

Advisory Notice

Clearing House

TO: Back Office Managers/BPS Users
FROM: CME Clearing
ADVISORY #: 10-05
SUBJECT: NYMEX/COMEX Distribution of 1099 Statements
DATE: January 6, 2010

On Tuesday, January 19, 2010 the CME will distribute 1099 Statements to all member firms. Firms have four options listed below:

- 1) Pick up 1099 statements and firm copies at the Document Processing Center (DPC)
- 2) Pick up firm copies at the DPC and elect the DPC to mail the 1099 statements directly to each broker
- 3) Elect the DPC to mail firm copies to the firm's back office and mail the 1099 statements to each broker
- 4) Elect DPC to mail firm copies and 1099 statements to the firm's back office

Please see the below for a more detailed description. If your firm does not have representation in Chicago the only two viable options are 3 and 4.

PICKING YOUR 2009 STATEMENTS UP:

The statements will be available for pickup at **The DPC Customer Service Window located on the 2ND floor South Tower of the CME Center at 20 S Wacker, Chicago IL, 60606** between the hours of **9:00 a.m. and 4:00 p.m.**

For security purposes we ask that each firm's Back Office Manager obtain an Officers signature to pre-register the individual who will be picking up the 1099 Statements. The attached form should be completed and faxed to the CME Clearing House by January 18, 2010. Additionally, we are requiring that individuals picking up 1099 Statements display their CME Group ID cards.

MAILING YOUR 2009 1099 STATEMENTS:

Please be aware that the creation of the 2009 1099 statements will be in a format which will require special envelopes. Firms have a choice to either distribute the 1099's to the brokers or have CME Group Document Processing Center complete this for a fee. The cost of this service is \$0.23 per envelope plus postage, subject to a minimum charge of \$5.00 or you can purchase the envelopes 24 @ \$4.00. If firms elect DPC to mail the statements, firm copies can be mailed to the firm's clearing house or available for pick up.

Please e-mail betty.hanning@cmegroup.com or ronald.burton@cmegroup.com for either of the services offered and they will forward a service request form for you to complete. Arrangements for these services must be made by January 29, 2010. Questions can be directed to Betty Hanning at 312-930-3450 or Ron Burton at 312-930-3451.

PLEASE PRE-REGISTER THOSE INDIVIDUALS AUTHORIZED TO PICK UP 1099's.

Note: Individuals not pre-registered will not be given 1099 reports

The deadline for retrieving these reports is Thursday January 28, 2010

If you have any questions concerning 1099 processing, please contact the CME Clearing House at (312) 207- 2525 or email to ccs@cmegroup.com. Thank you.

1099 STATEMENT PICKUP REGISTRATION
2009 TAX YEAR

Please print the following:

FIRM NAME _____ FIRM NUMBER _____

OFFICER'S NAME _____

OFFICER'S PHONE NUMBER _____

OFFICER'S SIGNATURE _____

We will send one of the following representatives with their valid CME Group ID card to pick-up our 1099 Statements from the CME Group on Tuesday, January 20, 2009:

REPRESENTATIVE'S NAME: _____
(Please Print)

ALTERNATE'S NAME: _____
(Please Print)

Please fax this form to:

CME Group Clearing House
Fax: (312) 930-3187