

Business Code/TFI Descriptions

TFI Description								
L1 = Individual Equity Member trading for own account								
L1D = Individual Lessee (Delegate) trading for own account								
L2 = Individual Equity Members (where another individual is executing the trade) and Equity Firms that have the trading rights and share minimum for the product traded								
L3 = Individual Non-Equity Members (where another individual is executing the trade) and Non-Equity Member Firms that have the trading rights but do not have share minimum for the product traded								
L3D = Individual Delegate Members where another individual is executing the trade								
L4 = e-cbot Member Firms								
L5 = Non-Members								
L6 = Mini-sized Agriculture Contract Permit Holders								
**Pricing Groups listed below currently reflect the codes utilized in the Dashboard reports for fee reconciliation purposes. In the continued effort to alleviate reconciliation difficulties, the pricing groups will be reclassified to a smaller subset in the coming weeks.								
			Fee Schedule	FULL	AM	GIM	COM	IDEM
ACCOUNT OWNER TYPE	BUS CODE	PRICE GROUP**	Level Code	DIV1	DIV2	DIV3	DIV4	DIV5
Individual Equity Members (trading own account)	N/A	N/A	1	L1	L1	L1	L1	L1
Individual Delegates (trading own account)	N/A	N/A	1	L1D	L1D	L1D	L1D	L1D
Individual Equity Members (where another individual is executing the trade)	IWI	IWI	2	L2	L2	L2	L2	L2
Closely Held Proprietary Firm	1AB1	FAA	2	L2	L2	L2	L2	L2
Sole Proprietor	1DB1	FAA	2	L2	L2	L2	L2	L2
Closely Held Proprietary Firm (Full)	2AB1	FAA	2	L2	L2	L2	L2	L2
Closely Held Proprietary Firm (Associate)	2AB2	FAA	2	L2	L2	L2	L2	L2
Qualified Affiliates* of (1a) or (2a) Closely Held Proprietary Firm (Full)	5AB1	FAA	2	L2	L2	L2	L2	L2
Qualified Affiliates* of (1a) or (2a) Closely Held Proprietary Firm (Associate)	5AB2	FAA	2	L2	L2	L2	L2	L2
Registered FCM (Clearing Firm)	1BB1	FAB	2	L2	L2	L2	L2	L2
Corporate Proprietary Trading	1CB1	FAB	2	L2	L2	L2	L2	L2
Registered FCM (Equity Firm)	2BB1	FAB	2	L2	L2	L2	L2	L2
Corporate Proprietary Trading (Full)	2CB1	FAB	2	L2	L2	L2	L2	L2
Corporate Proprietary Trading (Associate)	2CB2	FAB	2	L2	L2	L2	L2	L2
Qualified Affiliates* of (1b), (1c), (2b) or (2c) Equity Member Firms (Full)	5BB1	FAB	2	L2	L2	L2	L2	L2
Qualified Affiliates* of (1b), (1c), (2b) or (2c) Equity Member Firms (Associate)	5BB2	FAB	2	L2	L2	L2	L2	L2
Qualified Affiliates* of (1b), (1c), (2b) or (2c) Equity Member Firms (IDEM)	5BB4	FAB	2	L2	L2	L2	L2	L2
Qualified Affiliates* of (1b), (1c), (2b) or (2c) Equity Member Firms (COM)	5BB5	FAB	2	L2	L2	L2	L2	L2
Membership Umbrella	5EB1	FAB	2	L2	L2	L2	L2	L2
Pools Hedge Funds or Other Collective Investment Vehicles (Equity Firm)	2DB1	FAC	2	L2	L2	L2	L2	L2
Grandfathered Equity Passive Investor Firms	2DX9	FAC	2	L2	L2	L2	L2	L2
Qualified Affiliates* of (2d) Equity Pools Hedge Funds or Other Collective Investment Vehicles (Equity Firm Umbrella)	2DY1	FAC	2	L2	L2	L2	L2	L2
Qualified Affiliates* of (2d) equity Pools Hedge Funds or Other Collective Investment Vehicles (Full)	5CB1	FAC	2	L2	L2	L2	L2	L2
Qualified Affiliates* of (2d) equity Pools Hedge Funds or Other Collective Investment Vehicles (Associate)	5CB2	FAC	2	L2	L2	L2	L2	L2
Closely Held Proprietary Equity Firms with an AM Seat, 10,000 shares, and a Full Lease	2AY2	FAQ	2	L4	L2	L2	L2	L2
Corporate Proprietary Equity Firms with an AM Seat, 10,000 shares, and a Full Lease	2BY2	FAR	2	L4	L2	L2	L2	L2
Individual Delegate Members (where another individual is executing the trade)	IDN	IDN	3	L3	L3	L3	L3	L3
Individual Non-Equity Members (where another individual is executing the trade)	IWI	IWI	3	L3	L3	L3	L3	L3
Proprietary Trading Firm (Full)	3AB1	FAD	3	L3	L3	L3	L3	L3
Proprietary Trading Firm (Associate)	3AB2	FAD	3	L3	L3	L3	L3	L3
Proprietary Trading Firm (IDEM)	3AB4	FAD	3	L3	L3	L3	L3	L3

