

Member Update

May 2013 Volume 5

SCHEDULE ANNOUNCEMENTS	A
ACTIONS/RULE CHANGES	
ALL MEMBERS	B
CME MEMBERS	C
CBOT MEMBERS	D
NYMEX AND COMEX MEMBERS	E
MARKET REGULATION ADVISORY NOTICES	
ALL MEMBERS	F
CME MEMBERS	G
CBOT MEMBERS	H
NYMEX AND COMEX MEMBERS	I
CME MEMBER TRADE PROCEDURES	J
PERFORMANCE BOND REQUIREMENTS	K
NEWS RELEASES	L
DISCIPLINARY ACTIONS	
CME MEMBERS	M
CBOT MEMBERS	N
NYMEX AND COMEX MEMBERS	O
MEMBERSHIP SALES/TRANSFER INFORMATION	
CME MEMBERSHIPS	P
CBOT MEMBERSHIPS	Q

Section A:

Schedule Announcements

For the CME Group 2013 holiday schedules, including Memorial Day, please click below on the home page for the CME Group Holiday Calendar:

<http://www.cmegroup.com/tools-information/holiday-calendar/index.html>

For further information, contact the CME Global Command Center:

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Section B:

Actions/Rule Changes

All Member Actions

- 1 Revisions to CME, CBOT, NYMEX and COMEX Position Limit, Position Accountability and Reportable Level Tables

I. REVISIONS TO CME, CBOT, NYMEX AND COMEX POSITION LIMIT, POSITION ACCOUNTABILITY AND REPORTABLE LEVEL TABLES

Effective immediately, CME, CBOT, NYMEX and COMEX will adopt revisions to their respective Position Limit, Position Accountability and Reportable Level Tables ("Tables") located in the Interpretations & Special Notices Section of Chapter 5 in each Rulebook.

The revisions are being adopted to ensure that the Tables are in compliance with CFTC Designated Contract Market ("DCM") Core Principle 7 ("Availability of General Information"), which requires that DCMs make available to the public accurate information concerning the contract market's rules and regulations, contracts and operations. Correspondingly, the goal of the revisions is to provide more clarity regarding position limits, accountability levels and reportable levels and to ensure the accuracy of all listed values (e.g, spot-month limits, aggregation, diminishing balances, etc.).

The changes to the Tables are primarily stylistic in nature (e.g., format, extra columns, new tabs, product groupings, etc.). In addition, the new Tables, which were formally set forth in their entirety in each Rulebook will only be available in Excel format via a hyperlink in the Interpretations & Special Notices Section of Chapter 5 in each Rulebook. The shift from .pdf to Excel format was based on accuracy, maintainability and customer demand. Only cells/columns that are applicable to a specific product are populated.

The more substantive revisions include the following:

- Diminishing Balance Contracts have been designated where appropriate
- Aggregation language and relationships have been updated where appropriate
- Option accountability levels have been harmonized to match to futures levels
- Incorporated previous header notes into table (e.g. spot month limit effective date, futures equivalent ratios, etc.)
- Added the following comprehensive information on the CME/CBOT side: specific commodity codes, hyperlinks to Rulebook chapters, contract sizes/units, settlement information (e.g., financially settled, physically delivered, etc.), position limit applicability pertaining to shipping certificates and warehouse receipts (i.e., maximum number of certificates or receipts that can be held), product aggregation language and intra crop year spread allowance language
- Harmonized or corrected various reportable levels
- Clarified in the column headings that position limits are calculated on a net futures equivalent basis, as opposed to a gross basis
- Corrected administrative errors

To view the changes in their entirety, please reference Submission 13-066 located in the CFTC Rule Filings repository at the following link:

<http://www.cmegroup.com/market-regulation/rule-filings.html>

To view the Tables in their new format, please click on the following links to Chapter 5 in each Rulebook and scroll to the excel Table hyperlinks at the end of each chapter:

<http://www.cmegroup.com/rulebook/CME/I/5/5.pdf> (CME)

<http://www.cmegroup.com/rulebook/CBOT/I/5/5.pdf> (CBOT)

<http://www.cmegroup.com/rulebook/NYMEX/1/5.pdf> (NYMEX/COMEX)

If you have any questions concerning this matter, please contact the following individuals in Market Surveillance:

CME/CBOT Products

Ray Menghi, Lead Analyst, 312.341.7035
Bill Kokontis, Sr. Director, 312.435.3665
Warren Russell, Sr. Analyst, at 212.299.2896

NYMEX/COMEX Products

Chris Reinhardt, Director, 212.299.2882
Tom Dixon, Lead Analyst, 212.299.2901

Section C:
Actions/Rule Changes

CME Members

- 1 Revisions to CME Cleared OTC FX Product Chapters in Connection with the CME Rulebook Harmonization Project
- 2 Restricted Contract Markets for CME Rule 515 (“Registration and Identification of Broker Associations”)

I. REVISIONS TO CME CLEARED OTC FX PRODUCT CHAPTERS IN CONNECTION WITH THE CME RULEBOOK HARMONIZATION PROJECT

Recently, CME adopted a series of revisions to its product chapters, which were announced via Special Execution Report S-6450R on December 17, 2012. This Special Executive Report is being issued to notify the marketplace of the effective date for the revisions to the CME cleared over-the counter (“OTC”) foreign exchange (“FX”) spot, forward and swap product chapters, which will be April 1, 2013, pending all relevant regulatory review periods.

The revisions are being adopted to ensure that the Exchange’s rules remain in compliance with CFTC Designated Contract Market (“DCM”) Core Principle 7 (“Availability of General Information”), which requires that DCMs make available to the public accurate information concerning the contract market’s rules and regulations, contracts and operations. Correspondingly, the goal of the CME Rulebook Harmonization Project was to eliminate outdated and obsolete language, ensure the accuracy of all listed numerical values (e.g. trading units, tick sizes, etc.) and harmonize the language and structure of the CME product chapters, to the greatest extent possible. The revisions are primarily stylistic in nature and include the following:

- Product-specific position limits, accountability and reportable levels have been eliminated and been replaced with standardized language that directs the reader to the Position Limit, Position Accountability and Reportable Level Table in the Interpretations & Special Notices Section of CME Chapter 5
- Product-specific position limit exemption and accumulation language has been eliminated and replaced with standardized language referring to applicable rules
- With the exception of Chapter 300, the notional value/contract equivalent language in each of the non-deliverable product chapters has been removed and relocated in the Position Limit, Position Accountability and Reportable Level Table in the Interpretations & Special Notices Section of CME Chapter 5
- A boilerplate reference to Chicago time has been added in each chapter’s Scope of Chapter section
- Typos regarding the applicable standard OTC non-deliverable forward (“NDF”) settlement rate have been corrected in the Day of Cash Settlement section in certain chapters
- The Contract Modification section from the product chapters has been removed as this language was previously relocated to CME Rule 419 (“Contract Modification”)
- Defined terms have been capitalized (e.g., Business Day, Trading Day, etc.)

To view the changes to the product chapters in their entirety, please click on the following link: [Submission 13-065.pdf](#)

If you have any questions concerning this matter, please contact Erin Schwartz, Sr. Rules & Regulatory Outreach Specialist, Market Regulation Department, at 312.341.3083, or via email at Erin.Schwartz@cmegroup.com.

II. RESTRICTED CONTRACT MARKETS FOR CME RULE 515 (“REGISTRATION AND IDENTIFICATION OF BROKER ASSOCIATIONS”)

Effective immediately, the intra-association trading restrictions under CME Rule 515.E. (“Trading Restrictions”) will no longer apply to the following contract months: fourth position quarterly Eurodollar options, second position serial Eurodollar options and third position quarterly One-Year Eurodollar MidCurve options.

The removal of these restrictions is being made in conjunction with the removal of the same contract months from the list of restricted contracts pursuant to CME Rule 552 (“Dual Trading Restrictions”).

The complete list of restricted contracts pursuant to CME Rule 515.E. is set forth below:

Eurodollar Options:

- Quarterly Cycle: First, Second and Third contract months
- Serial: First contract month

One-Year Eurodollar MidCurve Options:

- Quarterly Cycle: First and Second contract months

Standard & Poor’s 500 Stock Price Index Futures:

- Quarterly Cycle: First contract month

If you have any questions regarding the CME broker association intra-association trading restrictions, please contact Jeff Nierman, Senior Data Quality Analyst, Market Regulation, at 312.341.3195.

Section D:
Actions/Rule Changes
CBOT Members

None for May

Section E:

Actions/Rule Changes

NYMEX and COMEX Member Actions

None for May

Section F:

Market Regulation Advisory Notices

All Members

- 1 Daily Submission of Large Trader and Open Interest Data
 - Rule 561

I. MARKET REGULATION ADVISORY NOTICE – Daily Submission of Large Trader and Open Interest Data

Exchange	CME, CBOT, NYMEX, COMEX and KCBT
Subject	Daily Submission of Large Trader and Open Interest Data
Rule References	CME/CBOT/NYMEX/COMEX Rule 561/KCBT Rule 561.00.
Advisory Date	April 2, 2013
Advisory Number	CME Group RA1305-5R
Effective Date	April 15, 2013

This Revised Advisory Notice supersedes Market Regulation Advisory Notice RA1305-5 from April 1, 2013. It is being issued to correct an error in the text of the Board of Trade of Kansas City, Missouri, Inc. ("KCBT") Rule 561.00. ("Reports of Large Positions") with respect to the reportable position level in KCBT Wheat options. The reportable position level in KCBT Wheat options is 50 contracts. RA1305-5 incorrectly reflected the reportable level in Wheat options as 150 contracts.

This Advisory Notice concerns changes to CME, CBOT, NYMEX and COMEX Rule 561 that became effective on October 8, 2012, and to incorporate a reference to the Board of Trade of Kansas City, Missouri, Inc. ("KCBT") Rule 561.00. which will become effective on April 15, 2013.

The texts of CME/CBOT/NYMEX/COMEX Rule 561 and KCBT Rule 561.00. appear at the end of this Advisory Notice.

CFTC Form 102 Submissions

Clearing members, omnibus accounts and foreign brokers must provide the Market Regulation Department with the required CFTC Form 102 ("Identification of Special Accounts") accurately identifying the owners, controllers, controlled accounts and any additional information required for each reportable account within three Business Days of the first day that the account in question becomes reportable. Notwithstanding the three Business Day requirement, on the first day that an account becomes reportable, clearing members, omnibus accounts and foreign brokers must, at the direction of the Market Regulation Department, submit the following information: account type, reportable account number and names and addresses of the owners and controllers of the account.

Accurate and Timely Reporting Requirements

All clearing firms and omnibus accounts are reminded of their obligation to accurately report open interest, large trader positions and, where applicable, long positions eligible for delivery consistent with required submission deadlines. This requirement includes ensuring that open interest and large trader positions resulting from option assignments are accurate.

Open Interest (PCS) Submission: 6:00 p.m. CT / 7:00 p.m. ET for NYMEX and COMEX products
7:30 p.m. CT / 8:30 p.m. ET for CME, CBOT and KCBT products

Open Interest (PCS) Adjustments: The deadline for PCS adjustments for all CME Group Exchanges is 8:00 a.m. CT / 9:00 a.m. ET

Large Trader Submission: 7:00 a.m. CT / 8:00 a.m. ET for all CME Group products

Large Trader Adjustments: 9:00 a.m. CT/10:00 a.m. ET for all CME Group products.

Error corrections or any other adjustments to the large trader position file must be completed using the web-based LTFE portal application.

Large Trader: Firm Edition Portal

The LTFE portal can be accessed at <https://fltr.cmegroup.com/firmlargetrader/logon.jsp> using a registered SMART Click ID and requesting access to MarketRegLTRAAlerts@cmegroup.com. Registration instructions for obtaining a SMART Click ID can be found at [Large Trader: Firm Edition homepage](#). For technical issues please email LargeTraderSupport@cmegroup.com.

The LTFE portal, [LTFE](#) has been enhanced to allow clearing member firms to generate a large trader and open interest comparison report ("Misreporting Report") by product, contract month and, for options, by strike price. The Misreporting Report also allows clearing member firms to query reported positions and to utilize static queries to assist in identifying additional potential reporting discrepancies such as potential offsets and over- or under-reporting of positions.

Market Regulation strongly encourages firms to utilize the new functionality to assist in the identification of potential reporting discrepancies in order to ensure that any necessary adjustments are submitted by the established adjustment deadlines.

An LTFE manual is available on the [LTFE](#) portal for reference.

Omnibus Account Reporting

Omnibus accounts that carry reportable positions pursuant to Rules 561 or 561.00., as applicable, must submit reportable large trader positions directly to the exchange via FTP to the CME Group File Server. Omnibus reporting entities may submit large trader positions using the CFTC-assigned alpha firm ID. If an omnibus entity does not currently submit large trader positions and is not set up to submit via FTP, the entity should contact the Market Regulation Department [Large Trader Group](#) to obtain further reporting instructions. The omnibus entity may use the following large trader file layout: <http://www.cftc.gov/IndustryOversight/MarketSurveillance/LargeTraderReportingProgram/ltrformat.html>

In order to ensure accurate open interest and large trader positions, Clearing Members are required to obtain timely offset instructions for all accounts they carry, including omnibus accounts. Clearing Members are responsible for the accurate position reporting of accounts carried on their books on an omnibus basis. Accordingly, Clearing Members must have appropriate procedures in place to ensure that any position offsets for omnibus accounts are timely reported no later than the established adjustment deadlines set forth above.

Failure to comply with any of the above reporting requirements may result in sanctions pursuant to CME, CBOT, NYMEX and COMEX Rule 512 or KCBT Rule 1001.01.

Questions regarding this Advisory should be directed to Sandra Valtierra, Manager, Market Surveillance, at 312.347.4137 or Sandra.Valtierra@cmegroup.com.

For media inquiries concerning this Advisory Notice, please contact CME Group Corporate Communications at 312.930.3434 or news@cmegroup.com.

CME/CBOT/NYMEX/COMEX Rule 561 Reports of Large Positions

561.A. General Provisions

Clearing members, omnibus accounts and foreign brokers shall submit to the Exchange a daily report of all positions required to be reported as set forth in the Position Limit, Position Accountability and Reportable Level Table in the Interpretations Section at the end of Chapter 5. Positions at or above the reportable level in a particular expiration month of a futures contract or in all puts or in all calls of a particular option contract expiration month trigger reportable status. For a person in reportable status in a particular contract, all positions, regardless of size, in any futures contract month and in any put or call on that futures contract must be reported.

Additionally, the daily Large Trader submission to the Exchange must include for each reportable account 1) the EFRP volume bought and sold in the reportable instrument, by contract month, and for EOOs by put and call strike and 2) the number of delivery notices issued and the number of deliveries stopped in the reportable instrument.

Failure by an omnibus account or foreign broker to submit required information may result in a hearing by the Business Conduct Committee and result in limitations, conditions or denial of access of such omnibus account or foreign broker to any Exchange market. Notwithstanding the above, clearing members carrying such accounts remain responsible for obtaining and providing to the Exchange information regarding the ownership and control of positions in circumstances where an omnibus account or foreign broker has failed to provide the information to the Exchange.

All large trader reports shall be submitted in a form acceptable to the Market Regulation Department. The Exchange may require that more than one large trader report be submitted daily. The Business Conduct Committee or the Market Regulation Department may require reports from any clearing member, omnibus account or foreign broker on a lesser number of positions than reflected in the Position Limit, Position Accountability and Reportable Level Table.

Clearing members, omnibus accounts and foreign brokers must provide the Market Regulation Department with the required CFTC Form 102 ("Identification of Special Accounts") accurately identifying the owners, controllers, controlled accounts and any additional information required for each reportable account within three Business Days of the first day that the account in question becomes reportable. Notwithstanding the three Business Day requirement, on the first day that an account becomes reportable, clearing members, omnibus accounts and foreign brokers must, at the direction of the Market Regulation Department, submit the following information: account type, reportable account number and names and addresses of the owners and controllers of the account.

Any material changes to the information previously provided to the Market Regulation Department will require the submission of a revised form within three Business Days of such changes becoming effective. Additionally, in the absence of any material changes, the Market Regulation Department may require the submission of a new form on a biennial basis for the maintenance of accurate records.

561.B. Reportable Levels

The reportable levels for all contracts are set forth in the Position Limit, Position Accountability and Reportable Level Table in the Interpretations Section at the end of Chapter 5.

KCBT Rule 561.00. REPORTS OF LARGE POSITIONS

General Provisions

Clearing members, omnibus accounts and foreign brokers shall submit to the Exchange a daily report of all positions required to be reported as set forth below. Positions at or above the reportable level in a particular expiration month of a futures contract or in all puts or in all calls of a particular option contract expiration month trigger reportable status. For a person in reportable status in a particular contract, all positions, regardless of size, in any futures contract month and in any put or call on that futures contract must be reported.

Additionally, the daily Large Trader submission to the Exchange must include for each reportable account 1) the EFRP volume bought and sold in the reportable instrument, by contract month, and for EOOs by put and call strike and 2) the number of delivery notices issued and the number of deliveries stopped in the reportable instrument.

Failure by an omnibus account or foreign broker to submit required information may result in a hearing by the Business Conduct Committee and result in limitations, conditions or denial of access of such omnibus account or foreign broker to any Exchange market. Notwithstanding the above, clearing members carrying such accounts remain responsible for obtaining and providing to the Exchange information regarding the ownership and control of positions in circumstances where an omnibus account or foreign broker has failed to provide the information to the Exchange.

All large trader reports shall be submitted in a form acceptable to the Market Regulation Department. The Exchange may require that more than one large trader report be submitted daily. The Business Conduct Committee or the

Market Regulation Department may require reports from any clearing member, omnibus account or foreign broker on a lesser number of positions than reflected in the Position Limit, Position Accountability and Reportable Level Table.

Clearing members, omnibus accounts and foreign brokers must provide the Market Regulation Department with the required CFTC Form 102 ("Identification of Special Accounts") accurately identifying the owners, controllers, controlled accounts and any additional information required for each reportable account within three Business Days of the first day that the account in question becomes reportable. Notwithstanding the three Business Day requirement, on the first day that an account becomes reportable, clearing members, omnibus accounts and foreign brokers must, at the direction of the Market Regulation Department, submit the following information: account type, reportable account number and names and addresses of the owners and controllers of the account.

Any material changes to the information previously provided to the Market Regulation Department will require the submission of a revised form within three Business Days of such changes becoming effective. Additionally, in the absence of any material changes, the Market Regulation Department may require the submission of a new form on a biennial basis for the maintenance of accurate records.

Reportable Levels

Wheat futures – 150 contracts

Wheat futures options – 50 contracts

Wheat Calendar Swaps (Cleared-Only) – 25 contracts

Section G:

Market Regulation Advisory Notices

CME Members

- 1 Block Trades – Rule 526

I. MARKET REGULATION ADVISORY NOTICE – Block Trades

Exchange	CME & CBOT
Subject	Block Trades
Rule References	Rule 526
Advisory Date	April 26, 2013
Advisory Number	CME & CBOT RA1303-3RR

Delayed Launch Date for Realized Variance Futures

This Revised Advisory Notice supersedes CME & CBOT Market Regulation Advisory Notice RA1303-3R from April 19, 2013. It is being issued to advise market participants that due to a delay in the launch of all CME FX Realized Variance futures contracts, block trading will not be permitted in those products until the Exchange determines a new launch date. The remainder of the information and guidance in this Advisory Notice is unchanged.

CME and CBOT products in which block trading is permitted, and the relevant quantity thresholds, appear in Section 12, and the text of CME and CBOT Rule 526 appears in Section 13 of this Advisory Notice.

1. Definition of Block Trades

Block trades are privately negotiated futures, options or combination transactions that meet certain quantity thresholds which are permitted to be executed apart from the public auction market.

It shall not be permissible to facilitate the execution of block trades in Exchange-traded products on a system or facility accessible to multiple parties that allows for the electronic matching of or the electronic acceptance of bids and offers. Parties may use communication technologies to bilaterally request block quotes from one or more participants and to conduct privately negotiated block trades. Parties may also utilize technologies supported by third parties which allow for the electronic posting of indicative block markets displayed to multiple market participants. However, block trades executed between parties on the basis of such electronically displayed indicative markets may be transacted only through direct bilateral communications involving the broker, where applicable, and the parties to the trade.

All block trades are subject to the conditions set forth in CME and CBOT Rule 526 and in this Advisory Notice. Additionally, BTIC ("Basis Trade at Index Close") block trades in Select Sector futures and DJUSRE futures are also subject to the requirements set forth in CME Rule 36906.A. ("BTIC Block Trade Requirements") or CBOT Rule 30107.A. ("BTIC Block Trade Requirements"), as applicable. Additional information on BTIC block trades appears in Section 9 of this Advisory Notice.

2. Participation in Block Trades

Each party to a block trade must be an Eligible Contract Participant as that term is defined in Section 1a of the Commodity Exchange Act. Eligible Contract Participants generally include exchange members and member firms, broker/dealers, government entities, pension funds, commodity pools, corporations, investment companies, insurance companies, depository institutions and high net-worth individuals. Commodity trading advisors and investment advisors who are registered or exempt from registration, and foreign persons performing a similar role and subject as such to foreign regulation, may participate in block transactions provided they have total assets under management exceeding \$25 million and the block trade is suitable for their customers.

A customer order may be executed by means of a block trade only if the customer has specified that the order be executed as a block trade.

Orders may not be bunched to meet the minimum block quantity thresholds.

3. Time and Prices of Block Trades

Block trades may be executed at any time, including times during which the public auction market is closed, except that BTIC block trades may not take place on the last day of trading in an expiring contract month.

Block trades must be transacted at prices that are “**fair and reasonable**” in light of (i) the size of the transaction, (ii) the prices and sizes of other transactions in the same contract at the relevant time, (iii) the prices and sizes of transactions in other relevant markets, including, without limitation, the underlying cash market or related futures markets, at the relevant time, and (iv) the circumstances of the markets or the parties to the block trade.

The Basis applied to a BTIC block trade must also be fair and reasonable taking into account financing rates, expected dividend income and the time remaining until the applicable futures contract expires pursuant to the requirements of CME Rule 36906 (“Basis Trade at Index Close (“BTIC”) Transactions”) or CBOT Rule 30207 (“Basis Trade at Index Close (“BTIC”) Transactions”), as applicable.

The trade price must be consistent with the minimum tick increment for the market in question. Additionally, each outright transaction and each leg of any block eligible spread or combination trade must be executed at a single price.

Block trade prices are reported independently of transaction prices in the regular market and are not included as part of the daily trading range. Block trade prices do not elect conditional orders (e.g. stop orders) or otherwise affect orders in the regular market.

4. Block Trade Minimum Quantities for Outrights, Spreads and Combinations

The block trade minimum quantity requirements for outright futures and options are set forth in the list of block trade eligible products in Section 12 of this Advisory Notice. Additional information with respect to spreads and combinations executed as blocks appears below:

a) Intra-Commodity Futures Spreads and Futures Combinations

- i) All Products Excluding U.S. Treasury, OTR Treasury Yield, 5-, 7-, 10- and 30-Year Interest Rate Swap and GSCI Futures

Intra-commodity futures spreads and futures combinations may be executed as block trades provided that the **sum** of the quantities of the legs meets the minimum block quantity threshold. For example, 2,000 Eurodollar future calendar spreads executed during RTH hours would meet the Eurodollar futures minimum quantity requirement of 4,000 contracts.

- ii) U.S. Treasury, OTR Treasury Yield and 5-, 7-, 10- and 30-Year Interest Rate Swap Futures

Intra-commodity calendar spread block trades are prohibited in CBOT U.S. Treasury futures, OTR Treasury Yield and 5-, 7-, 10- and 30-Year Interest Rate Swap futures. Parties may not execute contingent block trades in outright contracts to circumvent the prohibition on the execution of block trades in intra-commodity calendar spreads. Additionally, Tandem spreads (a trade combining calendar spreads in two different Treasury futures) may not be executed as block trades.

iii) GSCI Futures

In GSCI futures and GSCI Excess Return Index futures the block trade minimum is 300 contracts for each leg of the spread or combination. For example, the minimum quantity for a GSCI calendar spread would require 300 contracts in each leg of the spread for a total of 600 contracts while a GSCI futures butterfly would require a minimum volume of 1,200 contracts.

b) Inter-Commodity Futures Spreads

i) Short Term Interest Rate Futures

In Short Term Interest Rate futures (Eurodollars, Eurodollar E-minis, Euribor, T-Bills, OIS, One-Month Eurodollar, Euroyen and 30-Day Fed Funds), inter-commodity futures spreads may be executed as block trades provided that the **sum of the legs of the spread** meets the **larger** of the threshold requirements for the underlying products. For example, the minimum quantity thresholds for One-Month Eurodollar and Eurodollars during ETH are 200 and 2,000 contracts, respectively. Therefore, a block trade in the One-Month Eurodollar/Eurodollar spread can be executed provided that the sum of the legs is at least 2,000 contracts.

ii) U.S. Treasury, OTR Treasury Yield, 5-, 7-, 10- and 30-Year Interest Rate Swap and 10-Year Sovereign Yield Spread Futures

In U.S. Treasury, OTR Treasury Yield, 5-, 7-, 10- and 30-Year Interest Rate Swap and 10-Year Sovereign Yield Spread futures, inter-commodity futures spreads may be executed as block trades provided that **each leg of the spread** meets the minimum threshold requirement for the respective underlying products. For example, the minimum quantity thresholds for 10-Year Notes and U.S. Treasury Bonds during RTH are 5,000 and 3,000 contracts, respectively. Therefore, a block trade in the NOB spread (10-Year Note/Treasury Bond spread) can be executed only if the minimum quantity of the 10-Year Note leg of the spread is at least 5,000 contracts and the minimum quantity of the Treasury Bond leg of the spread is at least 3,000 contracts.

iii) Deliverable Interest Rate Swap Futures ("DSF")

Inter-commodity futures spreads or combinations composed only of DSF (e.g., 2-Year DSF v. 10-Year DSF) may be executed as block trades provided that the **sum of the legs of the spread** meets the **larger** of the threshold requirements for the underlying products. For example, the minimum block trade thresholds for 2-Year DSF and 10-Year DSF are 3,000 and 1,000 contracts, respectively. Thus, a spread between these two contracts may be executed as a block trade if the sum of the quantities of the legs is at least 3,000 contracts.

Inter-commodity futures spreads or combinations excluding those composed only of DSF (e.g., 10-Year Treasury Notes vs. 10-Year DSF) may be executed as block trades provided that **each leg of the spread** meets the minimum threshold requirement for the respective underlying products. For example, the minimum block trade thresholds for 10-Year Treasury Note futures and 10-Year DSF during RTH are 5,000 contracts and 1,000 contracts, respectively. A spread between these two contracts can be executed as a block trade, therefore, only if the quantity of the 10-Year Treasury Note leg is at least 5,000 contracts and the quantity of the 10-Year DSF leg is at least 1,000 contracts.

iv) All Other Products

In all other block-eligible products, inter-commodity futures spreads may be executed as block trades provided that the quantity of **each leg of the spread** meets the **larger** of the threshold requirements for the underlying products.

c) Intra-Commodity Option Spreads and Combinations

Intra-commodity option spreads and combinations may be executed as block trades provided that the quantity of **each leg of the spread** meets the designated minimum quantity threshold.

d) Inter-Commodity Option Spreads and Combinations

Inter-commodity option spreads and combinations may be executed as block trades provided that the quantity of **each leg of the spread** meets the **larger** of the threshold requirements for the underlying products.

e) Options/Futures Spreads

In general, options/futures spreads may be executed as block trades provided that the options component of the spread meets the minimum quantity threshold for the outright option or option combination and the quantity of futures executed is consistent with the delta of the options component of the spread. An exception applies to Weather and Housing options/futures spreads, where the legs of the spread may be summed to meet the 20-contract minimum threshold.

5. Block Trade Price Reporting Requirements

a) Time Requirements

With the exceptions noted below, all block trades must be price reported to the Exchange within **five minutes** of the transaction.

i) Block Trades during European or Asian hours (ETH or ATH) in Interest Rate Products **Excluding** DSF

Interest rate block trades executed during ETH or ATH must be price reported to the Exchange within **15 minutes** of the transaction. With the exception of DSF, interest rate block trades executed during RTH must be reported within five minutes.

ETH: 12:00 a.m. – 7:00 a.m. CT, Monday through Friday on regular business days
RTH: 7:00 a.m. – 4:00 p.m. CT, Monday through Friday on regular business days
ATH: 4:00 p.m. – 12:00 a.m. CT, Monday through Friday on regular business days and at all times on weekends

ii) Weather, Housing, and DSF Block Trades

Block trades in Weather, Housing and DSF contracts must be price reported to the Exchange within **15 minutes** of the transaction.

Notwithstanding the foregoing, the execution of any inter-commodity block spread or combination trade that includes a DSF leg(s) and a leg(s) subject to a 5 minute price reporting requirement requires that the block spread or combination trade be reported within 5 minutes of execution.

b) Reporting Obligation

Price reporting obligations are the responsibility of the seller, unless otherwise agreed to by the principal counterparties to the block trade; however, in the case of a brokered transaction, the price reporting obligation is the responsibility of the broker handling the block trade, unless otherwise agreed to by the principal counterparties to the block trade. For purposes of the foregoing, the term “seller” refers to the principal counterparty acting as the seller of the block trade.

The failure to submit timely, accurate and complete block trade reports may subject the party responsible for the reporting obligation to disciplinary action. Parties shall not be sanctioned for block reporting infractions deemed to arise from factors beyond the reporting party's control (e.g. the block trade fails the CME ClearPort automated credit check).

c) Reporting Methods

Block trades must be price reported to the Exchange via one of the following methods.

i) Global Command Center ("GCC")

All block trades (except for block trades in Weather futures and options executed between 8:00 a.m. and 3:30 p.m. Chicago time, Monday – Friday on regular business days) may be price reported to the GCC. The seller or broker reports the trade by calling the GCC at **312.456.2391**. When the GCC is closed – for example, during the weekend – the block trade must be reported no later than five minutes prior to the opening of the next electronic trading session for that product.

ii) CME ClearPort

Block trades in all products with the exception of CME Weather may be electronically submitted directly to CME Clearing via CME ClearPort.

Block trades may also be price reported to the CME ClearPort Facilitation Desk by calling **1.866.246.9639** or via email at FacDesk@cmegroup.com. In either case, all of the data and information elements required in d) below must be submitted.

For information on reporting block trades through CME ClearPort, please contact customer service at 1.800.438.8616 or via email at ClearPort@cmegroup.com.

iii) Weather Blocks on CME Clearing360 via Pivot Instant Markets

Block trades in Weather futures and options may be price reported electronically to CME Clearing360 via Pivot Instant Markets by eligible inter-dealer brokers. For additional information on price reporting block trades via Pivot Instant Markets, please contact Angie DiCarlo, Senior Director, Client Development & Sales, at 312.930.4515 or via email at Angie.DiCarlo@cmegroup.com.

iv) Weather Block Trades Executed Between 8:00 a.m. and 3:30 p.m.

Block trades in Weather futures and options executed between 8:00 a.m. and 3:30 p.m. Chicago time, Monday – Friday on regular business days should be price reported to Exchange staff by calling 312.648.3935 or 312.648.3936.

d) Information Required When Reporting Block Trades

When price reporting a block trade, the following information will be required:

- Contract, contract month and contract year for futures, and, additionally for options, strike price and put or call designation for standard options, as well as the expiration date and exercise style for flex options;
- Quantity of the trade or, for spreads and combinations, the quantity of each leg of the trade;
- Price of the trade or, for spreads and combinations, the price of each leg of the trade; and
- Buyer's clearing firm and seller's clearing firm;
- Name and phone number of the party reporting the trade (for block trades reported to the GCC or reported via telephone or email to the CME ClearPort Facilitation Desk);

- For block trades reported via phone or email to the CME ClearPort Facilitation Desk, counterparty name and contact information must also be provided for trade verification purposes; and
- Execution time (to the nearest minute in Central Time) of the trade. The execution time is the time at which the trade was consummated.

Market participants must exercise diligence in accurately reporting the execution time of the block trade. The reporting of inaccurate execution times may result in disciplinary action.

6. Block Trade Submission Requirements to CME Clearing

Block trades price reported to the GCC or Exchange staff as described in numbers i) or iv) above must be submitted to CME Clearing through the FEC User Interface via the portal under BLOCK entry. For block trades executed between 6:00 a.m. and 6:00 p.m. **Central Time**, firms must submit the trade within one hour. For block trades executed between 6:00 p.m. and 6:00 a.m. **Central Time**, firms must submit the trade no later than 7:00 a.m. **Central Time**.

Block trades are entered by both the buyer's and seller's clearing firm and go through a two-sided match process. Upon entry, confirmation records will be routed back to the firms for bookkeeping purposes. When reporting spread or combination transactions, each leg must be entered individually. The execution time is required to be entered and must be the actual time at which the transaction was consummated by the two parties, not the time at which the trade is reported by the parties to their respective firms. Thus, if the clearing member has not acted as either principal or agent in the transaction, it must ensure that its customer provides an accurate execution time.

A block trade in a block-eligible option may be executed up to and including the day on which an option contract expires for purposes of offsetting an open option position. The offsetting block trade must be price reported to the GCC pursuant to the requirements of Section 5 above, and the offset must be submitted to CME Clearing no later than the Position Change Submission ("PCS") deadline on the day on which the option contract expires. The PCS deadline for CME and CBOT products is 7:30 p.m. Central Time.

7. Block Trade Recordkeeping

Complete order records for block trades must be created and maintained pursuant to Rule 536 and CFTC Regulations. Additionally, the time of execution of the block trade must also be recorded for all block trades.

8. Dissemination of Block Trade Information

The date, execution time, contract details, price and quantity of block trades are reported upon receipt of the block information by GCC. Block trade information is reported on the MerQuote system and may be accessed by entering the code "BLK". The information will also be displayed on cmegroup.com at the following link: <http://www.cmegroup.com/tools-information/blocktrades.html>. Block trade information is also displayed on the trading floor.

Block trade prices are published separately from transactions in the regular market. Block trade volume is also identified in the daily volume reports published by the exchange.

9. BTIC Block Trades

A BTIC Transaction is a futures transaction that is priced with reference to the applicable cash index close price. BTIC Transactions in Select Sector futures and BTIC Transactions in DJUSRE futures may be executed as block trades pursuant to the requirements of Rule 526 ("Block Trades"), this Advisory Notice and the applicable provisions in the corresponding product chapter (CME Chapter 369 for Select Sector futures and CBOT Chapter 30 for DJUSRE futures).

The current minimum threshold of 50 contracts applicable to each product will also apply to BTIC block trades in the products. BTIC block trades will not be permitted on the last day of trading in an expiring contract month.

BTIC block trades **may not** be executed as a spread transaction pursuant to the requirements in Section 4 of this Advisory Notice. Parties wishing to effectuate a block spread transaction in either product will be required to negotiate the transaction as separate outright BTIC block trades, and each leg must meet the minimum threshold of 50 contracts.

The futures price assigned to a Select Sector futures BTIC block trade will be based on the current day's Select Sector cash index close price, or the current day's Select Sector cash index close price adjusted by any valid price increment (the "Basis") higher or lower than the Select Sector cash index close price. The Basis must be stated in full tick increments as set forth in CME Rule 36901 ("Commodity Specifications").

The futures price assigned to a DJUSRE futures BTIC block trade will be based on the current day's Dow Jones US Real Estate cash index close price, or the current day's Dow Jones US Real Estate cash index close price adjusted by any valid price increment higher or lower than the Dow Jones US Real Estate cash index close price. The Basis must be stated in full tick increments as set forth in CBOT Rule 30102 ("Trading Specifications").

BTIC block trades must be reported to the Exchange at least 10 minutes prior to the scheduled close of the underlying primary securities market to establish the BTIC futures price on the current trading day. A BTIC block trade that is not reported at least 10 minutes prior to the scheduled close of the underlying primary securities market will be priced based on the index close price for the next available trading day for the primary securities market.

The futures price of a BTIC block trade will be determined by the Exchange at 3:45 p.m. Central Time and the Exchange-determined price will be final at that time. In the event of an early scheduled close of the primary securities market, the futures price of a BTIC block trade will be determined by the Exchange 45 minutes after the early scheduled close time for the primary securities market, and the Exchange-determined price will be final at that time. In the event of an equity market disruption in the primary securities market, all BTIC block trades will be cancelled for that trade date.

10. Use of Nonpublic Information Regarding Block Trades

Parties involved in the solicitation or negotiation of a block trade may not disclose the details of those communications to any other party for any purpose other than to facilitate the execution of the block trade. Parties privy to nonpublic information regarding a consummated block trade may not disclose such information to any other party prior to the public report of the block trade by the Exchange. A broker negotiating a block trade on behalf of a customer may disclose the identity of the customer to potential counterparties, including the counterparty with which the block trade is consummated, only with the permission of the customer.

Pre-hedging or anticipatory hedging of any portion of a block trade in the same product or a closely-related product based upon a solicitation to participate in a block trade is not permitted. A closely related product is a product that is highly correlated to, serves as a substitute for, or is the functional economic equivalent of the product being traded as a block.

Counterparties to a block trade are permitted to initiate trades to hedge or offset the risk associated with the block trade following the consummation of the block trade, including during the period preceding the public report of the block trade by the Exchange.

Except as provided above, parties privy to nonpublic information attendant to a block trade are prohibited from trading in the same product or a closely-related product for the purpose of taking advantage of such

information prior to the public report of the block trade by the Exchange. This prohibition is not intended to preclude such parties from continuing to transact in the marketplace in the context of their normal business; rather, it precludes parties in possession of actionable nonpublic information regarding an imminent block trade or report of a block trade from specifically using such information to their advantage. Information regarding a block trade is considered to be nonpublic until such time that the block trade details have been disseminated to the marketplace by the Exchange or the information can otherwise be demonstrated to have become stale or obsolete.

Parties solicited to provide a two-sided block market are not deemed to be in possession of nonpublic information provided that side of market interest is not disclosed in the context of the solicitation.

11. Block Trades between Affiliated Parties

Block trades between the accounts of affiliated parties are permitted provided that 1) the block trade is executed at a fair and reasonable price; 2) each party has a legal and independent bona fide business purpose for engaging in the trade; and 3) each party's decision to enter into the block trade is made by an independent decision-maker. In the absence of satisfying the requirements above, the transaction may constitute an illegal wash trade prohibited by Rule 534 ("Wash Trades Prohibited").

