



Special Executive Report

S-7411

July 13, 2015

Amendment to CME/CBOT/NYMEX/COMEX Rule 512 (“Reporting Infractions”)

Pending all relevant regulatory review periods, effective Thursday, July 27, 2015, CME, CBOT, NYMEX and COMEX (collectively, the “Exchanges”) will adopt an amendment to Rule 512 (“Reporting Infractions”). The amendment eliminates a reference to Rule 418 (“Consent to Exchange Jurisdiction”) and clarifies that the Chief Regulatory Officer or his designee will have authority to impose summary fines on any party who has consented to the jurisdiction of the Exchange via any Rule or agreement, inclusive of Persons operating regular facilities pursuant to Rule 703 (“Approved Warehouses”).

The amendment to Rule 512 appears below, with the deletion overstruck.

CME, CBOT, NYMEX and COMEX

512. REPORTING INFRACTIONS

[Section A is unchanged.]

512.B Sanctions

1. Except as otherwise provided in Rule 536, the Chief Regulatory Officer or his designee shall have the authority to impose summary fines on parties who have consented to the jurisdiction of the Exchange ~~pursuant to Rule 418.~~

Summary fines shall not be less than \$1,000 per offense and shall not exceed \$5,000 per offense for individuals or

\$10,000 per offense for firms for the inaccurate, incomplete or untimely submission of data, records or information required to be submitted to the Exchange or the Clearing House.

[The remainder of the Rule is unchanged.]

Questions concerning these revisions may be directed to Chris Reinhardt, Senior Director of Market Surveillance at 312.435.3665 or Ryne Toscano, Director of Market Surveillance at 212.299.2879.

For media inquiries concerning this Special Executive Report, please contact CME Group Corporate Communications at 312.930.3434 or news@cmegroup.com.