

# Equity Swaps Lifecycle Management

**Thousands of relationships. Millions of trades. One network.**

Traiana offers a lifecycle management service for equity swap post-trade, focused on improving the daily front-to-back processes via a single user interface. The service is offered to hedge funds, asset managers, swap providers, and fund administrators.

## New service for

Hedge funds /  
Asset managers

Swap  
providers

Fund  
administrators



### Managing the equity swap lifecycle

The equity swaps market currently has an inefficient, labor-intensive, and manual T+N affirmation process – particularly during reset periods.

Buy-side and sell-side participants alike face uncertainty around settlements – with delays identifying and fixing breaks in a timely manner.



### Connectivity

Equity Swaps Lifecycle Management (ESLM) requires a single SFTP/FTP connection for trade, valuation, payment, and swap wrapper reporting.

Swap reporting data sets are normalized between global users across their preferred delivery mechanism and connectivity methods such as XML, CSV, and Excel. Other formats and connectivity types may be supported on request.

## Core Equity Swap Lifecycle Management (ESLM) features

[Normalization](#) | [Reconciliation](#) | [Matching & affirmation](#) | [UI & dashboard](#)

[Exception management](#) | [Reporting](#) | [Swap wrapper](#) | [Real-time](#)

### What is ESLM?

A service that allows users to interact efficiently with their counterparties via our exception management tool to identify, track and resolve breaks and to achieve equity swaps affirmation.

### One language, one interface

Access to our centralized platform to enable equity swaps reconciliation and affirmation of:

- Trades
- Valuations
- Payments
- Swap wrappers

Our single data entry, cloud-based solution translates swap provider reporting into a universal format.

### Efficient and transparent

A dynamic dashboard that provides a high-level overview of risk and break statuses across components post match. It then directs users into exceptions to accept or dispute them with their counterparties. Disputes can be communicated via our standardized breaks reporting for improved communication.

### Risk reduction

Increase efficiencies in the swap market, particularly for the monthly reset periods, and reduce risks to unlock cost savings using our trade lifecycle management service .

# Service Workflow



Swap data submitted

**Clients**

- Send available t+1 swap data such as trades, positions, and cashflows
- Access the UI and dashboard to monitor breaks across multiple swap providers
- Communicate accepts & disputes centrally using tracking tool
- View historic activity
- Utilize daily reporting

**Normalization**  
Translate and map

Swap provider's & buy-side reports are translated into the ESLSM standardized format across core components.

**Matching**  
Single or dual sided

The transformed swap data is reconciled at relationship level with our flexible matching schemas.

Single sided data sets for certain components available for a day-on-day compare and reconciliation.

**Exception management**  
Dynamic UI and dashboard

Identify, accept/dispute, and track breaks through to resolution via a centralized portal with real-time breaks statuses.

**Reporting**  
Break communication

Schedule dispute reporting in standardized format across multiple counterparties.

**Swap providers**

- Send standard T+1 swap reporting data
- Access the UI and dashboard to monitor breaks across multiple clients
- Respond to disputes centrally using tracking tool
- View historic activity
- Utilize daily reporting

**Swap components**

Swap wrapper  
Trades  
Valuations; open positions, closed P&L, financing, and dividends  
Payments summary level

**Equity swap types**

- Portfolio & total return swaps
- Equity single name, index, basket, and CBs

**Fund admins**

Manage multiple clients and swap providers on a single portal

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