12. CME & CBOT Block Trade-Eligible Products

For purposes of the interest rate products in the tables, the following times apply:

ETH: 12:00 a.m. – 7:00 a.m. CT, Monday through Friday on regular business days

RTH: 7:00 a.m. – 4:00 p.m. CT, Monday through Friday on regular business days

ATH: 4:00 p.m. – 12:00 a.m. CT, Monday through Friday on regular business days and at all times on weekends

CME Products	Futures	Options	Flex Options
Eurodollars (RTH)	4,000 contracts - or 1,000 contracts provided that a minimum of 1,000 contracts are transacted in yrs 6-10	10,000 contracts	Not Available
Eurodollars (ETH)	2,000 contracts – or 500 contracts provided that a minimum of 500 contracts are transacted in yrs 6-10	5,000 contracts	Not Available
Eurodollars (ATH)	1,000 contracts – or 250 contracts provided that a minimum of 250 contracts are transacted in yrs 6-10	2,500 contracts	Not Available
Eurodollar E-mini futures (RTH)	40,000 contracts	Not available	Not available
Eurodollar E-mini futures (ETH)	20,000 contracts	Not available	Not available
Eurodollar E-mini futures (ATH)	10,000 contracts	Not available	Not available
3-Month Overnight Index Swaps (OIS)(RTH)	2,000 contracts	Not available	Not available
3-Month Overnight Index Swaps (OIS)(ETH)	1,000 contracts	Not available	Not available

CME Products	Futures	Options	Flex Options
3-Month Overnight Index Swaps (OIS)(ATH)	500 contracts	Not available	Not available
3-Month OIS/Eurodollar spread (RTH)	4,000 contracts (all legs combined)	Not available	Not available
3-Month OIS/Eurodollar spread (ETH)	2,000 contracts (all legs combined)	Not available	Not available
3-Month OIS/Eurodollar spread (ATH)	1,000 contracts (all legs combined)	Not available	Not available
T-Bills (RTH)	100 contracts	Not available	Not available
T-Bills (ETH)	50 contracts	Not available	Not available
T-Bills (ATH)	25 contracts	Not available	Not available
Euroyen (RTH)	200 contracts	200 contracts	Not available
Euroyen (ETH)	100 contracts	100 contracts	Not available
Euroyen (ATH)	50 contracts	50 contracts	Not available
One-Month Eurodollar (RTH)	400 contracts	400 contracts	Not available
One-Month Eurodollar (ETH)	200 contracts	200 contracts	Not available
One-Month Eurodollar (ATH)	100 contracts	100 contracts	Not available
10-Year Sovereign Yield Spread	250 contracts	Not available	Not available
Three-Month Euribor	2,000 contracts (nearest 20 March quarterly cycle delivery months and non-March quarterly cycle delivery months)	Not available	Not available
	500 contracts (farthest 20 March quarterly cycle delivery months)		
Barclays Capital U.S. Aggregate Bond Index	50 contracts	Not available	Not available
Eurozone Harmonized Index of Consumer Prices (HICP)	50 contracts	Not available	Not available
S&P 500*	Not available	250 contracts	250 contracts
NASDAQ-100*	200 contracts - outright only	100 contracts	100 contracts
S&P SmallCap 600, E-mini S&P SmallCap 600, E-mini NASDAQ Composite Index, E-mini S&P Select Sector Stock Index	50 contracts	Not available	Not available
E-mini Yen Denominated Nikkei Stock Average Index	250 contracts	Not available	Not available
E-mini S&P CNX Nifty Index	50 contracts	Not available	Not available
E-micro S&P CNX Nifty Index	250 contracts	Not available	Not available
S&P MidCap 400*, S&P 500/Citigroup Growth, S&P 500/Citigroup Value, Nikkei 225	50 contracts	250 contracts	250 contracts
Custom Stock Index/SGI Wise US	50 contracts	Not Available	Not available
Goldman Sachs Commodity Index (GSCI), GSCI Excess Return Index	50 contracts for outright – 300 contracts for each leg of a spread transaction	Not available	Not available

CME Products	Futures	Options	Flex Options
EUR/USD 1-Month and 3-Month Realized Volatility	50 contracts	Not available	Not available
EUR/USD*, JPY/USD*	150 contracts	250 contracts	Not available
AUD/USD*, CAD/USD*, CHF/USD*, GBP/USD*, MXN/USD	100 contracts	250 contracts	Not available
EUR/GBP, EUR/CHF, EUR/JPY, NZD/USD, BRL/USD, CZK/USD, CZK/EUR, HUF/EUR, HUF/USD, ILS/USD, KRW/USD, PLN/USD, PLN/EUR, RMB/USD, RMB/EUR, RMB/JPY, RUB/USD, ZAR/USD, USD/TRY, EUR/TRY	50 contracts	250 contracts	Not available
AUD/CAD, AUD/JPY, AUD/NZD, CAD/JPY, CHF/JPY, EUR/AUD, EUR/CAD, EUR/NOK, EUR/SEK, GBP/JPY, GBP/CHF, INR/USD, NOK/USD, SEK/USD, USD/RMB (STD)	50 contracts	Not available	Not available
Dow Jones CME FX\$INDEX	50 contracts	Not available	Not available
Weather	20 contracts	20 contracts	Not available
Housing	20 contracts	20 contracts	Not available
U.S. Dollar Cash Settled Crude Palm Oil	10 contracts	Not available	Not available
Cheese	20 contracts	Not available	Not available

* NOT applicable to E-mini or E-micro FX or E-mini equity indexes except those listed above.

CBOT Products	Futures	Options	Flex Options
2-Year Treasury Notes (RTH)	5,000 contracts**	2,000 contracts	Same as Non-Flex Options
2-Year Treasury Notes (ETH)	2,500 contracts**	1,000 contracts	Same as Non-Flex Options
2-Year Treasury Notes (ATH)	1,250 contracts**	500 contracts	Same as Non-Flex Options
3-Year Treasury Notes (RTH)	5,000 contracts**	Not available	Not available
3-Year Treasury Notes (ETH)	2,500 contracts**	Not available	Not available
3-Year Treasury Notes (ATH)	1,250 contracts**	Not available	Not available
5- and 10-Year Treasury Notes (RTH)	5,000 contracts**	7,500 contracts	Same as Non-Flex Options
5- and 10-Year Treasury Notes (ETH)	2,500 contracts**	3,750 contracts	Same as Non-Flex Options
5- and 10-Year Treasury Notes (ATH)	1,250 contracts**	1,875 contracts	Same as Non-Flex Options
U.S. Treasury Bonds (RTH)	3,000 contracts**	7,500 contracts	Same as Non-Flex Options
U.S. Treasury Bonds (ETH)	1,500 contracts**	3,750 contracts	Same as Non-Flex Options
U.S. Treasury Bonds (ATH)	750 contracts**	1,875 contracts	Same as Non-Flex Options
Long-Term (Ultra) Treasury Bonds (RTH)	2,000 contracts**	Not available	Not available

CBOT Products	Futures	Options	Flex Options
Long-Term (Ultra) Treasury Bonds (ETH)	1,500 contracts**	Not available	Not available
Long-Term (Ultra) Treasury Bonds (ATH)	750 contracts**	Not available	Not available
2-, 5- and 10-Year OTR Treasury Yield (RTH)	2,000 contracts**	Not available	Not available
2-, 5- and 10-Year OTR Treasury Yield (ETH)	1,000 contracts**	Not available	Not available
2-, 5- and 10-Year OTR Treasury Yield (ATH)	500 contracts**	Not available	Not available
30-Day Fed Funds (RTH)	2,000 contracts	1,500 contracts	Same as Non-Flex Options
30-Day Fed Funds (ETH)	1,000 contracts	750 contracts	Same as Non-Flex Options
30-Day Fed Funds (ATH)	500 contracts	375 contracts	Same as Non-Flex Options
5-, 7-, 10- and 30-Year Interest Rate Swaps (RTH)	2,000 contracts	500 contracts	Not available
5-, 7-, 10- and 30-Year Interest Rate Swaps (ETH)	1,000 contracts	500 contracts	Not available
5-, 7-, 10- and 30-Year Interest Rate Swaps (ATH)	500 contracts	500 contracts	Not available
2-Year DSF	3,000 contracts	Not available	Not available
5-Year DSF	1,500 contracts	Not available	Not available
10-Year DSF	1,000 contracts	Not available	Not available
30-Year DSF	500 contracts	Not available	Not available
Dow Jones-UBS Commodity Index Excess Return	300 contracts	Not available	Not available
Dow Jones U.S. Real Estate Index	50 contracts	Not available	Not available
Ethanol	10 contracts	10 contracts	Not available
Denatured Ethanol Forward Month	10 contracts	Not available	Not available
Distillers' Dried Grain	10 contracts	Not available	Not available
Black Sea Wheat	10 contracts	Not available	Not available

** Block trading of intra-commodity Treasury, OTR Treasury Yield and 5-, 7-, 10- and 30-Year Interest Rate Swap futures calendar spreads is prohibited.

13. Text of CME & CBOT Rule 526

Rule 526 BLOCK TRADES

The Exchange shall designate the products in which block trades shall be permitted and determine the minimum quantity thresholds for such transactions. The following shall govern block trades:

- A. A block trade must be for a quantity that is at or in excess of the applicable minimum threshold. Orders may not be aggregated in order to achieve the minimum transaction size, except by those entities described in Sections I. and J.
- B. Each party to a block trade must be an Eligible Contract Participant as that term is defined in Section 1a(18) of the Commodity Exchange Act.
- C. A member shall not execute any order by means of a block trade for a customer unless such customer has specified that the order be executed as a block trade.
- D. The price at which a block trade is executed must be fair and reasonable in light of (i) the size of the block trade, (ii) the prices and sizes of other transactions in the same contract at the relevant time, (iii) the prices and sizes of transactions in other relevant markets, including without limitation the underlying cash market or related futures markets, at the relevant time, and (iv) the circumstances of the markets or the parties to the block trade.
- E. Block trades shall not set off conditional orders (e.g., Stop Orders and MIT Orders) or otherwise affect orders in the regular market.

- F. **CME** –Unless otherwise agreed to by the principal counterparties to the block trade, the seller, or, in the case of a brokered transaction, the broker handling the block trade, must ensure that each block trade is reported to the Exchange within five minutes of the time of execution; except that block trades in interest rate futures and options executed outside of Regular Trading Hours (7:00 a.m. – 4:00 p.m. Central Time, Monday – Friday on regular business days) and Housing and Weather futures and options must be reported within fifteen minutes of the time of execution. The report must include the contract, contract month, price, quantity of the transaction, the respective clearing members, the time of execution, and, for options, strike price, put or call and expiration month. The Exchange shall promptly publish such information separately from the reports of transactions in the regular market.
- F. **CBOT** - Unless otherwise agreed to by the principal counterparties to the block trade, the seller, or, in the case of a brokered transaction, the broker handling the block trade, must ensure that each block trade is reported to the Exchange within five minutes of the time of execution; except that block trades in interest rate futures and options executed outside of Regular Trading Hours (7:00 a.m. – 4:00 p.m. Central Time, Monday – Friday on regular business days) must be reported within fifteen minutes of the time of execution. The report must include the contract, contract month, price, quantity of the transaction, the respective clearing members, the time of execution, and, for options, strike price, put or call and expiration month. The Exchange shall promptly publish such information separately from the reports of transactions in the regular market.
- G. Block trades must be reported to the Clearing House in accordance with an approved reporting method.
- H. Clearing members and members involved in the execution of block trades must maintain a record of the transaction in accordance with Rule 536.
- I. A commodity trading advisor ("CTA") registered or exempt from registration under the Act, including, without limitation, any investment advisor registered or exempt from registration under the Investment Advisors Act of 1940, shall be the applicable entity for purposes of Sections A., B., C., and D., provided such advisors have total assets under management exceeding \$25 million and the block trade is suitable for the customers of such advisors.
- J. A foreign Person performing a similar role or function to a CTA or investment advisor as described in Section I, and subject as such to foreign regulation, shall be the applicable entity for purposes of Sections A., B., C., and D., provided such Persons have total assets under management exceeding \$25 million and the block trade is suitable for the customers of such Persons.

14. Contact Information

Questions regarding this advisory may be directed to the following individuals:

Market Regulation:	Colin Garvey, Investigations	312.435.3656
	Robert Sniegowski, Rules & Regulatory Outreach	312.341.5991
	Erin Schwartz, Rules & Regulatory Outreach	312.341.3083
CME Clearing:	CME Clearing Support	312.207.2525

For media inquiries concerning this Advisory Notice, please contact CME Group Corporate Communications at 312.930.3434 or news@cmegroup.com.

Section H:

Market Regulation Advisory Notices

CBOT Members

- 1 Block Trades – Rule 526

I. MARKET REGULATION ADVISORY NOTICE – Block Trades

Exchange	CME & CBOT
Subject	Block Trades
Rule References	Rule 526
Advisory Date	April 26, 2013
Advisory Number	CME & CBOT RA1303-3RR

Delayed Launch Date for Realized Variance Futures

This Revised Advisory Notice supersedes CME & CBOT Market Regulation Advisory Notice RA1303-3R from April 19, 2013. It is being issued to advise market participants that due to a delay in the launch of all CME FX Realized Variance futures contracts, block trading will not be permitted in those products until the Exchange determines a new launch date. The remainder of the information and guidance in this Advisory Notice is unchanged.

CME and CBOT products in which block trading is permitted, and the relevant quantity thresholds, appear in Section 12, and the text of CME and CBOT Rule 526 appears in Section 13 of this Advisory Notice.

1. Definition of Block Trades

Block trades are privately negotiated futures, options or combination transactions that meet certain quantity thresholds which are permitted to be executed apart from the public auction market.

It shall not be permissible to facilitate the execution of block trades in Exchange-traded products on a system or facility accessible to multiple parties that allows for the electronic matching of or the electronic acceptance of bids and offers. Parties may use communication technologies to bilaterally request block quotes from one or more participants and to conduct privately negotiated block trades. Parties may also utilize technologies supported by third parties which allow for the electronic posting of indicative block markets displayed to multiple market participants. However, block trades executed between parties on the basis of such electronically displayed indicative markets may be transacted only through direct bilateral communications involving the broker, where applicable, and the parties to the trade.

All block trades are subject to the conditions set forth in CME and CBOT Rule 526 and in this Advisory Notice. Additionally, BTIC ("Basis Trade at Index Close") block trades in Select Sector futures and DJUSRE futures are also subject to the requirements set forth in CME Rule 36906.A. ("BTIC Block Trade Requirements") or CBOT Rule 30107.A. ("BTIC Block Trade Requirements"), as applicable. Additional information on BTIC block trades appears in Section 9 of this Advisory Notice.

2. Participation in Block Trades

Each party to a block trade must be an Eligible Contract Participant as that term is defined in Section 1a of the Commodity Exchange Act. Eligible Contract Participants generally include exchange members and member firms, broker/dealers, government entities, pension funds, commodity pools, corporations, investment companies, insurance companies, depository institutions and high net-worth individuals. Commodity trading advisors and investment advisors who are registered or exempt from registration, and foreign persons performing a similar role and subject as such to foreign regulation, may participate in block transactions provided they have total assets under management exceeding \$25 million and the block trade is suitable for their customers.

A customer order may be executed by means of a block trade only if the customer has specified that the order be executed as a block trade.

Orders may not be bunched to meet the minimum block quantity thresholds.

3. Time and Prices of Block Trades

Block trades may be executed at any time, including times during which the public auction market is closed, except that BTIC block trades may not take place on the last day of trading in an expiring contract month.

Block trades must be transacted at prices that are “**fair and reasonable**” in light of (i) the size of the transaction, (ii) the prices and sizes of other transactions in the same contract at the relevant time, (iii) the prices and sizes of transactions in other relevant markets, including, without limitation, the underlying cash market or related futures markets, at the relevant time, and (iv) the circumstances of the markets or the parties to the block trade.

The Basis applied to a BTIC block trade must also be fair and reasonable taking into account financing rates, expected dividend income and the time remaining until the applicable futures contract expires pursuant to the requirements of CME Rule 36906 (“Basis Trade at Index Close (“BTIC”) Transactions”) or CBOT Rule 30207 (“Basis Trade at Index Close (“BTIC”) Transactions”), as applicable.

The trade price must be consistent with the minimum tick increment for the market in question. Additionally, each outright transaction and each leg of any block eligible spread or combination trade must be executed at a single price.

Block trade prices are reported independently of transaction prices in the regular market and are not included as part of the daily trading range. Block trade prices do not elect conditional orders (e.g. stop orders) or otherwise affect orders in the regular market.

4. Block Trade Minimum Quantities for Outrights, Spreads and Combinations

The block trade minimum quantity requirements for outright futures and options are set forth in the list of block trade eligible products in Section 12 of this Advisory Notice. Additional information with respect to spreads and combinations executed as blocks appears below:

a) Intra-Commodity Futures Spreads and Futures Combinations

- i) All Products Excluding U.S. Treasury, OTR Treasury Yield, 5-, 7-, 10- and 30-Year Interest Rate Swap and GSCI Futures

Intra-commodity futures spreads and futures combinations may be executed as block trades provided that the **sum** of the quantities of the legs meets the minimum block quantity threshold. For example, 2,000 Eurodollar future calendar spreads executed during RTH hours would meet the Eurodollar futures minimum quantity requirement of 4,000 contracts.

- ii) U.S. Treasury, OTR Treasury Yield and 5-, 7-, 10- and 30-Year Interest Rate Swap Futures

Intra-commodity calendar spread block trades are prohibited in CBOT U.S. Treasury futures, OTR Treasury Yield and 5-, 7-, 10- and 30-Year Interest Rate Swap futures. Parties may not execute contingent block trades in outright contracts to circumvent the prohibition on the execution of block trades in intra-commodity calendar spreads. Additionally, Tandem spreads (a trade combining calendar spreads in two different Treasury futures) may not be executed as block trades.

iii) GSCI Futures

In GSCI futures and GSCI Excess Return Index futures the block trade minimum is 300 contracts for each leg of the spread or combination. For example, the minimum quantity for a GSCI calendar spread would require 300 contracts in each leg of the spread for a total of 600 contracts while a GSCI futures butterfly would require a minimum volume of 1,200 contracts.

b) Inter-Commodity Futures Spreads

i) Short Term Interest Rate Futures

In Short Term Interest Rate futures (Eurodollars, Eurodollar E-minis, Euribor, T-Bills, OIS, One-Month Eurodollar, Euroyen and 30-Day Fed Funds), inter-commodity futures spreads may be executed as block trades provided that the **sum of the legs of the spread** meets the **larger** of the threshold requirements for the underlying products. For example, the minimum quantity thresholds for One-Month Eurodollar and Eurodollars during ETH are 200 and 2,000 contracts, respectively. Therefore, a block trade in the One-Month Eurodollar/Eurodollar spread can be executed provided that the sum of the legs is at least 2,000 contracts.

ii) U.S. Treasury, OTR Treasury Yield, 5-, 7-, 10- and 30-Year Interest Rate Swap and 10-Year Sovereign Yield Spread Futures

In U.S. Treasury, OTR Treasury Yield, 5-, 7-, 10- and 30-Year Interest Rate Swap and 10-Year Sovereign Yield Spread futures, inter-commodity futures spreads may be executed as block trades provided that **each leg of the spread** meets the minimum threshold requirement for the respective underlying products. For example, the minimum quantity thresholds for 10-Year Notes and U.S. Treasury Bonds during RTH are 5,000 and 3,000 contracts, respectively. Therefore, a block trade in the NOB spread (10-Year Note/Treasury Bond spread) can be executed only if the minimum quantity of the 10-Year Note leg of the spread is at least 5,000 contracts and the minimum quantity of the Treasury Bond leg of the spread is at least 3,000 contracts.

iii) Deliverable Interest Rate Swap Futures ("DSF")

Inter-commodity futures spreads or combinations composed only of DSF (e.g., 2-Year DSF v. 10-Year DSF) may be executed as block trades provided that the **sum of the legs of the spread** meets the **larger** of the threshold requirements for the underlying products. For example, the minimum block trade thresholds for 2-Year DSF and 10-Year DSF are 3,000 and 1,000 contracts, respectively. Thus, a spread between these two contracts may be executed as a block trade if the sum of the quantities of the legs is at least 3,000 contracts.

Inter-commodity futures spreads or combinations excluding those composed only of DSF (e.g., 10-Year Treasury Notes vs. 10-Year DSF) may be executed as block trades provided that **each leg of the spread** meets the minimum threshold requirement for the respective underlying products. For example, the minimum block trade thresholds for 10-Year Treasury Note futures and 10-Year DSF during RTH are 5,000 contracts and 1,000 contracts, respectively. A spread between these two contracts can be executed as a block trade, therefore, only if the quantity of the 10-Year Treasury Note leg is at least 5,000 contracts and the quantity of the 10-Year DSF leg is at least 1,000 contracts.

iv) All Other Products

In all other block-eligible products, inter-commodity futures spreads may be executed as block trades provided that the quantity of **each leg of the spread** meets the **larger** of the threshold requirements for the underlying products.

c) Intra-Commodity Option Spreads and Combinations

Intra-commodity option spreads and combinations may be executed as block trades provided that the quantity of **each leg of the spread** meets the designated minimum quantity threshold.

d) Inter-Commodity Option Spreads and Combinations

Inter-commodity option spreads and combinations may be executed as block trades provided that the quantity of **each leg of the spread** meets the **larger** of the threshold requirements for the underlying products.

e) Options/Futures Spreads

In general, options/futures spreads may be executed as block trades provided that the options component of the spread meets the minimum quantity threshold for the outright option or option combination and the quantity of futures executed is consistent with the delta of the options component of the spread. An exception applies to Weather and Housing options/futures spreads, where the legs of the spread may be summed to meet the 20-contract minimum threshold.

5. Block Trade Price Reporting Requirements

a) Time Requirements

With the exceptions noted below, all block trades must be price reported to the Exchange within **five minutes** of the transaction.

i) Block Trades during European or Asian hours (ETH or ATH) in Interest Rate Products **Excluding** DSF

Interest rate block trades executed during ETH or ATH must be price reported to the Exchange within **15 minutes** of the transaction. With the exception of DSF, interest rate block trades executed during RTH must be reported within five minutes.

ETH: 12:00 a.m. – 7:00 a.m. CT, Monday through Friday on regular business days
RTH: 7:00 a.m. – 4:00 p.m. CT, Monday through Friday on regular business days
ATH: 4:00 p.m. – 12:00 a.m. CT, Monday through Friday on regular business days and at all times on weekends

ii) Weather, Housing, and DSF Block Trades

Block trades in Weather, Housing and DSF contracts must be price reported to the Exchange within **15 minutes** of the transaction.

Notwithstanding the foregoing, the execution of any inter-commodity block spread or combination trade that includes a DSF leg(s) and a leg(s) subject to a 5 minute price reporting requirement requires that the block spread or combination trade be reported within 5 minutes of execution.

b) Reporting Obligation

Price reporting obligations are the responsibility of the seller, unless otherwise agreed to by the principal counterparties to the block trade; however, in the case of a brokered transaction, the price reporting obligation is the responsibility of the broker handling the block trade, unless otherwise agreed to by the principal counterparties to the block trade. For purposes of the foregoing, the term “seller” refers to the principal counterparty acting as the seller of the block trade.

The failure to submit timely, accurate and complete block trade reports may subject the party responsible for the reporting obligation to disciplinary action. Parties shall not be sanctioned for block reporting infractions deemed to arise from factors beyond the reporting party's control (e.g. the block trade fails the CME ClearPort automated credit check).

c) Reporting Methods

Block trades must be price reported to the Exchange via one of the following methods.

i) Global Command Center ("GCC")

All block trades (except for block trades in Weather futures and options executed between 8:00 a.m. and 3:30 p.m. Chicago time, Monday – Friday on regular business days) may be price reported to the GCC. The seller or broker reports the trade by calling the GCC at **312.456.2391**. When the GCC is closed – for example, during the weekend – the block trade must be reported no later than five minutes prior to the opening of the next electronic trading session for that product.

ii) CME ClearPort

Block trades in all products with the exception of CME Weather may be electronically submitted directly to CME Clearing via CME ClearPort.

Block trades may also be price reported to the CME ClearPort Facilitation Desk by calling **1.866.246.9639** or via email at FacDesk@cmegroup.com. In either case, all of the data and information elements required in d) below must be submitted.

For information on reporting block trades through CME ClearPort, please contact customer service at 1.800.438.8616 or via email at ClearPort@cmegroup.com.

iii) Weather Blocks on CME Clearing360 via Pivot Instant Markets

Block trades in Weather futures and options may be price reported electronically to CME Clearing360 via Pivot Instant Markets by eligible inter-dealer brokers. For additional information on price reporting block trades via Pivot Instant Markets, please contact Angie DiCarlo, Senior Director, Client Development & Sales, at 312.930.4515 or via email at Angie.DiCarlo@cmegroup.com.

iv) Weather Block Trades Executed Between 8:00 a.m. and 3:30 p.m.

Block trades in Weather futures and options executed between 8:00 a.m. and 3:30 p.m. Chicago time, Monday – Friday on regular business days should be price reported to Exchange staff by calling 312.648.3935 or 312.648.3936.

d) Information Required When Reporting Block Trades

When price reporting a block trade, the following information will be required:

- Contract, contract month and contract year for futures, and, additionally for options, strike price and put or call designation for standard options, as well as the expiration date and exercise style for flex options;
- Quantity of the trade or, for spreads and combinations, the quantity of each leg of the trade;
- Price of the trade or, for spreads and combinations, the price of each leg of the trade; and
- Buyer's clearing firm and seller's clearing firm;
- Name and phone number of the party reporting the trade (for block trades reported to the GCC or reported via telephone or email to the CME ClearPort Facilitation Desk);

- For block trades reported via phone or email to the CME ClearPort Facilitation Desk, counterparty name and contact information must also be provided for trade verification purposes; and
- Execution time (to the nearest minute in Central Time) of the trade. The execution time is the time at which the trade was consummated.

Market participants must exercise diligence in accurately reporting the execution time of the block trade. The reporting of inaccurate execution times may result in disciplinary action.

6. Block Trade Submission Requirements to CME Clearing

Block trades price reported to the GCC or Exchange staff as described in numbers i) or iv) above must be submitted to CME Clearing through the FEC User Interface via the portal under BLOCK entry. For block trades executed between 6:00 a.m. and 6:00 p.m. **Central Time**, firms must submit the trade within one hour. For block trades executed between 6:00 p.m. and 6:00 a.m. **Central Time**, firms must submit the trade no later than 7:00 a.m. **Central Time**.

Block trades are entered by both the buyer's and seller's clearing firm and go through a two-sided match process. Upon entry, confirmation records will be routed back to the firms for bookkeeping purposes. When reporting spread or combination transactions, each leg must be entered individually. The execution time is required to be entered and must be the actual time at which the transaction was consummated by the two parties, not the time at which the trade is reported by the parties to their respective firms. Thus, if the clearing member has not acted as either principal or agent in the transaction, it must ensure that its customer provides an accurate execution time.

A block trade in a block-eligible option may be executed up to and including the day on which an option contract expires for purposes of offsetting an open option position. The offsetting block trade must be price reported to the GCC pursuant to the requirements of Section 5 above, and the offset must be submitted to CME Clearing no later than the Position Change Submission ("PCS") deadline on the day on which the option contract expires. The PCS deadline for CME and CBOT products is 7:30 p.m. Central Time.

7. Block Trade Recordkeeping

Complete order records for block trades must be created and maintained pursuant to Rule 536 and CFTC Regulations. Additionally, the time of execution of the block trade must also be recorded for all block trades.

8. Dissemination of Block Trade Information

The date, execution time, contract details, price and quantity of block trades are reported upon receipt of the block information by GCC. Block trade information is reported on the MerQuote system and may be accessed by entering the code "BLK". The information will also be displayed on cmegroup.com at the following link: <http://www.cmegroup.com/tools-information/blocktrades.html>. Block trade information is also displayed on the trading floor.

Block trade prices are published separately from transactions in the regular market. Block trade volume is also identified in the daily volume reports published by the exchange.

9. BTIC Block Trades

A BTIC Transaction is a futures transaction that is priced with reference to the applicable cash index close price. BTIC Transactions in Select Sector futures and BTIC Transactions in DJUSRE futures may be executed as block trades pursuant to the requirements of Rule 526 ("Block Trades"), this Advisory Notice and the applicable provisions in the corresponding product chapter (CME Chapter 369 for Select Sector futures and CBOT Chapter 30 for DJUSRE futures).

The current minimum threshold of 50 contracts applicable to each product will also apply to BTIC block trades in the products. BTIC block trades will not be permitted on the last day of trading in an expiring contract month.

BTIC block trades **may not** be executed as a spread transaction pursuant to the requirements in Section 4 of this Advisory Notice. Parties wishing to effectuate a block spread transaction in either product will be required to negotiate the transaction as separate outright BTIC block trades, and each leg must meet the minimum threshold of 50 contracts.

The futures price assigned to a Select Sector futures BTIC block trade will be based on the current day's Select Sector cash index close price, or the current day's Select Sector cash index close price adjusted by any valid price increment (the "Basis") higher or lower than the Select Sector cash index close price. The Basis must be stated in full tick increments as set forth in CME Rule 36901 ("Commodity Specifications").

The futures price assigned to a DJUSRE futures BTIC block trade will be based on the current day's Dow Jones US Real Estate cash index close price, or the current day's Dow Jones US Real Estate cash index close price adjusted by any valid price increment higher or lower than the Dow Jones US Real Estate cash index close price. The Basis must be stated in full tick increments as set forth in CBOT Rule 30102 ("Trading Specifications").

BTIC block trades must be reported to the Exchange at least 10 minutes prior to the scheduled close of the underlying primary securities market to establish the BTIC futures price on the current trading day. A BTIC block trade that is not reported at least 10 minutes prior to the scheduled close of the underlying primary securities market will be priced based on the index close price for the next available trading day for the primary securities market.

The futures price of a BTIC block trade will be determined by the Exchange at 3:45 p.m. Central Time and the Exchange-determined price will be final at that time. In the event of an early scheduled close of the primary securities market, the futures price of a BTIC block trade will be determined by the Exchange 45 minutes after the early scheduled close time for the primary securities market, and the Exchange-determined price will be final at that time. In the event of an equity market disruption in the primary securities market, all BTIC block trades will be cancelled for that trade date.

10. Use of Nonpublic Information Regarding Block Trades

Parties involved in the solicitation or negotiation of a block trade may not disclose the details of those communications to any other party for any purpose other than to facilitate the execution of the block trade. Parties privy to nonpublic information regarding a consummated block trade may not disclose such information to any other party prior to the public report of the block trade by the Exchange. A broker negotiating a block trade on behalf of a customer may disclose the identity of the customer to potential counterparties, including the counterparty with which the block trade is consummated, only with the permission of the customer.

Pre-hedging or anticipatory hedging of any portion of a block trade in the same product or a closely-related product based upon a solicitation to participate in a block trade is not permitted. A closely related product is a product that is highly correlated to, serves as a substitute for, or is the functional economic equivalent of the product being traded as a block.

Counterparties to a block trade are permitted to initiate trades to hedge or offset the risk associated with the block trade following the consummation of the block trade, including during the period preceding the public report of the block trade by the Exchange.

Except as provided above, parties privy to nonpublic information attendant to a block trade are prohibited from trading in the same product or a closely-related product for the purpose of taking advantage of such

information prior to the public report of the block trade by the Exchange. This prohibition is not intended to preclude such parties from continuing to transact in the marketplace in the context of their normal business; rather, it precludes parties in possession of actionable nonpublic information regarding an imminent block trade or report of a block trade from specifically using such information to their advantage. Information regarding a block trade is considered to be nonpublic until such time that the block trade details have been disseminated to the marketplace by the Exchange or the information can otherwise be demonstrated to have become stale or obsolete.

Parties solicited to provide a two-sided block market are not deemed to be in possession of nonpublic information provided that side of market interest is not disclosed in the context of the solicitation.

11. Block Trades between Affiliated Parties

Block trades between the accounts of affiliated parties are permitted provided that 1) the block trade is executed at a fair and reasonable price; 2) each party has a legal and independent bona fide business purpose for engaging in the trade; and 3) each party's decision to enter into the block trade is made by an independent decision-maker. In the absence of satisfying the requirements above, the transaction may constitute an illegal wash trade prohibited by Rule 534 ("Wash Trades Prohibited").

12. CME & CBOT Block Trade-Eligible Products

For purposes of the interest rate products in the tables, the following times apply:

ETH: 12:00 a.m. – 7:00 a.m. CT, Monday through Friday on regular business days

RTH: 7:00 a.m. – 4:00 p.m. CT, Monday through Friday on regular business days

ATH: 4:00 p.m. – 12:00 a.m. CT, Monday through Friday on regular business days and at all times on weekends

CME Products	Futures	Options	Flex Options
Eurodollars (RTH)	4,000 contracts - or 1,000 contracts provided that a minimum of 1,000 contracts are transacted in yrs 6-10	10,000 contracts	Not Available
Eurodollars (ETH)	2,000 contracts – or 500 contracts provided that a minimum of 500 contracts are transacted in yrs 6-10	5,000 contracts	Not Available
Eurodollars (ATH)	1,000 contracts – or 250 contracts provided that a minimum of 250 contracts are transacted in yrs 6-10	2,500 contracts	Not Available
Eurodollar E-mini futures (RTH)	40,000 contracts	Not available	Not available
Eurodollar E-mini futures (ETH)	20,000 contracts	Not available	Not available
Eurodollar E-mini futures (ATH)	10,000 contracts	Not available	Not available
3-Month Overnight Index Swaps (OIS)(RTH)	2,000 contracts	Not available	Not available
3-Month Overnight Index Swaps (OIS)(ETH)	1,000 contracts	Not available	Not available

CME Products	Futures	Options	Flex Options
3-Month Overnight Index Swaps (OIS)(ATH)	500 contracts	Not available	Not available
3-Month OIS/Eurodollar spread (RTH)	4,000 contracts (all legs combined)	Not available	Not available
3-Month OIS/Eurodollar spread (ETH)	2,000 contracts (all legs combined)	Not available	Not available
3-Month OIS/Eurodollar spread (ATH)	1,000 contracts (all legs combined)	Not available	Not available
T-Bills (RTH)	100 contracts	Not available	Not available
T-Bills (ETH)	50 contracts	Not available	Not available
T-Bills (ATH)	25 contracts	Not available	Not available
Euroyen (RTH)	200 contracts	200 contracts	Not available
Euroyen (ETH)	100 contracts	100 contracts	Not available
Euroyen (ATH)	50 contracts	50 contracts	Not available
One-Month Eurodollar (RTH)	400 contracts	400 contracts	Not available
One-Month Eurodollar (ETH)	200 contracts	200 contracts	Not available
One-Month Eurodollar (ATH)	100 contracts	100 contracts	Not available
10-Year Sovereign Yield Spread	250 contracts	Not available	Not available
Three-Month Euribor	2,000 contracts (nearest 20 March quarterly cycle delivery months and non-March quarterly cycle delivery months)	Not available	Not available
	500 contracts (farthest 20 March quarterly cycle delivery months)		
Barclays Capital U.S. Aggregate Bond Index	50 contracts	Not available	Not available
Eurozone Harmonized Index of Consumer Prices (HICP)	50 contracts	Not available	Not available
S&P 500*	Not available	250 contracts	250 contracts
NASDAQ-100*	200 contracts - outright only	100 contracts	100 contracts
S&P SmallCap 600, E-mini S&P SmallCap 600, E-mini NASDAQ Composite Index, E-mini S&P Select Sector Stock Index	50 contracts	Not available	Not available
E-mini Yen Denominated Nikkei Stock Average Index	250 contracts	Not available	Not available
E-mini S&P CNX Nifty Index	50 contracts	Not available	Not available
E-micro S&P CNX Nifty Index	250 contracts	Not available	Not available
S&P MidCap 400*, S&P 500/Citigroup Growth, S&P 500/Citigroup Value, Nikkei 225	50 contracts	250 contracts	250 contracts
Custom Stock Index/SGI Wise US	50 contracts	Not Available	Not available
Goldman Sachs Commodity Index (GSCI), GSCI Excess Return Index	50 contracts for outright – 300 contracts for each leg of a spread transaction	Not available	Not available

CME Products	Futures	Options	Flex Options
EUR/USD 1-Month and 3-Month Realized Volatility	50 contracts	Not available	Not available
EUR/USD*, JPY/USD*	150 contracts	250 contracts	Not available
AUD/USD*, CAD/USD*, CHF/USD*, GBP/USD*, MXN/USD	100 contracts	250 contracts	Not available
EUR/GBP, EUR/CHF, EUR/JPY, NZD/USD, BRL/USD, CZK/USD, CZK/EUR, HUF/EUR, HUF/USD, ILS/USD, KRW/USD, PLN/USD, PLN/EUR, RMB/USD, RMB/EUR, RMB/JPY, RUB/USD, ZAR/USD, USD/TRY, EUR/TRY	50 contracts	250 contracts	Not available
AUD/CAD, AUD/JPY, AUD/NZD, CAD/JPY, CHF/JPY, EUR/AUD, EUR/CAD, EUR/NOK, EUR/SEK, GBP/JPY, GBP/CHF, INR/USD, NOK/USD, SEK/USD, USD/RMB (STD)	50 contracts	Not available	Not available
Dow Jones CME FX\$INDEX	50 contracts	Not available	Not available
Weather	20 contracts	20 contracts	Not available
Housing	20 contracts	20 contracts	Not available
U.S. Dollar Cash Settled Crude Palm Oil	10 contracts	Not available	Not available
Cheese	20 contracts	Not available	Not available

* NOT applicable to E-mini or E-micro FX or E-mini equity indexes except those listed above.

