

 **CME Group** | Advisory Notice

13-212

TO: CME Clearing Members
FROM: CME Clearing
SUBJECT: Swap Counterparty Legal Entity Identifier
DATE: May 7, 2013

Commodity Futures Trading Commission (“Commission” or “CFTC”) Regulation 45.6 requires that each counterparty to a swap must be identified in all recordkeeping and swap data reporting by means of a single Legal Entity Identifier or “LEI”. The CFTC has designated the “CFTC Interim Compliant Identifier” or “CICI” available at <http://www.ciciutility.org> as the current required identifier system for swap transactions. **[Please note that this requirement does not apply to futures, including block futures, transactions. In addition, this requirement does not at this time apply to natural persons and non-US persons.]**

There are two methods by which CME Clearing Members may include an LEI/CICI on swaps submitted for clearing at CME Clearing.

- (1) Trade-by-trade submission: CME’s ClearPort API supports the submission of the LEI/CICI which may be supplied to CME on a cleared swap trade-by-trade basis. For assistance on properly populating this field, please contact CME Client Services (contact details below).
- (2) Registration of CICIs: A market participant may contact the CME OTC Registration Team (contact details below) to register its LEI/CICI with CME Clearing. This value will be automatically included in every subsequent and past trade submitted for clearing by that particular market participant.

CME Clearing Members are reminded that the compliance date for use of the appropriate LEI/CICI has passed and that all swap counterparty data submitted to CME Clearing must contain an appropriate LEI/CICI at the time of submission.

CME Clearing Members and swap market participants with questions may contact either:

CME OTC Registration Team
1.212.299.2670
US Toll Free – 1.800.438.8616
London Toll Free – 0800.898013
OTCRegistration@cmegroup.com

CME Client Services Team
1.312.338.7112
onboarding@cmegroup.com