



Special Executive Report

S-7919

May 17, 2017

Amendments to CME/CBOT/NYMEX/COMEX Rule 536.B. ("Globex Order Entry")

Effective Wednesday, May 31, 2017, and pending all relevant CFTC regulatory review periods, Chicago Mercantile Exchange Inc. ("CME"), The Board of Trade of the City of Chicago, Inc. ("CBOT"), New York Mercantile Exchange, Inc. ("NYMEX"), Commodity Exchange, Inc. ("COMEX") (collectively, the "Exchanges") will adopt amendments to Rule 536.B. ("Globex Order Entry").

Specifically, the amendments harmonize Rule 536.B.1. ("General Requirement") with the CME iLink® Message Specifications page (located [here](#) for your convenience) and audit trail requirements in Rule 536.B.2. ("Globex Order Entry – Electronic Audit Trail Requirements for Electronic Order Routing/Front-End Systems").

The amendments to Rule 536.B. appear below, with additions underscored and deletions overstruck.

CME/CBOT/NYMEX/COMEX Chapter 5 Trading Qualifications and Practices

536.B. Globex Order Entry

1. General Requirement

Each Globex terminal operator entering orders into Globex shall accurately input for each Application Message ~~order~~ all fields required to be populated by the CME iLink® Message Specifications set forth in the CME Group Client Systems Wiki in effect at the time, including, but not limited to the: operator's user ID (Tag 50 ID); price; quantity; product; expiration month; CTI code; automated or manual indicator (Tag 1028); and account number (except as provided in Section C):a) the user ID assigned him by the Exchange, a clearing member or other authorized entity (Tag 50 ID) b) the price, quantity, product, expiration month, CTI code, automated or manual indicator (Tag 1028) and account number (except as provided in Section C.), and, for options, put or call and strike price. The Globex terminal operator's user ID must be present on each Application Message order entered, including order messages, submitted to Globex. For a Globex terminal operator with access pursuant to Rule 574, The clearing members authorizing that guarantees the Globex terminal operator's such access to Globex will be responsible for the Globex terminal operator's compliance with this rule.

With respect to orders received by a Globex terminal operator which are capable of being immediately entered into Globex, no record other than that set forth above need be made. However, if a Globex terminal operator receives an order which cannot be immediately entered into Globex, the Globex terminal operator must prepare a written order and include the account designation, date, time of receipt and other information required pursuant to section A.1. above. The order must be entered into Globex when it becomes executable.

[Remainder of this Rule is unchanged.]

If you have any questions regarding the amendments, please contact one of the following individuals in Market Regulation:

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