CBOT Products	Futures	Options	Flex Options
2-Year Treasury Notes (RTH)	5,000 contracts**	2,000 contracts	Same as Non-Flex Options
2-Year Treasury Notes (ETH)	2,500 contracts**	1,000 contracts	Same as Non-Flex Options
2-Year Treasury Notes (ATH)	1,250 contracts**	500 contracts	Same as Non-Flex Options
3-Year Treasury Notes (RTH)	5,000 contracts**	Not available	Not available
3-Year Treasury Notes (ETH)	2,500 contracts**	Not available	Not available
3-Year Treasury Notes (ATH)	1,250 contracts**	Not available	Not available
5- and 10-Year Treasury Notes (RTH)	5,000 contracts**	7,500 contracts	Same as Non-Flex Options
5- and 10-Year Treasury Notes (ETH)	2,500 contracts**	3,750 contracts	Same as Non-Flex Options
5- and 10-Year Treasury Notes (ATH)	1,250 contracts**	1,875 contracts	Same as Non-Flex Options
U.S. Treasury Bonds (RTH)	3,000 contracts**	7,500 contracts	Same as Non-Flex Options
U.S. Treasury Bonds (ETH)	1,500 contracts**	3,750 contracts	Same as Non-Flex Options
U.S. Treasury Bonds (ATH)	750 contracts**	1,875 contracts	Same as Non-Flex Options
Long-Term (Ultra) Treasury Bonds (RTH)	2,000 contracts**	Not available	Not available

CBOT Products	Futures	Options	Flex Options
Long-Term (Ultra) Treasury Bonds (ETH)	1,500 contracts**	Not available	Not available
Long-Term (Ultra) Treasury Bonds (ATH)	750 contracts**	Not available	Not available
2-, 5- and 10-Year OTR Treasury Yield (RTH)	2,000 contracts**	Not available	Not available
2-, 5- and 10-Year OTR Treasury Yield (ETH)	1,000 contracts**	Not available	Not available
2-, 5- and 10-Year OTR Treasury Yield (ATH)	500 contracts**	Not available	Not available
30-Day Fed Funds (RTH)	2,000 contracts	1,500 contracts	Same as Non-Flex Options
30-Day Fed Funds (ETH)	1,000 contracts	750 contracts	Same as Non-Flex Options
30-Day Fed Funds (ATH)	500 contracts	375 contracts	Same as Non-Flex Options
5-, 7-, 10- and 30-Year Interest Rate Swaps (RTH)	2,000 contracts	500 contracts	Not available
5-, 7-, 10- and 30-Year Interest Rate Swaps (ETH)	1,000 contracts	500 contracts	Not available
5-, 7-, 10- and 30-Year Interest Rate Swaps (ATH)	500 contracts	500 contracts	Not available
2-Year DSF	3,000 contracts	Not available	Not available
5-Year DSF	1,500 contracts	Not available	Not available
10-Year DSF	1,000 contracts	Not available	Not available
30-Year DSF	500 contracts	Not available	Not available
Dow Jones-UBS Commodity Index Excess Return	300 contracts	Not available	Not available
Dow Jones U.S. Real Estate Index	50 contracts	Not available	Not available
Ethanol	10 contracts	10 contracts	Not available
Denatured Ethanol Forward Month	10 contracts	Not available	Not available
Distillers' Dried Grain	10 contracts	Not available	Not available
Black Sea Wheat	10 contracts	Not available	Not available

** Block trading of intra-commodity Treasury, OTR Treasury Yield and 5-, 7-, 10- and 30-Year Interest Rate Swap futures calendar spreads is prohibited.

13. Text of CME & CBOT Rule 526

Rule 526 BLOCK TRADES

The Exchange shall designate the products in which block trades shall be permitted and determine the minimum quantity thresholds for such transactions. The following shall govern block trades:

- A. A block trade must be for a quantity that is at or in excess of the applicable minimum threshold. Orders may not be aggregated in order to achieve the minimum transaction size, except by those entities described in Sections I. and J.
- B. Each party to a block trade must be an Eligible Contract Participant as that term is defined in Section 1a(18) of the Commodity Exchange Act.
- C. A member shall not execute any order by means of a block trade for a customer unless such customer has specified that the order be executed as a block trade.
- D. The price at which a block trade is executed must be fair and reasonable in light of (i) the size of the block trade, (ii) the prices and sizes of other transactions in the same contract at the relevant time, (iii) the prices and sizes of transactions in other relevant markets, including without limitation the underlying cash market or related futures markets, at the relevant time, and (iv) the circumstances of the markets or the parties to the block trade.
- E. Block trades shall not set off conditional orders (e.g., Stop Orders and MIT Orders) or otherwise affect orders in the regular market.

- F. **CME** –Unless otherwise agreed to by the principal counterparties to the block trade, the seller, or, in the case of a brokered transaction, the broker handling the block trade, must ensure that each block trade is reported to the Exchange within five minutes of the time of execution; except that block trades in interest rate futures and options executed outside of Regular Trading Hours (7:00 a.m. – 4:00 p.m. Central Time, Monday – Friday on regular business days) and Housing and Weather futures and options must be reported within fifteen minutes of the time of execution. The report must include the contract, contract month, price, quantity of the transaction, the respective clearing members, the time of execution, and, for options, strike price, put or call and expiration month. The Exchange shall promptly publish such information separately from the reports of transactions in the regular market.
- F. **CBOT** - Unless otherwise agreed to by the principal counterparties to the block trade, the seller, or, in the case of a brokered transaction, the broker handling the block trade, must ensure that each block trade is reported to the Exchange within five minutes of the time of execution; except that block trades in interest rate futures and options executed outside of Regular Trading Hours (7:00 a.m. – 4:00 p.m. Central Time, Monday – Friday on regular business days) must be reported within fifteen minutes of the time of execution. The report must include the contract, contract month, price, quantity of the transaction, the respective clearing members, the time of execution, and, for options, strike price, put or call and expiration month. The Exchange shall promptly publish such information separately from the reports of transactions in the regular market.
- G. Block trades must be reported to the Clearing House in accordance with an approved reporting method.
- H. Clearing members and members involved in the execution of block trades must maintain a record of the transaction in accordance with Rule 536.
- I. A commodity trading advisor ("CTA") registered or exempt from registration under the Act, including, without limitation, any investment advisor registered or exempt from registration under the Investment Advisors Act of 1940, shall be the applicable entity for purposes of Sections A., B., C., and D., provided such advisors have total assets under management exceeding \$25 million and the block trade is suitable for the customers of such advisors.
- J. A foreign Person performing a similar role or function to a CTA or investment advisor as described in Section I, and subject as such to foreign regulation, shall be the applicable entity for purposes of Sections A., B., C., and D., provided such Persons have total assets under management exceeding \$25 million and the block trade is suitable for the customers of such Persons.

14. Contact Information

Questions regarding this advisory may be directed to the following individuals:

Market Regulation:	Colin Garvey, Investigations	312.435.3656
	Robert Sniegowski, Rules & Regulatory Outreach	312.341.5991
	Erin Schwartz, Rules & Regulatory Outreach	312.341.3083
CME Clearing:	CME Clearing Support	312.207.2525

For media inquiries concerning this Advisory Notice, please contact CME Group Corporate Communications at 312.930.3434 or news@cmegroup.com.

Section I:

Market Regulation Advisory Notices

NYMEX and COMEX Members

- 1 Block Trades – Rule 526
- 9 Trading at Settlement (“TAS”), Trading at Marker (“TAM”) and Matched Order (“MO”) Transactions – Rule 524

I. MARKET REGULATION ADVISORY NOTICE – Block Trades

Exchange	NYMEX & COMEX
Subject	Block Trades
Rule References	Rule 526
Advisory Date	April 29, 2013
Advisory Number	NYMEX & COMEX RA1308-4
Effective Date	May 13 & 20, 2013

This Advisory Notice supersedes NYMEX & COMEX Market Regulation Advisory Notice RA1307-4R issued on April 19, 2013. It is being issued to notify the marketplace that pending all relevant regulatory review periods, effective on Sunday, May 12, 2013, for trade date Monday, May 13, 2013, NYMEX will 1) permit block trading in nine new Renewable Identification Number (“RIN”) Energy products and 2) modify block trade minimum thresholds in 25 Power products based on changes being made to contract sizes and/or the variable quantity unit associated with the products.

Additionally, the launch of trading in all NYMEX & COMEX Realized Variance futures contracts has been delayed. Block trading in those products will not be available until the products are launched.

Pending all relevant regulatory review periods, effective Sunday, May 19, 2013, for trade date Monday, May 20, 2013, COMEX will begin to permit Trading at Settlement (“TAS”) block trading in the active month in Gold futures and Silver futures at a minimum threshold of 25 contracts for each product. Additional information about TAS block trades is included in Section 5 of this Advisory Notice.

Block Trade Minimum Thresholds in Nine (9) New RIN Products

Block trading in the following nine RIN products will be permitted at a block trade minimum threshold of five (5) contracts concurrent with the launch of trading in these products on May 12, 2013:

- D4 Biodiesel RINs (Argus) 2012, 2013 and 2014 futures (D42, D43 and D44)
- D5 Advanced Biofuel RINs (Argus) 2012, 2013 and 2014 futures (D52, D53 and D54)
- D6 Ethanol RINs (Argus) 2012, 2013 and 2014 futures (D62, D63 and D64)

Additional information concerning the nine new products is available in CME Group Special Executive Report S-6639, available [here](#).

Block Trade Minimum Threshold Changes in 25 Power Products

Also effective on May 12, 2013, NYMEX will adopt contract size changes and/or changes to the variable quantity unit in 25 NYMEX Power products. The revised block levels are set forth in the Table on the next page, and additional information concerning the changes to the products is available in CME Group Special Executive Report S-6631, available [here](#).

Product Title & Clearing Code	Revised Block Trade Minimum Threshold (May 12, 2013)	Current Block Trade Minimum Threshold (Through May 11, 2013)
NYISO Zone A Day-Ahead Peak Calendar-Day 5 MW futures (AN)	5	10
Alberta Power Pool Calendar Day futures (AOD)	24	5
PJM AEP Dayton Hub Real-Time Off-Peak Calendar-Day 5 MW futures (AOR)	16	32
ISO New England Mass Hub Day-Ahead Peak Calendar-Day 5 MW futures (CE)	5	10
Dow Jones Mid-Columbia Electricity Price Index futures (DO)	100	10
ISO New England Mass Hub Day-Ahead Off-Peak Calendar-Day 5 MW futures (IDO)	16	32
NYISO Zone J Day-Ahead Peak Calendar-Day 5 MW futures (JN)	5	10
Midwest ISO Minnesota Hub Off-Peak Calendar-Month LMP futures (LJ)	100	4
Midwest ISO Minnesota Hub Peak Calendar-Month LMP futures (LM)	100	10
PJM Northern Illinois Hub Real-Time Off-Peak Calendar-Day 5 MW futures (NOC)	16	32
MISO Illinois Hub Off-Peak Calendar-Month LMP futures (OJ)	100	4
MISO Illinois Hub Peak Calendar-Month LMP futures (OM)	100	10
PJM AEP Dayton Hub Peak Calendar-Month LMP option (OT)	95	10
PJM AEP Dayton Hub Day-Ahead Peak Calendar-Day 5 MW futures (PAP)	5	10
PJM AEP Dayton Hub Day-Ahead Off-Peak Calendar-Day 5 MW futures (PEO)	16	32
PJM AEP Dayton Hub Peak 50 MW Calendar-Month LMP options (PJD)	19	2
PJM Northern Illinois Hub Peak 50 MW Calendar-Month LMP options (PJN)	19	2
PJM Northern Illinois Hub Day-Ahead Off-Peak Calendar-Day 5 MW futures (PNO)	16	32
PJM Northern Illinois Hub Day-Ahead Peak Calendar-Day 5 MW futures (PNP)	5	10
PJM Western Hub Day-Ahead Off-Peak Calendar-Day 5 MW futures (PWO)	16	32
PJM Western Hub Day-Ahead Peak Calendar-Day 5 MW futures (PWP)	5	10
PJM Western Hub Real-Time Off-Peak Calendar-Day 5 MW futures (WOR)	16	32
NYISO Zone A Day-Ahead Off-Peak Calendar-Day 5 MW futures (ZAO)	16	32
NYISO Zone G Day-Ahead Off-Peak Calendar-Day 5 MW futures (ZGO)	16	32
NYISO Zone J Day-Ahead Off-Peak Calendar-Day 5 MW futures (ZJO)	16	32

A complete list of NYMEX and COMEX products in which block trading is permitted, and the relevant quantity thresholds, is available on the CME Group website via the following link:

[NYMEX & COMEX Block Trade-Eligible Products and Minimum Quantity Thresholds](#)

The text of Rule 526 appears in Section 12 of this Advisory Notice.

1. Definition of Block Trades

Block trades are privately negotiated futures, options or combination transactions that meet certain quantity thresholds which are permitted to be executed apart from the public auction market.

It shall not be permissible to facilitate the execution of block trades in Exchange-traded products on a system or facility accessible to multiple parties that allows for the electronic matching of or the electronic acceptance of bids and offers; block trades transacted in such manner do not satisfy the privately negotiated standard. Parties may use communication technologies to bilaterally request block quotes from one or more participants and to conduct privately negotiated block trades. Parties may also utilize technologies supported by third parties which allow for the electronic posting of indicative block markets displayed to multiple market participants. However, block trades executed between parties on the basis of such electronically displayed indicative markets may be transacted only through direct bilateral communications involving the broker, where applicable, and the parties to the trade.

All block trades are subject to the conditions set forth in NYMEX and COMEX Rule 526 and in this Advisory Notice.

2. Participation in Block Trades

Each party to a block trade must be an Eligible Contract Participant as that term is defined in Section 1a of the Commodity Exchange Act. Eligible Contract Participants generally include exchange members and member firms, broker/dealers, government entities, pension funds, commodity pools, corporations, investment companies, insurance companies, depository institutions and high net-worth individuals. Commodity trading advisors and investment advisors who are registered or exempt from registration, and foreign persons performing a similar role and subject as such to foreign regulation, may participate in block transactions provided they have total assets under management exceeding \$25 million and the block trade is suitable for their customers.

A customer order may be executed by means of a block trade only if the customer has specified that the order be executed as a block trade.

Orders may not be bunched to meet the minimum block quantity thresholds.

3. Time and Prices of Block Trades

Block trades may be executed at any time, including times during which the public auction market is closed.

Block trades must be transacted at prices that are “**fair and reasonable**” in light of (i) the size of the transaction, (ii) the prices and sizes of other transactions in the same contract at the relevant time, (iii) the prices and sizes of transactions in other relevant markets, including, without limitation, the underlying cash market or related futures markets, at the relevant time, and (iv) the circumstances of the markets or the parties to the block trade.

The trade price must be consistent with the minimum tick increment for the market in question. Additionally, each outright transaction and each leg of any block eligible spread or combination trade must be executed at a single price.

Block trade prices are reported independently of transaction prices in the regular market and are not included as part of the daily trading range. Block trade prices do not elect conditional orders (e.g. stop orders) or otherwise affect orders in the regular market.

4. Block Trade Minimum Quantities for Outrights, Spreads and Combinations

The block trade minimum quantity requirements for outright futures and options are set forth in the list of block trade eligible products which is available on the CME Group website via the following link:

[NYMEX & COMEX Block Trade-Eligible Products and Minimum Quantity Thresholds](#)

- a) Intra-Commodity Futures Spreads and Futures Combinations and Intra-Commodity Options Spreads and Options Combinations

These spreads and combination transactions may be executed as block trades provided that the sum of the quantities of the legs of the transaction meets the minimum block quantity threshold.

- b) Inter-Commodity Futures Spreads and Futures Combinations and Inter-Commodity Options Spreads and Options Combinations

These spreads and combination transactions may be executed as block trades provided that the sum of the quantities of the legs of the transaction meets the larger of the threshold requirements for the underlying products.

c) Options/Futures Spreads

Options/futures spreads may be executed as block trades provided that the options component of the spread meets the minimum quantity threshold for the outright option or option combination and the quantity of futures executed is consistent with the delta of the options component of the spread.

5. TAS & TAM Block Trades

Certain block-eligible futures contract months may be executed as block trades and assigned the current day's settlement price or any valid price increment ten ticks higher or lower than the settlement price ("TAS block trades"). Certain block-eligible futures contract months may also be executed as block trades and assigned the current day's marker price or any valid price increment ten ticks higher or lower than the marker price ("TAM block trades").

Additionally, intra-commodity calendar spreads in the nearby month/second month spread and the second month/third month spread may be executed as TAS or TAM block trades provided that the underlying product is eligible for TAS or TAM trading.

The pricing of the legs of a TAS or TAM calendar spread block trade will be calculated as follows:

- The nearby leg of the spread will always be priced at the settlement or marker price, as applicable, for that contract month.
- The far leg of the spread will be priced at the settlement or marker price, as applicable, for that contract **minus** the allowable TAS or TAM price increment traded (-10 through +10), except in circumstances where the traded TAS or TAM price is the actual settlement or marker price of the contract.

TAS block trades, including eligible TAS calendar spread block trades, may not be executed on the last day of trading in an expiring contract.

The products and contract months in which TAS and TAM block trades are permitted are set forth in the list of block trade eligible products which is available on the CME Group website via the following link:

[NYMEX & COMEX Block Trade-Eligible Products and Minimum Quantity Thresholds](#)

6. Block Trade Price Reporting Requirements

a) Time Requirements

Block trades in the following list of products must be price reported to the exchange within **five minutes** of the transaction.

- Brent Crude Oil Penultimate Financial futures (BB)
- Brent Crude Oil Last Day Financial futures (BZ)
- Light Sweet Crude Oil futures (CL)
- Gulf Coast Sour Crude Oil futures (MB)
- New York Harbor ULSD Heating Oil futures (HO)
- Henry Hub Natural Gas futures (NG)
- RBOB Gasoline futures (RB)
- NYMEX Cocoa, Coffee, Cotton and No. 11 Sugar futures (CJ, KT, TT and YO)
- Gold futures (GC)
- Silver futures (SI)
- Copper futures (HG)

Block trades in all other block-eligible NYMEX and COMEX products must be price reported to the exchange within **15 minutes** of the transaction. If the block transaction involves a spread or combination that includes certain legs subject to a five minute reporting requirement and other legs subject to a 15 minute reporting requirement, the reporting requirement for the transaction will be 15 minutes.

b) Reporting Obligation

Price reporting obligations are the responsibility of the seller, unless otherwise agreed to by the principal counterparties to the block trade; however, in the case of a brokered transaction, the price reporting obligation is the responsibility of the broker handling the block trade, unless otherwise agreed to by the principal counterparties to the block trade. For purposes of the foregoing, the term "seller" refers to the principal counterparty acting as the seller of the block trade.

The failure to submit timely, accurate and complete block trade reports may subject the party responsible for the reporting obligation to disciplinary action. Parties shall not be sanctioned for block reporting infractions deemed to arise from factors beyond the reporting party's control (e.g. the block trade fails the CME ClearPort automated credit check.)

c) Reporting Methods

Block trades must be price reported to the exchange via one of the methods described below:

i) CME ClearPort

Block trades may be electronically submitted directly to CME Clearing via CME ClearPort.

Block trades may also be price reported to the CME ClearPort Facilitation Desk by calling **1.866.246.9639** or via email at FacDesk@cmegroup.com. In either case, all of the data and information elements required in d) below must be submitted.

For information on reporting block trades through CME ClearPort, please contact customer service at 1.800.438.8616 or via email at ClearPort@cmegroup.com.

ii) CME Direct

Block Trades may be electronically submitted to CME Clearing via entry on the CME Direct platform, which will satisfy the price reporting requirement. The CME Direct platform is directly connected to CME ClearPort. For information on submitting Block Trades through CME Direct, please contact CME Direct Support at CMEDirectSupport@cmegroup.com.

iii) Trading Floor

Block trades negotiated on the trading floor may either be electronically submitted directly to CME Clearing via CME ClearPort pursuant to i) above or may be price reported to exchange staff at the Master Pulpit.

d) Information Required when Reporting Block Trades

When reporting a block trade, the following information will be required:

- Contract, contract month and contract year for futures, and, additionally for options, strike price and put or call designation;
- Quantity of the trade or, for spreads and combinations, the quantity of each leg of the trade;
- Price of the trade or, for spreads and combinations, the price of each leg of the trade;
- Buyer's clearing firm and seller's clearing firm;
- Name and phone number of the party reporting the trade (for block trades reported on the trading floor or reported via telephone or email to the CME ClearPort Facilitation Desk);

- For block trades reported via phone or email to the CME ClearPort Facilitation Desk, counterparty name and contact information must also be provided for trade verification purposes; and
- Execution time (to the nearest minute in Eastern Time) of the trade. The execution time is the time at which the trade was consummated.

Market participants must exercise diligence in accurately reporting the execution time of the block trade. The reporting of inaccurate execution times may result in disciplinary action.

7. Block Trade Submission Requirements to CME Clearing

Block trades price reported to Exchange staff at the Master Pulpit on the trading floor must be entered by the seller or his designated representative into the CME clearing system within 30 minutes of execution and must contain the material terms of the trade, including the allocation to the correct clearing firm unless the trade will clear at the seller's qualifying clearing member firm. Within 30 minutes after entry by the seller, the buyer or his designated representative must enter into the CME clearing system a time of execution for the trade, note any disagreement with any of the terms of the trade entered by the seller, and allocate the trade to the correct clearing firm unless the trade will clear at the buyer's qualifying clearing member firm. When reporting spread or combination transactions, each leg must be entered individually. Separate submission to the CME clearing system is not required in the event that the block trade is directly entered into CME ClearPort, CME Direct or reported to the CME ClearPort Facilitation Desk.

8. Block Trade Recordkeeping

Complete order records for block trades must be created and maintained pursuant to Rule 536 and CFTC Regulations. Additionally, the time of execution of the block trade must also be recorded for all block trades.

9. Dissemination of Block Trade Information

The date, execution time, contract month, price and quantity of block trades are displayed on the CME Group website at the following link: [Block Trades](#).

Block trade prices are published separately from transactions in the regular market.

Block trade volume is also identified in the daily volume reports published by the exchange.

10. Use of Nonpublic Information Regarding Block Trades

Parties involved in the solicitation or negotiation of a block trade may not disclose the details of those communications to any other party for any purpose other than to facilitate the execution of the block trade. Parties privy to nonpublic information regarding a consummated block trade may not disclose such information to any other party prior to the public report of the block trade by the Exchange. A broker negotiating a block trade on behalf of a customer may disclose the identity of the customer to potential counterparties, including the counterparty with which the block trade is consummated, only with the permission of the customer.

Pre-hedging or anticipatory hedging of any portion of a block trade in the same product or a closely-related product based upon a solicitation to participate in a block trade is not permitted. A closely related product is a product that is highly correlated to, serves as a substitute for, or is the functional economic equivalent of the product being traded as a block.

Counterparties to a block trade are permitted to initiate trades to hedge or offset the risk associated with the block trade following the consummation of the block trade, including during the period preceding the public report of the block trade by the Exchange.

Except as provided above, parties privy to nonpublic information attendant to a block trade are prohibited from trading in the same product or a closely-related product for the purpose of taking advantage of such information prior to the public report of the block trade by the Exchange. This prohibition is not intended to preclude such parties from continuing to transact in the marketplace in the context of their normal business; rather, it precludes parties in possession of actionable nonpublic information regarding an imminent block trade or report of a block trade from specifically using such information to their advantage. Information regarding a block trade is considered to be nonpublic until such time that the block trade details have been disseminated to the marketplace by the Exchange or the information can otherwise be demonstrated to have become stale or obsolete.

Parties solicited to provide a two-sided block market are not deemed to be in possession of nonpublic information provided that side of market interest is not disclosed in the context of the solicitation.

11. Block Trades between Affiliated Parties

Block trades between the accounts of affiliated parties are permitted provided that 1) the block trade is executed at a fair and reasonable price; 2) each party has a legal and independent bona fide business purpose for engaging in the trade; and 3) each party's decision to enter into the block trade is made by an independent decision-maker. In the absence of satisfying the requirements above, the transaction may constitute an illegal wash trade prohibited by Rule 534 ("Wash Trades Prohibited").

12. Text of NYMEX & COMEX Rule 526

Rule 526 BLOCK TRADES

The Exchange shall designate the products in which block trades shall be permitted and determine the minimum quantity thresholds for such transactions. The following shall govern block trades:

- A. A block trade must be for a quantity that is at or in excess of the applicable minimum threshold. Orders may not be aggregated in order to achieve the minimum transaction size, except by those entities described in Sections I. and J.
- B. Each party to a block trade must be an Eligible Contract Participant as that term is defined in Section 1a(18) of the Commodity Exchange Act.
- C. A member shall not execute any order by means of a block trade for a customer unless such customer has specified that the order be executed as a block trade.
- D. The price at which a block trade is executed must be fair and reasonable in light of (i) the size of the block trade, (ii) the prices and sizes of other transactions in the same contract at the relevant time, (iii) the prices and sizes of transactions in other relevant markets, including without limitation the underlying cash market or related futures markets, at the relevant time, and (iv) the circumstances of the markets or the parties to the block trade.
- E. Block trades shall not set off conditional orders (e.g., Stop Orders and MIT Orders) or otherwise affect orders in the regular market.
- F. Unless otherwise agreed to by the principal counterparties to the block trade, the seller, or, in the case of a brokered transaction, the broker handling the block trade, must ensure that each block trade is reported the Exchange within five minutes of the time of execution in the following futures products: Brent Crude Financial, Brent Crude Oil Last Day Financial, Light Sweet Crude Oil, Gulf Coast Sour Crude Oil, New York Harbor ULSD Heating Oil, Henry Hub Natural Gas, RBOB Gasoline, Cocoa, Coffee, Cotton, No. 11 Sugar, Gold, Silver and Copper. In all other block-eligible futures products and all block-eligible options products, the seller must ensure that each block trade is reported to the Exchange within fifteen minutes of the time of execution. The report must include the contract, contract month, price, quantity of the transaction, the respective clearing members, the time of execution, and, for options, strike price, put or call and expiration month. The Exchange shall promptly publish such information separately from the reports of transactions in the regular market.
- G. Block trades must be reported to the Clearing House in accordance with an approved reporting method.
- H. Clearing members and members involved in the execution of block trades must maintain a record of the transaction in accordance with Rule 536.
- I. A commodity trading advisor ("CTA") registered or exempt from registration under the Act, including, without limitation, any investment adviser registered or exempt from registration under the Investment Advisers Act of 1940, shall be the applicable entity for purposes of Sections A., B., C., and D., provided such advisors have

total assets under management exceeding \$25 million and the block trade is suitable for the customers of such advisors.

- J. A foreign Person performing a similar role or function to a CTA or investment adviser as described in Section I, and subject as such to foreign regulation, shall be the applicable entity for purposes of Sections A., B., C., and D., provided such Persons have total assets under management exceeding \$25 million and the block trade is suitable for the customers of such Persons.

13. Contact Information

Questions regarding this Advisory Notice may be directed to the following individuals:

Market Regulation:	Colin Garvey, Investigations	312.435.3656
	Robert Sniegowski, Rules & Regulatory Outreach	312.341.5991
	Erin Schwartz, Rules & Regulatory Outreach	312.341.3083
CME Clearing:	CME Clearing Support	312.207.2525

For media inquiries concerning this Advisory Notice, please contact CME Group Corporate Communications at 312.930.3434 or news@cmegroup.com.

II. MARKET REGULATION ADVISORY NOTICE - Trading at Settlement ("TAS"), Trading at Marker ("TAM") and Matched Order ("MO") Transactions

Exchange	NYMEX & COMEX
Subject	Trading at Settlement ("TAS"), Trading at Marker ("TAM") and Matched Order ("MO") Transactions
Rule References	Rule 524
Advisory Date	April 29, 2013
Advisory Number	NYMEX & COMEX RA1309-4
Effective Date	May 13, 2013

This Advisory Notice supersedes NYMEX & COMEX Market Regulation Advisory Notice RA1306-4 from March 25, 2013, and is being issued to notify the marketplace that pending all relevant regulatory review periods, effective Sunday, May 12, 2013, for trade date Monday, May 13, 2013, COMEX will begin to permit block trades in the first active month of COMEX Gold and Silver futures to be priced at the daily settlement price via execution as Trading at Settlement ("TAS") block trades pursuant to the requirements of Rule 524 ("Trading at Settlement ("TAS"), Trading at Marker ("TAM") and Matched Order ("MO") Transactions") and Rule 526 ("Block Trades").

Additional information on the regulatory requirements attendant to block trades is included in a separate Market Regulation Advisory Notice concerning Rule 526, which may be accessed via the following link: [Market Regulation Advisory Notices](#)

Important information on regulatory considerations for market participants engaging in TAS, TAM or MO transactions appears in Section 2, a list of TAS- and TAM-eligible products and contract months appears in Sections 7 and 8, and the text of Rule 524 appears in Section 9 of this Advisory Notice.

1. General Information on TAS, TAM and MO Transactions

TAS transactions may be executed at the current day's settlement price or at any valid price increment ten ticks higher or lower than the settlement price, and, depending on the particular product, may be executed in the pit, on CME Globex or as a block trade.

TAM trading is analogous to existing Trading at Settlement ("TAS") trading wherein parties are permitted to trade at a differential to a not-yet-known price. TAM trading uses a marker price, whereas TAS trading uses the Exchange-determined settlement price for the applicable contract month. As with TAS trading, parties are able to enter TAM orders at the TAM price or at a differential between one and ten ticks higher or lower than the TAM price. TAM transactions may be executed on CME Globex or as a block trade.

MO transactions in pit-traded Copper futures are transactions which are priced at that day's settlement price for the contract.

2. Regulatory Considerations

All market participants are reminded that any trading activity that is intended to disrupt orderly trading or to manipulate or attempt to manipulate a settlement or marker price to benefit a TAS, TAM or MO position, including a TAS or TAM block position, will subject the member and/or the market participant to disciplinary action for any of a number of rule violations, including, but not limited to:

- price manipulation or attempted price manipulation
- wash trading
- conduct detrimental to the interest or welfare of the Exchange or conduct which tends to impair the dignity or good name of the Exchange
- engaging in conduct inconsistent with just and equitable principles of trade

Investigation of suspected manipulative or disruptive activity related to TAS, TAM or MO, or activity in the related underlying markets will include the Market Regulation Department's ("Department") review of positions and trading activity in NYMEX markets and any related markets to determine if such activity was disruptive, collusive, and/or caused or attempted to cause aberrant price movement during these periods. Pursuant to Rule 432.L.3, market participants are reminded that it is an offense to fail to produce any books or records requested by authorized Exchange staff within 10 days after such request is made or such shorter period of time as determined by the Exchange in exigent circumstances. The Department always has the authority to request books and records as well as other relevant information regarding the nature of a participant's trading and positions in Exchange products and in any related markets as part of its regulatory program.

A party carrying an open Platts TAM or Platts TAM block position in HO or RB is prohibited from trading on the opposite side of the market in the underlying HO or RB market during the time period from 3:14:00.000 to 3:14:59.999 p.m. ET. The Department will closely monitor activity in HO and RB futures and Platts-related markets that rely upon pricing in NYMEX HO and RB futures markets at 3:15 p.m. ET. In conducting that surveillance, Market Regulation may routinely request and review books and records related to participants' positions and trading activities in related markets that settle to or reference floating prices determined by the Platts 3:15 p.m. ET Futures Price Assessment ("FPA") of the HO and RB markets.

Market participants who engage in or plan to engage in trading any Platts-related markets that rely upon pricing in NYMEX HO and RB futures markets at 3:15 p.m. ET are strongly encouraged to review the regulatory guidance contained in NYMEX & COMEX Market Regulation Advisory Notice RA1307-4 specific to such activity.

3. Entry of TAS and TAM Orders on CME Globex

Rule 524 permits the initiation of TAS and TAM orders into CME Globex only subsequent to the beginning of each group's pre-open state and during the time period the applicable contracts are available for TAS or TAM trading on CME Globex. The initiation of any TAS or TAM order on CME Globex outside of these time periods is strictly prohibited.

Any market participant who initiates the entry of a TAS or TAM order prior to receipt of the security status message indicating that market has transitioned to the pre-open will be subject to disciplinary action by a panel of the Business Conduct Committee, notwithstanding that the order may have been rejected by the CME Globex system. Sanctions for noncompliance may include a fine, disgorgement of any profits realized as a result of any orders accepted by CME Globex which were initiated prior to receipt of the security status message and/or a suspension of access to the market. Market participants must ensure that they have appropriate protocols in place to ensure that TAS and TAM orders are not initiated prior to receipt of the security status message.

4. TAS and TAM Calendar Spreads

Intra-commodity calendar spreads in the nearby month/second month spread, the second month/third month spread and the nearby month/third month spread in Light Sweet Crude Oil, New York Harbor No. 2 Heating Oil, Henry Hub Natural Gas and RBOB Gasoline futures may be executed on CME Globex or on the trading floor and priced at TAS.

For the London Marker, intra-commodity calendar spreads in the nearby month/second month spread, the second month/third month spread and the nearby/third month spread in Light Sweet Crude Oil, Brent Crude Oil Last Day Financial, New York Harbor ULSD Heating Oil and RBOB Gasoline futures may be executed on CME Globex and priced at TAM.

For the Singapore Marker, intra-commodity calendar spreads in the nearby month/second month spread, the second month/third month spread and the nearby/third month spread in Light Sweet Crude Oil and Brent Crude Oil Last Day Financial futures may be executed on CME Globex and priced at TAM.

For the Platts 3:15 p.m. ET Marker, intra-commodity calendar spreads in the nearby month/second month spread in New York Harbor ULSD Heating Oil and RBOB Gasoline futures may be executed on CME Globex and priced at TAM.

The pricing of the legs of a TAS or TAM calendar spread will be calculated as follows:

- The nearby leg of the spread will always be priced at the settlement or marker price, as applicable, for that contract month.
- The far leg of the spread will be priced at the settlement or marker price, as applicable, for that contract **minus** the allowable TAS or TAM price increment traded (negative 10 through positive 10), except in circumstances where the traded TAS or TAM price is the actual settlement or marker price of the contract.

Example 1:

An April 2013/May 2013 Light Sweet Crude Oil calendar spread trades at TAS -1 (minus 1). Assume the April contract settles at 92.16 and the May contract settles at 92.52.

The April leg will be priced at the April settlement price of 92.16. The May leg will be priced at 92.53, which is the May settlement price of 92.52 minus the TAS price increment of -1 ($92.52 \text{ minus } -.01 = 92.53$).

Example 2:

A May 2013/June 2013 New York Harbor ULSD Heating Oil calendar spread trades at TAM +/- 0. Assume the May marker price is 2.9684 and the June marker price is 2.9658.

The May leg will be priced at the May marker price of 2.9684. The June leg will be priced at 2.9658, which is the June marker price.

Example 3:

An April 2013/June 2013 Henry Hub Natural Gas calendar spread trades at TAS +3. Assume the April contract settles at 3.969 and the June contract settles at 4.031.

The April leg will be priced at the April settlement price of 3.969. The June leg will be priced at 4.028, which is the June settlement price of 4.031 minus the TAS price increment of +3 ($4.031 \text{ minus } +.003 = 4.028$).

5. TAS on Gold, Silver and Copper Futures

TAS transactions are allowed in the active contract month in Gold and Silver futures trading in the pit, on CME Globex or as block trades and in the first active contract month in Copper futures trading on CME Globex. **TAS transactions are not allowed to be executed in the Copper futures pit and Copper futures may not be executed as a TAS block trade.**

In Gold futures, TAS trades are permitted in the following active contract months: February, April, June, August, and December. Accordingly:

When the February contract becomes spot, the April contract becomes the TAS-eligible month;
When the April contract becomes spot, the June contract becomes the TAS-eligible month;
When the June contract becomes spot, the August contract becomes the TAS-eligible month;
When the August contract becomes spot, the December contract becomes the TAS-eligible month; and
When the December contract becomes spot, the February contract becomes the TAS-eligible month.

In Silver and Copper futures, TAS trades are permitted in the following active contract months: March, May, July, September and December. Accordingly:

When the March contract becomes spot, the May contract becomes the TAS-eligible month;
When the May contract becomes spot, the July contract becomes the TAS-eligible month;
When the July contract becomes spot, the September contract becomes the TAS-eligible month;
When the September contract becomes spot, the December contract becomes the TAS-eligible month; and
When the December contract becomes spot, the March contract becomes the TAS-eligible month.

6. MO Transactions in Pit-Traded Copper Futures

MO transactions in Copper futures are open outcry trades competitively executed in the Copper futures pit where the trade is priced at that day's settlement price for the contract. MO transactions in Copper futures are eligible to be executed in the spot month and the next six consecutive contract months. Additionally, with the exception of the post close session, members may execute an MO order at any time the Copper futures pit is open for trading, provided that the order is placed as an MO order. Regular trading hours for open outcry trading in the Copper futures pit are from 8:10 a.m. until 1:00 p.m. Eastern Time ("ET").

Instructions to execute the order as an MO must be denoted on the order and members must identify an order executed as an MO on their trading record.

An order entered as an MO will be executed by the floor broker as a Market on Close order if the order is not previously executed as an MO or specifically designated to be executed only as an MO. An instruction to execute an order only as an MO must be communicated at the time the order is placed.

7. TAS-Eligible Products and Contract Months

Pit-Traded Contracts

Light Sweet Crude Oil (CL)

spot (except on the last trading day), 2nd, 3rd and 7th months
nearby/second month, second/third month and nearby/third month calendar spreads

Pit-Traded Contracts - continued

Brent Crude Oil Last Day Financial (BZ)

spot (except on the last trading day), 2nd and 3rd months

New York Harbor ULSD Heating Oil (HO)

spot (except on the last trading day), 2nd and 3rd months

nearby/second month, second/third month and nearby/third month calendar spreads

Henry Hub Natural Gas (NG)

spot (except on the last trading day), 2nd and 3rd months

nearby/second month, second/third month and nearby/third month calendar spreads

Henry Hub Natural Gas Last Day Financial (NN)

spot (except on the last trading day), 2nd and 3rd months

Henry Hub Natural Gas Look-Alike Last Day Financial (HH)

Spot (except on the last trading day), 2nd and 3rd months

RBOB Gasoline (RB)

spot (except on the last trading day), 2nd and 3rd months

nearby/second month, second/third month and nearby/third month calendar spreads

European Gasoil (100mt) Bullet (7F)

spot (except on the last trading day), 2nd and 3rd months

COMEX Gold (GC)

February, April, June, August and December contract months (first active month only)

COMEX Silver (SI)

March, May, July, September and December contract months (first active month only)

CME Globex Contracts

For compliance and enforcement purposes, the start of a TAS pre-open period is defined by receipt of the security status message indicating that the group has transitioned to the pre-open state and the end of a TAS trading session is defined by receipt of the security status message indicating that group is closed.

TAS orders may not be entered into CME Globex from the end of a TAS trading session until receipt of the security status message indicating that the group has transitioned to the pre-open state.

