



Special Executive Report

DATE: October 15, 2019
SER#: 8456
SUBJECT: Third Extension of the Temporary Suspension of Trading and Clearing of Three (3) EUA Futures and Option Contracts

Effective October 31, 2019, and pending all relevant CFTC regulatory review periods, New York Mercantile Exchange, Inc. ("NYMEX" or "Exchange") will further extend the temporary suspension of trading on the CME Globex electronic platform and submission for clearing via CME ClearPort of the three (3) European Union Allowances futures and option contracts noted in the table below (the "Contracts") until January 31, 2020.

| Contract Title | CME Globex/ CME ClearPort Commodity Code | NYMEX Rulebook Chapter |
|--|---|------------------------------|
| In Delivery Month European Union Allowance (EUA) Futures | EAU/6T | 1250 |
| In Delivery Month European Union Allowance (EUA) Option | EAX/6U | 1251 |
| In Delivery Month European Union Allowance (EUA) Serial Option | 9G/9G | 1252 |

By way of background, on February 8, 2019, NYMEX advised regarding the delisting of previously-listed contract months and the temporary suspension of trading and clearing the Contracts until April 20, 2019 (see [SER 8324](#) dated February 8, 2019). On April 11, 2019, NYMEX further advised regarding the extension of the suspension of trading and clearing the Contracts until June 28, 2019 (see [SER 8363](#) dated April 11, 2019). On June 5, 2019, NYMEX further advised regarding the extension of the suspension of trading and clearing the Contracts until October 31, 2019 (see [SER 8397](#) dated June 5, 2019).

The Contracts make use of a UK registered entity for physical delivery of the underlying commodity. In light of the Brexit negotiations and related uncertainty in connection with memberships of the UK in the European Union Emissions Trading System ("EU ETS"), the Exchange is taking this action in an effort to maintain an orderly market.

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