

SEC Rule Filings

Date of Filing	Submission Number	Entity	Description	Document
12/31/12	SEC 12-47	CME	Proposed rule change related to acquisition of the Kansas City Board of Trade Clearing Corporation	SEC 19b-4(12-47)
12/10/12	SEC 12-34	CME	Proposed rule change related to the liquidity factor of CME's CDS Margin Methodology	SEC 19b-4(12-34)
11/1/2012	SEC 12-45	CME	Emergency actions taken by Chicago Mercantile Exchange Inc. in connection with extreme weather events and Hurricane Sandy	SEC 19b-4(12-45)
10/30/2012	SEC 12-44	CME	Emergency Actions Taken By Chicago Mercantile Exchange Inc. in connection with extreme weather events and Hurricane Sandy	SEC 19b-4(12-44)
10/29/2012	SEC 12-43	CME	Proposed rule change to comply with CFTC Part 22 Regulations	SEC 19b-4(12-43)
10/18/2012	SEC 12-19	CME	Proposed rule change to initiate clearing of single name credit default swaps for house accounts.	SEC 19b-4(12-19)
10/10/2012	SEC 12-40	CME	Proposed rule change to add one series of credit default index swaps available for clearing.	SEC 19b-4(12-40)
10/05/2012	SEC 12-42	CME	Proposed rule change regarding valuation of securities on deposit	SEC 19b-4(12-42)
10/03/2012	SEC 12-39	CME	Proposed rule change. to amend CME Rule 971 which will require FCM Clearing Members to provide certain view-only access	SEC 19b-4(12-39)
09/17/2012	SEC 12-38	CME	Proposed rule change to comply with revisions to CFTC Regulations governing derivatives clearing organizations	SEC 19b-4(12-38)
09/17/2012	SEC 12-37	CME	Proposal to amend CME Rule 971 to Allow FCM Clearing Member CEOs and CFOs to Designate Authorized Representatives to Approve Certain Disbursements of Customer Funds	SEC 19b-4(12-37)
09/11/2012	SEC 12-36	CME	Proposed rule change to add additional series of Credit Default Index Swaps available for clearing	SEC 19b-4(12-36)
09/04/2012	SEC 12-35	CME	Proposed rules changes regarding acceptance of additional Interest Rate Swaps for clearing	SEC 19b-4(12-35)
08/17/2012	SEC 12-33	CME	Proposed rule change to amend the fee schedule applicable to OTC Interest Rate Swap clearing offering	SEC 19b-4(12-33)
07/25/2012	SEC 12-31	CME	Proposed rule change to make clarifying changes to CME Rule 819 and certain Chapter 8F rules	SEC 19b-4(12-31)
07/25/2012	SEC 12-30	CME	Proposed rule change to comply with CFTC Part 22 Regulations	SEC 19b-4(12-30)

07/25/2012	SEC 12-28	CME	Proposed rule change related to the liquidity factor of its CDS margin methodology	SEC 19b-4(12-28)
07/25/2012	SEC 12-22	CME	Proposed rule change to amend CME rules to facilitate customer portfolio margining of Interest Rate Futures and Interest Rate Swaps	SEC 19b-4(12-22)
06/22/2012	SEC 12-27	CME	Proposed rule change to amend rules relating to Interest Rate Swaps Clearing	SEC 19b-4(12-27)
06/13/2012	SEC 12-25	CME	Proposed rule change to adopt changes that Would Affect its Standard Portfolio Analysis of Risk ("SPAN") Methodology for Certain Energy Futures Contracts	SEC 19b-4(12-25)
06/07/2012	SEC 12-24	CME	Proposed rule change to Amend CME Rule 802 Regarding CME's Capital Contribution to the Base Guaranty Fund	SEC 19b-4(12-24)
06/06/2012	SEC 12-23	CME	Proposed rule change to amend CME Rules relating to acceptable performance bond deposits	SEC 19b-4 (12-23)
06/06/2012	SEC 12-21	CME	Proposed rule change to amend CME Rule 971 Reporting Requirements for FCM Clearing Members	SEC 19b-4 (12-21)
06/05/2012	SEC 12-20	CME	Proposed rule change regarding extension of Fee Waiver Program relating to its Cleared-only OTC FX Clearing Offering	SEC 19b-4 (12-20)
05/08/2012	SEC 12-18	CME	Proposed rule change to modify a fee program related to its OTC Interest Rate Swap Clearing offering	SEC 19b-4 (12-18)
04/25/2012	SEC 12-15	CME	Proposed rule change to facilitate interest rate futures contracts portfolio margining program with Eris Exchange, LLC	SEC 19b-4 (12-15)
04/20/2012	SEC 12-17	CME	Proposed rule to amend rules regarding IRS Clearing Member Obligations and Qualifications to Comply with CFTC Regulations	SEC 19b-4 (12-17)
04/20/2012	SEC 12-16	CME	Proposed rule change to amend rules regarding CDS Clearing Member Obligations and Qualifications to Comply with CFTC Regulations	SEC 19b-4 (12-16)
04/20/2012	SEC 12-14	CME	Proposed rule change to amend the Fee Schedule applicable to its OTC S&P GSCI-ER swaps contracts.	SEC 19b-4 (12-14)
04/20/2012	SEC 12-13	CME	Proposed rule change to add reporting requirements for FCM Clearing Members	SEC 19b-4 (12-13)
04/09/2012	SEC 12-12	CME	Proposed rule change to amend rules regarding clearing member Anti-Money Laundering programs	SEC 19b-4 (12-12)
03/30/2012	SEC 12-11	CME	Proposed rule change to facilitate changing its methodology for calculation of the "Cash Mark-to-Mark" performance bonds for Cleared OTC FX	SEC 19b-4 (12-11)

			Swaps from SPAN to HVaR	
03/30/2012	SEC 12-10	CME	Proposed rule changes regarding acceptance of additional interest rate swaps and related interbank rates for clearing	SEC 19b-4 (12-10)
03/29/2012	SEC 12-09	CME	Proposed rule change to comply with revisions to CFTC regulations governing Derivatives Clearing Organizations ("DCOs")	SEC 19b-4 (12-09)
03/12/2012	SEC 12-08	CME	Proposed rule change to comply with revisions to CFTC Part 190 Regulations	SEC 19b-4 (12-08)
03/09/2012	SEC 12-06	CME	Proposal to amend certain Credit Default Swap clearing rules regarding Guaranty Fund Allocations, amendments to Daily Submission Deadline, Holiday Accrual Processing and PAI Payment Timeline	SEC 19b-4 (12-06)
03/09/2012	SEC 12-07	CME	Proposal to include additional series of Credit Default Index Swaps available for clearing	SEC 19b-4 (12-07)
03/07/2012	SEC 12-05	CME	Proposed amendment to establish an Interest Rate Swap and Interest Rate Futures Portfolio Management Program	SEC 19b-4 (12-05)
2/29/2012	SEC 12-04	CME	Proposed changes to amend the Fee Schedule Applicable to CME's OTC Interest Rate Swap Offering	SEC 19b-4 (12-04)
02/08/2012	SEC 12-01	CME	Proposed rule change by CME relating to CDS Guarantee Fund	SEC 19b-4 (12-01)
1/30/2012	SEC 12-03	CME	Proposed changes to add Price Alignment Interest ("PAI") to OTC FX Performance Bond Method	SEC 19b-4 (12-03)
01/24/2012	SEC 12-02	CME	Proposed rule change by CME regarding Cleared-Only OTC FX Product Fees	SEC 19b-4 (12-02)