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**Pricing Groups listed below currently reflect the codes utilized in the Dashboard reports for fee reconciliation purposes. In the continued effort to alleviate reconciliation difficulties, the pricing groups will be reclassified to a smaller subset in the coming weeks.								
			Fee Schedule	FULL	AM	GIM	COM	IDEM
ACCOUNT OWNER TYPE	BUS CODE	PRICE GROUP**	Level Code	DIV1	DIV2	DIV3	DIV4	DIV5
Proprietary Trading Firm (COM)	3AB5	FAD	3	L3	L3	L3	L3	L3
Proprietary Trading Firm (IDEM and COM combination)	3AX1	FAD	3	L3	L3	L3	L3	L3
Registered FCM (Trading Member Firm)	3CB1	FAD	3	L3	L3	L3	L3	L3
Qualified Affiliates of (3a) or (3c) Proprietary Trading Firm (Associate)	3FB2	FAD	3	L3	L3	L3	L3	L3
Qualified Affiliates of (3a) or (3c) Proprietary Trading Firm (IDEM)	3FB4	FAD	3	L3	L3	L3	L3	L3
Qualified Affiliates of (3a) or (3c) Proprietary Trading Firm (COM)	3FB5	FAD	3	L3	L3	L3	L3	L3
Pools, Hedge Funds or Other Collective Investment Vehicles (Trading Member Firm)	3DB1	FAE	3	L3	L3	L3	L3	L3
Qualified Affiliates* of (2d) Trading Pools Hedge Funds or Other Col Inv Vehs (Trading Mbr Firm Umbrella)	3DY1	FAE	3	L3	L3	L3	L3	L3
Qualified Affiliates* of Pools, Hedge Funds or Other Collective Investment Vehicles of (3c) member firms (Full)	5FB1	FAE	3	L3	L3	L3	L3	L3
Qualified Affiliates* of Pools, Hedge Funds or Other Collective Investment Vehicles of (3c) member firms (Associate)	5FB2	FAE	3	L3	L3	L3	L3	L3
Leasing Qualified Affiliates* of (1a), (1b), (1c), (2b), (2c), (3b) or (3c) member firms (Full)	5DB1	FAG	3	L3D	L3D	L3D	L3D	L3D
Leasing Qualified Affiliates* of (1a), (1b), (1c), (2b), (2c), (3b) or (3c) member firms (Associate)	5DB2	FAG	3	L3D	L3D	L3D	L3D	L3D
Leasing Qualified Affiliates* of (1a), (1b), (1c), (2b), (2c), (3b) or (3c) member firms (IDEM)	5DB4	FAG	3	L3D	L3D	L3D	L3D	L3D
Leasing Qualified Affiliates* of (1a), (1b), (1c), (2b), (2c), (3b) or (3c) member firms (COM)	5DB5	FAG	3	L3D	L3D	L3D	L3D	L3D
Closely Held Proprietary Equity Firms with a Full Seat and 10,000 shares	2AY1	FAN	3	L3	L3	L3	L2	L3
Corporate Proprietary Equity Firms with a Full Seat and 10,000 shares	2BY1	FAO	3	L3	L3	L3	L2	L3
Grandfathered Trading Passive Investor Firms	3DX9	FAM	3	L3	L3	L3	L3	L3
e-cbot Member Firm (Full)	4AX2	FAF	4	L4	L4	L4	L4	L4
e-cbot Member Firm (Associate)	4AX3	FAF	4	L4	L4	L4	L4	L4
e-cbot Leasing AM seat and Owns COM seat	4AX5	FAI	4	L4	L4	L4	L3	L4
e-cbot Leasing AM seat and Owns IDEM seat	4AX6	FAJ	4	L4	L4	L4	L3	L3
e-cbot Leasing FULL seat and Owns COM seat	4AX7	FAK	4	L4	L4	L4	L3	L4
e-cbot Leasing FULL seat and Owns IDEM seat	4AX8	FAL	4	L4	L4	L4	L4	L3
Global Developing Markets	10X4	FAH	5	L5	L5	L5	L5	L5
Mini-sized Agriculture Contract Permit Holders	IMC	IMC	6	L6	L6	L6	L6	L6