Commodity Code on CME Globex	Product Name and Contract Months	Cleared Product
CLT	Light Sweet Crude Oil spot (except on the last trading day), 2 nd , 3 rd and 7 th months and nearby/second month, second/third month and nearby/third month calendar spreads	CL
BZT	Brent Crude Oil Last Day Financial spot (except on the last trading day) 2 nd and 3 rd months	BZ

Commodity Code on CME Globex	Product Name and Contract Months	Cleared Product
BBT	Brent Crude Oil Penultimate Financial Spot, 2 nd and 3 rd months	BB
HOT	New York Harbor ULSD Heating Oil spot (except on the last trading day, 2 nd and 3 rd months and nearby/second month, second/third month and nearby/third month calendar spreads	HO
NGT	Henry Hub Natural Gas spot (except on the last trading day), 2 nd and 3 rd months and nearby/second month, second/third month and nearby/third month calendar spreads	NG
NNT	Henry Hub Natural Gas Last Day Financial spot (except on the last trading day), 2 nd and 3 rd months	NN
HHT	Henry Hub Natural Gas Look-Alike Last Day Financial spot (except on the last trading day), 2 nd and 3 rd months	HH
RBT	RBOB Gasoline spot (except on the last trading day), 2 nd and 3 rd months and nearby/second month, second/third month and nearby/third month calendar spreads	RB
7FT	European Gasoil (100mt) Bullet spot (except on the last trading day), 2 nd and 3 rd months	7F
KTT	NYMEX Coffee spot (except on the last trading day)	KT
CJT	NYMEX Cocoa spot (except on the last trading day)	CJ
TTT	NYMEX Cotton Spot (except on the last trading day)	TT
YOT	NYMEX No. 11 Sugar spot (except on the last trading day)	YO
RET	REBCO spot (except on the last trading day), 2 nd and 3 rd months	RE
GCT	COMEX Gold February, April, June, August and December contract months (first active month only)	GC
SIT	COMEX Silver March, May, July, September and December contract months (first active month only)	SI
HGT	COMEX Copper March, May, July, September and December contract months (first active month only)	HG

7. TAM-Eligible Products and Contract Months on CME Globex

For compliance and enforcement purposes, the start of a TAM pre-open period is defined by receipt of the security status message indicating that the group has transitioned to the pre-open state and the end of a TAM trading session is defined by receipt of the security status message indicating that group is closed.

TAM orders may not be entered into CME Globex from the end of a TAM trading session until receipt of the security status message indicating that the group has transitioned to the pre-open state.

Commodity Code on CME Globex	Product Name and Contract Months	Cleared Product
London Markers		
CLL	Light Sweet Crude Oil spot, 2 nd and 3 rd months and nearby/second month, second/third month and nearby/third month calendar spreads	CL
BZL	Brent Crude Oil Last Day Financial spot, 2 nd and 3 rd months and nearby/second month, second/third month and nearby/third month calendar spreads	BZ
HOL	New York Harbor ULSD Heating Oil spot, 2 nd and 3 rd months and nearby/second month, second/third month and nearby/third month calendar spreads	HO
RBL	RBOB Gasoline spot, 2 nd and 3 rd months and nearby/second month, second/third month and nearby/third month calendar spreads	RB
Singapore Markers		
CLS	Light Sweet Crude Oil spot, 2 nd and 3 rd months and nearby/second month, second/third month and nearby/third month calendar spreads	CL
BZS	Brent Crude Oil Last Day Financial spot, 2 nd and 3 rd months and nearby/second month, second/third month and nearby/third month calendar spreads	BZ
Platts 3:15 p.m. Markers		
HOP	New York Harbor ULSD Heating Oil spot and 2nd months and nearby/second month calendar spreads	HO
RBP	RBOB Gasoline Spot and 2nd months and nearby/second month calendar spreads	RB

For TAM trading on the FPA in NYMEX HO and RB futures, the marker price is the price established and published by Platts. Additional information on the methodology employed by Platts in establishing the FPA is set forth in its [Platts Methodology Statement](http://www.platts.com) which is available on the Platts website (www.platts.com).

In connection with Platts TAM, NYMEX publishes several non-tradable volume-weighted average price ("VWAP") calculations. There is a one- and two-minute VWAP for each of the first two contract months of HO and RB, for a total of eight VWAPs. The one-minute VWAP is based on outright trades on CME Globex in the first and second contract months of HO and RB during the one-minute period from 3:14:00 to 3:15:00 p.m. ET and the two-minute VWAP is based on outright trades on CME Globex in the first and second contract months of HO and RB during the two-minute time period from 3:13:00 to 3:15:00 p.m. ET. These VWAPs are intended to be additional price references for NYMEX HO and RB at the close of the trading day.

The eight VWAPs are displayed on the same page as the daily energy TAM prices, but are uniquely identified as non-tradable. They are displayed at the following location on the CME Group website: <http://www.cmegroup.com/trading/energy/daily-energy-trading-at-marker-prices.html>

Questions regarding this Advisory Notice may be directed to the following individuals in Market Regulation:

Nancy Minett, Executive Director, Investigations, 212.299.2940

Neil Mazer, Lead Investigator, Investigations, 212.299.2874

Robert Sniegowski, Senior Director, Rules & Regulatory Outreach, 312.341.5991

For media inquiries concerning this Advisory Notice, please contact CME Group Corporate Communications at 312.930.3434 or news@cmegroup.com.

9. Text of Rule 524

Trading at Settlement ("TAS"), Trading at Marker ("TAM") and Matched Order ("MO") Transactions

The Exchange shall determine the commodities, contract months and time periods during which TAS, TAM and MO transactions shall be permitted.

524.A. Trading at Settlement ("TAS") Transactions

The following shall govern TAS transactions:

1. TAS transactions executed in the pit must be made open and competitively pursuant to the requirements of Rule 521 during the hours designated for pit trading in the particular contract and must be identified as such on the member's trading records.
2. TAS orders may be entered on Globex at any time the applicable contracts are available for TAS trading on Globex and during each TAS contract's prescribed pre-open time period. The initiation of any TAS order on Globex outside these time periods is prohibited.
3. TAS-eligible commodities and contract months may be executed as block trades pursuant to the requirements of Rule 526 except that TAS block trades may not be executed on the last day of trading in an expiring contract.
4. TAS transactions may be executed at the current day's settlement price or at any valid price increment ten ticks higher or lower than the settlement price.

524.B. Trading at Marker ("TAM") Transactions

The following shall govern TAM transactions:

1. TAM orders may be entered on Globex at any time the applicable contracts are available for TAM trading on Globex and during each TAM contract's prescribed pre-open time period. The initiation of any TAM order on Globex outside these time periods is prohibited.

2. TAM-eligible commodities and contract months may be executed as block trades pursuant to the requirements of Rule 526.
3. TAM transactions may be executed at the current day's applicable marker price or at any valid price increment ten ticks higher or lower than the applicable marker price.
4. A Party carrying an open long (short) Platts TAM or Platts TAM block position in RBOB Gasoline ("RB") or New York Harbor ULSD Heating Oil ("HO") futures may not sell (buy) in the underlying RB or HO market during the time period from 3:14:00.000 to 3:14:59.999 p.m. ET.

524.C. Matched Order ("MO") Transactions

MO transactions are open outcry trades competitively executed pursuant to Rule 521 where the price of the trade is that day's settlement price for the contract.

The following shall govern MO transactions:

1. Members may execute an MO at any time during pit trading hours except during the post close session. Members may execute an order as an MO provided the order is placed as an MO and such instructions are denoted on the order. An order entered as an MO will be executed during the closing period as a Market on Close order if not previously executed as an MO or specifically designated to be executed only as an MO. An order specifically designated for execution only as an MO must be designated as such at the time the order is placed.
2. Members must identify an order executed as an MO on their trading record.

Section J:

CME Member Trade Procedures

None for May

Section K:

Performance Bond Requirements

For a complete listing of all CME Exchange-minimum performance bond requirements, please consult the SPAN[®] Minimum Performance Bond Requirements document, which is available on the Web at this address:

<http://www.cmegroup.com/clearing/margins/>

For further information, contact the Risk Management Department at
(312) 648-3888

CME Group Update

Section L: News Releases

CME Group news releases can be viewed at

<http://cmegroup.mediaroom.com/>

May 2, 2013

[CME Group Volume Averaged 11.6 Million Contracts per Day in April 2013, Up 8 Percent from April 2012](#)

- Metals volume up 62 percent, to record

May 2, 2013

[CME Group Inc. Reports Solid First-Quarter 2013 Financial Results](#)

May 1, 2013

[CME Group Statement](#)

Apr 25, 2013

[CME Group Announces New Futures Contracts for Renewable Identification Numbers \(RINs\)](#)

Apr 18, 2013

[CME Group Announces New Physically Delivered 1,000 oz. Silver Futures Contract](#)

Apr 16, 2013

[CME Group Announces Multiple Trading Volume Records Across Metals Complex](#)

Overall Metals Complex, Gold Futures, Gold Options and Copper Futures Set New Daily Volume Records

Apr 10, 2013

[CME Group Announces Daily Volume Record in Short-Dated New Crop Corn Options](#)

Short-Dated New Crop Options Combined Open Interest Surpasses 100k

CME Group Update

Apr 10, 2013

[CME Group Announces Open Interest Record in Benchmark Light Sweet Crude Oil \(WTI\) Futures](#)

NYMEX Brent (BZ) Sets Volume Trading Record

Apr 2, 2013

[CME Group Volume Averaged 12.5 Million Contracts per Day in March 2013](#)

- FX volume up 14 percent

Mar 27, 2013

[MarkitSERV and CME Group Connect for FX Client Clearing](#)

Mar 26, 2013

[CME Group Announces Seven Consecutive Open Interest Records in Henry Hub Natural Gas](#)

Section M:

CME Disciplinary Actions

- 1 Michael T. Bender (BNDR)
- 1 Breakwater Trading LLC
- 2 William Hendricks (WDOG)
- 3 Highbridge Capital Management, LLC
- 3 Kamal Kasera
- 4 Michael J. Kaulentis (MJK)

FILE NO.: 13-9348-FC

MEMBER: MICHAEL T. BENDER (BNDR)

RULE

VIOLATIONS: 514. TRADING INFRACTIONS.

A. The following shall constitute trading infractions:

9. conduct of an unbusinesslike nature;

FINDINGS: On March 19, 2013, a panel of the Floor Conduct Committee found that on March 1, 2013, Bender squirted a member with water which initiated an altercation in the S&P Options pit. The Panel found that in so doing Bender violated CME Rule 514.A.9.

PENALTY: The Panel imposed a fine of \$2,500.

**EFFECTIVE
DATE:** April 1, 2013

FILE NO.: CME 12-8880-BC

MEMBER: BREAKWATER TRADING LLC

CME RULE

VIOLATION: Rule 576. IDENTIFICATION OF GLOBEX TERMINAL OPERATORS

Each Globex terminal operator shall be identified to the Exchange, in the manner prescribed by the Exchange, and shall be subject to Exchange rules. If user IDs are required to be registered with the Exchange, it is the duty of the clearing member to ensure that registration is current and accurate at all times. Each individual must use a unique user ID to access Globex. In no event may a person enter an order or permit the entry of an order by an individual using a user ID other than the individual's own unique user ID.

FINDINGS: Pursuant to an offer of settlement in which Breakwater Trading LLC neither admitted nor denied the rule violations upon which the penalty is based, on April 24, 2013, a Panel of the CME Business Conduct Committee found that between December 2010 and March 2011, Breakwater Trading LLC allowed its clerks to use Tag50 User IDs registered to Breakwater Trading LLC traders. The Panel concluded that in so doing, Breakwater violated CME Rules 576.

PENALTY: In accordance with the settlement offer, the Panel ordered Breakwater Trading LLC to pay a fine of \$15,000.

**EFFECTIVE
DATE:** April 26, 2013

FILE NO.: CME 09-06190-BC

MEMBER: WILLIAM HENDRICKS (WDOG)

**CME RULE
VIOLATIONS:** 432. GENERAL OFFENSES

D. It shall be an offense to create or report a false or fictitious trade

C. It shall be an offense to engage in dishonest conduct

FINDINGS: Pursuant to an offer of settlement in which William Hendricks neither admitted nor denied the rule violations upon which the penalty is based, on April 24, 2013, a panel of the Chicago Mercantile Exchange ("CME") Business Conduct Committee found that on one or more occasions between January 1, 2009 and September 30, 2009, William Hendricks engaged in a pattern of activity whereby whenever Hendricks ended the trading day either long or short in the Standard and Poor's 500 Stock Price Index ("S&P 500") futures, Hendricks would card up a fictitious trade opposite another member with whom he did not trade to flatten out his (Hendricks) position, thus making it appear to his clearing firm that he did not have an open position, thereby allowing Hendricks to avoid receiving a margin call.

Afterwards, during the overnight trading session, Hendricks would enter legitimate orders into Globex to legitimately flatten out his position. The following day, the fictitious trades would generally be busted. The Panel found that in doing so William Hendricks violated CME Rules 432.D and 432.C.

PENALTY: In accordance with the settlement offer the Panel ordered William Hendricks to pay a fine of \$50,000, and to have his membership privileges, access to all CME Group trading floors, and direct access to any electronic trading or clearing platform owned or controlled by CME Group, Inc. suspended for 6 months, starting from the effective date of this Order.

**EFFECTIVE
DATE:** April 26, 2013

FILE NO.: CME 11-08693-BC

**NONMEMBER
FIRM:** HIGHBRIDGE CAPITAL MANAGEMENT, LLC

**CME RULE
VIOLATIONS:** Rule 562. POSITION LIMIT VIOLATIONS (IN PART)

Any positions in excess of those permitted under the rules of the Exchange shall be deemed position limit violations. Additionally, any person making a bid or offer that would, if accepted, cause such a person to exceed the applicable position limits shall be in violation of this rule.

FINDINGS: Pursuant to an offer of settlement in which Highbridge Capital Management, LLC ("HCM") neither admitted nor denied the rule violation upon which the penalty is based and after voluntarily submitting itself to the jurisdiction of the Exchange for purposes of resolving this matter, on April 24, 2013, a Panel of the CME Business Conduct Committee found that, on December 20, 2011, while trading in the February 2012 Lean Hog futures contract, HCM exceeded the speculative position limit in a single month of 4,150 contracts by 96 contracts or approximately 2.31% as a result of HCM inadvertently failing to aggregate positions held in different accounts. HCM promptly self-liquidated the excess contract positions on December 21, 2011, upon discovering the excess. The Panel found that by exceeding the position limit on December 20, 2011, HCM violated CME Rule 562.

PENALTY: In accordance with the settlement offer, the Panel ordered HCM to pay a fine of \$10,000 and disgorgement of \$27,280.

**EFFECTIVE
DATE:** April 26, 2013

FILE NO.: CME11-8445-BC

**NON –
MEMBER:** KAMAL KASERA

CME RULES: CME Rule 432. GENERAL OFFENSES

It shall be an offense:
G. To prearrange the execution of transactions in Exchange products for the purpose of transferring equity between accounts.

FINDINGS: Pursuant to an offer of settlement in which Kamal Kasera neither admitted nor denied the rule violation upon which the penalty is based, on April 24, 2013, a Panel of the CME Business Conduct Committee found that on June 20 and 28, 2011, Kamal Kasera engaged in a series of round-turn transactions on the CME Globex electronic trading platform which resulted in the transferring of equity between accounts. In so doing, the Panel found that Kamal Kasera violated CME Rule 532.G.

PENALTY: In accordance with the settlement offer, the Panel ordered Kamal Kasera to pay a fine in the amount of \$35,000 and suspended his indirect or direct access to any electronic trading or clearing platform owned or controlled by CME Group, Inc. for five business days.

**EFFECTIVE
DATE:** April 26, 2013

FILE NO.: 13-9348-FC

MEMBER: MICHAEL J. KAULENTIS (MJK)

RULE

VIOLATIONS: 514. TRADING INFRACTIONS.

A. The following shall constitute trading infractions:

9. conduct of an unbusinesslike nature;

FINDINGS: On March 19, 2013, a panel of the Floor Conduct Committee found that on March 1, 2013, Kaulentis squirted a member with water which initiated an altercation in the S&P Options pit. The Panel found that in so doing Kaulentis violated CME Rule 514.A.9.

PENALTY: The Panel imposed a fine of \$2,500.

**EFFECTIVE
DATE:** April 1, 2013

Section N:

CBOT Disciplinary Actions

- 1 Central States Enterprises LLC

FILE NO.: CBOT 11-8408-BC

NON-MEMBER: CENTRAL STATES ENTERPRISES LLC

CBOT RULE

VIOLATIONS: Rule 559. (“POSITION LIMITS AND EXEMPTIONS”) (In part)

The position limit levels applicable to those contracts with position limits are set forth in the Position Limit, Position Accountability and Reportable Level Table in the Interpretations Section at the end of Chapter 5.

Rule 14102.E. (“WHEAT FUTURES, POSITION LIMITS”)(In part)

In accordance with Rule 559. Position Limits and Exemptions, no person shall own or control positions in excess of:

1. 600 contracts net long or net short in the spot month...

FINDINGS: Pursuant to an offer of settlement in which Central States Enterprises LLC (“Central States”) neither admitted nor denied the rule violation upon which the penalty is based, a Panel of the CBOT Business Conduct Committee (“Panel”) found that as of the close of business on June 29, 2011, Central States carried end of day positions in excess of the spot month speculative position limit in July 11 Wheat futures by 595 contracts, or approximately 99%. In addition, on June 30, 2011, Central States carried end of day positions in excess of the spot month speculative position limit in July 11 Wheat futures by 522 contracts, or approximately 87%. Central States did not benefit financially from any such violation.

PENALTY: In accordance with the settlement offer the Panel ordered Central States Enterprises LLC to pay a fine of \$27,500.

EFFECTIVE DATE: April 26, 2013

Section O:

NYMEX and COMEX Disciplinary Actions

- 1 ABN AMRO Clearing Chicago LLC
- 2 AQR Capital Management, LLC
- 2 AQR Capital Management, LLC
- 3 Greg Dilenge
- 5 Clifford Donadio
- 6 Stephen Duggan (COMEX)
- 7 Stephen Duggan (NYMEX)
- 8 GATOR Trading Partners LLC
- 9 Michael Paldin

FILE NO.: NYMEX 12-09160

MEMBER: ABN AMRO CLEARING CHICAGO LLC

**EXCHANGE
RULE:** 854. CONCURRENT LONG AND SHORT POSITIONS

Set forth below are the procedures that must be followed for concurrent long and short positions and hold-open accounts.

A. Concurrent long and short positions in the same commodity and month may be held by a clearing member at the direction of a customer or on behalf of an omnibus account; however it shall be the duty of the clearing member to ascertain whether such positions are intended for offset or to be held open prior to final transmission of position data to the Clearing House.

B. Concurrent long and short positions in physically delivered contracts that are held by the same owner during the current delivery month must be offset by transactions executed in the market, by allowable privately negotiated transactions, or fulfilled through the normal delivery process, provided however that trades may be transferred for offset if the trade date of the position being transferred is the same as the transfer date. Such positions may not be offset via netting, transfer, or position adjustment except to correct a bona fide clerical or operational error on the day the error is identified and provided that the quantity of the offset does not represent more than one percent of the reported open interest in the affected futures contract month. For the purposes of this rule, the current delivery month for energy futures contracts commences on the open of trading on the third business day prior to the termination of the respective futures contract, including the termination date. The current delivery month for metals futures commences two business days prior to the first business day of the delivery month.

C. Clearing members which, pursuant to this rule, carry concurrent long and short positions, must report to the Clearing House both sides as open positions. When either side or both sides are reduced in accordance with Section B. of this rule, the open positions as reported to the Clearing House must be reduced accordingly.

D. The Exchange takes no position regarding the internal bookkeeping procedures of its clearing members which, for the convenience of a customer, may "hold open" a position only on their books. However, the clearing member must accurately report to the Exchange and the Clearing House, as appropriate, large trader positions, long positions eligible for delivery and open interest.

FINDINGS: Pursuant to an offer of settlement ABN AMRO Clearing Chicago LLC ("ABN AMRO") presented at a hearing on April 23, 2013 in which ABN AMRO neither admitted nor denied the rule violations upon which the penalty is based, a Panel of the NYMEX Business Conduct Committee ("Panel") found that for trade date November 29, 2012, one day prior to expiration for the physically settled December 2012 Heating Oil ("DEC12 HO") futures contract, ABN AMRO overstated open interest in the DEC12 HO futures contract by 1,225 contracts, or approximately 15%.

The Panel found that as a result, ABN AMRO violated Rule 854. This constituted ABN AMRO's third violation of Rule 854 within one year.

PENALTY: In accordance with the settlement offer, the Panel ordered ABN AMRO to pay a fine to the Exchange in the amount of \$70,000.

**EFFECTIVE
DATE:** April 25, 2013

FILE NO.: NYMEX 12-9049-BC

NON-MEMBER: AQR CAPITAL MANAGEMENT, LLC

NYMEX RULE VIOLATION: 562. POSITION LIMIT VIOLATIONS

Any positions in excess of those permitted under the rules of the Exchange shall be deemed position limit violations.

FINDINGS: Pursuant to an offer of settlement AQR Capital Management, LLC ("AQR") presented at a hearing on March 27, 2013, in which AQR neither admitted nor denied the rule violation upon which the penalty is based, a Panel of the NYMEX Business Conduct Committee ("BCC") found that AQR was subject to the jurisdiction of the BCC pursuant to NYMEX Rule 418 and that at the close of business on trade date September 6, 2012, AQR held a long position of 1,417 September 2012 European Gasoil Bullet (7F) Futures contracts, which was 417 contracts (41.7 %) over the 1,000 lot expiration month position limit in effect for trade dates September 7, 10 and 11, 2012.

The Panel found that as a result, AQR violated Rule 562.

PENALTY: In accordance with the settlement offer, the Panel ordered AQR to pay a fine to the Exchange in the amount of \$25,000.

EFFECTIVE DATE: April 1, 2013

FILE NO.: NYMEX 13-9252-BC

NON-MEMBER: AQR CAPITAL MANAGEMENT, LLC

NYMEX RULE VIOLATION: 562. POSITION LIMIT VIOLATIONS

Any positions in excess of those permitted under the rules of the Exchange shall be deemed position limit violations.

FINDINGS: Pursuant to an offer of settlement AQR Capital Management, LLC ("AQR") presented at a hearing on March 27, 2013, in which AQR neither admitted nor denied the rule violation upon which the penalty is based, a Panel of the NYMEX Business Conduct Committee ("BCC") found that AQR was subject to the jurisdiction of the BCC pursuant to NYMEX Rule 418 and that at the close of business on trade date January 15, 2013, AQR held a long position of 4,626 February 2013 Crude Financial (WS) Futures contracts, which was 2,626 contracts (131.30%) over the 2,000 lot expiration month position limit in effect for trade dates January 16, 17 and 18, 2013.

The Panel found that as a result, AQR violated Rule 562.

PENALTY: In accordance with the settlement offer, the Panel ordered AQR to pay a fine to the Exchange in the amount of \$60,000.

EFFECTIVE DATE: April 1, 2013

FILE NO.: COMEX 11-08466-BC

NON-MEMBER: GREG DILENCE

**EXCHANGE
RULES:**

Rule 533. Simultaneous Buy and Sell Orders for Different Beneficial Owners

On the Globex platform, opposite orders for different beneficial owners that are simultaneously placed by a party with discretion over both accounts may be entered into the Globex platform provided that one order is exposed for a minimum of 5 seconds in the case of futures orders or a minimum of 15 seconds in the case of options orders.

Rule 539. Prearranged, Pre-Negotiated and Noncompetitive Trades Prohibited

539.A. General Prohibition

No person shall prearrange or pre-negotiate any purchase or sale or noncompetitively execute any transaction...

Rule 432. General Offenses

It shall be an offense:

B.1. to engage in fraud or bad faith;

G. to prearrange the execution of transactions in Exchange products for the purpose of transferring equity between accounts;

Rule 576. Identification of Globex Terminal Operators

Each individual must use a unique user ID to access Globex. In no event may a person enter an order or permit the entry of an order by an individual using a user ID other than the individual's own unique user ID.

FINDINGS: Pursuant to an offer of settlement Greg DiLenge ("DiLenge") presented at a hearing on March 27, 2013 in which DiLenge neither admitted nor denied the rule violations upon which the penalty is based, a Panel of the COMEX Business Conduct Committee ("Panel") found that between September 23, 2010 and July 27, 2011, DiLenge executed numerous round-turn transactions on COMEX between his personal trading account and the account he traded for his employer. The Panel also found that during this same time period, DiLenge executed numerous round-turn transactions on COMEX between his personal account and the accounts of two other traders working for the same employer. The purpose of some of these round-turn transactions was to move money from the employer's accounts to DiLenge's personal account. The Panel further found that the trades between DiLenge's personal account and the accounts of other traders were prearranged and, in many cases, whether the trades were between his personal account and either his employer's account or the accounts of other traders, five seconds did not elapse between the entry of the matching orders on Globex. Finally, the Panel found that DiLenge entered orders for his personal account using another person's Globex ID. As a result of these findings, the Panel determined that DiLenge violated Exchange rules 533, 539.A., 432.B.1, 432.G and 576.

PENALTY: In accordance with the settlement offer and the Panel's findings, the Panel ordered that DiLenge: (1) pay restitution to his employer in the amount of \$7,725.00; (2) pay a fine to the Exchange in the amount of \$105,000; (3) be barred for a period of one year from (a) applying for membership at any exchange owned, controlled or operated by CME Group Inc.; (b) affiliating with any Exchange Member as defined in Exchange Rule 400 related to business conducted on or subject to the rules of the Exchange; and (c) directly or indirectly accessing, placing orders or executing trades on any trading or clearing platform owned, controlled or operated by CME Group Inc., including, but not limited to, CME Globex. The bar shall begin running on the Effective Date, and run for a period of one year from full payment of the fine and restitution amounts.

**EFFECTIVE
DATE:** April 1, 2013

FILE NO.: NYMEX-10-07512-BC

MEMBER: CLIFFORD DONADIO

EXCHANGE

RULES: NYMEX LEGACY RULE 8.55 – CLASSIFICATION OF OFFENSES

(A) Major Offenses

No Member, Member Firm, or any employee of the foregoing shall commit a violation of any of the following rules, which shall be deemed major offenses of the Exchange.

(2) to be guilty of fraud or any act of bad faith;

(18) to commit an act which is substantially detrimental to the interests or welfare of the Exchange;

(20) to intentionally violate any Exchange rule that results in harm to a customer;

FINDINGS: On November 15, 2012, a Panel of the New York Mercantile (“NYMEX”) Probable Cause Committee charged Clifford Donadio (“CLFY”), a former NYMEX member, with violating NYMEX Legacy Rules 8.55(A)(2), 8.55(A)(18) and 8.55(A)(20) based on allegations that on six separate occasions he intentionally engaged in a scheme to allocate Natural Gas Futures trades intended for a broker’s customers by placing the most profitable fills into CLFY’s personal account.

On January 21, 2013, a Hearing Panel Chair of the NYMEX Business Conduct Committee (“BCC”) entered an order finding that CLFY failed to answer the charges against him. The Hearing Panel Chair further ordered that CLFY was deemed to have admitted the charges issued and waived his right to a hearing on the merits of the charges.

On March 20, 2013, a penalty hearing was held before a panel of the NYMEX BCC (“BCC Panel”) which had jurisdiction over CLFY pursuant to NYMEX Rules 400 and 402 as the conduct occurred while CLFY was a NYMEX member or otherwise had access to the NYMEX trading floor. The BCC Panel found that CLFY had committed the violations charged.

PENALTY: In accordance with NYMEX Rule 402.B. (Sanctions), the BCC Panel ordered that CLFY be permanently barred from (i) applying for membership at any CME Group, Inc. Exchange; (ii) accessing any trading floor operated by any CME Group, Inc.; (iii) directly or indirectly accessing any electronic trading or clearing platform owned or operated by the CME Group, Inc.; and (iv) affiliating with any Exchange Member as defined in NYMEX Rule 400 related to business conducted on or subject to the rules of the Exchange. In ordering this sanction, the BCC considered that CLFY had received a bankruptcy discharge and, thus, monetary sanctions were not available.

EFFECTIVE DATE: April 22, 2013

FILE NO.: COMEX 11-08466-BC

NON-MEMBER: STEPHEN DUGGAN

**EXCHANGE
RULES:**

Rule 533. Simultaneous Buy and Sell Orders for Different Beneficial Owners

On the Globex platform, opposite orders for different beneficial owners that are simultaneously placed by a party with discretion over both accounts may be entered into the Globex platform provided that one order is exposed for a minimum of 5 seconds in the case of futures orders or a minimum of 15 seconds in the case of options orders.

Rule 539. Prearranged, Pre-Negotiated and Noncompetitive Trades Prohibited

539.A. General Prohibition

No person shall prearrange or pre-negotiate any purchase or sale or noncompetitively execute any transaction...

Rule 432. General Offenses

It shall be an offense:

B.1. to engage in fraud or bad faith;

G. to prearrange the execution of transactions in Exchange products for the purpose of transferring equity between accounts;

FINDINGS: Pursuant to an offer of settlement Stephen Duggan ("Duggan") presented at a hearing on March 27, 2013 in which Duggan neither admitted nor denied the rule violations upon which the penalty is based, a Panel of the COMEX Business Conduct Committee ("Panel") found that between June 1, 2010 and June 2, 2011, Duggan executed numerous round-turn transactions on COMEX between his personal trading account and the account he traded for his employer. The Panel also found that during this same time period, Duggan executed numerous round-turn transactions on COMEX between his personal account and the accounts of two other traders working for the same employer. The purpose of some of these round-turn transactions was to move money from the employer's accounts to Duggan's personal account. The Panel further found that the trades between Duggan's personal account and the accounts of other traders were prearranged and, in many cases, whether the trades were between his personal account and either his employer's account or the accounts of other traders, five seconds did not elapse between the entry of the matching orders on Globex. As a result of these findings, the Panel determined that Duggan violated Exchange rules 533, 539.A., 432.B.1 and 432.G.

PENALTY: In accordance with the settlement offer and the Panel's findings, the Panel ordered that Duggan: (1) pay restitution to his employer in the amount of \$20,037.50; (2) pay a fine to the Exchange in the amount of \$110,000; (3) be barred for a period of two years from (a) applying for membership at any exchange owned, controlled or operated by CME Group Inc.; (b) affiliating with any Exchange Member as defined in Exchange Rule 400 related to business conducted on or subject to the rules of the Exchange; and (c) directly or indirectly accessing, placing orders or executing trades on any trading or clearing platform owned, controlled or operated by CME Group Inc., including, but not limited to, CME Globex. The bar shall begin running on the Effective Date, and run for a period of two years from full payment of the fine and restitution amounts.

**EFFECTIVE
DATE:** April 1, 2013

FILE NO.: NYMEX 12-9030-BC

NON-MEMBER: STEPHEN DUGGAN

EXCHANGE RULES:

Rule 533. Simultaneous Buy and Sell Orders for Different Beneficial Owners

On the Globex platform, opposite orders for different beneficial owners that are simultaneously placed by a party with discretion over both accounts may be entered into the Globex platform provided that one order is exposed for a minimum of 5 seconds in the case of futures orders or a minimum of 15 seconds in the case of options orders.

Rule 432. General Offenses

It shall be an offense:

B.1. to engage in fraud or bad faith;

FINDINGS: Pursuant to an offer of settlement Stephen Duggan ("Duggan") presented at a hearing on March 27, 2013 in which Duggan neither admitted nor denied the rule violations upon which the penalty is based, a Panel of the NYMEX Business Conduct Committee ("Panel") found that between August 9, 2010 and February 22, 2011, Duggan executed numerous round-turn transactions on NYMEX between his personal trading account and the account he traded for his employer. The purpose of some of these round-turn transactions was to move money from the employer's account to Duggan's personal account. The Panel further found that in several instances, five seconds did not elapse between the entry of the matching orders on Globex. As a result of these findings, the Panel determined that Duggan violated Exchange rules 533 and 432.B.1.

PENALTY: In accordance with the settlement offer and the Panel's findings, the Panel ordered that Duggan: (1) pay restitution to his employer in the amount of \$1,014.60; (2) pay a fine to the Exchange in the amount of \$15,000; (3) be barred for a period of two years from (a) applying for membership at any exchange owned, controlled or operated by CME Group Inc.; (b) affiliating with any Exchange Member as defined in Exchange Rule 400 related to business conducted on or subject to the rules of the Exchange; and (c) directly or indirectly accessing, placing orders or executing trades on any trading or clearing platform owned, controlled or operated by CME Group Inc., including, but not limited to, CME Globex. The bar shall begin running on the Effective Date, and run for a period of two years from full payment of the fine and restitution amounts.

EFFECTIVE DATE: April 1, 2013

FILE NO.: NYMEX 12-8815-BC

MEMBER: GATOR TRADING PARTNERS LLC

**NYMEX
RULE:** 562. POSITION LIMIT VIOLATIONS

Any positions in excess of those permitted under the rules of the Exchange shall be deemed position limit violations.

FINDINGS: Pursuant to an offer of settlement Gator Trading Partners LLC ("Gator") presented at a hearing on April 23, 2013, in which Gator neither admitted nor denied the rule violation upon which the penalty is based, a Panel of the NYMEX Business Conduct Committee ("BCC") found that it had jurisdiction over Gator pursuant to NYMEX Rules 400 and 402 as the conduct occurred while Gator was a NYMEX member and that on trade date March 16, 2012, Gator maintained open APR12 Crude futures equivalent commitments of 3,438 long contracts, which was 438 contracts (14.6%) over the standard 3,000 lot expiration month position limit in effect for trade dates March 16, 19, and 20, 2012. Gator subsequently liquidated its overage position resulting in profits of \$7,823.73.

The Panel found that as a result, Gator violated Rule 562.

PENALTY: In accordance with the settlement offer, the Panel ordered Gator to pay a fine to the Exchange in the amount of \$17,500 and to disgorge profits in the amount of \$7,823.73.

**EFFECTIVE
DATE:** April 25, 2013

FILE NO.: COMEX 11-08466-BC

NON-MEMBER: MICHAEL PALDIN

EXCHANGE RULES:

Rule 533. Simultaneous Buy and Sell Orders for Different Beneficial Owners

On the Globex platform, opposite orders for different beneficial owners that are simultaneously placed by a party with discretion over both accounts may be entered into the Globex platform provided that one order is exposed for a minimum of 5 seconds in the case of futures orders or a minimum of 15 seconds in the case of options orders.

Rule 539. Prearranged, Pre-Negotiated and Noncompetitive Trades Prohibited

539.A. General Prohibition

No person shall prearrange or pre-negotiate any purchase or sale or noncompetitively execute any transaction...

Rule 432. General Offenses

It shall be an offense:

B.1. to engage in fraud or bad faith;

G. to prearrange the execution of transactions in Exchange products for the purpose of transferring equity between accounts;

FINDINGS: Pursuant to an offer of settlement Michael Paldin ("Paldin") presented at a hearing on March 27, 2013 in which Paldin neither admitted nor denied the rule violations upon which the penalty is based, a Panel of the COMEX Business Conduct Committee ("Panel") found that between June 1, 2010 and August 9, 2011, Paldin executed numerous round-turn transactions on COMEX between his personal trading account and the account he traded for his employer. The Panel also found that during this same time period, Paldin executed numerous round-turn transactions on COMEX between his personal account and the accounts of two other traders working for the same employer. The purpose of some of these round-turn transactions was to move money from the employer's accounts to Paldin's personal account. The Panel further found that the trades between Paldin's personal account and the accounts of other traders were prearranged and, in many cases, whether the trades were between his personal account and either his employer's account or the accounts of other traders, five seconds did not elapse between the entry of the matching orders on Globex. As a result of these findings, the Panel determined that Paldin violated Exchange rules 533, 539.A., 432.B.1 and 432.G.

PENALTY: In accordance with the settlement offer and the Panel's findings, the Panel ordered that Paldin: (1) pay restitution to his employer in the amount of \$5,350.00; (2) pay a fine to the Exchange in the amount of \$100,000; (3) be barred for a period of one year from (a) applying for membership at any exchange owned, controlled or operated by CME Group Inc.; (b) affiliating with any Exchange Member as defined in Exchange Rule 400 related to business conducted on or subject to the rules of the Exchange; and (c) directly or indirectly accessing, placing orders or executing trades on any trading or clearing platform owned, controlled or operated by CME Group Inc., including, but not limited to, CME Globex. The bar shall begin running on the Effective Date, and run for a period of one year from full payment of the fine and restitution amounts.

EFFECTIVE DATE: April 1, 2013

Section P:

CME Weekly Member Sales and Transfers

- 1 Weekly Postings and Reported Lease Information

WEEKLY POSTING MEMBERSHIP/SHARE SALES AND TRANSFERS

Members having any information regarding the transferees listed below are requested to communicate the same to the Shareholder Relations and Membership Services Department ("the Department") on or before **April 22, 2013**.

In accordance with CME Rule 110, "At the conclusion of the 20 day claim filing period, the Market Regulation Department and the Department shall conduct an investigation of all claims properly filed against the seller's membership or its proceeds."

All claims against the seller of a membership shall be submitted in writing to the Department on or before **April 22, 2013**.

