SEC Rule Filings

Date of Filing	Submission Number	Description	Document
12/18/14	SEC 14-50	Proposed rule change to amend the CDS Product Rules to enable CME to offer clearing of certain iTraxx Europe index untranched CDS contracts on indices administered by Markit	SEC 19b-4(14-50)
12/14/14	SEC 14-19	Proposed rule changes regarding certain contractual agreements that apply to the over-the-counter interest rate swap clearing offering	SEC 19b-4(14-19)
12/14/14	SEC 14-18	Proposed rule changes regarding certain contractual agreements that apply to the over-the-counter credit default swap clearing offering	SEC 19b-4(14-18)
11/29/14	SEC 14-55	Proposed rule change to amend the fee schedule applicable to OTC Credit Default Swap Clearing offering	SEC 19b-4(14-55)
11/28/14	SEC 14-13R	REVISED: Proposed rule changes relating to the application of excess defaulting clearing member assets in crossover default scenarios and the harmonization of defaulted base clearing member collateral definitions	SEC 19b-4(14-13R)
11/26/14	SEC 14-56	Proposed rule changes to add a limited recourse feature and a portfolio gain haircut settlement cycle to the financial safeguards package applicable to CME Base Fund products	SEC 19b-4(14-56)
11/25/14	SEC 14-54	Proposed rule changes related to position limits and position accountability of the USD Malaysian Crude Palm Oil Calendar (Cleared Only) Contracts	SEC 19b-4(14-54)
11/25/14	SEC 14-29R	Proposed rule changes to make clarifying changes to CME Rules 814 and 901	SEC 19b-4(14-29R)
11/25/14	SEC 14-15RR	REVISED: Proposed change to align performance bond and guaranty fund collateral acceptance with CFTC Regulation 39.33 Requirements	SEC 19b-4(14-15RR)
11/24/14	SEC 14-52	Proposed changes to CME Rule 818, 8G01, and 8H01	SEC 19b-4(14-52)
11/17/14	SEC 14-49	Proposed rule changes reflecting CME's decision to clear security-based swaps	SEC 19b-4(14-49)
11/06/14	SEC 14-43	Proposed rule changes in connection with the acceptance of USD Malaysian Palm Olein Calendar (Cleared Only) Contracts for clearing	SEC 19b-4(14-43)
10/17/14	SEC 14-46	Proposed rule changes to settlement procedures regarding seven CME Cleared OTC FX Spot, Forwards and Swap Contracts	SEC 19b-4(14-46)
10/14/14	SEC 14-44	Proposed modifications to OTC IRS Fee Schedule	SEC 19b-4(14-44)
10/9/14	SEC 14-42	Proposed changes to settlement procedures for seven CME Cleared OTC FX Spot, Forward and Swap Contracts	SEC 19b-4(14-42)
10/7/14	SEC 14-41	Proposed rule change regarding CME Rule 816	SEC 19b-4(14-41)
10/3/14	SEC 14-40	Proposed change regarding collateral acceptance practices for Base Guaranty Fund	SEC 19b-4(14-40)
9/29/14	SEC 14-14	Proposed changes to amend Rule 850 regarding fees	SEC 19b-4(14-14R)
9/24/14	SEC 14-39	Proposed rule changes to amend CME ClearPort Hours for certain commodity index cleared OTC Swap Contracts.	SEC 19b-4(14-39)
9/21/14	SEC 14-30	Amendment to proposed rule change related to 2014 ISDA Definitions	SEC 19b-4(14-30 A1)
9/21/14	SEC 14-38	Proposed rule changes to settlement procedures regarding CME Cleared OTC FX Spot, Forward and Swap Contract	SEC 19b-4(14-38)
9/19/14	SEC 14-37	Proposed changes regarding CME's acceptance of new series of Credit Default Swap Index products.	SEC 19b-4(14-37)
9/10/14	SEC 14-18	Proposed change pursuant to the Payment, Clearing,	SEC 19b-4(14-18)

		and Settlement Act of 2010.	
