Tested
17 Submit a proper Logout Request (35=5)		Not Tested
18 Receive a successful Logout Acknowledgement (35=5)		Not Tested

Key: not tested complete pending failed

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Processing Additional Tags

The purpose of this test is to verify that the client system can receive and process messages containing tags that are not part of iLink specs (but part of FIX specs).

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Steps of the test: Processing Additional Tags

This test could take up to several minutes. Please do not close the window during the test. Closing window/stopping test requires to rerun the test from step 1.

Purpose
The purpose of this test is to verify that the client system can receive and process messages containing tags that are not part of iLink specs (but part of FIX specs)

Selected Instrument(s): U05-25:577WY6SHZ7NE
Unlock

Note: Press the Start Test button to start or restart a Test. Please do not press the Start Test button again if you are currently running this test.
START TEST

Test Not Running

Description	Flag	Status
1 Submit a proper Logon (35=A)		Not Tested
2 Receive a successful Logon Acknowledgement (35=A)		Not Tested
3 Submit a Trade Capture Report Request (35=AD and 263=1)		Not Tested
4 Receive a corresponding Trade Capture Report Acknowledgement (35=AQ)		Not Tested
5 Receive Trade Capture Report (35=AE)		Not Tested

Key: not tested complete pending failed

REFRESH

► To run a Processing Additional Tags test:

1. Select a **Instrument** from the drop-down menu and click **Select** if not already selected.
2. Click **START TEST** to begin.

Note: DO NOT select the "START TEST" button once the test is in progress.

4. Submit a proper [Logon \(35=A\)](#).
5. Receive a successful [Logon Acknowledgment \(35=A\)](#).
6. Submit a [Trade Capture Report Request \(35=AD and 263=1\)](#).
7. Receive a corresponding [Trade Capture Report Acknowledgement \(35=AQ\)](#).
8. Receive [Trade Capture Report \(35=AE\)](#).

Subscription Failover Recovery Test

The purpose of this test is to verify that the client system can recover all missed trades in case of a disconnect or outage. The test uses [LastUpdateTime \(Tag 779\)](#) to help recover missed trades.

This test is mandatory if you selected “Trade Capture Report Request subscription request with LastUpdateTm (Tag 779)” in the interview question “Which type of recovery your application support?”

► To run the test

1. On the **Test Case** tab, use the Instrument(s) drop down menu to choose an instrument and channel and click **SELECT**.

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Steps of the test: Subscription Failover Recovery Test

This test could take up to several minutes. Please do not close the window during the test. Closing window/stopping test requires to rerun the test from step1.

Purpose
The purpose of this test is to verify that the client system can recover all the missed trades in case of a disconnect or outage. The test uses LastUpdateTime (Tag 779) to help recover missed trades.

Instrument(s): Select Instrument(s) - Channel Select

2. Select **START TEST**.

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Steps of the test: Subscription Failover Recovery Test

This test could take up to several minutes. Please do not close the window during the test. Closing window/stopping test requires to rerun the test from step1.

Purpose
The purpose of this test is to verify that the client system can recover all the missed trades in case of a disconnect or outage. The test uses LastUpdateTime (Tag 779) to help recover missed trades.

Selected Instrument(s): U10-2510XN2DF6RVKT - 516 Unlock

Category	Value
AutoCert+ Target URL	https://autocertfirstptr.cmegroup.com
AutoCert+ Target IP	
AutoCert+ Target Port	

Note: Press the Start Test button to start or restart a Test. Please do not press the Start Test button again if you are currently running this test.

START TEST

Test Not Running

Description	Flag	Status
1 Submit an unfiltered TradeCaptureReport subscription request (Tag 263=1). If using tag 779, please use current time, as per the specs.		Not Tested
2 Receive Trade Capture Reports. Validate the LastUpdateTm (Tag 779) from the last trade received.		Not Tested
3 Reinitiate connection and request trades using Subscription request (Tag 263=1) and LastUpdateTm (Tag 779) that is at least one minute prior to the LastUpdateTm of the last trade received in step 2.		Not Tested
4 Receive additional new TradeCaptureReport messages along with one or more previously reported trade capture reports. Confirm receiving a duplicate TradeCaptureReport from step 2.		Not Tested

Key: ● not tested ● complete ● pending ● failed

(Test results will automatically refresh for up to 2 minutes)



Note: Do not select **START TEST** while a test is running. Restarting a test deletes the current test results.