<u>TRANSFeree</u>	<u>DIVISION</u>	<u>TRANSFEROR</u>	<u>RULE/ AMOUNT</u>	<u>DATE</u>
Acl Alternative Fund Sac - Trading Fund 25	CME	William G. Salatich, Jr. Declaration Of Trust As Amended And, William G. Salatich Jr., Trustee	106H/D Transfer	03/25/2013
Chunga Changa Inc.	IMM + B2	Aleksandr Berkovich	\$179,500 (Match bid/offer)	03/25/2013
Acl Alternative Fund Sac - Trading Fund 25	CME	Trust Agreement Of Thomas A. Cicardo And Lori L. Cicardo, Thomas A. Cicardo, Trustee	106H/D Transfer	03/25/2013
Blue Fire Capital, LLC	IOM + B3	Gus W. Boosalis	\$67,000 (Match bid/offer)	03/25/2013
John A. Sturm	IMM	Saffron Trading Group, LLC	106D Reinstatement	03/26/2013
#William J. McKenna Jr	IMM	John A. Sturm	106D Transfer	03/26/2013
Joel S. Geier	IMM	Aleksandr Berkovich	106D Reinstatement	03/26/2013
#James H. Groth	IOM + B3	Frank J. Nocita	\$66,500	03/27/2013
Nanhua Futures (Hong Kong) Company Limited	GEM + B4	Estate Of Joseph A. Luizzi	\$20,000	03/27/2013
Frank T. Calarco	IOM	Reeder Family Trust D/T/D 3/1/89 As Amended, Charles H. Reeder, Trustee	106D Transfer	03/28/2013
Kenneth J. Ganch	CME	Marc-Louis R. Paprzyca	106D Reinstatement	03/28/2013
Richard C. Cohn	IMM	Robert D. Casey Jr.	106D Reinstatement	03/28/2013
Lawrence W. Achterhof Residuary Trust, Roger D. Achterhof, Trustee	CME	Benjamin W. Phillips	106D Reinstatement	03/28/2013

Terry A. McKay	CME	Gary Dan Crouch	106D Reinstatement	03/28/2013
Martin Liebman Trust Dated 8/10/82, Martin Liebman, Trustee	IOM	Asymmetric Bond Markets, LLC	106D Reinstatement	03/28/2013
#Bradley S Lietzke	IOM	Martin Liebman Trust Dated 8/10/82, Martin Liebman, Trustee	106D Transfer	03/28/2013
Lonny J. Siegel Revocable Trust, Lonny J. Siegel, Trustee	IOM	Salvatore N Badalamenti	106D Reinstatement	03/28/2013
John And Darice Eastman Trust Dated 7/25/00, John C. Eastman, Trustee	IMM	Saffron Trading Group, LLC	106D Reinstatement	03/28/2013
John A. Koltes III ARCHELON LLC	IMM	H. Michael Gross	106H/D Transfer	03/28/2013
Gregory S. Riba	IOM	Michael T. Doherty	106D Reinstatement	03/28/2013
Michael G. Mininni	CME	V Derivatives LLC	106D Reinstatement	03/28/2013
**Lorrayne Ltd	IMM	Roy G. Niederhoffer Negative Correlation Master Fund Ltd.	106D Reinstatement	03/28/2013
Marc-Louis R. Paprzyca V DERIVATIVES LLC	IOM	Denis P. Duffey	106H/D Transfer	03/28/2013
John A. Koltes III ARCHELON LLC	IMM	Robert S. Block	106H/D Transfer	03/28/2013
V Derivatives LLC	IOM	Thomas G. Pandola	106H/D Transfer	03/28/2013
Robert D. Casey Jr.	IMM	Barry S. Cohn Revocable Trust, Barry S. Cohn, Trustee	106D Transfer	03/28/2013
Shelley A. Mesirow	IOM	Miriam A. Zajicek	106D Reinstatement	03/28/2013
**Nancy N. Labinger	IOM	Christopher G. Lee	106D Reinstatement	03/28/2013
Arnav Chakravarty	IOM	**Bradley J. Whitmore	106D Transfer	03/28/2013
Gilbert R. Valentine	IOM	Edward Fang	106D Reinstatement	03/28/2013
Miriam A. Zajicek	IOM	Thomas R. Lembeck	106D Transfer	03/28/2013

*New Applicant

**Shareholder

New Applicant Previously Posted

ELECTRONIC CORPORATE MEMBER APPROVAL

ADG Market Making LLP has been approved as a CME Rule 106.R. Electronic Corporate Member Firm - Volume Incentive Program, effective April 1, 2013.

ELECTRONIC CORPORATE MEMBER WITHDRAWAL

Asymmetric Bond Markets, LLC has withdrawn as a CME Rule 106.R. Electronic Corporate Member Firm – Holding - IOM Division, effective April 1, 2013.

TRADING MEMBER FIRM APPROVAL

Chunga Changa Inc. has been approved as a CME Rule 106.H. Trading Member Firm - IMM Division, effective March 26, 2013.

TRADING MEMBER FIRM WITHDRAWALS

Saffron Trading Group, LLC has withdrawn as a CME Rule 106.H. Trading Member Firm - IMM Division, effective March 27, 2013.

Roy G. Niederhoffer Negative Correlation Master Fund Ltd. has withdrawn as a CME Rule 106.H. Trading Member Firm - IMM Division, effective April 1, 2013.

TRADING MEMBER FIRM DIVISION CHANGES

Archelon LLC, a CME Rule 106.H. Trading Member Firm, has changed its division of membership from IOM Division to IMM Division, effective April 1, 2013.

V Derivatives L.L.C., a CME Rule 106.H. Trading Member Firm, has changed its division of membership from CME Division to IOM Division, effective April 1, 2013.

NEW APPLICANTS FOR MEMBERSHIP

The applicants listed below are applying for membership and will be transferred membership at a later date. Any member having any information regarding these applicants is requested to communicate the same to the Shareholder Relations and Membership Services Department on or before **April 22, 2013**. Photographs of new applicants will be displayed on the bulletin boards located on the trading floor.

APPLICANT	DIVISION
George O. Papa Chicago, IL	IMM

BID, OFFER AND LAST SALE FOR MEMBERSHIP INTERESTS WITH ASSOCIATED CLASS B SHARES AS OF FRIDAY, **March 28, 2013 at 4:00 P.M.**

	<u>CME</u>	<u>IMM</u>	<u>IOM</u>	<u>GEM</u>
Date	03/14/2013	03/01/2013	03/27/2013	03/27/2013
Last	\$412,000	\$197,500	\$66,500	\$20,000
Bid	\$388,500	\$179,500	\$62,500	\$8,000
Offer	\$400,000	\$190,000	\$66,500	\$21,000

BID, OFFER AND LAST SALE FOR MEMBERSHIP INTEREST SWAP MARKET
AS OF FRIDAY, March 28, 2013 at 4:00 P.M.

	<u>CME FOR IMM</u>	<u>CME FOR IOM</u>	<u>IMM FOR IOM</u>
Date	10/02/2012	10/01/2004	04/29/2009
Last	\$250,000	\$175,000	\$230,000
Bid	\$100,000	NONE	\$25,000
Offer	\$250,000	NONE	\$290,000

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WEEKLY POSTING MEMBERSHIP/SHARE SALES AND TRANSFERS

Members having any information regarding the transferees listed below are requested to communicate the same to the Shareholder Relations and Membership Services Department ("the Department") on or before **April 29, 2013**.

In accordance with CME Rule 110, "At the conclusion of the 20 day claim filing period, the Market Regulation Department and the Department shall conduct an investigation of all claims properly filed against the seller's membership or its proceeds."

All claims against the seller of a membership shall be submitted in writing to the Department on or before **April 29, 2013**.

<u>TRANSFeree</u>	<u>DIVISION</u>	<u>TRANSFEROR</u>	<u>RULE/ AMOUNT</u>	<u>DATE</u>
William S. Hawkins II	CME	William R. Shepard	106D Transfer	04/01/2013
Sam Richter	IOM	Brian M. Hearn	106D Reinstatement	04/01/2013
Brian J. McKitterick	CME	Michael J. McKitterick	106C Reinstatement	04/01/2013
Estate of Marianne Sufrin	CME	William S. Hawkins II	106D Reinstatement	04/01/2013
Gary A. Middlemiss	IMM + B2	Alvin F. Tanpoco	\$190,000	04/01/2013
Darren Christie	IOM	John H. Pak	106D Reinstatement	04/01/2013
Sherwin Z. Landerman	IOM	Albert L. Simpson Jr.	106D Reinstatement	04/01/2013
Alannder Family Limited Partnership (The)	IOM	Arnav Chakravarty	106D Reinstatement	04/01/2013
Steven E. Wollack	IOM	William H. Hendricks	106D Reinstatement	04/01/2013
James Carey McDonald	IOM	Heidi E. Henderson	106D Reinstatement	04/01/2013
Bryan C. Brath	IOM + B3	Precision Capital LLC	\$62,500	04/01/2013
Alan L. Freeman	IOM + B3	Estate of Marianne Sufrin	\$57,500	04/03/2013
Laurence P. Wright	IMM	Roy G. Niederhoffer Negative Correlation Master Fund Ltd.	106D Reinstatement	04/03/2013
Gator Trading Partners LLC	IOM + B3	Laura Dunne (106D holder: Brian M. Fisher)	\$57,000	04/03/2013
William J. Rinn III	CME + B1	Estate of Marianne Sufrin	\$399,000	04/03/2013
Brian M. Fisher	IOM	Robert J. Caras	106D Transfer	04/03/2013
Kraig L. Dippold	IOM	Jonathan S. Mrowiec	106D Reinstatement	04/04/2013
#Lyle Nichols III	IOM	Allen Mitchum Jr.	106D Transfer	04/04/2013
Robert G. Zinn	CME	Kenneth J. Ganch	106D Transfer	04/05/2013
*David A. Durra	IMM	Laurence P. Wright	106D Transfer	04/05/2013
Steven Y. Amiel	IOM	Ronald Heftman	106D Transfer	04/05/2013

The Bluebonnet Partners Family Trust Dated 11/11/11, H. Michael Gross and Inette S. Gross, Co-Trustees	CME	William J. Rinn IV	106D Reinstatement	04/05/2013
Michael T. Saunders Jr.	CME	The Bluebonnet Partners Family Trust Dated 11/11/11, H. Michael Gross and Inette S. Gross, Co-Trustees	106D Transfer	04/05/2013
Peter J. Kosanovich	IOM	Steven Y. Amiel	106D Reinstatement	04/05/2013
William J. Rinn IV	CME	William J. Rinn III	106C Transfer	04/05/2013

*New Applicant

**Shareholder

New Applicant Previously Posted

TRADING MEMBER FIRM WITHDRAWAL

Precision Capital LLC has withdrawn as a CME Rule 106.H. Trading Member Firm – IOM Division, effective April 2, 2013.

NEW APPLICANTS FOR MEMBERSHIP

The applicants listed below are applying for membership and will be transferred membership at a later date. Any member having any information regarding these applicants is requested to communicate the same to the Shareholder Relations and Membership Services Department on or before **April 29, 2013**. Photographs of new applicants will be displayed on the bulletin boards located on the trading floor.

APPLICANT	DIVISION
David A. Durra Chicago, IL	IMM
Stephen M. Kosanovich Winnetka, IL	IOM

BID, OFFER AND LAST SALE FOR MEMBERSHIP INTERESTS WITH ASSOCIATED CLASS B SHARES AS OF FRIDAY, April 5, 2013 at 4:00 P.M.

	<u>CME</u>	<u>IMM</u>	<u>IOM</u>	<u>GEM</u>
Date	04/03/2013	04/01/2013	04/03/2013	03/27/2013
Last	\$399,000	\$190,000	\$57,500	\$20,000
Bid	\$388,500	\$179,500	\$57,000	\$8,000
Offer	\$400,000	\$198,500	\$70,000	\$20,000

BID, OFFER AND LAST SALE FOR MEMBERSHIP INTEREST SWAP MARKET
AS OF FRIDAY, April 5, 2013 at 4:00 P.M.

	<u>CME FOR IMM</u>	<u>CME FOR IOM</u>	<u>IMM FOR IOM</u>
Date	10/02/2012	10/01/2004	04/29/2009
Last	\$250,000	\$175,000	\$230,000
Bid	\$100,000	NONE	\$25,000
Offer	\$250,000	NONE	\$290,000

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WEEKLY POSTING MEMBERSHIP/SHARE SALES AND TRANSFERS

Members having any information regarding the transferees listed below are requested to communicate the same to the Shareholder Relations and Membership Services Department ("the Department") on or before **May 6, 2013**.

In accordance with CME Rule 110, "At the conclusion of the 20 day claim filing period, the Market Regulation Department and the Department shall conduct an investigation of all claims properly filed against the seller's membership or its proceeds."

All claims against the seller of a membership shall be submitted in writing to the Department on or before **May 6, 2013**.

<u>TRANSFeree</u>	<u>DIVISION</u>	<u>TRANSFEROR</u>	<u>RULE/ AMOUNT</u>	<u>DATE</u>
Stanley D. Kubacki	GEM	Lawrence J. May	106D Reinstatement	04/08/2013
#Chad M. Schultz	IOM + B3	Catherine S. Northup (106D holder: Robert C. Arosen)	\$61,000	04/09/2013
Robert C. Arosen	IOM	Carie J. Graham	106D Transfer	04/09/2013
#Simon B. Gold TRADELINK L.L.C.	IOM	Tradelink L.L.C.	106F Transfer	04/09/2013
John R. Forester	IOM	Asymmetric Bond Markets, LLC	106D Reinstatement	04/09/2013
Victor W. Chang ROSENTHAL COLLINS GROUP L.L.C.	IMM	Rosenthal Collins Group L.L.C.	106F Transfer	04/11/2013
Nanhua Futures (Hong Kong) Company Limited	IMM + B2	HRT Financial LLC	\$190,000	04/11/2013
Thomas J. Lane	IMM	Peter A. Mulmat	106D Reinstatement	04/12/2013
#Stephen M. Kosanovich	IOM	Peter J. Kosanovich	106C Transfer	04/12/2013

*New Applicant

**Shareholder

New Applicant Previously Posted

ELECTRONIC CORPORATE MEMBER WITHDRAWALS

The following firms have withdrawn as a CME Rule 106.R. Electronic Corporate Member Firm – Volume Incentive Program, effective April 15, 2013:

RCAM Capital LP
Clark Trading, LLC

Spark Trading LLC
SRE Capital LLC

FAMILY OF FUNDS QUALIFIED FUND CHANGES

D.E. Shaw Composite Portfolios, L.L.C. became a CME Rule 106.S. Family of Funds Member Firm as of March 3, 2006.

D.E. Shaw Laminar Portfolios, L.L.C. has withdrawn as a qualifying fund under its CME Rule 106.S. Family of Funds membership - CME Division, effective April 11, 2013.

D.E. Shaw Plasma Portfolios, L.L.C. has withdrawn as a qualified fund under its CME Rule 106.S. Family of Funds membership - CME Division and has been approved as a qualified fund under its CME Rule 106.S. Family of Funds membership - IMM division, effective April 11, 2013.

D.E. Shaw Stibnite Portfolios, L.L.C. and **D.E. Shaw Heliant Capital, L.L.C.** have been approved as qualified funds under its CME Rule 106.S. Family of Funds membership - CME Division, effective April 11, 2013.

D.E. Shaw Galvanic Portfolios, L.L.C. has been approved as a qualified fund under its CME Rule 106.S. Family of Funds membership - IMM Division, effective April 11, 2013.

Therefore effective April 11, 2013, the following funds are eligible to receive reduced exchange fees under the CME Rule 106.S. Family of Funds Membership, CME and IMM Divisions, held by D.E. Shaw Composite Portfolios, L.L.C. as follows:

CME Division

- **D.E. Shaw Oculus Portfolios, L.L.C.**
- **D.E. Shaw Asymptote Portfolios L.L.C.**
- **D.E. Shaw Heliant Portfolios. L.L.C.**
- **D.E. Shaw Heliant Capital, L.L.C.**
- **D.E. Shaw Stibnite Portfolios, L.L.C.**

IMM Division

- **D.E. Shaw Valence Portfolios, L.L.C.**
- **D.E. Shaw Plasma Portfolios L.L.C.**
- **D.E. Shaw Galvanic Portfolios, L.L.C.**

NEW APPLICANTS FOR MEMBERSHIP

The applicants listed below are applying for membership and will be transferred membership at a later date. Any member having any information regarding these applicants is requested to communicate the same to the Shareholder Relations and Membership Services Department on or before **May 6, 2013**. Photographs of new applicants will be displayed on the bulletin boards located on the trading floor.

APPLICANT	DIVISION
Laurence R. Benedict Boca Raton, FL	IMM
Sunil Kumar Chicago, IL	CME
Richard W Stevenson Chicago, IL	IMM

BID, OFFER AND LAST SALE FOR MEMBERSHIP INTERESTS WITH ASSOCIATED CLASS B SHARES
AS OF FRIDAY, April 12, 2013 at 4:00 P.M.

	<u>CME</u>	<u>IMM</u>	<u>IOM</u>	<u>GEM</u>
Date	04/03/2013	04/11/2013	04/09/2013	03/27/2013
Last	\$399,000	\$190,000	\$61,000	\$20,000
Bid	\$388,500	\$131,000	\$62,000	\$8,000
Offer	\$400,000	\$197,500	\$70,000	\$20,000

BID, OFFER AND LAST SALE FOR MEMBERSHIP INTEREST SWAP MARKET
AS OF FRIDAY, April 12, 2013 at 4:00 P.M.

	<u>CME FOR IMM</u>	<u>CME FOR IOM</u>	<u>IMM FOR IOM</u>
Date	10/02/2012	10/01/2004	04/29/2009
Last	\$250,000	\$175,000	\$230,000
Bid	\$100,000	NONE	\$25,000
Offer	\$250,000	NONE	\$290,000

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WEEKLY POSTING MEMBERSHIP/SHARE SALES AND TRANSFERS

Members having any information regarding the transferees listed below are requested to communicate the same to the Shareholder Relations and Membership Services Department ("the Department") on or before **May 13, 2013**.

In accordance with CME Rule 110, "At the conclusion of the 20 day claim filing period, the Market Regulation Department and the Department shall conduct an investigation of all claims properly filed against the seller's membership or its proceeds."

All claims against the seller of a membership shall be submitted in writing to the Department on or before **May 13, 2013**.

<u>TRANSFeree</u>	<u>DIVISION</u>	<u>TRANSFEROR</u>	<u>RULE/ AMOUNT</u>	<u>DATE</u>
**Nico Trading Inc.	CME	Wilhelm Leinemann V	106F Transfer	04/17/2013
Jump Trading, LLC	IOM	Kevin L. Holmes	106F Transfer	04/17/2013
Jump Trading, LLC	IOM	Ryan J. Waters	106F Transfer	04/17/2013
Jump Trading, LLC	IOM	Andrew Seputis	106F Transfer	04/17/2013
Christopher J. Crook	IOM	Harold Burland	106D Transfer	04/17/2013
Jump Trading, LLC	IOM	Todd E. Fryer	106I Transfer	04/17/2013
Emil S. Dontchev	IOM + B3	Mark P. Plantery	\$66,000	04/18/2013
PAL Properties II, L.P.	IOM	John M. Wagner	106D Reinstatement	04/19/2013

*New Applicant

**Shareholder

New Applicant Previously Posted

NEW APPLICANTS FOR MEMBERSHIP

The applicants listed below are applying for membership and will be transferred membership at a later date. Any member having any information regarding these applicants is requested to communicate the same to the Shareholder Relations and Membership Services Department on or before **May 13, 2013**. Photographs of new applicants will be displayed on the bulletin boards located on the trading floor.

APPLICANT	DIVISION
Christopher L. Shelton Grovetown, GA	IOM

BID, OFFER AND LAST SALE FOR MEMBERSHIP INTERESTS WITH ASSOCIATED CLASS B SHARES AS OF FRIDAY, **April 19, 2013 at 4:00 P.M.**

	<u>CME</u>	<u>IMM</u>	<u>IOM</u>	<u>GEM</u>
Date	04/03/2013	04/11/2013	04/18/2013	03/27/2013
Last	\$399,000	\$190,000	\$66,000	\$20,000
Bid	\$388,500	\$170,000	\$61,000	\$8,000
Offer	\$400,000	\$190,000	\$70,000	\$19,000

BID, OFFER AND LAST SALE FOR MEMBERSHIP INTEREST SWAP MARKET
AS OF FRIDAY, April 19, 2013 at 4:00 P.M.

	<u>CME FOR IMM</u>	<u>CME FOR IOM</u>	<u>IMM FOR IOM</u>
Date	10/02/2012	10/01/2004	04/29/2009
Last	\$250,000	\$175,000	\$230,000
Bid	\$100,000	NONE	\$25,000
Offer	\$250,000	NONE	\$290,000

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WEEKLY POSTING MEMBERSHIP/SHARE SALES AND TRANSFERS

Members having any information regarding the transferees listed below are requested to communicate the same to the Shareholder Relations and Membership Services Department ("the Department") on or before **May 20, 2013**.

In accordance with CME Rule 110, "At the conclusion of the 20 day claim filing period, the Market Regulation Department and the Department shall conduct an investigation of all claims properly filed against the seller's membership or its proceeds."

All claims against the seller of a membership shall be submitted in writing to the Department on or before **May 20, 2013**.

<u>TRANSFeree</u>	<u>DIVISION</u>	<u>TRANSFEROR</u>	<u>RULE/ AMOUNT</u>	<u>DATE</u>
David A. Lohmann	IOM	**Nancy N. Labinger	106D Transfer	04/22/2013
Infinium Capital Management, LLC	IOM	David A. Lohmann	106F Transfer	04/22/2013
Paul A. Gurinas JUMP TRADING, LLC	IOM	Steven J. Kopach	106F Transfer	04/22/2013
Harold A. Schwartz	IMM	Walter A. Broussardi	106D Transfer	04/23/2013
Greenlight Trading Macro/US LLC	CME	Agnieszka Jankuc	106H/D Transfer	04/24/2013
Greenlight Trading Macro/US LLC	CME	Kirk F. Malcolm Revocable Trust Dated April 20, 2009, Kirk F. Malcolm, Trustee	106H/D Transfer	04/24/2013
RQSI Global Asset Allocation Master Fund, Ltd	CME	Gerald Hirsch Revocable Trust Uta DTD 6/12/74, Gerald Hirsch, Trustee	106H/D Transfer	04/25/2013
#Sean M. Drendel	IOM	Maury A. Kravitz	106D Transfer	04/25/2013
RQSI Global Asset Allocation Master Fund, Ltd	CME	Michael G. Mininni	106H/D Transfer	04/25/2013
Nanhua Futures (Hong Kong) Company Limited	IMM + B2	Helen Ann King Revocable Trust U/A/D 6/23/95, Mark Bratrud, Trustee	\$185,000	04/26/2013
**Andrew M Bartholomew II	IOM + B3	Helen Ann King Revocable Trust U/A/D 6/23/95, Mark Bratrud, Trustee	\$66,000	04/26/2013
*Darren Ray Frye	CME	Terry A. McKay	106D Transfer	04/26/2013
Howard D. Harris	GEM	Trust Agreement of Thomas A. Cicardo and Lori L. Cicardo, Thomas A. Cicardo and Lori L. Cicardo, Co-Trustees	106D Transfer	04/26/2013
#William H. Korosec	IOM	James L. Mazzetta	106D Transfer	04/26/2013

Peter Jentel Trust Under Trust Agreement Dated 8/1/2003, Peter Jentel and Mary Ann Jentel, Co-Trustees	IOM	Paul J. Augle	106D Reinstatement	04/26/2013
Donald L. Minucciani Trust Dated 12/12/84, Donald L. Minucciani, Trustee	IMM	Kenneth J. Minucciani	106C Reinstatement	04/26/2013
Paul H. Bakke	IOM	Emil S. Dontchev	106D Reinstatement	04/26/2013

*New Applicant

**Shareholder

New Applicant Previously Posted

ELECTRONIC CORPORATE MEMBER APPROVAL

Big Swinging Ticks, LLC has been approved as a CME Rule 106.R. Electronic Corporate Member Firm – Volume Incentive Program, effective April 26, 2013.

TRADING MEMBER FIRM APPROVALS

Greenlight Trading Macro / US LLC has been approved as a CME Rule 106.H. Trading Member Firm - CME Division, effective April 24, 2013.

RQSI Global Asset Allocation Master Fund LTD has been approved as a CME Rule 106.H. Trading Member Firm - CME Division, effective April 25, 2013.

NEW APPLICANTS FOR MEMBERSHIP

The applicants listed below are applying for membership and will be transferred membership at a later date. Any member having any information regarding these applicants is requested to communicate the same to the Shareholder Relations and Membership Services Department on or before **May 20, 2013**. Photographs of new applicants will be displayed on the bulletin boards located on the trading floor.

APPLICANT	DIVISION
Darren Ray Frye Washington, IL	CME
Kevin L. Nied Chicago, IL	CME
Robert J. Tierney Jr Lincolnwood, IL	IOM
Jonathan W. Urban Chicago, IL	CME

BID, OFFER AND LAST SALE FOR MEMBERSHIP INTERESTS WITH ASSOCIATED CLASS B SHARES
AS OF FRIDAY, April 26, 2013 at 4:00 P.M.

	<u>CME</u>	<u>IMM</u>	<u>IOM</u>	<u>GEM</u>
Date	04/03/2013	04/26/2013	04/26/2013	03/27/2013
Last	\$399,000	\$185,000	\$66,000	\$20,000
Bid	\$388,500	\$185,000	\$57,000	\$8,000
Offer	\$400,000	\$190,000	\$66,000	\$18,500

BID, OFFER AND LAST SALE FOR MEMBERSHIP INTEREST SWAP MARKET
AS OF FRIDAY, April 26, 2013 at 4:00 P.M.

	<u>CME FOR IMM</u>	<u>CME FOR IOM</u>	<u>IMM FOR IOM</u>
Date	10/02/2012	10/01/2004	04/29/2009
Last	\$250,000	\$175,000	\$230,000
Bid	\$100,000	NONE	\$25,000
Offer	\$250,000	NONE	\$290,000

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Chicago Mercantile Exchange Inc.
Reported Lease Prices and Membership Interests for Lease

Friday, May 03, 2013 10:00 AM

Lease Information Line (312) 930-3414

CURRENT LEASE INFORMATION IS AVAILABLE AT WWW.CMEGROUP.COM

I. REPORTED LEASE PRICE INFORMATION

II. MEMBERSHIP INTERESTS AVAILABLE FOR LEASE

I. REPORTED LEASE PRICE INFORMATION

1. **Lease prices** are updated as received and are listed in the Membership Services Department. Lease prices can be accessed on the CME Group Website (www.cmegroup.com).
2. To request a fax of this document, please call the LIL at (312) 930-3414.

Rule 106.D.12 NOW REQUIRES ALL LESSORS TO NOTIFY THE EXCHANGE OF ANY NEW OR RENEWED LEASE PRICES WITHIN TWO BUSINESS DAYS OF THE NEGOTIATED LEASE AGREEMENT. Lease Reporting Forms are available by contacting the Membership Services Department at (312) 435-3480. The Exchange will report all information submitted and makes no claim as to the completeness or accuracy of this information.

CME DIVISION - REPORTED LEASE INFORMATION - NEW LEASES

Effective Date	Term	Lease Price	Negotiated Date
06/01/2013	6 mo.	\$1,950	05/02/2013
04/24/2013	3 mo. + 7 days	\$1,900	04/22/2013
04/22/2013	6 mo. + 9 days	\$1,950	04/18/2013
04/08/2013	3 mo. + 23 days	\$2,000	04/05/2013
04/08/2013	1 mo.	\$1,900	04/05/2013
04/01/2013	6 mo.	\$2,000	04/01/2013
04/01/2013	2 mo.	\$2,000	03/25/2013
03/20/2013	6 mo. + 12 days	\$2,000	03/15/2013
03/20/2013	6 mo. + 12 days	\$2,000	03/15/2013
03/19/2013	6 mo. + 13 days	\$1,950	03/14/2013

CME DIVISION - REPORTED LEASE INFORMATION - LEASE RENEWALS

Effective Date	Term	Lease Price	Negotiated Date
06/01/2013	6 mo.	\$1,950	05/09/2013
05/01/2013	3 mo.	\$1,900	04/29/2013
05/01/2013	1 mo.	\$1,950	04/29/2013
05/01/2013	6 mo.	\$1,850	04/25/2013
05/01/2013	1 mo.	\$1,950	04/26/2013
05/01/2013	3 mo.	\$1,850	04/26/2013
05/01/2013	1 mo.	\$1,950	04/26/2013
05/01/2013	1 mo.	\$1,900	04/25/2013
05/01/2013	3 mo.	\$1,900	04/23/2013
05/01/2013	3 mo.	\$1,950	04/22/2013

IMM DIVISION - REPORTED LEASE INFORMATION - NEW LEASES

Effective Date	Term	Lease Price	Negotiated Date
03/26/2013	6 mo. + 5 days	\$850	03/25/2013
04/01/2013	3 mo.	\$850	03/20/2013
04/01/2013	3 mo.	\$850	03/20/2013
04/01/2013	6 mo.	\$850	03/13/2013
03/15/2013	3 mo.	\$900	03/14/2013
03/01/2013	12 mo.	\$900	02/25/2013
03/01/2013	12 mo.	\$900	03/25/2013
03/01/2013	6 mo.	\$900	02/26/2013
03/01/2013	6 mo.	\$900	02/26/2013
03/01/2013	3 mo.	\$900	02/25/2013

IMM DIVISION - REPORTED LEASE INFORMATION - LEASE RENEWALS

Effective Date	Term	Lease Price	Negotiated Date
05/01/2013	1 mo.	\$850	04/29/2013
05/01/2013	1 mo.	\$850	04/29/2013
05/01/2012	6 mo.	\$850	04/29/2013
05/01/2013	1 mo.	\$850	04/30/2013
05/01/2013	1 mo.	\$850	04/30/2013
05/01/2013	1 mo.	\$850	04/24/2013
05/01/2013	1 mo.	\$850	04/24/2013
05/01/2013	1 mo.	\$850	04/23/2013
05/01/2013	1 mo.	\$850	04/23/2013
05/01/2013	1 mo.	\$850	04/23/2013

IOM DIVISION - REPORTED LEASE INFORMATION - NEW LEASES

Effective Date	Term	Lease Price	Negotiated Date
05/01/2013	6 mo.	\$350	04/30/2013
05/01/2013	3 mo.	\$350	04/29/2013
04/29/2013	3 mo.	\$350	04/23/2013
04/26/2013	3 mo.	\$350	04/24/2013
04/26/2013	3 mo.	\$350	04/24/2013
04/23/2013	3 mo. + 8 days	\$350	04/22/2013
04/08/2013	5 mo. + 23 days	\$350	04/04/2013
04/05/2013	3 mo.	\$300	04/04/2013
04/01/2013	1 mo.	\$350	04/01/2013
04/03/2013	2 mo. + 28 days	\$350	04/03/2013

IOM DIVISION - REPORTED LEASE INFORMATION - LEASE RENEWALS

Effective Date	Term	Lease Price	Negotiated Date
05/01/2013	3 mo.	\$350	04/29/2013
07/01/2013	1 mo.	\$350	04/29/2013
05/01/2013	6 mo.	\$350	04/29/2013
05/01/2013	1 mo.	\$350	04/29/2013
05/01/2013	1 mo.	\$350	04/29/2013
05/01/2013	3 mo. + 23 days	\$350	04/29/2013
05/01/2013	3 mo.	\$350	04/29/2013
05/01/2013	1 mo.	\$350	04/29/2013
05/01/2013	1 mo. + 14 days	\$350	04/30/2013
05/01/2013	3 mo.	\$350	04/29/2013

GEM DIVISION - REPORTED LEASE INFORMATION - NEW LEASES

Effective Date	Term	Lease Price	Negotiated Date
04/26/2013	3 mo.	\$125	04/25/2013
01/11/2013	21 days	\$125	01/10/2013
01/07/2013	25 days	\$125	01/07/2013
01/01/2013	3 mo.	\$150	12/31/2012
10/05/2012	5 mo. + 27 days	\$125	10/04/2012
09/21/2012	6 mo. + 10 days	\$150	09/21/2012
09/01/2012	3 mo.	\$125	08/30/2012
08/01/2012	3 mo.	\$125	07/31/2012
05/23/2012	1 mo. + 9 days	\$150	05/22/2012
05/01/2012	6 mo.	\$125	04/16/2012

GEM DIVISION - REPORTED LEASE INFORMATION - LEASE RENEWALS

Effective Date	Term	Lease Price	Negotiated Date
05/01/2013	3 mo.	\$125	05/01/2013
05/01/2013	6 mo.	\$100	04/24/2013
05/01/2013	1 mo. + 6 days	\$150	04/19/2013
05/01/2013	3 mo.	\$125	04/16/2013
05/01/2013	6 mo.	\$125	04/12/2013
05/01/2013	3 mo.	\$125	04/16/2013
05/01/2013	6 mo.	\$125	04/12/2013
05/01/2013	6 mo.	\$125	04/11/2013
05/01/2013	3 mo.	\$100	04/11/2013
05/01/2013	6 mo.	\$125	04/11/2013

II. MEMBERSHIP INTERESTS AVAILABLE FOR LEASE

1. Lessors are requested to contact the Leasing Information Line "LIL" at (312) 930-3414 or the Membership Services Department at (312) 435-3480 to initially list a membership available for lease. Listings must be received by 6 p.m. to be included on the next days list. This list is updated once per day by 10 a.m. each morning.
2. Names will be posted on this list for five (5) business days. To renew your listing, please call the LIL voice mail renewal at (312) 930-3414.
3. All names will be listed on www.cmegroup.com under the "Memberships For Lease" section in date order as they are received by the Membership Services Department.

CME Memberships for Lease

Lessor	Date Available	Contact	Tel. Number
David C. Burnidge	Immed.	Gregg Williams	(312) 377-3508
Alan V Mitchell 1998 Trust	Immed.	Paula McKinney	(847) 730-3463
Kenneth J. Ganch	Immed.	Margie DeLorme	(312) 347-4803
Sam Judlo	06/01/2013	Bill Levinske	(312) 408-9422

IMM Memberships for Lease

Lessor	Date Available	Contact	Tel. Number
Richard W. Kaspar Revocable Trust Agreement	Immed.	Paula McKinney	(847) 730-3463
Estate Of Richard J. Appel	Immed.	Margie Gralla	(312) 341-7755
Helen Ann King Revocable Trust U/A/D 6/23/95	Immed.	Karen Matrenec	(708) 757-6630
Donna Shannon Mulchrone Living Trust	Immed.	Karen Matrenec	(708) 757-6630

Phillip T. Karafotas	Immed.	Karen Matrenec	(708) 757-6630
Clifford Kabumoto Trust	Immed.	Margie Gralla	(312) 341-7755
Donald L. Minucciani Trust Dated 12/12/84	Immed.	Karen Matrenec	(708) 757-6630
The Marjorie Susan Bennett Trust Dated May 15, 2007	Immed.	Karen Matrenec	(708) 757-6630
Susan C. Gruebnaue Declaration Of Trust U/A DTD. 11/21/2006	Immed.	Bernard Doyle	(312) 782-5600
Jean L Geurkink Trust	Immed.	Karen Matrenec	(708) 757-6630
Donald W.B. Drapeau	Immed.	George Klahn	(312) 341-7725
The Ernest Nissen Revocable Trust	Immed.	Tracy Christie	(773) 616-3069
Kevin T. Duffy	Immed.	Kevin Duffy	(920) 854-9852
Lorrayne Ltd	Immed.	Karen Matrenec	(708) 757-6630
K.G. Birks Marital Trust	Immed.	Karen Matrenec	(708) 757-6630
Barry S. Cohn Revocable Trust	Immed.	Karen Ellison	(312) 604-6117
Gerald B. Fleischman	Immed.	Karen Ellison	(312) 604-6117
Trust Agreement Of Thomas A. Cicardo And Lori L. Cicardo	Immed.	Karen Matrenec	(708) 757-6630
Dolores M. Crilly Living Trust Dated 9/14/92	Immed.	Karen Matrenec	(708) 757-6630
Norton D. Waltuch	Immed.	Eric	(773) 732-2814
Stephen D. Friend	Immed.	Margie DeLorme	(312) 800-7012
Mark J. Muller	Immed.	Mark Muller	(312) 259-6663
Agnieszka Jankuc	Immed.	Margie DeLorme	(312) 800-7012
Keith R. Fohrman	Immed.	Margie DeLorme	(312) 800-7012
Sandra R. Bromagen Trust Dated 1/13/97	Immed.	Margie DeLorme	(312) 800-7012
Glenn S. Bromagen Trust Dated 3/18/81	Immed.	Margie DeLorme	(312) 800-7012
Philip L. Glass	Immed.	Milly Limas-Dutton	(312) 208-0229
Lonny J. Siegel Revocable Trust	Immed.	Milly Limas-Dutton	(312) 208-0229
Joel S. Geier	Immed.	Milly Limas-Dutton	(312) 208-0229
Terry A. Pasquale	Immed.	Milly Limas-Dutton	(312) 208-0229
Steven R. Sukenik Revocable Living Trust	Immed.	Milly Limas-Dutton	(312) 208-0229
Michael R. Denten	Immed.	Becky Christie	(312) 604-6116
Martin J. Furlong	Immed.	Margie DeLorme	(312) 800-7012
Terry Steven Hospodar Revocable Trust	Immed.	Paula McKinney	(847) 730-3463
Helen Carbajal-Gaule	Immed.	Paula McKinney	(847) 730-3463
John And Darice Eastman Trust Dated 7/25/00	Immed.	Margie DeLorme	(312) 800-7012
Robert S. Venit	Immed.	Becky Christie	(312) 604-6116

Richard C. Cohn	Immed.	Milly Limas-Dutton	(312) 208-0229
David T. Yob	Immed.	David Yob	(773) 203-9434
Sheldon Langer Trust Agreement Dated July 16, 2008	Immed.	Milly Limas-Dutton	(312) 208-0229
Richard S Lane Revocable Living Trust Agreement DTD 12/15/10	Immed.	Becky Christie	(312) 604-6116
Sheldon Langer Trust Agreement Dated July 16, 2008	Immed.	Milly Limas-Dutton	(312) 208-0229
Timothy M. Riley	Immed.	Gregg Williams	(312) 377-3508

IOM Memberships for Lease

Lessor	Date Available	Contact	Tel. Number
Anthony Carl Cirro Living Trust Dated July 24, 2006	Immed.	Christine White	(847) 550-1901
George Segal Revocable Trust	Immed.	Theresa Quinlan	(312) 559-8812
Susan C. Gruebnaue Declaration Of Trust U/A DTD. 11/21/2006	Immed.	Bernard Doyle	(312) 782-5600
Todd T. Stewart	Immed.	Paula McKinney	(847) 730-3463
Glenn S. Crane	Immed.	Margie Gralla	(312) 341-7755
Gregg R. Schneider Family Trust	Immed.	Karen Ellison	(312) 604-6117
Marvin Steinberg Amended And Restated Revocable Trust Dated	Immed.	Milly Limas-Dutton	(312) 208-0229
George Hase Living Trust 6/30/94	Immed.	Milly Limas-Dutton	(312) 208-0229
Pauline Jesser Family Trust Dated 10/22/84	Immed.	Becky Christie	(312) 604-6116
Nicholas D. Gabrione	Immed.	Margie DeLorme	(312) 800-7012
Nick A. Guarino	Immed.	Paula McKinney	(847) 730-3463
Sy Nagorsky	Immed.	Paula McKinney	(847) 730-3463
Mary J. O'Connor	Immed.	Mary J. O'Connor	(312) 337-2499
Savinorthree, LLC	Immed.	Becky Christie	(312) 604-6116
The James T. Napolitan Living Trust Dated 8/4/09	Immed.	Becky Christie	(312) 604-6116
Gerald M. Bear	Immed.	Becky Christie	(312) 604-6116
Robert G. Caire Iii	Immed.	Becky Christie	(312) 604-6116
Norton D. Waltuch	Immed.	Eric	(773) 953-6329
Seymour H. Persky Revocable Trust Dated September 27, 2000	Immed.	Karen Matrenec	(708) 757-6630
Mark R. Kellner	Immed.	Margie DeLorme	(312) 800-7012
Michael D. Okun	Immed.	Karen Matrenec	(708) 757-6630
Carl W. Peterson Jr. Trust Under Declaration Dated April 5,	Immed.	Karen Matrenec	(708) 757-6630

