



Special Executive Report

S-5894

October 7, 2011

Revision to CME, CBOT and NYMEX Access, Conduct and Appearance Code

Effective immediately, CME, CBOT and NYMEX have adopted a revision to the Access, Conduct and Appearance Code ("Access Code") set forth in the Interpretations & Special Notices Section of Chapter 5 of each Exchange's Rulebook. The amendment codifies CME Group's Visitor Policy which requires all visits by public figures to be properly approved and coordinated through appropriate CME Group staff.

The revision appears below, with additions underscored and deletions overstruck.

ACCESS, CONDUCT AND APPEARANCE CODE

It is imperative that persons who utilize the Exchange Trading Floors ("trading floor") maintain a proper and businesslike atmosphere on the trading floor. This is not only for the benefit of the thousands of visitors (ranging from U.S. government officials to foreign dignitaries to the general public) who come to the Exchange each year, but also to maintain and enhance the reputation and dignity of the Exchange as an international marketplace.

Accordingly, the Exchange has established rules governing access to and conduct on the trading floor as well as standards of appearance. These rules and standards apply to members and their employees, member firm employees, Exchange employees and visitors.

I. Access

[A. and B. are unchanged.]

C. All visits by public figures to CME Group trading floors, offices and common areas must be approved and coordinated, in advance, by CME Group's Public Affairs or Government Relations Department. Public figures include government officials and representatives, domestic and foreign dignitaries, politicians, celebrities, business leaders and all other high-profile individuals.

D. Members may not request trading floor access privileges for the same visitor on more than three days of the same calendar month without the permission of designated Exchange staff of the Security Department.

ED. Visitors are prohibited from soliciting employment or from acting as employees of members, member firms or the Exchange on the trading floor.

FE. No one under the age of 16 will be admitted to the trading floor during trading hours.

[No further changes]

Questions regarding this Special Executive Report should be directed to Erin Schwartz, Sr. Rules & Regulatory Outreach Specialist, at 312.341.3083.

For media inquiries concerning this Advisory Notice, please contact CME Group Corporate Communications at 312.930.3434 or news@cmegroup.com.