Market Surveillance

DATE: **June 15, 2015**

NOTICE #: **MSN06-15-15**

SUBJECT: **Amendments to Rulebook Chapter 7 of the New York Mercantile Exchange, Inc.**

Effective Sunday, June 28, 2015 for trade date Monday, June 29, 2015 and pending all relevant CFTC regulatory review periods, the New York Mercantile Exchange, Inc. (NYMEX or Exchange) will amend Chapter 7 of the NYMEX Rulebook ("Delivery Facilities and Procedures") (please see Appendix A to NYMEX Submission #15-231 dated June 12, 2015) in connection with the listing of the physically-delivered Zinc futures contract (please see SER-7393 dated June 11, 2015).

In addition, the Exchange will be implementing the following changes effective June 29, 2015:

- Load-out rules for aluminum shall be amended in order to incorporate the load out of zinc and implement a requirement that load out of aluminum and/or zinc represented by cancelled COMEX warrants be given preference over load out of metal that is not represented by COMEX cancelled warrants at Exchange-approved warehouses.
- Warehouses and depositories shall be required to apply for renewal of approved status every two (2) years.
- Warehouses and depositories wishing to decrease their approved capacity shall file a written request for such decrease with the Exchange.
- In addition to the existing requirements of depositories and warehouses to implement controls should a facility or any of its affiliates engage in trading activity, the warehouses and depositories shall be required to, on an annual basis, have conducted by an independent auditor acceptable to the Exchange, an audit of the controls and firewalls in place between the warehouse or depository and any affiliated entity that engages in trading activity. Such audit report shall be filed with the Exchange annually.
- Implementation of a 90-day deadline from the firm's year end within which a firm is required to provide the Exchange with their audited financial statements.
- Other additional administrative, non-substantive, changes.

Please refer questions on this subject to:

Market Regulation

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