Helen Ann King Revocable Trust U/A/D 6/23/95	Immed.	Karen Matrenec	(708) 757-6630
Maury A. Kravitz	Immed.	Becky Christie	(312) 604-6116
Jeffrey S. Worley	Immed.	Becky Christie	(312) 604-6116
Keith R. Fohrman	Immed.	Margie DeLorme	(312) 800-7012
Agnieszka Jankuc	Immed.	Margie DeLorme	(312) 800-7012
Daniel J. Sullivan	Immed.	Gregg Williams	(312) 435-5900
Neal B. Schneider Family Trust	Immed.	Karen Ellison	(312) 604-6117
David S. Gassel Children'S Trust	Immed.	Kathy Holloway	(312) 886-2003
John E. Diversey Jr.	Immed.	Margie DeLorme	(312) 800-7012
Michael J. Levin	Immed.	Milly Limas-Dutton	(312) 208-0229
Harry G. Karkazis	Immed.	Becky Christie	(312) 604-6116
Robert L. Hohenadel	Immed.	Becky Christie	(312) 604-6116
Kenneth L. Gaspar Trust Agreement Dated 10/1/93 Or His Succe	Immed.	Milly Limas-Dutton	(312) 208-0229
Sherwin Z. Landerman	Immed.	Tracy Christie	(773) 616-3069
James L. Mazzetta	Immed.	Becky Christie	(312) 604-6116
James S. Schmitt	Immed.	Gregg Williams	(312) 377-3508
J. Paul Hartsell	Immed.	Karen Matrenec	(708) 757-6630
Sam Richter	Immed.	Becky Christie	(312) 604-6116
James Carey McDonald	Immed.	Tracy Christie	(773) 616-3069
Ronald Heftman	Immed.	Karen Matrenec	(708) 757-6630
Charles Ponoroff Trust Dated 11/2/88	Immed.	Paula McKinney	(847) 730-3463
Pal Properties li, L.P.	Immed.	Paula McKinney	(847) 730-3463
Joan R. Weinberg Revocable Trust	Immed.	Paula McKinney	(847) 730-3463
Keith R. Fehser	Immed.	Paula McKinney	(847) 730-3463
Burton L. Shender Trust	Immed.	Paula McKinney	(847) 730-3463
David S. Gassel Children'S Trust	Immed.	Kathy Holloway	(312) 886-2003
Gilbert R. Valentine	Immed.	Milly Limas-Dutton	(312) 208-0229
Suzann E. Kogen	Immed.	Karen Matrenec	(708) 757-6630
Mark Fox Profit Sharing Trust	Immed.	Milly Limas-Dutton	(312) 208-0229
Steven E. Wollack	Immed.	Becky Christie	(312) 604-6116
John R. Forester	Immed.	Margie DeLorme	(312) 800-7012
John M. Daly SR. Revocable Trust	Immed.	Carol Daly	(630) 308-8109
John M. Fife	Immed.	Margie DeLorme	(312) 800-7012

Eddy H. Lamar	Immed.	Margie DeLorme	(312) 800-7012
William M. Phelan	Immed.	Karen Matrenec	(708) 757-6630
Michael J. Ambrosino	Immed.	Milly Limas-Dutton	(312) 208-0229
Michelle M. Scheffel	Immed.	Val Visus	(312) 953-6329
Keystone Trading Corporation	Immed.	Gregg Williams	(312) 377-3508
Irv L. Slosberg	Immed.	Tracy Christie	(773) 616-3069

GEM Memberships for Lease

Lessor	Date Available	Contact	Tel. Number
Harold C. Stein Revocable Trust	Immed.	Milly Limas-Dutton	(312) 208-0229
Frederick & Barbara J. Hoekstra Trust U/T/A Dated 10/30/97	Immed.	Paula McKinney	(847) 730-3463
Barnett Bakal Revocable Trust U/A/D 12/28/88	Immed.	Theresa	(312) 559-8812
Barry S. Cohn Revocable Trust	Immed.	Karen Ellison	(312) 604-6117
William F. Kulp	Immed.	Gregg Williams	(312) 377-3508
Robert G. Mulchrone	Immed.	Margie DeLorme	(312) 800-7012
Gerald Ordman	Immed.	Paula McKinney	(847) 730-3463
Kraig L. Dippold	Immed.	Karen Matrenec	(708) 757-6630
K.G. Birks Marital Trust	Immed.	Karen Matrenec	(708) 757-6630
Alan V Mitchell 1998 Trust	Immed.	Paula McKinney	(847) 730-3463
Burton S. Steck	Immed.	Paula McKinney	(847) 730-3463
Anthony Carl Cirro Living Trust Dated July 24, 2006	Immed.	Christine White	(847) 550-1901
Charles J. Riley	Immed.	Becky Christie	(312) 604-6116
Lee J. Kanefield	Immed.	Karen Ellison	(312) 604-6117
Arthur G. Lyle Revocable Trust	Immed.	Karen Matrenec	(708) 757-6630
Woodrow H. Levin	Immed.	Becky Christie	(312) 604-6116
Walter W. Hautamaki	Immed.	Tracy Christie	(773) 616-3069
Alan V. Bush	Immed.	Same	(630) 234-1420
Trust Agreement Of Thomas A. Cicardo And Lori L. Cicardo	Immed.	Karen Matrenec	(708) 757-6630
Marsha A. Browdy	Immed.	Karen Matrenec	(708) 757-6630
Seymour H. Persky Revocable Trust Dated September 27, 2000	Immed.	Karen Matrenec	(708) 757-6630
Marc B. Hirsch	Immed.	Paula McKinney	(847) 730-3463
Richard D. Kohn	Immed.	Becky Christie	(312) 604-6116

John M. Baker Jr.	Immed.	Milly Limas-Dutton	(312) 208-0229
Paul S. Doppelt	Immed.	Becky Christie	(312) 604-6116
Anthony Carl Cirro Living Trust Dated July 24, 2006	Immed.	Christine White	(847) 550-1901
Todd S. Newberger	Immed.	Milly Limas-Dutton	(312) 208-0229
Thomas F. Pace Trust Dated 8-28-08	Immed.	Margie DeLorme	(312) 800-7012
James R. Levinson	Immed.	Karen Matrenec	(708) 757-6630
Megan M. Vanhazebroek	Immed.	Milly Limas-Dutton	(312) 208-0229
Susan M. Serota Trust	Immed.	Karen Matrenec	(708) 757-6630
Juliana Raduenzel Revocable Living Trust Dated 5/13/08	Immed.	Karen Matrenec	(708) 757-6630
J. Timothy Kelley Trust Dated 9/17/05	Immed.	Karen Matrenec	(708) 757-6630
Hershel H. Herrendorf Revocable Trust	Immed.	Margie DeLorme	(312) 800-7012
Stephen R.J. Adie	Immed.	Becky Christie	(312) 604-6116
Edward J. Verdino	Immed.	Becky Christie	(312) 604-6116
Michael D. Montgomery	Immed.	Michael Montgomery	(219) 787-8300
Stanley D. Kubacki	Immed.	Margie DeLorme	(312) 800-7012

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Section Q:

CBOT Member Weekly Sales and Transfers

- 1 Weekly Postings and Reported Lease Information



Special Executive Report

S-6615

April 01, 2013

WEEKLY POSTING MEMBERSHIP/SHARE SALES AND TRANSFERS

Members having any information regarding the transferees listed below are requested to communicate the same to the Shareholder Relations and Membership Services Department ("the Department") on or before **April 22, 2013**.

In accordance with CBT Rule 110, "At the conclusion of the 20 day claim filing period, the Market Regulation Department and the Department shall conduct an investigation of all claims properly filed against the seller's membership or its proceeds".

All claims against the seller of a membership shall be submitted in writing to the Department on or before **April 22, 2013**.

<u>TRANSFeree</u>	<u>DIVISION</u>	<u>TRANSFEROR</u>	<u>RULE/AMOUNT</u>	<u>DATE</u>
#Joseph S. Geisel	AM	Stephen P. Janho	106D Transfer	03/25/2013
Chunga Changa Inc.	AM	Aleksandr Berkovich	\$93,500 (Match bid/offer)	03/25/2013
Infinium Capital Management, LLC	COM	Mitchell S. Tyson	106F Transfer	03/26/2013
#William J. McKenna Jr	AM	Carter S. Glass	106D Transfer	03/26/2013
Jane A. Perpich	FULL	Brian J. O'Connell	106D Reinstatement	03/26/2013
#Joseph V. Verdi	FULL	Jane A. Perpich	106D Transfer	03/26/2013
**Transmarket Group LLC	GIM	Michael S. Bahrmassel	106D Reinstatement	03/27/2013
#Michael R. Goff	GIM	**Transmarket Group LLC	106D Transfer	03/27/2013
Carl P. Adducci	FULL	KGI Futures Co., Ltd.	106D Reinstatement	03/28/2013
Brett H. Moore	FULL	Carl P. Adducci	106D Transfer	03/28/2013
Jeffrey M. Crouth	FULL	Florian Lars Burkart	106D Reinstatement	03/28/2013
Louis P. Bournakis Revocable Trust U/A/D 10/19/2000, Louis P. Bournakis, Trustee	FULL	Lloyd A. Costello	106D Reinstatement	03/28/2013
Florian Lars Burkart	FULL	Louis P. Bournakis Revocable Trust U/A/D 10/19/2000, Louis P. Bournakis, Trustee	106D Transfer	03/28/2013
Michael A. Williams	COM	Michael John Walsh	106D Reinstatement	03/28/2013
Michael J. Ambrosino	COM	Matthew C. Boza	106D Reinstatement	03/28/2013
League Trading LLC	COM	David W. Bomier	106F Transfer	03/28/2013
David J. Sickley	GIM	Michael Carens	106D Reinstatement	03/28/2013

Daniel M. Egan	GIM	David J. Sickley	106D Transfer	03/28/2013
Daniel N. Ambrosino	COM	Brady E. Curran	106D Reinstatement	03/28/2013
Neal B. Schneider	FULL	Robert D. Walsh	106D Reinstatement	03/28/2013

* New Applicant

** Shareholder

New Applicant Previously Posted

TRADING MEMBER FIRM APPROVAL

Chunga Changa Inc. has been approved as a CBOT Rule 106.H. Trading Member Firm – AM Level, effective March 26, 2013.

UMBRELLA MEMBERSHIP APPROVAL

Gavilon LLC, a CBOT Rule 106.J. Equity Member Firm, now qualifies as a CBOT membership umbrella, effective March 28, 2013.

NEW APPLICANTS FOR MEMBERSHIP

The applicants listed below are applying for membership and will be transferred membership at a later date. Any member having any information regarding these applicants is requested to communicate the same to the Shareholder Relations and Membership Services Department on or before **April 22, 2013**. Photographs of new applicants will be displayed on the bulletin boards located on the trading floor.

APPLICANT	DIVISION
Antonio Bueno Madrid,	AM
Denis J. Curran Chicago, IL	FULL
Kimberly A. Decarlo Chicago, IL	FULL
Adam M. Hoffman Chicago, IL	FULL
Lucas D. Johnson Chicago, IL	AM
Christopher D. Lefkovitz Chicago, IL	COM

BID, OFFER AND LAST SALE FOR MEMBERSHIPS

AS OF Friday, March 29, 2013 at 4:00 P.M.

	<u>FULL</u>	<u>AM</u>	<u>GIM</u>	<u>IDEM</u>	<u>COM</u>
Date	03/20/2013	03/21/2013	06/16/2011	02/27/2013	03/22/2013
Last	\$290,000	\$96,500	\$60,000	\$5,700	\$24,000
Bid	\$275,000	\$93,500	\$24,000	\$4,000	\$21,000
Offer	\$296,000	\$105,500	\$85,000	\$5,600	\$27,000

BID, OFFER AND LAST SALE FOR MEMBERSHIPS SWAP MARKET

AS OF Friday, March 29, 2013 at 4:00 P.M.

	<u>FULL FOR AM</u>	<u>FULL FOR COM</u>	<u>FULL FOR IDEM</u>	<u>AM FOR COM</u>	<u>AM FOR IDEM</u>	<u>COM FOR IDEM</u>
Date						
Last						
Bid	\$140,000	NONE	NONE	NONE	NONE	NONE
Offer	NONE	NONE	NONE	NONE	NONE	NONE

Chicago Board of Trade Inc.
Reported Lease Prices and Membership Interests for Lease
Monday, April 01, 2013 10:00 AM
Lease Information Line (312) 930-3414
CURRENT LEASE INFORMATION IS AVAILABLE AT WWW.CMEGROUP.COM

I. REPORTED LEASE PRICE INFORMATION

II. MEMBERSHIP INTERESTS AVAILABLE FOR LEASE

I. REPORTED LEASE PRICE INFORMATION

1. **Lease prices** are updated as received and are listed in the Membership Services Department. Lease prices can be accessed on the CME Group Website (www.cmegroup.com).
2. To request a fax of this document, please call the LIL at (312) 930-3414.

Rule 106.D.12 NOW REQUIRES ALL LESSORS TO NOTIFY THE EXCHANGE OF ANY NEW OR RENEWED LEASE PRICES WITHIN TWO BUSINESS DAYS OF THE NEGOTIATED LEASE AGREEMENT. Lease Reporting Forms are available by contacting the Membership Services Department at (312) 435-3480. The Exchange will report all information submitted and makes no claim as to the completeness or accuracy of this information.

FULL	AM	GIM	IDEM	COM
1 @ \$1581.32	2 @ \$733.80	2 @ \$639.00		
1 @ \$1574.73				
Month-end Rental Dollar amount (as of 3/28/2013)				
\$1574.73	\$733.80	\$639.00	\$52.16	\$220.27

II. MEMBERSHIP INTERESTS AVAILABLE FOR LEASE

1. Lessors are requested to contact the Leasing Information Line "LIL" at (312) 930-3414 or the Membership Services Department at (312) 435-3480 to initially list a membership available for lease. Listings must be received by 6 p.m. to be included on the next day's list. This list is updated once per day by 10 a.m. each morning.
2. Names will be posted on this list for five (5) business days. To renew your listing, please call the LIL voice mail renewal at (312) 930-3414.
3. All names will be listed on www.cmegroup.com under the "Memberships For Lease" section in date order as they are received by the Membership Services Department.

FULL Memberships for Lease

Lessor	Date Available	Contact	Tel. Number
Black Forest Trading LP	Immed.	Utkarsh Samant - usamant@blackforesttrading.com	(203) 559-0670
George Bornstein	Immed.	Kathy Holloway	(312) 886-2003
William R. Shepard	Immed.	Margie Gralla	(312) 341-7755
Daniel F. O'Neill	Immed.	Mark Washack	(312) 356-6050
Brian P. McCarthy Living Trust Dated July 18, 2008	Immed.	Kathy Holloway	(312) 886-2003
Joseph F. Primm	Immed.	Bill Levinske	(312) 408-9422
Joan C. Boyle Trust U/A/D 1-16-02	Immed.	Laura McGuire	(312) 795-7661
Matthew O'Connor	Immed.	Maria Abbate	(312) 604-8809
Rose G. Martin-Schneider	Immed.	Chris Askew	(312) 604-6026
Gregory C. Rothman	04/29/2013	Kathy Holloway	(312) 886-2003

AM Memberships for Lease

Lessor	Date Available	Contact	Tel. Number
Michael A. Williams	Immed.	Paula McKinney	(847) 730-3463
Christopher J. Dynek	Immed.	Penny Sheinfeld	(312) 347-1727
Keystone Trading Corporation	Immed.	Gregg Williams	(312) 377-3508
Charles R. Frank	Immed.	Kathy Holloway	(312) 886-2003
Stephen Perraud	Immed.	Kathy Holloway	(312) 886-2003
Stephen K. Ratner	Immed.	Kathy Holloway	(312) 886-2003
Joseph A. Koehler	Immed.	Kathy Holloway	(312) 886-2003

Peter S. Weinberg	Immed.	Kathy Holloway	(312) 886-2003
William R. Macdonald Jr.	Immed.	Kathy Holloway	(312) 886-2003
John A. Vercillo	Immed.	Penny Sheinfeld	(312) 347-1727
Terrence P. Madden	Immed.	Kathy Holloway	(312) 886-2003
Joeanne Foskett Schmidt	Immed.	Chris Askew	(312) 604-6026
David C. Ziperski	Immed.	Kathy Holloway	(312) 886-2003
Henry Shatkin	Immed.	Penny Sheinfeld	(312) 347-1727
Grace L. Neville	Immed.	Kathy Holloway	(312) 886-2003
Glenn P. Hollander	Immed.	Kathy Holloway	(312) 886-2003
William M. Carley	Immed.	Kathy Holloway	(312) 886-2003
Daniel J. Nicholson Trust Dated November 27, 1996	Immed.	Daniel Nicholson	(630) 455-6799
Yra G. Harris	Immed.	Paula McKinney	(847) 730-3463
John A. Marzinelli	Immed.	Paula McKinney	(847) 730-3463
Gregory J. Ellis	Immed.	Kevin Globe	(312) 784-0423
Joseph F. Schatz	Immed.	Gregg Williams	(312) 377-3508
Jonathan E. Armstrong	Immed.	Jonathan Armstrong	(415) 788-9132
George Segal Revocable Trust	Immed.	Theresa Quinlan	(312) 559-8812
The Brian I. Connelly Revocable Trust	Immed.	Penny Sheinfeld	(312) 347-1727
Jerrold M. Duzenman	Immed.	Chris Askew	(312) 604-6026
Sharon K. Murray	Immed.	Sharon Murray Sharon Murray	(214) 514-1143 (972) 618-4149
Robert W. Montgomery	Immed.	Robert Montgomery	(312) 859-2545
Robert T. Bernat Trust	Immed.	Kathy Holloway	(312) 886-2003
Louis P. Bournakis Revocable Trust U/A/D 10/19/2000	Immed.	Kathy Holloway	(312) 886-2003
Jeffrey Horwich	Immed.	Jeffrey Horwich	(312) 952-7451
Benjamin C. Johnson	Immed.	Ben Johnson	(402) 517-1874
Mildred Lidov	Immed.	Paula McKinney	(847) 730-3463
William F. McMahon	Immed.	Paula McKinney	(847) 730-3463
John F. Fyfe	Immed.	Kevin Globe	(312) 784-0423
John F. Fyfe	Immed.	Kevin Globe	(312) 784-0423
Nabil Aadel Eldib	Immed.	Margie DeLorme	(312) 347-4803
James R. Stewart	Immed.	Kathy Holloway	(312) 886-2003

GIM Memberships for Lease

Lessor	Date Available	Contact	Tel. Number
Alma R. Duncan	Immed.	Penny Sheinfeld	(312) 347-1727
Ray Larsen	Immed.	Margie DeLorme	(312) 347-4803

IDEM Memberships for Lease

Lessor	Date Available	Contact	Tel. Number
George I. Segal	Immed.	Theresa Quinlan	(312) 559-8812
Robert T. Bernat Trust	Immed.	Kathy Holloway	(312) 886-2003
Richard A. Kirsliis	Immed.	Paula McKinney	(847) 730-3463
James M. Sheridan	Immed.	Paula McKinney	(847) 730-3463
Thomas Cupelis	Immed.	Paula McKinney	(847) 730-3463
Terence M. Corby	Immed.	Gina Davis Nancy Webber	(312) 347-5482 (312) 604-6501
Candice A. Sunderlin	Immed.	Gregg Williams	(312) 377-3508
Martin Kogan	Immed.	Penny Sheinfeld	(312) 347-1727
Mary J. O'Connor	Immed.	Mary J. O'Connor	(312) 337-2499
Dale S. Scheck	Immed.	Kathy Holloway	(312) 886-2003
Mahyar Amirsaleh	Immed.	Chris Askew	(312) 604-6026
Stuart D. Reif	Immed.	Stuart Reif	(847) 800-5979
John Nels Sunderlin	Immed.	Gregg Williams	(312) 377-3508
Glenn P. Hollander	Immed.	Kathy Holloway	(312) 886-2003
Richard A. Loehr	Immed.	Bill Levinske	(312) 408-9422
James Barrowman	Immed.	Kathy Holloway	(312) 886-2003
Daniel J. Derosé Jr.	Immed.	Gregg Williams	(312) 377-3508
John Nels Sunderlin	Immed.	Gregg Williams	(312) 377-3508
Jonathon Stone	Immed.	Jon Stone	(847) 948-5356
John F. McKerr Jr.	Immed.	Kathy	(312) 786-9140
Michael C. Rothman	Immed.	Kathy Holloway	(312) 886-2003
Andrew Kern	Immed.	Paula McKinney	(847) 730-3463
Daniel J. Devito	Immed.	Paula McKinney	(847) 730-3463
Terrence E. Morris	Immed.	Dina Morris	(630) 750-0377
Terrence E. Morris	Immed.	Dina Morris	(630) 750-0377

Terrence E. Morris	Immed.	Dina Morris	(630) 750-0377
Raymond S. Cahnman	Immed.	Jon Watson	(312) 284-5678
Transmarket Group LLC	Immed.	Jon Watson	(312) 284-5678
Michael Otter	Immed.	Michael Otter	(312) 498-0721
Steven V. Kuhlmann	Immed.	Chris Askew	(312) 604-6026
Terence X. Hurley	Immed.	Chris Askew	(312) 604-6026
William K. Schloss	Immed.	William Schloss William Schloss	(847) 508-5496 (847) 272-0700

COM Memberships for Lease

Lessor	Date Available	Contact	Tel. Number
Larry C. Gulotta	Immed.	Kathy Holloway	(312) 886-2003
Mahyar Amirsaleh	Immed.	Chris Askew	(312) 604-6026
Daniel J. Devito	Immed.	Paula McKinney	(847) 730-3463
Debra Rodkin	Immed.	Debra Rodkin	(310) 779-5985
David Glancy	Immed.	Kathy Holloway	(312) 886-2003
Henry P. Baby Jr.	Immed.	Kathy Holloway	(312) 886-2003
Merton L. Feldstein	Immed.	Penny Sheinfeld	(312) 347-1727
Kenneth M. Groover	Immed.	Nancy Webber	(312) 604-6501
James A. Layton	Immed.	Kathy Holloway	(312) 886-2003
Chris Pappas	Immed.	Chris Askew	(312) 604-6026
J. Brian Schaer	Immed.	Bill LeVinske	(312) 408-9422
Marilyn M. Morelli	Immed.	Kathy Holloway	(312) 886-2003
Linda L. Buggy	Immed.	Chris Askew	(312) 604-6026
Thomas A. Murphy	Immed.	Kathy Holloway	(312) 886-2003
Nance Pticek Zartman	Immed.	Bill Levinske	(312) 408-9422
Mike Koress	Immed.	Ruth Koress	(847) 674-7689
Earle M. Combs IV	Immed.	Kathy Holloway	(312) 886-2003
Lucille R. Tlappek	Immed.	Tricia Tlappek	(501) 551-0150
Susan E. Griffin	Immed.	Kathy Holloway	(312) 886-2003
Ross J. Greenspan	Immed.	Ross Greenspan	(847) 922-2200
Joseph W. Hance	Immed.	Kathy Holloway	(312) 886-2003
Kathryn Leonard Otter	Immed.	Mark Kirshner	(312) 461-8218

Robert E. Otter Trust	Immed.	Mark Kirshner	(312) 461-8218
Thomas R. Hardy	Immed.	Bill Levinske	(312) 408-9422
Jonathon Stone	Immed.	Jonathon Stone	(847) 948-5356
Mark C. Lato	Immed.	Penny Sheinfeld	(312) 347-1727
Robert B. Rusin	Immed.	Paula McKinney	(847) 730-3463
George Willia McTague III	Immed.	Paula McKinney	(847) 730-3463
Michael A. Williams	Immed.	Paula McKinney	(847) 730-3463
Reed J. Farrell	05/01/2013	Bill Levinske	(312) 408-9422
Mark Ishikawa	Immed.	Bill Levinske	(312) 408-9422
Transmarket Group LLC	Immed.	Jon Watson	(312) 284-5678
Daniel N. Ambrosino	Immed.	Chris Askew	(312) 604-6026
Annette C. McCarthy	Immed.	Annette McCarthy	(312) 671-9436



Special Executive Report

S-6623

April 08, 2013

WEEKLY POSTING MEMBERSHIP/SHARE SALES AND TRANSFERS

Members having any information regarding the transferees listed below are requested to communicate the same to the Shareholder Relations and Membership Services Department ("the Department") on or before **April 29, 2013**.

In accordance with CBT Rule 110, "At the conclusion of the 20 day claim filing period, the Market Regulation Department and the Department shall conduct an investigation of all claims properly filed against the seller's membership or its proceeds".

All claims against the seller of a membership shall be submitted in writing to the Department on or before **April 29, 2013**.

<u>TRANSFeree</u>	<u>DIVISION</u>	<u>TRANSFEROR</u>	<u>RULE/AMOUNT</u>	<u>DATE</u>
Joan C. Boyle Trust U/A/D 1-16-02, Joan Boyle, Trustee	FULL	John C. Caprio	106D Reinstatement	04/01/2013
Todd M. Thielmann	FULL	Joan C. Boyle Trust U/A/D 1-16-02, Joan Boyle, Trustee	106D Transfer	04/01/2013
John P. Langan	IDEM	John B. Libert	106D Transfer	04/01/2013
Invenio Holdings Pte. Ltd.	FULL	Christopher J. Chapin	106F Transfer	04/02/2013
**Alma R. Duncan	GIM	John E. Sheehan Jr.	106D Reinstatement	04/02/2013
Daniel J. Hoellerich	FULL	Mitchell S. Tyson	106D Reinstatement	04/02/2013
Scott C. Zaleski	FULL	Daniel J. Hoellerich	106D Transfer	04/02/2013
James E. Doherty	AM	Eniesi Uwidia	106D Reinstatement	04/02/2013
Terrence M. Connelly	COM	Trevor C. Essig	106D Reinstatement	04/02/2013
Robert D. Walsh	COM	Terrence M. Connelly	106D Transfer	04/02/2013
#Antonio Bueno	IDEM	Arfima Trading SL	106F Transfer	04/03/2013
Terence X. Hurley	IDEM	Jennifer L. Luhm	106D Reinstatement	04/03/2013
John R. Hinkes	IDEM	Terence X. Hurley	106D Transfer	04/03/2013
*Michael Bromiley	IDEM	Cowen & Co. L.L.C.	\$5,000	04/03/2013
Matthew O'Connor	FULL	David J. Riordan	106D Reinstatement	04/03/2013
#Eric M. Berindei	FULL	Matthew O'Connor	106D Transfer	04/03/2013
#Olivier C. Auberger	AM	Eric Carlino	\$93,500	04/04/2013
#Lucas D. Johnson	AM	Jerrold M. Duzenman	106D Transfer	04/04/2013

#Kristin A. Kampwirth	COM	Cowen & Co. L.L.C.	\$24,000	04/04/2013
Rose G. Martin-Schneider	FULL	H. Michael Summer	106D Reinstatement	04/05/2013
Travis J. Russell	FULL	Rose G. Martin-Schneider	106D Transfer	04/05/2013
Peter M. Picucci EQUITEC RS LLC	FULL	Noah P. Carey	106F Transfer	04/05/2013
John F. Fyfe	AM	Asfandyar Khan	106D Reinstatement	04/05/2013
#Kristin A. Kampwirth	AM	John F. Fyfe	106D Transfer	04/05/2013
H. Michael Summer	AM	John J. Pasciak	106D Transfer	04/05/2013
#Patricia A. Tlapek	COM	Lucille R. Tlapek	106C Transfer	04/05/2013
Richard B. Pfeil	FULL	Bradley C. Aubuchon	106D Reinstatement	04/05/2013
Mark C. McCloy	FULL	Michael Hagan	106D Reinstatement	04/05/2013

* New Applicant

** Shareholder

New Applicant Previously Posted

TRADING MEMBER FIRM APPROVAL

INTL Hanley LLC has been approved as a CBOT Rule 106.H. Trading Member Firm - Full Level, effective April 2, 2013.

TRADING MEMBER FIRM WITHDRAWAL

Toro Commodity Trading LLC has withdrawn as a CBOT Rule 106.H. Trading Member Firm – Full Level, effective April 2, 2012.

NEW APPLICANTS FOR MEMBERSHIP

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APPLICANT	DIVISION
Michael Bromiley Chicago, IL	IDEM

BID, OFFER AND LAST SALE FOR MEMBERSHIPS

AS OF Friday, April 05, 2013 at 4:00 P.M.

	<u>FULL</u>	<u>AM</u>	<u>GIM</u>	<u>IDEM</u>	<u>COM</u>
Date	03/20/2013	04/04/2013	06/16/2011	04/03/2013	04/04/2013
Last	\$290,000	\$93,500	\$60,000	\$5,000	\$24,000
Bid	\$275,000	\$90,000	\$24,000	\$4,000	\$17,500
Offer	\$290,000	\$100,000	\$85,000	\$5,900	\$24,000

BID, OFFER AND LAST SALE FOR MEMBERSHIPS SWAP MARKET

AS OF Friday, April 05, 2013 at 4:00 P.M.

	<u>FULL FOR AM</u>	<u>FULL FOR COM</u>	<u>FULL FOR IDEM</u>	<u>AM FOR COM</u>	<u>AM FOR IDEM</u>	<u>COM FOR IDEM</u>
Date						
Last						
Bid	\$140,000	NONE	NONE	NONE	NONE	NONE
Offer	NONE	NONE	NONE	NONE	NONE	NONE

Chicago Board of Trade Inc.
Reported Lease Prices and Membership Interests for Lease
Monday, April 08, 2013 10:00 AM
Lease Information Line (312) 930-3414
CURRENT LEASE INFORMATION IS AVAILABLE AT WWW.CMEGROUP.COM

I. REPORTED LEASE PRICE INFORMATION

II. MEMBERSHIP INTERESTS AVAILABLE FOR LEASE

I. REPORTED LEASE PRICE INFORMATION

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FULL	AM	GIM	IDEM	COM
3@ \$1574.73	1@ \$733.80 1@ \$639.00		3@ \$52.16	1@ \$260.00

Month-end Rental Dollar amount (as of 3/28/2013)

\$1574.73	\$733.80	\$639.00	\$52.16	\$220.27
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II. MEMBERSHIP INTERESTS AVAILABLE FOR LEASE

1. Lessors are requested to contact the Leasing Information Line "LIL" at (312) 930-3414 or the Membership Services Department at (312) 435-3480 to initially list a membership available for lease. Listings must be received by 6 p.m. to be included on the next day's list. This list is updated once per day by 10 a.m. each morning.
2. Names will be posted on this list for five (5) business days. To renew your listing, please call the LIL voice mail renewal at (312) 930-3414.
3. All names will be listed on www.cmegroup.com under the "Memberships For Lease" section in date order as they are received by the Membership Services Department.

FULL Memberships for Lease

Lessor	Date Available	Contact	Tel. Number
Black Forest Trading LP	Immed.	Utkarsh Samant - usamant@blackforesttrading.com	(203) 559-0670
George Bornstein	Immed.	Kathy Holloway	(312) 886-2003
William R. Shepard	Immed.	Margie Gralla	(312) 341-7755
Daniel F. O'Neill	Immed.	Mark Washack	(312) 356-6050
Brian P. McCarthy Living Trust Dated July 18, 2008	Immed.	Kathy Holloway	(312) 886-2003
Joseph F. Primm	Immed.	Bill Levinske	(312) 408-9422
Matthew O'Connor	Immed.	Maria Abbate	(312) 604-8809
Rose G. Martin-Schneider	Immed.	Chris Askew	(312) 604-6026
Gregory C. Rothman	04/29/2013	Kathy Holloway	(312) 886-2003
Toro Commodity Trading LLC	Immed.	Richard Forrer	(312) 477-8423
Aram D. Manyan	05/01/2013	Kevin Globe	(312) 784-0423
John A. Ward	Immed.	Chris Askew	(312) 604-6026
James E. Cashman	Immed.	Chris Askew	(312) 604-6026
Sharon R. Pflaum	Immed.	Bob Brennan	(312) 939-8950 ext. 101

AM Memberships for Lease

Lessor	Date Available	Contact	Tel. Number
Michael A. Williams	Immed.	Paula McKinney	(847) 730-3463
Christopher J. Dynek	Immed.	Penny Sheinfeld	(312) 347-1727
Charles R. Frank	Immed.	Kathy Holloway	(312) 886-2003

Stephen Perraud	Immed.	Kathy Holloway	(312) 886-2003
Stephen K. Ratner	Immed.	Kathy Holloway	(312) 886-2003
Joseph A. Koehler	Immed.	Kathy Holloway	(312) 886-2003
William R. Macdonald Jr.	Immed.	Kathy Holloway	(312) 886-2003
John A. Vercillo	Immed.	Penny Sheinfeld	(312) 347-1727
Terrence P. Madden	Immed.	Kathy Holloway	(312) 886-2003
Joeanne Foskett Schmidt	Immed.	Chris Askew	(312) 604-6026
David C. Ziperski	Immed.	Kathy Holloway	(312) 886-2003
Henry Shatkin	Immed.	Penny Sheinfeld	(312) 347-1727
Grace L. Neville	Immed.	Kathy Holloway	(312) 886-2003
Glenn P. Hollander	Immed.	Kathy Holloway	(312) 886-2003
William M. Carley	Immed.	Kathy Holloway	(312) 886-2003
Daniel J. Nicholson Trust Dated November 27, 1996	Immed.	Daniel Nicholson	(630) 455-6799
John A. Marzinelli	Immed.	Paula McKinney	(847) 730-3463
Yra G. Harris	Immed.	Paula McKinney	(847) 730-3463
Gregory J. Ellis	Immed.	Kevin Globe	(312) 784-0423
Jonathan E. Armstrong	Immed.	Jonathan Armstrong	(415) 788-9132
George Segal Revocable Trust	Immed.	Theresa Quinlan	(312) 559-8812
The Brian I. Connelly Revocable Trust	Immed.	Penny Sheinfeld	(312) 347-1727
Sharon K. Murray	Immed.	Sharon Murray Sharon Murray	(972) 618-4149 (214) 514-1143
Robert T. Bernat Trust	Immed.	Kathy Holloway	(312) 886-2003
Louis P. Bournakis Revocable Trust U/A/D 10/19/2000	Immed.	Kathy Holloway	(312) 886-2003
Jeffrey Horwich	Immed.	Jeffrey Horwich	(312) 952-7451
Mildred Lidov	Immed.	Paula McKinney	(847) 730-3463
William F. McMahon	Immed.	Paula McKinney	(847) 730-3463
Nabil Aadel Eldib	Immed.	Margie DeLorme	(312) 347-4803
James R. Stewart	Immed.	Kathy Holloway	(312) 886-2003
Thomas J. Hale	Immed.	Bob Brennan	(312) 939-8950 ext. 101

GIM Memberships for Lease

Lessor	Date Available	Contact	Tel. Number
Alma R. Duncan	Immed.	Penny Sheinfeld	(312) 347-1727
Ray Larsen	Immed.	Margie DeLorme	(312) 347-4803
Richard A. Stoken	Immed.	Dick Stoken	(847) 266-8738

IDEM Memberships for Lease

Lessor	Date Available	Contact	Tel. Number
George I. Segal	Immed.	Theresa Quinlan	(312) 559-8812
Robert T. Bernat Trust	Immed.	Kathy Holloway	(312) 886-2003
Richard A. Kirsliis	Immed.	Paula McKinney	(847) 730-3463
James M. Sheridan	Immed.	Paula McKinney	(847) 730-3463
Thomas Cupelis	Immed.	Paula McKinney	(847) 730-3463
Terence M. Corby	Immed.	Nancy Webber Gina Davis	(312) 604-6501 (312) 347-5482
Martin Kogan	Immed.	Penny Sheinfeld	(312) 347-1727
Mary J. O'Connor	Immed.	Mary J. O'Connor	(312) 337-2499
Dale S. Scheck	Immed.	Kathy Holloway	(312) 886-2003
Mahyar Amirsaleh	Immed.	Chris Askew	(312) 604-6026
Stuart D. Reif	Immed.	Stuart Reif	(847) 800-5979
Glenn P. Hollander	Immed.	Kathy Holloway	(312) 886-2003
Richard A. Loehr	Immed.	Bill Levinske	(312) 408-9422
James Barrowman	Immed.	Kathy Holloway	(312) 886-2003
Jonathon Stone	Immed.	Jon Stone	(847) 948-5356
John F. McKerr Jr.	Immed.	Kathy	(312) 786-9140
Michael C. Rothman	Immed.	Kathy Holloway	(312) 886-2003
Daniel J Devito	Immed.	Paula McKinney	(847) 730-3463
Andrew Kern	Immed.	Paula McKinney	(847) 730-3463
Transmarket Group LLC	Immed.	Jon Watson	(312) 284-5678
Raymond S. Cahnman	Immed.	Jon Watson	(312) 284-5678
Steven V. Kuhlmann	Immed.	Chris Askew	(312) 604-6026
Michael Otter	Immed.	Michael Otter	(312) 498-0721

William K. Schloss	Immed.	William Schloss William Schloss	(847) 508-5496 (847) 272-0700
Terence X. Hurley	Immed.	Chris Askew	(312) 604-6026

COM Memberships for Lease

Lessor	Date Available	Contact	Tel. Number
Larry C. Gulotta	Immed.	Kathy Holloway	(312) 886-2003
Mahyar Amirsaleh	Immed.	Chris Askew	(312) 604-6026
Daniel J Devito	Immed.	Paula McKinney	(847) 730-3463
Debra Rodkin	Immed.	Debra Rodkin	(310) 779-5985
David Glancy	Immed.	Kathy Holloway	(312) 886-2003
Henry P. Baby Jr.	Immed.	Kathy Holloway	(312) 886-2003
Merton L. Feldstein	Immed.	Penny Sheinfeld	(312) 347-1727
Kenneth M. Groover	Immed.	Nancy Webber	(312) 604-6501
James A. Layton	Immed.	Kathy Holloway	(312) 886-2003
Chris Pappas	Immed.	Chris Askew	(312) 604-6026
J. Brian Schaer	Immed.	Bill LeVinske	(312) 408-9422
Marilyn M. Morelli	Immed.	Kathy Holloway	(312) 886-2003
Linda L. Buggy	Immed.	Chris Askew	(312) 604-6026
Thomas A. Murphy	Immed.	Kathy Holloway	(312) 886-2003
Nance Pticek Zartman	Immed.	Bill Levinske	(312) 408-9422
Mike Koress	Immed.	Ruth Koress	(847) 674-7689
Earle M. Combs IV	Immed.	Kathy Holloway	(312) 886-2003
Susan E. Griffin	Immed.	Kathy Holloway	(312) 886-2003
Ross J. Greenspan	Immed.	Ross Greenspan	(847) 922-2200
Joseph W. Hance	Immed.	Kathy Holloway	(312) 886-2003
Thomas R. Hardy	Immed.	Bill Levinske	(312) 408-9422
Jonathon Stone	Immed.	Jonathon Stone	(847) 948-5356
Mark C. Lato	Immed.	Penny Sheinfeld	(312) 347-1727
Michael A. Williams	Immed.	Paula McKinney	(847) 730-3463
Robert B. Rusin	Immed.	Paula McKinney	(847) 730-3463
George Willia McTague III	Immed.	Paula McKinney	(847) 730-3463
Mark Ishikawa	Immed.	Bill Levinske	(312) 408-9422

Transmarket Group LLC	Immed.	Jon Watson	(312) 284-5678
Daniel N. Ambrosino	Immed.	Chris Askew	(312) 604-6026
Annette C. McCarthy	Immed.	Annette McCarthy	(312) 671-9436
Marsha Newlander	Immed.	Bill Levinske	(312) 408-9422
Mary J. O'Connor	Immed.	Mary J. O'Connor	(312) 337-2499
Kenneth D. Miely	Immed.	Bill Levinske	(312) 408-9422



Special Executive Report

S-6633

April 15, 2013

WEEKLY POSTING MEMBERSHIP/SHARE SALES AND TRANSFERS

Members having any information regarding the transferees listed below are requested to communicate the same to the Shareholder Relations and Membership Services Department ("the Department") on or before **May 06, 2013**.