9/8/14	SEC 14-36	Proposed rule changes to amend CME Rule 771	SEC 19b-4(14-36)
9/4/14	SEC 14-35	Proposed change related to 2003 ISDA Definitions	SEC 19b-4(14-35)
		Amendment to proposed rule change related to	
8/29/14	SEC 14-31 A2	clearing of certain iTraxx Europe Index untranched CDS	SEC 19b-4(14-31_A2)
		contracts on indices administered by Markit.	
	SEC 14-28 A2	Amendment to proposed rule change related to	
8/29/14		substantial enhancements to the Risk Model for Credit	SEC 19b-4(14-28_A2)
		Default Swaps (the "CDS Risk Model")	
	SEC 14-31	Proposed rule change related to clearing of certain	
8/8/14		iTraxx Europe Index untranched CDS contracts on	SEC 19b-4(14-31)
		indices administered by Markit.	
	SEC 14-28	Proposed rule change related to substantial	
8/8/14		enhancements to the Risk Model for Credit Default	SEC 19b-4(14-28)
		Swaps (the "CDS Risk Model")	
6/23/14	SEC 14-25R	REVISED: Proposed rule change regarding Base	SEC 19b-4(14-25R)
0, 23, 14		Guaranty Fund Collateral Acceptance Practices	3EC 130-4(14-23K)
	SEC 14-24R	REVISED: Proposed changes to settlement procedures	
6/23/14		regarding five CME Cleared OTC FX Spot, Forward, and	SEC 19b-4(14-24R)
		Swap contracts	
6/18/14	SEC 14-26	Proposed modifications to CME's OTC IRS Fee	SEC 19b-4(14-26)
0, 10, 17	SEC 14-20	Schedule	<u>525 150 7(17 20)</u>
	SEC 14-22	Proposed rules changes to facilitate physical delivery	
6/9/14		of CLS-eligible Foreign	SEC 19b-4(14-22)
		Currencies.	
6/9/14	SEC 14-23	Proposed changes to extend a fee waiver program for	SEC 19b-4(14-23)
		certain OTC FX cleared only products	
5/15/14	SEC 14-21	Proposed changes relating to IRS Coupon Blending	SEC 19b-4(14-21)
4/4/14	SEC 14-17	Proposed changes to adopt rules relating to liquidity	SEC 19b-4(14-17)
. ,		risk management to comply with CFTC Regulations	
0/0/0	SEC 14-16	Proposed changes in position limits and accountability	050401 4/4146
4/4/14		levels of CME Cleared OTC FX Spot, Forward and Swap	SEC 19b-4(14-16)
		Contracts	
3/3/14	SEC 14-08	REVISED: Proposed modifications to CME Rule 770,	SEC 19b-4(14-08R)
		Rule 853 and Rule 854	
2/45/4	SEC 14-12	Proposed amendment to CME Rule 281H.02.A	CEC 401 4/4 : 101
3/15/14		regarding CME's Cleared OTC U.S. Dollar/Indonesian	SEC 19b-4(14-12)
		Rupiah (USD/IDR) Spot, Forwards and Swap contracts	
3/15/14	SEC 14-11	Proposed changes to clarify CME's Chapter 7 Delivery	SEC 19b-4(14-11)
		Rules	
3/13/14	SEC 14-09	Proposed rule changes regarding acceptance of new	SEC 19b-4(14-09)
		series of Credit Default Swap Index products	·
3/12/14	SEC 14-10	Proposed rule changes to amend the fee schedule	SEC 19b-4(14-10)
2/4/14	SEC 14-07	applicable to CME's MXN OTC IRS Clearing offering	SEC 10h 4/14 07\
3/4/14	3EC 14-U/	Proposed amendment to CME Rule 980.F	SEC 19b-4(14-07)
2/28/14	SEC 14-06R	REVISED: Proposed implementation of rules to address	SEC 19b-4(14-06)R
1/7/14	CEC 14 01	third party swap execution platforms.	SEC 10h 4/14 01\
1/7/14	SEC 14-01	Proposed changes to CME Rule 8G802.B.2	SEC 19b-4(14-01)
1/17/14	SEC 14-03	Proposed amendment to fee schedule applicable to its	SEC 19b-4(14-03)
		OTC Credit Default Swap Clearing Offering.	
2/12/14	SEC 14-04	Proposed changes to facilitate the LSOC with excess	SEC 19b-4(14-04)
		model for CFTC-Regulated Swaps	