



Special Executive Report

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Launch of Self-Match Functionality within Clearing Firm Regulatory Portal

Market Regulation is pleased to announce that **effective Tuesday, November 10, 2015**, new functionality within the Firm Regulatory Portal will be available which will provide CME, CBOT, NYMEX and COMEX Clearing Member Firms (“Clearing Members”) with the ability to monitor self-match activity of participants that clear through their firms.

Firm Regulatory Portal

Beginning November 10, Clearing Members will be able to access the **Self-Match Summary Report** within the Firm Regulatory Portal. Clearing Members will have the ability to generate daily and monthly reports to review self-match summaries of participants that clear through their firm.

The Firm Regulatory Portal can be accessed by using a registered SMART Click ID. To register for a SMART Click ID, complete the [SMART Click Registration Form](#).

To request Firm Regulatory Portal access, the Chief Compliance Officer (or his or her designee(s)) of the Clearing Member should send an email to MarketRegFRP@cmegroup.com and provide a list of registered users and their SMART Click ID.

Additionally, all future requests for access to Market Regulation’s other reports available in the Firm Regulatory Portal, including Position (Large Trader), OCR and CTI Code Edit Reports, should be sent to the above-referenced email address.

Self-Match Summary Report

The purpose of this report is to provide Clearing Members with the ability to monitor self-match transactions of participants that clear through their firm on a T+1 basis in order to enable firms to proactively address issues and prevent recurring problems that may lead to rule violations.

Clearing Members will be able to generate summary reports that provide statistical information regarding self-matches across the entire Clearing Member or broken out by trading member firms or execution firms. Furthermore, Clearing Members will be able to generate reports that identify self-matches between the same account and/or between the same Tag 50. Lastly, Clearing Members will be able to perform customized queries to select specific Tag 50 IDs, accounts, execution firms or other various factors by which to generate self-match summaries. Clearing Members will also be able to access the trade detail associated with the aforementioned summaries.

Detailed instructions concerning navigating and generating the Self-Match Summary Report are included in a manual that can be accessed under the “Help” menu once logged in to the Firm Regulatory Portal.

Questions regarding the Self-Match Summary Report may be directed to the following individuals in the Market Regulation Department:

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