In accordance with CBT Rule 110, "At the conclusion of the 20 day claim filing period, the Market Regulation Department and the Department shall conduct an investigation of all claims properly filed against the seller's membership or its proceeds".

All claims against the seller of a membership shall be submitted in writing to the Department on or before **May 06, 2013**.

<u>TRANSFeree</u>	<u>DIVISION</u>	<u>TRANSFEROR</u>	<u>RULE/AMOUNT</u>	<u>DATE</u>
Timothy J. Dillman	COM	Michael J. Pender	\$22,000 (Match bid/offer)	04/08/2013
Infinium Capital Management, LLC	COM	Kyle B. Daniels	106F Transfer	04/08/2013
Joseph J. Stack	AM	Stephan P. Lanigan	\$92,000 (Match bid/offer)	04/08/2013
Mark E. Soppi	COM	Patrick J. Campagna	106D Reinstatement	04/09/2013
Patrick J. Campagna FOUR MARBLES LLC	FULL	Mark E. Soppi	106F Transfer	04/09/2013
Tibra Trading Derivatives LLC	AM	Tibra Trading America LLC	\$95,000 (Match bid/offer)	04/09/2013
Bluecrest Capital International Master Fund Limited	FULL	Kirby Roberts (106D holder: Brendan O. Kirley)	\$289,000	04/10/2013
Bluecrest Capital International Master Fund Limited	AM	David P. Clark	\$98,000	04/10/2013
Aram D. Manyan	FULL	Robert F. Levy	106D Reinstatement	04/10/2013
Brendan O. Kirley	FULL	Aram D. Manyan	106D Transfer	04/10/2013
Bluecrest Capital International Master Fund Limited	AM	Cowen & Co. L.L.C.	\$100,000	04/10/2013
#Daniel M. Heller	AM	John A. Marzinelli	106D Transfer	04/10/2013
Bluecrest Capital International Master Fund Limited	FULL	Marcia R. Flanagan Trust, Martin L. Flanagan, Trustee	\$297,000	04/10/2013
Bluecrest Capital International Master Fund Limited	FULL	R. Timothy Ratty (106D holder: Michael Edelman)	\$288,000	04/10/2013
Bluecrest Capital International Master Fund Limited	FULL	Steven R. Enriquez	\$292,500	04/10/2013

Michael Edelman	FULL	John A. Ward	106D Transfer	04/10/2013
*Nicholas S. Munroe HEADLANDS TECHNOLOGIES LLC	FULL	Headlands Technologies LLC	106F Transfer	04/11/2013
Henry P. Baby Jr.	FULL	303 Proprietary Trading, LLC	106D Reinstatement	04/11/2013
Robert A. Leibrandt TIBRA TRADING AMERICA LLC	AM	Tibra Trading Derivatives LLC	106D Reinstatement	04/11/2013
Tibra Trading America LLC	AM	Robert A. Leibrandt	106D Transfer	04/11/2013
Dustin M. Waters 303 PROPRIETARY TRADING, LLC	FULL	Jeffrey M. Crouth	106F Transfer	04/11/2013
Daniel N. Ambrosino	IDEM	Brian J. Ambrosino	106C Reinstatement	04/11/2013
Ryan P. Caraher	IDEM	Daniel N. Ambrosino	106D Transfer	04/11/2013
Joanne Foskett Schmidt	AM	Bruce J. Anderson	106D Reinstatement	04/11/2013
*Joseph Teicher	AM	Joanne Foskett Schmidt	106D Transfer	04/11/2013
Caherciveen Partners, LLC	FULL	Bruce A. Williams and Debra A. Williams Rev. Trust, Bruce A. Williams, Trustee	\$295,000	04/11/2013
#Michael P. Clifford CITIGROUP GLOBAL MARKETS INC.	FULL	Citigroup Global Markets Inc.	106F Transfer	04/11/2013
Kenneth D. Miely	COM	John P. Stamatis	106D Reinstatement	04/12/2013
Michael M. Manderson	COM	League Trading LLC	106F Transfer	04/12/2013
Daniel J Devito	IDEM	Michael M. Manderson	106D Reinstatement	04/12/2013
John P. Stamatis	COM	League Trading LLC	106F Transfer	04/12/2013
Marsha Newlander	COM	Michael M. Manderson	106D Reinstatement	04/12/2013
Mark C. Lato	COM	Benjamin W. Phillips	106D Reinstatement	04/12/2013
Thomas K. Burke	FULL	James R. Wear	106D Reinstatement	04/12/2013
*Quentin S. McCarthy	AM	John F. Fyfe	106D Transfer	04/12/2013
Sharon R. Pflaum	FULL	Anthony P. Pingatore	106D Reinstatement	04/12/2013
Michael M. Manderson	FULL	Sharon R. Pflaum	106D Transfer	04/12/2013

* New Applicant

** Shareholder

New Applicant Previously Posted

ELECTRONIC CORPORATE MEMBER FIRM APPROVAL

Tibra Trading America LLC has been approved as a CBOT Rule 106.R. Electronic Corporate Member Firm – AM Level, effective April 11, 2013.

ELECTRONIC CORPORATE MEMBER FIRM WITHDRAWALS

303 Proprietary Trading, LLC has withdrawn as a CBOT Rule 106.R. Electronic Corporate Member Firm - AM Level, effective April 11, 2013.

Tibra Trading Derivatives LLC has withdrawn as a CBOT Rule 106.R. Electronic Corporate Member Firm – AM Level, effective April 11, 2013.

TRADING MEMBER FIRM APPROVAL

Tibra Trading Derivatives LLC has been approved as a CBOT Rule 106.H. Trading Member Firm – AM Level, effective April 11, 2013.

TRADING MEMBER FIRM WITHDRAWAL

Tibra Trading America LLC has withdrawn as a CBOT Rule 106.H. Trading Member Firm – AM Level, effective April 11, 2013.

EQUITY MEMBER FIRM APPROVAL

303 Proprietary Trading, LLC has been approved as a CBOT Rule 106.J. Equity Member Firm - Full Level, effective April 11, 2013.

EQUITY MEMBER FIRM WITHDRAWAL

Asymmetric Bond Markets, LLC has withdrawn as a CBOT Rule 106.J. Equity Member Firm - FULL Level, effective April 9, 2013.

FAMILY OF FUNDS QUALIFIED FUND CHANGE

D.E. Shaw Composite Portfolios, L.L.C. was approved as a CBOT Rule 106.S. Family of Funds Member Firm, effective November 23, 2011.

D. E. Shaw Heliant Capital, L.L.C. has been approved as a qualified fund under its CBOT Rule 106.S. Family of Funds Equity Member membership, effective April 11, 2013.

Therefore, under the CBOT Rule 106.S. Family of Funds Equity Member Firm membership of D.E. Shaw Composite Portfolios, L.L.C., the following funds are eligible to receive reduced CBOT exchange fees under its membership, effective April 11, 2013:

- D.E. Shaw Composite Portfolios L.L.C.
- D.E. Shaw Heliant Portfolios L.L.C.
- D.E. Shaw Oculus Portfolios L.L.C.
- D.E. Shaw Asymptote Portfolios, L.L.C.
- D.E. Shaw Heliant Capital, L.L.C.

NEW APPLICANTS FOR MEMBERSHIP

The applicants listed below are applying for membership and will be transferred membership at a later date. Any member having any information regarding these applicants is requested to communicate the same to the Shareholder Relations and Membership Services Department on or before **May 06, 2013**. Photographs of new applicants will be displayed on the bulletin boards located on the trading floor.

APPLICANT	DIVISION
Moran Agamy Herzliya, Israel	FULL
Robert J. Esposito New York, NY	FULL
Tamar S. Golinsky Herzliya, Israel	FULL
Justin A. Gomberg Chicago, IL	GIM
Omri Loochi Herzliya, Israel	FULL
Ophir Marom Herzliya, Israel	FULL
Quentin S. McCarthy Chicago, IL	GIM
Nicholas S. Munroe Chicago, IL	FULL
Yael Porat Herzliya, Israel	FULL
Yair Ravet Herzliya, Israel	FULL
Joseph Teicher Chicago, IL	AM

BID, OFFER AND LAST SALE FOR MEMBERSHIPS

AS OF Friday, April 12, 2013 at 4:00 P.M.

	<u>FULL</u>	<u>AM</u>	<u>GIM</u>	<u>IDEM</u>	<u>COM</u>
Date	04/11/2013	04/10/2013	06/16/2011	04/03/2013	04/04/2013
Last	\$295,000	\$100,000	\$60,000	\$5,000	\$24,000
Bid	\$280,000	\$95,000	\$24,000	\$4,000	\$17,500
Offer	\$299,000	\$100,000	\$85,000	\$6,000	\$24,000

BID, OFFER AND LAST SALE FOR MEMBERSHIPS SWAP MARKET

AS OF Friday, April 12, 2013 at 4:00 P.M.

	<u>FULL FOR AM</u>	<u>FULL FOR COM</u>	<u>FULL FOR IDEM</u>	<u>AM FOR COM</u>	<u>AM FOR IDEM</u>	<u>COM FOR IDEM</u>
Date						
Last						
Bid	\$140,000	NONE	NONE	NONE	NONE	NONE
Offer	NONE	NONE	NONE	NONE	NONE	NONE

Chicago Board of Trade Inc.
Reported Lease Prices and Membership Interests for Lease
Monday, April 15, 2013 10:00 AM
Lease Information Line (312) 930-3414
CURRENT LEASE INFORMATION IS AVAILABLE AT WWW.CMEGROUP.COM

I. REPORTED LEASE PRICE INFORMATION

II. MEMBERSHIP INTERESTS AVAILABLE FOR LEASE

I. REPORTED LEASE PRICE INFORMATION

1. **Lease prices** are updated as received and are listed in the Membership Services Department. Lease prices can be accessed on the CME Group Website (www.cmegroup.com).
2. To request a fax of this document, please call the LIL at (312) 930-3414.

Rule 106.D.12 NOW REQUIRES ALL LESSORS TO NOTIFY THE EXCHANGE OF ANY NEW OR RENEWED LEASE PRICES WITHIN TWO BUSINESS DAYS OF THE NEGOTIATED LEASE AGREEMENT. Lease Reporting Forms are available by contacting the Membership Services Department at (312) 435-3480. The Exchange will report all information submitted and makes no claim as to the completeness or accuracy of this information.

FULL	AM	GIM	IDEM	COM
2@ \$1574.73	3@ \$733.80 1@ \$639.00		1@ \$52.16	
Month-end Rental Dollar amount (as of 3/28/2013)				
\$1574.73	\$733.80	\$639.00	\$52.16	\$220.27

II. MEMBERSHIP INTERESTS AVAILABLE FOR LEASE

1. Lessors are requested to contact the Leasing Information Line "LIL" at (312) 930-3414 or the Membership Services Department at (312) 435-3480 to initially list a membership available for lease. Listings must be received by 6 p.m. to be included on the next day's list. This list is updated once per day by 10 a.m. each morning.
2. Names will be posted on this list for five (5) business days. To renew your listing, please call the LIL voice mail renewal at (312) 930-3414.
3. All names will be listed on www.cmegroup.com under the "Memberships For Lease" section in date order as they are received by the Membership Services Department.

FULL Memberships for Lease

Lessor	Date Available	Contact	Tel. Number
Daniel F. O'Neill	Immed.	Mark Washack	(312) 356-6050
Joseph F. Primm	Immed.	Bill Levinske	(312) 408-9422
Rose G. Martin-Schneider	Immed.	Chris Askew	(312) 604-6026
Gregory C. Rothman	04/29/2013	Kathy Holloway	(312) 886-2003
Toro Commodity Trading LLC	Immed.	Richard Forrer	(312) 477-8423
Aram D. Manyan	04/22/2013	Kevin Globe	(312) 784-0423
John A. Ward	Immed.	Chris Askew	(312) 604-6026
James E. Cashman	Immed.	Chris Askew	(312) 604-6026
Sharon R. Pflaum	Immed.	Bob Brennan	(312) 939-8950 ext. 101
Joel J. Fetchenhier	Immed.	Paula McKinney	(847) 730-3463
Wes Weissman	Immed.	Kathy Holloway	(312) 886-2003
LSG Holdings L.L.C	Immed.	Chris Askew	(312) 604-6026
Gregory F. Mathis	Immed.	Kathy Holloway	(312) 886-2003

AM Memberships for Lease

Lessor	Date Available	Contact	Tel. Number
Michael A. Williams	Immed.	Paula McKinney	(847) 730-3463
Christopher J. Dynek	Immed.	Penny Sheinfeld	(312) 347-1727
Charles R. Frank	Immed.	Kathy Holloway	(312) 886-2003
Stephen Perraud	Immed.	Kathy Holloway	(312) 886-2003
Stephen K. Ratner	Immed.	Kathy Holloway	(312) 886-2003
Joseph A. Koehler	Immed.	Kathy Holloway	(312) 886-2003
William R. Macdonald Jr.	Immed.	Kathy Holloway	(312) 886-2003

John A. Vercillo	Immed.	Penny Sheinfeld	(312) 347-1727
Terrence P. Madden	Immed.	Kathy Holloway	(312) 886-2003
Joeanne Foskett Schmidt	Immed.	Chris Askew	(312) 604-6026
David C. Ziperski	Immed.	Kathy Holloway	(312) 886-2003
Henry Shatkin	Immed.	Penny Sheinfeld	(312) 347-1727
Grace L. Neville	Immed.	Kathy Holloway	(312) 886-2003
Glenn P. Hollander	Immed.	Kathy Holloway	(312) 886-2003
William M. Carley	Immed.	Kathy Holloway	(312) 886-2003
Daniel J. Nicholson Trust Dated November 27, 1996	Immed.	Daniel Nicholson	(630) 455-6799
John A. Marzinelli	Immed.	Paula McKinney	(847) 730-3463
Yra G. Harris	Immed.	Paula McKinney	(847) 730-3463
Gregory J. Ellis	Immed.	Kevin Globe	(312) 784-0423
Jonathan E. Armstrong	Immed.	Jonathan Armstrong	(415) 788-9132
George Segal Revocable Trust	Immed.	Theresa Quinlan	(312) 559-8812
The Brian I. Connelly Revocable Trust	Immed.	Penny Sheinfeld	(312) 347-1727
Sharon K. Murray	Immed.	Sharon Murray Sharon Murray	(972) 618-4149 (214) 514-1143
Louis P. Bournakis Revocable Trust U/A/D 10/19/2000	Immed.	Kathy Holloway	(312) 886-2003
Robert W. Montgomery	Immed.	Robert Montgomery	(312) 859-2545
Robert T. Bernat Trust	Immed.	Kathy Holloway	(312) 886-2003
Jeffrey Horwich	Immed.	Jeffrey Horwich	(312) 952-7451
William F. McMahon	Immed.	Paula McKinney	(847) 730-3463
Mildred Lidov	Immed.	Paula McKinney	(847) 730-3463
Nabil Aadel Eldib	Immed.	Margie DeLorme	(312) 347-4803
James R. Stewart	Immed.	Kathy Holloway	(312) 886-2003
Thomas J. Hale	Immed.	Bob Brennan	(312) 939-8950 ext. 101
Brian J. Solberg	05/01/2013	Margie DeLorme	(312) 347-4803

GIM Memberships for Lease

Lessor	Date Available	Contact	Tel. Number
Alma R. Duncan	Immed.	Penny Sheinfeld	(312) 347-1727
Ray Larsen	Immed.	Margie DeLorme	(312) 347-4803
Richard A. Stoken	Immed.	Dick Stoken	(847) 266-8738

IDEM Memberships for Lease

Lessor	Date Available	Contact	Tel. Number
George I. Segal	Immed.	Theresa Quinlan	(312) 559-8812
Robert T. Bernat Trust	Immed.	Kathy Holloway	(312) 886-2003
Richard A. Kirsliis	Immed.	Paula McKinney	(847) 730-3463
James M. Sheridan	Immed.	Paula McKinney	(847) 730-3463
Thomas Cupelis	Immed.	Paula McKinney	(847) 730-3463
Terence M. Corby	Immed.	Gina Davis Nancy Webber	(312) 347-5482 (312) 604-6501
Martin Kogan	Immed.	Penny Sheinfeld	(312) 347-1727
Mary J. O'Connor	Immed.	Mary J. O'Connor	(312) 337-2499
Dale S. Scheck	Immed.	Kathy Holloway	(312) 886-2003
Mahyar Amirsaleh	Immed.	Chris Askew	(312) 604-6026
Stuart D. Reif	Immed.	Stuart Reif	(847) 800-5979
Glenn P. Hollander	Immed.	Kathy Holloway	(312) 886-2003
Richard A. Loehr	Immed.	Bill Levinske	(312) 408-9422
James Barrowman	Immed.	Kathy Holloway	(312) 886-2003
Jonathon Stone	Immed.	Jonathon Stone	(847) 948-5356
Michael C. Rothman	Immed.	Kathy Holloway	(312) 886-2003
Andrew Kern	Immed.	Paula McKinney	(847) 730-3463
Daniel J. Devito	Immed.	Paula McKinney	(847) 730-3463
Steven V. Kuhlmann	Immed.	Chris Askew	(312) 604-6026
Terence X. Hurley	Immed.	Chris Askew	(312) 604-6026

COM Memberships for Lease

Lessor	Date Available	Contact	Tel. Number
Larry C. Gulotta	Immed.	Kathy Holloway	(312) 886-2003
Mahyar Amirsaleh	Immed.	Chris Askew	(312) 604-6026
Daniel J. Devito	Immed.	Paula McKinney	(847) 730-3463
Debra Rodkin	Immed.	Debra Rodkin	(310) 779-5985
David Glancy	Immed.	Kathy Holloway	(312) 886-2003
Henry P. Baby Jr.	Immed.	Kathy Holloway	(312) 886-2003

Merton L. Feldstein	Immed.	Penny Sheinfeld	(312) 347-1727
Kenneth M. Groover	Immed.	Nancy Webber	(312) 604-6501
James A. Layton	Immed.	Kathy Holloway	(312) 886-2003
Chris Pappas	Immed.	Chris Askew	(312) 604-6026
J. Brian Schaer	Immed.	Bill LeVinske	(312) 408-9422
Marilyn M. Morelli	Immed.	Kathy Holloway	(312) 886-2003
Linda L. Buggy	Immed.	Chris Askew	(312) 604-6026
Thomas A. Murphy	Immed.	Kathy Holloway	(312) 886-2003
Nance Pticek Zartman	Immed.	Bill Levinske	(312) 408-9422
Mike Koress	Immed.	Ruth Koress	(847) 674-7689
Earle M. Combs IV	Immed.	Kathy Holloway	(312) 886-2003
Susan E. Griffin	Immed.	Kathy Holloway	(312) 886-2003
Ross J. Greenspan	Immed.	Ross Greenspan	(847) 922-2200
Joseph W. Hance	Immed.	Kathy Holloway	(312) 886-2003
Kathryn Leonard Otter	Immed.	Mark Kirshner	(312) 461-8218
Robert E. Otter Trust	Immed.	Mark Kirshner	(312) 461-8218
Thomas R. Hardy	Immed.	Bill Levinske	(312) 408-9422
Jonathon Stone	Immed.	Jonathon Stone	(847) 948-5356
Mark C. Lato	Immed.	Penny Sheinfeld	(312) 347-1727
Robert B. Rusin	Immed.	Paula McKinney	(847) 730-3463
Michael A. Williams	Immed.	Paula McKinney	(847) 730-3463
George Willia McTague III	Immed.	Paula McKinney	(847) 730-3463
Mark Ishikawa	Immed.	Bill Levinske	(312) 408-9422
Daniel N. Ambrosino	Immed.	Chris Askew	(312) 604-6026
Marsha Newlander	Immed.	Bill Levinske	(312) 408-9422
Mary J. O'Connor	Immed.	Mary J. O'Connor	(312) 337-2499
Kenneth D. Miely	Immed.	Bill Levinske	(312) 408-9422
Group One Futures Trading L.L.C.	Immed.	Chad Grosam	(312) 294-2345



Special Executive Report

S-6642

April 22, 2013

WEEKLY POSTING MEMBERSHIP/SHARE SALES AND TRANSFERS

Members having any information regarding the transferees listed below are requested to communicate the same to the Shareholder Relations and Membership Services Department ("the Department") on or before **May 13, 2013**.

In accordance with CBT Rule 110, "At the conclusion of the 20 day claim filing period, the Market Regulation Department and the Department shall conduct an investigation of all claims properly filed against the seller's membership or its proceeds".

All claims against the seller of a membership shall be submitted in writing to the Department on or before **May 13, 2013**.

<u>TRANSFeree</u>	<u>DIVISION</u>	<u>TRANSFEROR</u>	<u>RULE/AMOUNT</u>	<u>DATE</u>
Allen Mitzenmacher	FULL	Andrew J. Hubbarth	106D Reinstatement	04/15/2013
#Denis J. Curran	FULL	Allen Mitzenmacher	106D Transfer	04/15/2013
Joseph Malfeo	FULL	Denis P. Murray	106D Reinstatement	04/16/2013
Joel J. Fetchenhier	FULL	Benjamin Weinberger	106D Reinstatement	04/16/2013
#Christopher D. Lefkovitz	COM	Infinium Capital Management, LLC	106F Transfer	04/16/2013
Vito A. Mitalo	FULL	Russell J. Wojcik	106D Reinstatement	04/16/2013
Denis P. Murray	FULL	Vito A. Mitalo	106D Transfer	04/16/2013
Kevin J. Hennessy CAHERCIVEEN PARTNERS, LLC	FULL	Caherciveen Partners, LLC	106F Transfer	04/16/2013
#Yael Porat CONTECH L.P.	FULL	Contech L.P.	106F Transfer	04/17/2013
Jump Trading, LLC	IDEM	Brian T. McPartlin	106F Transfer	04/17/2013
Ray Larsen	GIM	Michael N. Becker	106D Reinstatement	04/17/2013
#Sunil Kumar	GIM	Ray Larsen	106D Transfer	04/17/2013
Jump Trading, LLC	IDEM	Richard J. Schau	106F Transfer	04/17/2013
Jump Trading, LLC	IDEM	Daniel M. Devine	106F Transfer	04/17/2013
Carey A Harrold	IDEM	Daniel M. Devine	106F Transfer	04/17/2013
Coolmore Master Fund, Ltd.	AM	Vegasoul Fund	\$99,000	04/17/2013
Coolmore Master Fund, Ltd.	FULL	Black Forest Trading LP	\$292,500	04/17/2013
White Bay PT, LLC	AM	Michael E. Unetich	\$100,000	04/18/2013

Joseph N. Gulotta	AM	Daniel L. Cook	106D Reinstatement	04/19/2013
*Cory J. Herrick	AM	Joseph N. Gulotta	106D Transfer	04/19/2013
#Rock D. Marquardt	FULL	J.P. Morgan Clearing Corp	106F Transfer	04/19/2013
Daniel F. O'Neill	FULL	Curtis Reitsma	106D Reinstatement	04/19/2013
Daniel L. Cook	FULL	Daniel F. O'Neill	106D Transfer	04/19/2013
#James R. Dowd	IDEM	Scott C. Golbeck	106D Transfer	04/19/2013
William S. Leahy III	FULL	Mark C. McCloy	106D Transfer	04/19/2013

* New Applicant

** Shareholder

New Applicant Previously Posted

EQUITY MEMBER FIRM APPROVALS

GTS Capital Markets, LLC has been approved as a CBOT Rule 106.J. Equity Member Firm – AM Level, effective April 17, 2013.

White Bay PT, LLC has been approved as a CBOT Rule 106.J. Equity Member Firm - AM Level, effective April 19, 2013.

EQUITY MEMBER FIRM MEMBERSHIP CHANGE

Caherciveen Partners, LLC, a CBOT Rule 106.J. Equity Member Firm, has changed its level of membership from AM Level to Full Level, effective April 16, 2013.

NEW APPLICANTS FOR MEMBERSHIP

The applicants listed below are applying for membership and will be transferred membership at a later date. Any member having any information regarding these applicants is requested to communicate the same to the Shareholder Relations and Membership Services Department on or before **May 13, 2013**. Photographs of new applicants will be displayed on the bulletin boards located on the trading floor.

APPLICANT	DIVISION
Jeffrey W. Arnold Chicago, IL	AM
Nathan Jay Brown Chicago, IL	AM
Tyler J. Campbell Chicago, IL	FULL
Brandon D. Elsasser Chicago, IL	GIM
Robert K. Graifman Miami Beach, FL	FULL
Cory J. Herrick Elmhurst, IL	AM
Sunil Kumar Chicago, IL	GIM
Bin Zhang Chicago, IL	FULL

BID, OFFER AND LAST SALE FOR MEMBERSHIPS

AS OF Friday, April 19, 2013 at 4:00 P.M.

	<u>FULL</u>	<u>AM</u>	<u>GIM</u>	<u>IDEM</u>	<u>COM</u>
Date	04/17/2013	04/18/2013	06/16/2011	04/03/2013	04/04/2013
Last	\$292,500	\$100,000	\$60,000	\$5,000	\$24,000
Bid	\$290,000	\$95,000	\$24,000	\$4,000	\$17,500
Offer	\$299,000	\$105,000	\$85,000	\$5,600	\$24,000

BID, OFFER AND LAST SALE FOR MEMBERSHIPS SWAP MARKET

AS OF Friday, April 19, 2013 at 4:00 P.M.

	<u>FULL FOR AM</u>	<u>FULL FOR COM</u>	<u>FULL FOR IDEM</u>	<u>AM FOR COM</u>	<u>AM FOR IDEM</u>	<u>COM FOR IDEM</u>
Date						
Last						
Bid	\$140,000	NONE	NONE	NONE	NONE	NONE
Offer	NONE	NONE	NONE	NONE	NONE	NONE

Chicago Board of Trade Inc.
Reported Lease Prices and Membership Interests for Lease
Monday, April 22, 2013 10:00 AM
Lease Information Line (312) 930-3414
CURRENT LEASE INFORMATION IS AVAILABLE AT WWW.CMEGROUP.COM

I. REPORTED LEASE PRICE INFORMATION

II. MEMBERSHIP INTERESTS AVAILABLE FOR LEASE

I. REPORTED LEASE PRICE INFORMATION

1. **Lease prices** are updated as received and are listed in the Membership Services Department. Lease prices can be accessed on the CME Group Website (www.cmegroup.com).
2. To request a fax of this document, please call the LIL at (312) 930-3414.

Rule 106.D.12 NOW REQUIRES ALL LESSORS TO NOTIFY THE EXCHANGE OF ANY NEW OR RENEWED LEASE PRICES WITHIN TWO BUSINESS DAYS OF THE NEGOTIATED LEASE AGREEMENT. Lease Reporting Forms are available by contacting the Membership Services Department at (312) 435-3480. The Exchange will report all information submitted and makes no claim as to the completeness or accuracy of this information.

FULL	AM	GIM	IDEM	COM
1 @ \$1400.00 2 @ \$1574.73	2 @ \$733.80			
Month-end Rental Dollar amount (as of 3/28/2013)				
\$1574.73	\$733.80	\$639.00	\$52.16	\$220.27

II. MEMBERSHIP INTERESTS AVAILABLE FOR LEASE

1. Lessors are requested to contact the Leasing Information Line "LIL" at (312) 930-3414 or the Membership Services Department at (312) 435-3480 to initially list a membership available for lease. Listings must be received by 6 p.m. to be included on the next day's list. This list is updated once per day by 10 a.m. each morning.
2. Names will be posted on this list for five (5) business days. To renew your listing, please call the LIL voice mail renewal at (312) 930-3414.
3. All names will be listed on www.cmegroup.com under the "Memberships For Lease" section in date order as they are received by the Membership Services Department.

FULL Memberships for Lease

Lessor	Date Available	Contact	Tel. Number
Daniel F. O'Neill	Immed.	Mark Washack	(312) 356-6050
Joseph F. Primm	Immed.	Bill Levinske	(312) 408-9422
Gregory C. Rothman	04/29/2013	Kathy Holloway	(312) 886-2003
Wes Weissman	Immed.	Kathy Holloway	(312) 886-2003
LSG Holdings L.L.C	Immed.	Chris Askew	(312) 604-6026
Gregory F. Mathis	Immed.	Kathy Holloway	(312) 886-2003
Eugene R. Beale Jr.	Immed.	Nancy Webber	(312) 604-6501
Agnieszka Jankuc	Immed.	Margie DeLorme	(312) 800-7012
Larry A. Pogofsky Family Trust	Immed.	Penny Sheinfeld	(312) 347-1727
Atlantic Trading USA, LLC	Immed.	Verda Shah	(312) 441-4612

AM Memberships for Lease

Lessor	Date Available	Contact	Tel. Number
Michael A. Williams	Immed.	Paula McKinney	(847) 730-3463
Christopher J. Dynek	Immed.	Penny Sheinfeld	(312) 347-1727
Charles R. Frank	Immed.	Kathy Holloway	(312) 886-2003
Stephen Perraud	Immed.	Kathy Holloway	(312) 886-2003
Stephen K. Ratner	Immed.	Kathy Holloway	(312) 886-2003
Joseph A. Koehler	Immed.	Kathy Holloway	(312) 886-2003
John A. Vercillo	Immed.	Penny Sheinfeld	(312) 347-1727
William R. Macdonald Jr.	Immed.	Kathy Holloway	(312) 886-2003

Terrence P. Madden	Immed.	Kathy Holloway	(312) 886-2003
David C. Ziperski	Immed.	Kathy Holloway	(312) 886-2003
Henry Shatkin	Immed.	Penny Sheinfeld	(312) 347-1727
Grace L. Neville	Immed.	Kathy Holloway	(312) 886-2003
Glenn P. Hollander	Immed.	Kathy Holloway	(312) 886-2003
William M. Carley	Immed.	Kathy Holloway	(312) 886-2003
Daniel J. Nicholson Trust Dated November 27, 1996	Immed.	Daniel Nicholson	(630) 455-6799
John A. Marzinelli	Immed.	Paula McKinney	(847) 730-3463
Yra G. Harris	Immed.	Paula McKinney	(847) 730-3463
Gregory J. Ellis	Immed.	Kevin Globe	(312) 784-0423
George Segal Revocable Trust	Immed.	Theresa Quinlan	(312) 559-8812
The Brian I. Connelly Revocable Trust	Immed.	Penny Sheinfeld	(312) 347-1727
Sharon K. Murray	Immed.	Sharon Murray Sharon Murray	(214) 514-1143 (972) 618-4149
Robert W. Montgomery	Immed.	Robert Montgomery	(312) 859-2545
Louis P. Bournakis Revocable Trust U/A/D 10/19/2000	Immed.	Kathy Holloway	(312) 886-2003
Robert T. Bernat Trust	Immed.	Kathy Holloway	(312) 886-2003
John Kotsiopoulos	Immed.	Gina Davis	(312) 347-5482
William F. McMahon	Immed.	Paula McKinney	(847) 730-3463
Mildred Lidov	Immed.	Paula McKinney	(847) 730-3463
Nabil Aadel Eldib	Immed.	Margie DeLorme	(312) 800-7012
James R. Stewart	Immed.	Kathy Holloway	(312) 886-2003
Brian J. Solberg	05/01/2013	Margie DeLorme	(312) 800-7012
Richmond W. Kenyon II	Immed.	Richmond	(847) 682-9773
Luke R. Kline	Immed.	Margie DeLorme	(312) 800-7012
Atlantic Trading USA, LLC	Immed.	Verda Shah	(312) 441-4612
Lawrence Malato	Immed.	Kathy Holloway	(312) 886-2003

GIM Memberships for Lease

Lessor	Date Available	Contact	Tel. Number
Alma R. Duncan	Immed.	Penny Sheinfeld	(312) 347-1727
Richard A. Stoken	Immed.	Dick Stoken	(847) 266-8738
David P. Hartman	Immed.	Gregg Williams	(312) 377-3508

Stewart H. Newman	Immed.	Chris Askew	(312) 604-6026
Kirby G. Pearson	Immed.	Kirby Pearson	(312) 805-0005

IDEM Memberships for Lease

Lessor	Date Available	Contact	Tel. Number
George I. Segal	Immed.	Theresa Quinlan	(312) 559-8812
Robert T. Bernat Trust	Immed.	Kathy Holloway	(312) 886-2003
Richard A. Kirsliis	Immed.	Paula McKinney	(847) 730-3463
James M. Sheridan	Immed.	Paula McKinney	(847) 730-3463
Thomas Cupelis	Immed.	Paula McKinney	(847) 730-3463
Terence M. Corby	Immed.	Gina Davis Nancy Webber	(312) 347-5482 (312) 604-6501
Martin Kogan	Immed.	Penny Sheinfeld	(312) 347-1727
Mary J. O'Connor	Immed.	Mary J. O'Connor	(312) 337-2499
Dale S. Scheck	Immed.	Kathy Holloway	(312) 886-2003
Stuart D. Reif	Immed.	Stuart Reif	(847) 800-5979
Glenn P. Hollander	Immed.	Kathy Holloway	(312) 886-2003
Richard A. Loehr	Immed.	Bill Levinske	(312) 408-9422
James Barrowman	Immed.	Kathy Holloway	(312) 886-2003
Jonathon Stone	Immed.	Jonathon Stone	(847) 948-5356
Michael C. Rothman	Immed.	Kathy Holloway	(312) 886-2003
Andrew Kern	Immed.	Paula McKinney	(847) 730-3463
Daniel J. Devito	Immed.	Paula McKinney	(847) 730-3463
Mark F. Peterson	Immed.	Mark Peterson	(847) 452-0778
Michael G. Dennis	Immed.	Michael Dennis	(773) 425-6764
Transmarket Group LLC	Immed.	Jon Watson	(312) 284-5678
Raymond S. Cahnman	Immed.	Jon Watson	(312) 284-5678

COM Memberships for Lease

Lessor	Date Available	Contact	Tel. Number
Larry C. Gulotta	Immed.	Kathy Holloway	(312) 886-2003
Daniel J Devito	Immed.	Paula McKinney	(847) 730-3463

Debra Rodkin	Immed.	Debra Rodkin	(310) 779-5985
David Glancy	Immed.	Kathy Holloway	(312) 886-2003
Henry P. Baby Jr.	Immed.	Kathy Holloway	(312) 886-2003
Merton L. Feldstein	Immed.	Penny Sheinfeld	(312) 347-1727
Kenneth M. Groover	Immed.	Nancy Webber	(312) 604-6501
James A. Layton	Immed.	Kathy Holloway	(312) 886-2003
J. Brian Schaer	Immed.	Bill LeVinske	(312) 408-9422
Marilyn M. Morelli	Immed.	Kathy Holloway	(312) 886-2003
Thomas A. Murphy	Immed.	Kathy Holloway	(312) 886-2003
Nance Pticek Zartman	Immed.	Bill Levinske	(312) 408-9422
Mike Koress	Immed.	Ruth Koress	(847) 674-7689
Earle M. Combs IV	Immed.	Kathy Holloway	(312) 886-2003
Susan E. Griffin	Immed.	Kathy Holloway	(312) 886-2003
Ross J. Greenspan	Immed.	Ross Greenspan	(847) 922-2200
Joseph W. Hance	Immed.	Kathy Holloway	(312) 886-2003
Kathryn Leonard Otter	Immed.	Mark Kirshner	(312) 461-8218
Robert E. Otter Trust	Immed.	Mark Kirshner	(312) 461-8218
Thomas R. Hardy	Immed.	Bill Levinske	(312) 408-9422
Jonathon Stone	Immed.	Jonathon Stone	(847) 948-5356
Mark C. Lato	Immed.	Penny Sheinfeld	(312) 347-1727
Robert B. Rusin	Immed.	Paula McKinney	(847) 730-3463
George Willia McTague III	Immed.	Paula McKinney	(847) 730-3463
Michael A. Williams	Immed.	Paula McKinney	(847) 730-3463
Mark Ishikawa	Immed.	Bill Levinske	(312) 408-9422
Kenneth D. Miely	Immed.	Bill Levinske	(312) 408-9422
Marsha Newlander	Immed.	Bill Levinske	(312) 408-9422
Mary J. O'Connor	Immed.	Mary J. O'Connor	(312) 337-2499
Group One Futures Trading L.L.C.	Immed.	Chad Grosam	(312) 294-2345
Transmarket Group LLC	Immed.	Jon Watson	(312) 284-5678
FT Trading LLC	Immed.	Margie DeLorme	(312) 800-7012
Atlantic Trading USA, LLC	Immed.	Verda Shah	(312) 441-4612



Special Executive Report

S-6653

April 29, 2013

WEEKLY POSTING MEMBERSHIP/SHARE SALES AND TRANSFERS

Members having any information regarding the transferees listed below are requested to communicate the same to the Shareholder Relations and Membership Services Department ("the Department") on or before **May 20, 2013**.

In accordance with CBT Rule 110, "At the conclusion of the 20 day claim filing period, the Market Regulation Department and the Department shall conduct an investigation of all claims properly filed against the seller's membership or its proceeds".

All claims against the seller of a membership shall be submitted in writing to the Department on or before **May 20, 2013**.