3. Submit an unfiltered Trade Capture Report subscription request ([263=1](#)). If using [Tag 779](#), please use the current time.
4. Receive Trade Capture Report messages. Validate [LastUpdateTm \(Tag 779\)](#) from the last trade received.
5. Reinitiate the connection and request trades using a subscription request ([263=1](#)) and [LastUpdateTm \(Tag 779\)](#) that is at least one minute prior to the LastUpdateTm of the last trade received in step 4.
6. Select **Yes** or **No** to confirm that your system received additional new Trade Capture Report messages along with one or more previously sent Trade Capture Reports, including a duplicate report from step 4.

Snapshot Failover Recovery Test

The purpose of this test is to verify that you can recover all missed trades in case of a disconnect or outage using the Snapshot recovery process. The test uses [StartTime \(Tag 9593\)](#) to recover missed trades.

This test is mandatory if you selected “Trade Capture Report Request - Snapshot with StartTime (tag 9593)” in the interview question “Which type of recovery does your application support?”

► To run the test

1. On the **Test Case** tab, use the Instrument(s) drop down menu to choose an instrument and channel and click **SELECT**.

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Steps of the test: Snapshot Failover Recovery Test

This test could take up to several minutes. Please do not close the window during the test. Closing window/stopping test requires to rerun the test from step1.

Purpose
The purpose of this test is to verify that the client system can recover all the missed trades in case of a disconnect or outage using the Snapshot recovery process. The test uses StartTime (Tag 9593) to recover missed trades.

Instrument(s): Select Instrument(s) - Channel Select

2. Select **START TEST**.

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Steps of the test: Snapshot Failover Recovery Test

This test could take up to several minutes. Please do not close the window during the test. Closing window/stopping test requires to rerun the test from step1.

Purpose
The purpose of this test is to verify that the client system can recover all the missed trades in case of a disconnect or outage using the Snapshot recovery process. The test uses StartTime (Tag 9593) to recover missed trades.

Selected Instrument(s): SC02_4_3/8_07/26 - 490 Unlock

Category	Value
AutoCert+ Target URL	https://autocertfixstprn.cmegroup.com
AutoCert+ Target IP	
AutoCert+ Target Port	

Note: Press the Start Test button to start or restart a Test. Please do not press the Start Test button again if you are currently running this test.

START TEST

Test Not Running

Description	Flag	Status
1 Submit an unfiltered Trade Capture Report subscription request (Tag 263=1). If using tag 779, please use current time, as per the specs.	⦿	Not Tested
2 Receive TradeCaptureReport messages. Validate LastUpdateTm (tag 779) from the last trade received.	⦿	Not Tested
3 Reinitiate your connection and request trades using Snapshot request (Tag 263=0) and StartTime (Tag 9593) where StartTime is at least one minute prior to the LastUpdateTm (Tag 779) of the last trade received in step 2.	⦿	Not Tested
4 Receive additional new TradeCaptureReport messages along with one or more previously reported TradeCaptureReport messages. Confirm receiving a duplicate TradeCaptureReport from step 2.	⦿	Not Tested

(Test results will automatically refresh for up to 2 minutes)

Key: ⦿ not tested ● complete ● pending ● failed

Note: Do not select **START TEST** while a test is running unless instructed to do so. Restarting a test deletes the current test results.

3. Submit an unfiltered Trade Capture Report subscription request ([263=1](#)). If using [Tag 779](#), please use the current time.
4. Receive Trade Capture Report messages. Validate [LastUpdateTm \(Tag 779\)](#) from the last trade received.
5. Reinitiate the connection and request trades using a Snapshot request ([Tag 263=0](#)) and [StartTime \(Tag 9593\)](#) that is at least one minute prior to the LastUpdateTm (Tag 779) of the last trade received in step 4.
6. Select **Yes** or **No** to confirm that your system received additional new Trade Capture Report messages along with one or more previously sent Trade Capture Report messages, including a duplicate message from step 4.