CME Group

Clearing Advisory Notice

DATE: May 26, 2022

TO: Clearing Member Firms, Back Office Managers

FROM: CME Clearing

ADVISORY #: 22-203

SUBJECT: **CME Registration Requirement - Legal Entity Identifier (LEI) Requirements for Parties to OTC Trades for Use in SDR Reporting**

Under 17 CFR part 45 and part 43 regulations, swap trades (including CME-cleared OTC Interest Rate Swaps, OTC FX, and OTC Commodity Swaps) must be reported by CME Clearing to a Swap Data Repository using valid identifiers to refer to all parties to the trade. For Legal entities (as opposed to private individuals), this identifier must take the form of a Legal Entity Identifier ('LEI') that is verifiable in the Global Legal Entity Identifier Foundation (GLEIF) database at www.gleif.org. In order to comply with this requirement, effective **Tuesday, May 31, 2022**, CME will require that all business entities registering with CME for OTC account creation purposes provide a valid LEI to complete the registration process. This requirement applies to account beneficial owners and asset manager entities alike; more detail on this can be found in the CFTC Technical Specification published September 30, 2021 and available at this link:

https://www.cftc.gov/media/6576/Part43_45TechnicalSpecification093021CLEAN/download

In order to provide new or updated LEI information for your business entity, please reach out to CME"s Enterprise Application & System Entitlements team (EASE team) at EASE.AtYourService@cmegroup.com.

For other questions or concerns about this requirement please contact RepositorySupport@cmegroup.com.

Regards,
CME Clearing