<u>TRANSFeree</u>	<u>DIVISION</u>	<u>TRANSFEROR</u>	<u>RULE/AMOUNT</u>	<u>DATE</u>
Jump Trading, LLC	IDEM	Ken Terao	106F Transfer	04/22/2013
Ken Terao JUMP TRADING, LLC	AM	Donald D. Ford	106F Transfer	04/22/2013
Coolmore Master Fund, Ltd.	FULL	Ronald J. Thauer (106D holder: Benjamin E. Wigdor)	\$295,000	04/22/2013
Benjamin E. Wigdor	FULL	Atlantic Trading USA, LLC	106D Transfer	04/23/2013
Garrett C. Fife	FULL	Kottke Associates, LLC	106F Transfer	04/23/2013
Martin T. Conroy	AM	Patrick G. Wall	106D Reinstatement	04/24/2013
William R. Shepard	AM	Scott A. Weber	106D Reinstatement	04/24/2013
#Nathan Jay Brown	AM	William R. Shepard	106D Transfer	04/24/2013
#Bin Zhang	FULL	Agnieszka Jankuc	106D Transfer	04/24/2013
Contech L.P.	FULL	UBS Securities LLC	\$300,000	04/24/2013
#Tamar S. Golinsky	FULL	Contech L.P.	106F Transfer	04/24/2013
#Tyler J. Campbell	FULL	Alec M. Disanto II	106D Transfer	04/25/2013
S.A.C. Capital Associates LLC	AM	Paul G. Bonoma (106D holder: Louis Gerard Morande)	\$100,000	04/25/2013
*Thomas J. Murphy	FULL	Thomas K. Burke	106D Transfer	04/26/2013

* New Applicant

** Shareholder

New Applicant Previously Posted

FAMILY OF FUNDS TRADING MEMBER FIRM APPROVAL

Coolmore Master Fund, Ltd. has been approved as a CBOT Rule 106.S. Family of Funds Trading Member Firm, effective April 24, 2013.

FAMILY OF FUNDS QUALIFIED FUND CHANGE

S.A.C. Capital Advisors, L.P. was approved as a CBOT Rule 106.S. Family of Funds Member Firm, effective July 24, 2012.

S.A.C. Capital Associates, LLC has been approved as a qualifying fund under its CBOT Rule 106.S. Family of Funds Trading Firm membership, effective April 29, 2013.

Therefore, under the CBOT Rule 106.S. Family of Funds Trading Member Firm membership of S.A.C. Capital Advisors, L.P., the following funds are eligible to receive reduced CBOT exchange fees under its membership, effective April 29, 2013:

Full Level:

- S.A.C. Capital Associates, LLC
- S.A.C. Directional Strategies, LLC
- S.A.C. Meridian Fund, LLC

AM Level:

- S.A.C. Global Macro Fund, LLC

NEW APPLICANTS FOR MEMBERSHIP

The applicants listed below are applying for membership and will be transferred membership at a later date. Any member having any information regarding these applicants is requested to communicate the same to the Shareholder Relations and Membership Services Department on or before **May 20, 2013**. Photographs of new applicants will be displayed on the bulletin boards located on the trading floor.

APPLICANT	DIVISION
Ryan M. Campbell Chicago, IL	FULL
Ryan A. Joseph Chicago, IL	FULL
Thomas J. Murphy Chicago, IL	FULL

BID, OFFER AND LAST SALE FOR MEMBERSHIPS

AS OF Friday, April 26, 2013 at 4:00 P.M.

	<u>FULL</u>	<u>AM</u>	<u>GIM</u>	<u>IDEM</u>	<u>COM</u>
Date	04/24/2013	04/25/2013	06/16/2011	04/03/2013	04/04/2013
Last	\$300,000	\$100,000	\$60,000	\$5,000	\$24,000
Bid	\$295,000	\$93,500	\$24,000	\$4,000	\$17,500
Offer	\$315,000	\$102,500	\$85,000	\$5,600	\$24,000

BID, OFFER AND LAST SALE FOR MEMBERSHIPS SWAP MARKET

AS OF Friday, April 26, 2013 at 4:00 P.M.

	<u>FULL FOR AM</u>	<u>FULL FOR COM</u>	<u>FULL FOR IDEM</u>	<u>AM FOR COM</u>	<u>AM FOR IDEM</u>	<u>COM FOR IDEM</u>
Date						
Last						
Bid	\$140,000	NONE	NONE	NONE	NONE	NONE
Offer	NONE	NONE	NONE	NONE	NONE	NONE

Chicago Board of Trade Inc.
Reported Lease Prices and Membership Interests for Lease
Monday, April 29, 2013 10:00 AM
Lease Information Line (312) 930-3414
CURRENT LEASE INFORMATION IS AVAILABLE AT WWW.CMEGROUP.COM

I. REPORTED LEASE PRICE INFORMATION

II. MEMBERSHIP INTERESTS AVAILABLE FOR LEASE

I. REPORTED LEASE PRICE INFORMATION

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FULL	AM	GIM	IDEM	COM
1 @ \$1450.00 5 @ \$1574.73	2 @ \$733.80		1 @ \$52.16	
Month-end Rental Dollar amount (as of 3/28/2013)				
\$1574.73	\$733.80	\$639.00	\$52.16	\$220.27

II. MEMBERSHIP INTERESTS AVAILABLE FOR LEASE

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2. Names will be posted on this list for five (5) business days. To renew your listing, please call the LIL voice mail renewal at (312) 930-3414.
3. All names will be listed on www.cmegroup.com under the "Memberships For Lease" section in date order as they are received by the Membership Services Department.

FULL Memberships for Lease

Lessor	Date Available	Contact	Tel. Number
Wes Weissman	Immed.	Kathy Holloway	(312) 886-2003
Joel J. Fetchenhier	Immed.	Paula McKinney	(847) 730-3463
Eugene R. Beale Jr.	Immed.	Nancy Webber	(312) 604-6501
John R. Kinsella	Immed.	Kathy Holloway	(312) 886-2003

AM Memberships for Lease

Lessor	Date Available	Contact	Tel. Number
Michael A. Williams	Immed.	Paula McKinney	(847) 730-3463
Christopher J. Dynek	Immed.	Penny Sheinfeld	(312) 347-1727
Keystone Trading Corporation	Immed.	Gregg Williams	(312) 377-3508
Charles R. Frank	Immed.	Kathy Holloway	(312) 886-2003
Stephen Perraud	Immed.	Kathy Holloway	(312) 886-2003
Stephen K. Ratner	Immed.	Kathy Holloway	(312) 886-2003
Joseph A. Koehler	Immed.	Kathy Holloway	(312) 886-2003
William R. Macdonald Jr.	Immed.	Kathy Holloway	(312) 886-2003
John A. Vercillo	Immed.	Penny Sheinfeld	(312) 347-1727
Terrence P. Madden	Immed.	Kathy Holloway	(312) 886-2003
David C. Ziperski	Immed.	Kathy Holloway	(312) 886-2003
Henry Shatkin	Immed.	Penny Sheinfeld	(312) 347-1727
Grace L. Neville	Immed.	Kathy Holloway	(312) 886-2003
Glenn P. Hollander	Immed.	Kathy Holloway	(312) 886-2003

William M. Carley	Immed.	Kathy Holloway	(312) 886-2003
Daniel J. Nicholson Trust Dated November 27, 1996	Immed.	Daniel Nicholson	(630) 455-6799
Yra G. Harris	Immed.	Paula McKinney	(847) 730-3463
John A. Marzinelli	Immed.	Paula McKinney	(847) 730-3463
Gregory J. Ellis	Immed.	Kevin Globe	(312) 784-0423
Joseph F. Schatz	Immed.	Gregg Williams	(312) 377-3508
George Segal Revocable Trust	Immed.	Theresa Quinlan	(312) 559-8812
The Brian I. Connelly Revocable Trust	Immed.	Penny Sheinfeld	(312) 347-1727
Sharon K. Murray	Immed.	Sharon Murray Sharon Murray	(214) 514-1143 (972) 618-4149
Louis P. Bournakis Revocable Trust U/A/D 10/19/2000	Immed.	Kathy Holloway	(312) 886-2003
Robert W. Montgomery	Immed.	Robert Montgomery	(312) 859-2545
Robert T. Bernat Trust	Immed.	Kathy Holloway	(312) 886-2003
John Kotsiopoulos	Immed.	Gina Davis	(312) 347-5482
William F. McMahon	Immed.	Paula McKinney	(847) 730-3463
Mildred Lidov	Immed.	Paula McKinney	(847) 730-3463
Nabil Aadel Eldib	Immed.	Margie DeLorme	(312) 800-7012
James R. Stewart	Immed.	Kathy Holloway	(312) 886-2003
Brian J. Solberg	05/01/2013	Margie DeLorme	(312) 800-7012
Richmond W. Kenyon II	Immed.	Richmond	(847) 682-9773
Luke R. Kline	Immed.	Margie DeLorme	(312) 800-7012
Lawrence Malato	Immed.	Kathy Holloway	(312) 886-2003
Atlantic Trading USA, LLC	Immed.	Verda Shah	(312) 441-4612
Martin T. Conroy	Immed.	Chris Askew	(312) 604-6026
Jerrold M. Duzenman	Immed.	Chris Askew	(312) 604-6026

GIM Memberships for Lease

Lessor	Date Available	Contact	Tel. Number
Alma R. Duncan	Immed.	Penny Sheinfeld	(312) 347-1727
Richard A. Stoken	Immed.	Dick Stoken	(847) 266-8738
David P. Hartman	Immed.	Gregg Williams	(312) 377-3508
Stewart H. Newman	Immed.	Chris Askew	(312) 604-6026
Kirby G. Pearson	Immed.	Kirby Pearson	(312) 805-0005

IDEM Memberships for Lease

Lessor	Date Available	Contact	Tel. Number
George I. Segal	Immed.	Theresa Quinlan	(312) 559-8812
Robert T. Bernat Trust	Immed.	Kathy Holloway	(312) 886-2003
Richard A. Kirslis	Immed.	Paula McKinney	(847) 730-3463
James M. Sheridan	Immed.	Paula McKinney	(847) 730-3463
Thomas Cupelis	Immed.	Paula McKinney	(847) 730-3463
Terence M. Corby	Immed.	Nancy Webber Gina Davis	(312) 604-6501 (312) 347-5482
Candice A. Sunderlin	Immed.	Gregg Williams	(312) 377-3508
Martin Kogan	Immed.	Penny Sheinfeld	(312) 347-1727
Mary J. O'Connor	Immed.	Mary J. O'Connor	(312) 337-2499
Dale S. Scheck	Immed.	Kathy Holloway	(312) 886-2003
Mahyar Amirsaleh	Immed.	Chris Askew	(312) 604-6026
John Nels Sunderlin	Immed.	Gregg Williams	(312) 377-3508
Glenn P. Hollander	Immed.	Kathy Holloway	(312) 886-2003
Richard A. Loehr	Immed.	Bill Levinske	(312) 408-9422
James Barrowman	Immed.	Kathy Holloway	(312) 886-2003
Daniel J. Derosé Jr.	Immed.	Gregg Williams	(312) 377-3508
John Nels Sunderlin	Immed.	Gregg Williams	(312) 377-3508
Jonathon Stone	Immed.	Jonathon Stone	(847) 948-5356
Michael C. Rothman	Immed.	Kathy Holloway	(312) 886-2003
Andrew Kern	Immed.	Paula McKinney	(847) 730-3463
Daniel J. Devito	Immed.	Paula McKinney	(847) 730-3463
Steven V. Kuhlmann	Immed.	Chris Askew	(312) 604-6026
Michael Otter	Immed.	Michael Otter	(312) 498-0721
Michael G. Dennis	Immed.	Michael Dennis	(773) 425-6764
Mark F. Peterson	Immed.	Mark Peterson	(847) 452-0778
Raymond S. Cahnman	Immed.	Jon Watson	(312) 284-5678
Transmarket Group LLC	Immed.	Jon Watson	(312) 284-5678
Mario D'Agostino	Immed.	Mario D'Agostino	(630) 254-7583

COM Memberships for Lease

Lessor	Date Available	Contact	Tel. Number
Larry C. Gulotta	Immed.	Kathy Holloway	(312) 886-2003
Mahyar Amirsaleh	Immed.	Chris Askew	(312) 604-6026
Daniel J. Devito	Immed.	Paula McKinney	(847) 730-3463
Debra Rodkin	Immed.	Debra Rodkin	(310) 779-5985
Henry P. Baby Jr.	Immed.	Kathy Holloway	(312) 886-2003
David Glancy	Immed.	Kathy Holloway	(312) 886-2003
Merton L. Feldstein	Immed.	Penny Sheinfeld	(312) 347-1727
Kenneth M. Groover	Immed.	Nancy Webber	(312) 604-6501
James A. Layton	Immed.	Kathy Holloway	(312) 886-2003
Chris Pappas	Immed.	Chris Askew	(312) 604-6026
J. Brian Schaer	Immed.	Bill LeVinske	(312) 408-9422
Marilyn M. Morelli	Immed.	Kathy Holloway	(312) 886-2003
Linda L. Buggy	Immed.	Chris Askew	(312) 604-6026
Nance Pticek Zartman	Immed.	Bill Levinske	(312) 408-9422
Earle M. Combs IV	Immed.	Kathy Holloway	(312) 886-2003
Mike Koress	Immed.	Ruth Koress	(847) 674-7689
Susan E. Griffin	Immed.	Kathy Holloway	(312) 886-2003
Ross J. Greenspan	Immed.	Ross Greenspan	(847) 922-2200
Joseph W. Hance	Immed.	Kathy Holloway	(312) 886-2003
Robert E. Otter Trust	Immed.	Mark Kirshner	(312) 461-8218
Kathryn Leonard Otter	Immed.	Mark Kirshner	(312) 461-8218
Thomas R. Hardy	Immed.	Bill Levinske	(312) 408-9422
Jonathon Stone	Immed.	Jonathon Stone	(847) 948-5356
Mark C. Lato	Immed.	Penny Sheinfeld	(312) 347-1727
Michael A. Williams	Immed.	Paula McKinney	(847) 730-3463
George Willia McTague III	Immed.	Paula McKinney	(847) 730-3463
Robert B. Rusin	Immed.	Paula McKinney	(847) 730-3463
Mark Ishikawa	Immed.	Bill Levinske	(312) 408-9422
Daniel N. Ambrosino	Immed.	Chris Askew	(312) 604-6026
Kenneth D. Miely	Immed.	Bill Levinske	(312) 408-9422
Marsha Newlander	Immed.	Bill Levinske	(312) 408-9422
Mary J. O'Connor	Immed.	Mary J. O'Connor	(312) 337-2499

Group One Futures Trading L.L.C.	Immed.	Chad Grosam	(312) 294-2345
Transmarket Group LLC	Immed.	Jon Watson	(312) 284-5678
FT Trading LLC	Immed.	Margie DeLorme	(312) 800-7012
Atlantic Trading USA, LLC	Immed.	Verda Shah	(312) 441-4612

MEMBERS/POTENTIAL LESSEES SHOULD BE AWARE THAT THIS LISTING SERVICE IS NO GUARANTEE THAT EITHER A MEMBERSHIP WILL BE AVAILABLE OR THAT AN INDIVIDUAL WILL TAKE A MEMBERSHIP OFFERED. THE TERMS OF A LEASE AGREEMENT, I.E., LENGTH, PRICE, ETC. ARE NEGOTIABLE BETWEEN THE TWO PARTIES. THIS DOCUMENT WILL BE UPDATED DAILY BY THE MEMBER SERVICES DEPARTMENT IF APPLICABLE.

AM MEMBERSHIPS AVAILABLE FOR LEASING

<u>MEMBER</u>	<u>DATE POSTED</u>	<u>AVAILABLE FOR USE</u>	<u>CONTACT PERSON</u>	<u>TELEPHONE NUMBER</u>
WILLIAMS, MICHAEL A.	1/12/2012	1/12/2012	Paula McKinney	(847) 730-3463
DYNEK, CHRISTOPHER J.	2/1/2012	3/2/2012	Penny Sheinfeld	(312) 347-1727
KEYSTONE TRADING CORPORATION	2/2/2012	2/2/2012	Gregg Williams	(312) 377-3508
FRANK, CHARLES R.	2/15/2012	2/15/2012	Kathy Holloway	(312) 886-2003
PERRAUD, STEPHEN	4/12/2012	5/5/2012		(312) 886-2003
RATNER, STEPHEN K.	4/16/2012	4/16/2012		(312) 886-2003
KOEHLER, JOSEPH A.	5/2/2012	5/2/2012		(312) 886-2003
MACDONALD JR., WILLIAM R.	6/13/2012	6/13/2012		(312) 886-2003
VERCILLO, JOHN A.	6/13/2012	6/13/2012	Penny Sheinfeld	(312) 347-1727
MADDEN, TERENCE P.	6/14/2012	7/1/2012	Kathy Holloway	(312) 886-2003
ZIPERSKI, DAVID C.	8/3/2012	9/1/2012		(312) 886-2003
SHATKIN, HENRY	9/14/2012	10/1/2012	Penny Sheinfeld	(312) 347-1727
NEVILLE, GRACE L.	10/23/2012	10/23/2012	Kathy Holloway	(312) 886-2003
HOLLANDER, GLENN P.	11/8/2012	11/8/2012		(312) 886-2003
CARLEY, WILLIAM M.	12/4/2012	12/4/2012		(312) 886-2003
DANIEL J. NICHOLSON TRUST DATED NOVEMBER 27, 1996	12/5/2012	12/5/2012	Daniel Nicholson	(630) 455-6799
HARRIS, YRA G.	12/24/2012	12/24/2012	Paula McKinney	(847) 730-3463
MARZINELLI, JOHN A.	12/24/2012	12/24/2012		(847) 730-3463
ELLIS, GREGORY J.	1/4/2013	1/15/2013	Kevin Globe	(312) 784-0423
SCHATZ, JOSEPH F.	1/4/2013	1/4/2013	Gregg Williams	(312) 377-3508
GEORGE SEGAL REVOCABLE TRUST	1/24/2013	2/8/2013	Theresa Quinlan	(312) 559-8812
THE BRIAN I. CONNELLY REVOCABLE TRUST	1/29/2013	1/29/2013	Penny Sheinfeld	(312) 347-1727
MURRAY, SHARON K.	2/8/2013	3/5/2013	Sharon Murray	(972) 618-4149
	2/8/2013	3/5/2013		(214) 514-1143
LOUIS P. BOURNAKIS REVOCABLE TRUST U/A/D 10/19/2000	2/28/2013	2/28/2013	Kathy Holloway	(312) 886-2003
MONTGOMERY, ROBERT W.	2/28/2013	2/28/2013	Robert Montgomery	(312) 859-2545
ROBERT T. BERNAT TRUST	2/28/2013	2/28/2013	Kathy Holloway	(312) 886-2003
KOTSIPOULOS, JOHN	3/1/2013	3/1/2013	Gina Davis	(312) 347-5482
LIDOV, MILDRED	3/18/2013	3/18/2013	Paula McKinney	(847) 730-3463
MCMAHON, WILLIAM F.	3/18/2013	3/18/2013		(847) 730-3463
STEWART, JAMES R.	3/28/2013	3/28/2013	Kathy Holloway	(312) 886-2003
SOLBERG, BRIAN J.	4/9/2013	5/1/2013	Margie DeLorme	(312) 800-7012
KENYON II, RICHMOND W.	4/16/2013	4/16/2013	Richmond	(847) 682-9773
ATLANTIC TRADING USA, LLC	4/19/2013	4/19/2013	Verda Shah	(312) 441-4612
MALATO, LAWRENCE	4/19/2013	4/19/2013	Kathy Holloway	(312) 886-2003
CONROY, MARTIN T.	4/24/2013	4/24/2013	Chris Askew	(312) 604-6026
DUZENMAN, JERROLD M.	4/24/2013	4/24/2013		(312) 604-6026
SARVIS, ROBERT ANDREW	4/30/2013	4/30/2013	Dawn Andersen	(312) 789-8225

COM MEMBERSHIPS AVAILABLE FOR LEASING

<u>MEMBER</u>	<u>DATE POSTED</u>	<u>AVAILABLE FOR USE</u>	<u>CONTACT PERSON</u>	<u>TELEPHONE NUMBER</u>
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MEMBERS/POTENTIAL LESSEES SHOULD BE AWARE THAT THIS LISTING SERVICE IS NO GUARANTEE THAT EITHER A MEMBERSHIP WILL BE AVAILABLE OR THAT AN INDIVIDUAL WILL TAKE A MEMBERSHIP OFFERED. THE TERMS OF A LEASE AGREEMENT, I.E., LENGTH, PRICE, ETC. ARE NEGOTIABLE BETWEEN THE TWO PARTIES. THIS DOCUMENT WILL BE UPDATED DAILY BY THE MEMBER SERVICES DEPARTMENT IF APPLICABLE.

COM MEMBERSHIPS AVAILABLE FOR LEASING

<u>MEMBER</u>	<u>DATE POSTED</u>	<u>AVAILABLE FOR USE</u>	<u>CONTACT PERSON</u>	<u>TELEPHONE NUMBER</u>
GULOTTA, LARRY C.	5/24/2012	5/24/2012	Kathy Holloway	(312) 886-2003
AMIRSALEH, MAHYAR	6/7/2012	6/7/2012	Chris Askew	(312) 604-6026
DEVITO, DANIEL J	7/24/2012	7/24/2012	Paula McKinney	(847) 730-3463
RODKIN, DEBRA	7/26/2012	7/26/2012	Debra Rodkin	(310) 779-5985
BABY JR., HENRY P.	8/24/2012	8/24/2012	Kathy Holloway	(312) 886-2003
GLANCY, DAVID	8/24/2012	8/24/2012		(312) 886-2003
FELDSTEIN, MERTON L.	8/29/2012	8/29/2012	Penny Sheinfeld	(312) 347-1727
GROOVER, KENNETH M.	9/4/2012	9/4/2012	Nancy Webber	(312) 604-6501
LAYTON, JAMES A.	10/3/2012	10/3/2012	Kathy Holloway	(312) 886-2003
PAPPAS, CHRIS	11/1/2012	11/1/2012	Chris Askew	(312) 604-6026
SCHAER, J. BRIAN	11/5/2012	11/5/2012	Bill LeVinske	(312) 408-9422
MORELLI, MARILYN M.	11/12/2012	11/12/2012	Kathy Holloway	(312) 886-2003
BUGGY, LINDA L.	11/15/2012	11/15/2012	Chris Askew	(312) 604-6026
ZARTMAN, NANCE PTICEK	12/18/2012	1/19/2013	Bill Levinske	(312) 408-9422
COMBS IV, EARLE M.	12/28/2012	12/28/2012	Kathy Holloway	(312) 886-2003
KORESS, MIKE	12/28/2012	1/28/2013	Ruth Koress	(847) 674-7689
GRIFFIN, SUSAN E.	1/10/2013	1/10/2013	Kathy Holloway	(312) 886-2003
GREENSPAN, ROSS J.	1/17/2013	1/17/2013	Ross Greenspan	(847) 922-2200
HANCE, JOSEPH W.	2/1/2013	2/1/2013	Kathy Holloway	(312) 886-2003
OTTER, KATHRYN LEONARD	2/7/2013	2/7/2013	Mark Kirshner	(312) 461-8218
ROBERT E. OTTER TRUST	2/7/2013	2/7/2013		(312) 461-8218
HARDY, THOMAS R.	2/26/2013	3/26/2013	Bill Levinske	(312) 408-9422
STONE, JONATHON	3/5/2013	3/27/2013	Jonathon Stone	(847) 948-5356
LATO, MARK C.	3/14/2013	3/14/2013	Penny Sheinfeld	(312) 347-1727
MCTAGUE III, GEORGE WILLIA	3/18/2013	3/18/2013	Paula McKinney	(847) 730-3463
RUSIN, ROBERT B.	3/18/2013	3/18/2013		(847) 730-3463
WILLIAMS, MICHAEL A.	3/18/2013	3/18/2013		(847) 730-3463
ISHIKAWA, MARK	3/19/2013	4/1/2013	Bill Levinske	(312) 408-9422
AMBROSINO, DANIEL N.	3/26/2013	3/26/2013	Chris Askew	(312) 604-6026
MIELY, KENNETH D.	4/2/2013	4/2/2013	Bill Levinske	(312) 408-9422
NEWLANDER, MARSHA	4/2/2013	4/2/2013		(312) 408-9422
O'CONNOR, MARY J.	4/2/2013	4/2/2013	Mary J. O'Connor	(312) 337-2499
GROUP ONE FUTURES TRADING L.L.C.	4/11/2013	4/11/2013	Chad Grosam	(312) 294-2345
TRANSMARKET GROUP LLC	4/16/2013	4/16/2013	Jon Watson	(312) 284-5678
ATLANTIC TRADING USA, LLC	4/19/2013	4/19/2013	Verda Shah	(312) 441-4612
GOERDT, JOSEPH M.	4/29/2013	4/29/2013	Margie DeLorme	(312) 800-7012

FULL MEMBERSHIPS AVAILABLE FOR LEASING

<u>MEMBER</u>	<u>DATE POSTED</u>	<u>AVAILABLE FOR USE</u>	<u>CONTACT PERSON</u>	<u>TELEPHONE NUMBER</u>
FETCHENHIER, JOEL J.	4/8/2013	4/8/2013	Paula McKinney	(847) 730-3463
WEISSMAN, WES	4/8/2013	4/8/2013	Kathy Holloway	(312) 886-2003
KINSELLA, JOHN R.	4/24/2013	4/24/2013		(312) 886-2003

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FULL MEMBERSHIPS AVAILABLE FOR LEASING

<u>MEMBER</u>	<u>DATE POSTED</u>	<u>AVAILABLE FOR USE</u>	<u>CONTACT PERSON</u>	<u>TELEPHONE NUMBER</u>
BABY JR., HENRY P.	4/29/2013	4/29/2013	Kathy Holloway	(312) 886-2003
CHRISTIAN REVOCABLE FAMILY TRUST UA 3/2/2000	4/29/2013	4/29/2013	Karen Matrenec	(708) 757-6630
DWYER, JOSEPH J.	5/1/2013	5/1/2013	Penny Scheinfeld	(312) 347-1727
KAUFMAN, STEPHEN H.	5/1/2013	5/1/2013		(312) 347-1727

GIM MEMBERSHIPS AVAILABLE FOR LEASING

<u>MEMBER</u>	<u>DATE POSTED</u>	<u>AVAILABLE FOR USE</u>	<u>CONTACT PERSON</u>	<u>TELEPHONE NUMBER</u>
DUNCAN, ALMA R.	3/15/2013	3/15/2013	Penny Sheinfeld	(312) 347-1727
HARTMAN, DAVID P.	4/17/2013	4/17/2013	Gregg Williams	(312) 377-3508
NEWMAN, STEWART H.	4/18/2013	4/18/2013	Chris Askew	(312) 604-6026
PEARSON, KIRBY G.	4/18/2013	4/18/2013	Kirby Pearson	(312) 805-0005

IDEM MEMBERSHIPS AVAILABLE FOR LEASING

<u>MEMBER</u>	<u>DATE POSTED</u>	<u>AVAILABLE FOR USE</u>	<u>CONTACT PERSON</u>	<u>TELEPHONE NUMBER</u>
SEGAL, GEORGE I.	12/6/2010	12/6/2010	Theresa Quinlan	(312) 559-8812
ROBERT T. BERNAT TRUST	12/15/2010	12/15/2010	Kathy Holloway	(312) 886-2003
KIRSLIS, RICHARD A.	4/4/2011	4/4/2011	Paula McKinney	(847) 730-3463
SHERIDAN, JAMES M.	4/21/2011	4/21/2011		(847) 730-3463
CUPELIS, THOMAS	6/13/2011	6/13/2011		(847) 730-3463
CORBY, TERENCE M.	10/3/2011	10/3/2011	Gina Davis	(312) 347-5482
	10/3/2011	10/3/2011	Nancy Webber	(312) 604-6501
SUNDERLIN, CANDICE A.	1/17/2012	1/17/2012	Gregg Williams	(312) 377-3508
KOGAN, MARTIN	3/2/2012	3/2/2012	Penny Sheinfeld	(312) 347-1727
O'CONNOR, MARY J.	7/24/2012	7/24/2012	Mary J. O'Connor	(312) 337-2499
SCHECK, DALE S.	8/23/2012	8/23/2012	Kathy Holloway	(312) 886-2003
AMIRSALEH, MAHYAR	8/31/2012	8/31/2012	Chris Askew	(312) 604-6026
REIF, STUART D.	10/2/2012	10/2/2012	Stuart Reif	(847) 800-5979
SUNDERLIN, JOHN NELS	10/24/2012	10/24/2012	Gregg Williams	(312) 377-3508
HOLLANDER, GLENN P.	11/8/2012	11/8/2012	Kathy Holloway	(312) 886-2003
LOEHR, RICHARD A.	11/13/2012	11/13/2012	Bill Levinske	(312) 408-9422
BARROWMAN, JAMES	11/21/2012	11/21/2012	Kathy Holloway	(312) 886-2003
DEROSE JR., DANIEL J.	11/26/2012	11/26/2012	Gregg Williams	(312) 377-3508
SUNDERLIN, JOHN NELS	12/20/2012	12/20/2012		(312) 377-3508
STONE, JONATHON	2/14/2013	2/14/2013	Jonathon Stone	(847) 948-5356
ROTHMAN, MICHAEL C.	3/7/2013	3/7/2013	Kathy Holloway	(312) 886-2003
DEVITO, DANIEL J	3/18/2013	3/18/2013	Paula McKinney	(847) 730-3463
KERN, ANDREW	3/18/2013	3/18/2013		(847) 730-3463
KUHLMANN, STEVEN V.	3/26/2013	3/26/2013	Chris Askew	(312) 604-6026
OTTER, MICHAEL	3/26/2013	3/26/2013	Michael Otter	(312) 498-0721
PETERSON, MARK F.	4/15/2013	4/1/2013	Mark Peterson	(847) 452-0778
CAHNMAN, RAYMOND S.	4/16/2013	4/16/2013	Jon Watson	(312) 284-5678

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IDEM MEMBERSHIPS AVAILABLE FOR LEASING

<u>MEMBER</u>	<u>DATE POSTED</u>	<u>AVAILABLE FOR USE</u>	<u>CONTACT PERSON</u>	<u>TELEPHONE NUMBER</u>
TRANSMARKET GROUP LLC	4/16/2013	4/16/2013	Jon Watson	(312) 284-5678
D'AGOSTINO, MARIO	4/26/2013	4/26/2013	Mario D'Agostino	(630) 254-7583
Total:	101			

NOTICE

In accordance with Rule 221.00 (f), the following member(s), and their respective delegates, have filed delegation agreements with the Exchange.

Member (Membership Type)-Session	Delegate	Commence	Terminate
TORO COMMODITY TRADING LLC ~ FULL	AGAMY, MORAN	04/29/2013	04/30/2014
O'CONNOR, MATTHEW ~ FULL	BERINDEI, ERIC M.	04/04/2013	03/31/2018
BERGSTROM, KYLE ~ AM	BOOSTROM, ADAM N.	04/11/2013	03/31/2018
SHEPARD, WILLIAM R. AM	BROWN, NATHAN JAY	04/24/2013	04/30/2018
LOUIS P. BOURNAKIS REVOCABLE TRUST U/A/D 10/19/2000 FULL	BURKART, FLORIAN LARS	04/01/2013	04/01/2014
DISANTO II, ALEC M. ~ FULL	CAMPBELL, TYLER J.	04/25/2013	03/31/2018
AMBROSINO, DANIEL N. IDEM	CARAHER, RYAN P.	04/11/2013	03/31/2018
O'NEILL, DANIEL F. FULL	COOK, DANIEL L.	04/22/2013	03/31/2017
MITZENMACHER, ALLEN ~ FULL	CURRAN, DENIS J.	04/15/2013	08/31/2013
GOLBECK, SCOTT C. ~ IDEM	DOWD, JAMES R.	04/22/2013	07/31/2013
WARD, JOHN A. ~ FULL	EDELMAN, MICHAEL	04/11/2013	04/30/2018
CORBY, TERENCE M. IDEM	FEERICK, PATRICK F	04/30/2013	04/30/2018
GULOTTA, JOSEPH N. ~ AM	HERRICK, CORY J	04/22/2013	03/30/2018
HURLEY, TERENCE X. ~ IDEM	HINKES, JOHN R.	04/04/2013	03/31/2018
FYFE, JOHN F. AM	KAMPWIRTH, KRISTIN A.	04/05/2013	04/07/2018
MANYAN, ARAM D. FULL	KIRLEY, BRENDAN O.	04/11/2013	03/31/2018
LIBERT, JOHN B. IDEM	LANGAN, JOHN P.	04/01/2013	03/31/2018
MCCLOY, MARK C. FULL	LEAHY III, WILLIAM S.	04/22/2013	03/31/2017
MALFEO, JOSEPH FULL	LOOCHI, OMRI	04/17/2013	04/30/2014
PFLAUM, SHARON R. FULL	MANDERSON, MICHAEL M.	04/15/2013	04/30/2018
PENNY W ANIXTER TRUST U.A.D 7/3/91 ~ FULL	MAROM, OPHIR	04/30/2013	04/30/2014
FYFE, JOHN F. AM	MCCARTHY, QUENTIN S.	04/15/2013	04/14/2018

& - with stock

~ - only available membership leased out

* - CBOE Exerciser only

NOTICE

In accordance with Rule 221.00 (f), the following member(s), and their respective delegates, have filed delegation agreements with the Exchange.

Member (Membership Type)-Session	Delegate	Commence	Terminate
BURKE, THOMAS K. ~ FULL	MURPHY, THOMAS J.	04/26/2013	03/31/2018
SCHNEIDER, NEAL B. ~ FULL	RAVET, YAIR	04/30/2013	04/30/2018
PROESEL, FREDERICK J. ~ FULL	SEVERIN, MARK A	04/16/2013	04/15/2018
SCHMIDT, JOEANNE FOSKET ~ AM	TEICHER, JOSEPH	04/12/2013	03/31/2018
JOAN C. BOYLE TRUST U/A/D 1-16-02 ~ FULL	THIELMANN, TODD M.	04/02/2013	03/31/2018
CONNELLY, TERRENCE M. COM	WALSH, ROBERT D.	04/02/2013	03/31/2018
ATLANTIC TRADING USA, LLC FULL	WIGDOR, BENJAMIN E.	04/23/2013	04/23/2018
JANKUC, AGNIESZKA FULL	ZHANG, BIN	04/24/2013	04/30/2014
FEIERBERG, MARK IDEM	ZHELEV, PAVEL	04/01/2013	04/30/2023

& - with stock

~ - only available membership leased out

* - CBOE Exerciser only

**RENEWAL
NOTICE**

**In accordance with Rule 221.00 (f), the following member(s), and
their respective delegates, have filed delegation agreements with
the Exchange.**

<u>Member (Membership Type)-Session</u>	<u>Delegate</u>	<u>Commence</u>	<u>Terminate</u>
BERGSTROM, KYLE ~ AM	BOOSTROM, ADAM N.	04/11/2013	03/31/2018
PROESEL, FREDERICK J. ~ FULL	SEVERIN, MARK A	04/16/2013	04/15/2018
FEIERBERG, MARK IDEM	ZHELEV, PAVEL	04/01/2013	04/30/2023

& - with stock
* - CBOE Exerciser

AM MEMBERSHIP - REPORTED LEASE INFORMATION - NEW LEASE

<u>Effective Date</u>	<u>Term</u>	<u>Lease Price or Percentage</u>
04/01/2013	0 yr. 1 mo. 0 days	733.80
04/05/2013	5 yr. 0 mo. 0 days	639.00
04/11/2013	5 yr. 0 mo. 30 days	733.80
04/11/2013	1 yr. 0 mo. 0 days	733.80
04/12/2013	5 yr. 0 mo. 0 days	733.80
04/15/2013	5 yr. 0 mo. 0 days	733.80
04/22/2013	5 yr. 0 mo. 0 days	733.80
04/24/2013	5 yr. 0 mo. 8 days	733.80

COM MEMBERSHIP - REPORTED LEASE INFORMATION - NEW LEASE

<u>Effective Date</u>	<u>Term</u>	<u>Lease Price or Percentage</u>
04/02/2013	5 yr. 0 mo. 0 days	260.00

FULL MEMBERSHIP - REPORTED LEASE INFORMATION - NEW LEASE

<u>Effective Date</u>	<u>Term</u>	<u>Lease Price or Percentage</u>
04/01/2013	1 yr. 0 mo. 0 days	1574.73
04/02/2013	5 yr. 0 mo. 0 days	1574.73
04/04/2013	5 yr. 0 mo. 30 days	1574.73
04/11/2013	5 yr. 0 mo. 0 days	1574.73
04/11/2013	5 yr. 0 mo. 0 days	1574.73
04/15/2013	5 yr. 0 mo. 0 days	1400.00
04/15/2013	0 yr. 5 mo. 30 days	1574.73
04/16/2013	3 yr. 0 mo. 0 days	1574.73
04/17/2013	1 yr. 0 mo. 14 days	1600.00
04/22/2013	5 yr. 0 mo. 9 days	1574.73
04/22/2013	5 yr. 0 mo. 9 days	1574.73
04/23/2013	5 yr. 0 mo. 0 days	1450.00
04/24/2013	1 yr. 0 mo. 0 days	1574.73
04/25/2013	5 yr. 0 mo. 0 days	1574.73
04/26/2013	5 yr. 0 mo. 0 days	1574.73
04/29/2013	1 yr. 0 mo. 0 days	1600.00
04/29/2013	1 yr. 0 mo. 2 days	1600.00
04/30/2013	5 yr. 0 mo. 1 days	1561.57
04/30/2013	1 yr. 0 mo. 0 days	1600.00

IDEM MEMBERSHIP - REPORTED LEASE INFORMATION - NEW LEASE

<u>Effective Date</u>	<u>Term</u>	<u>Lease Price or Percentage</u>
04/01/2013	5 yr. 0 mo. 0 days	52.16
04/01/2013	5 yr. 0 mo. 0 days	52.16
04/04/2013	5 yr. 0 mo. 0 days	52.16
04/11/2013	5 yr. 0 mo. 0 days	52.16
04/22/2013	0 yr. 3 mo. 9 days	52.16
04/30/2013	5 yr. 0 mo. 0 days	52.16



CME GROUP HEADQUARTERS

20 South Wacker Drive
Chicago, IL 60606
cmegroup.com

CME GROUP GLOBAL OFFICES

New York	London	Singapore
Belfast	Calgary	Hong Kong
Houston	São Paulo	Seoul
Tokyo	Washington D.C.	