CFTC Rule Filings

Date of filing	Entity	Description	CFTC Filing
12/31/13	CME	Revisions to CME Rule 8G802.B.2 (IRS Product Limited Recourse).	13-590
12/30/13	CME/CBOT/NYMEX	Revisions to CME/CBOT/NYMEX Rule 982	<u>13-589</u>
12/27/13	CME/CBOT	REVISED implementation of the Agricultural Futures Market Maker Program.	<u>13-439R</u>
12/27/13	CME CLEARING	Notification of rule amendments made effective during the week of December 16, 2013.	<u>13-588</u>
12/26/13	СВОТ	Notification of rule amendments that were made effective during the week of December 16, 2013.	<u>13-587</u>
12/26/13	NYMEX	Notification of rule amendments that were made effective during the week of December 16, 2013.	<u>13-586</u>
12/26/13	NYMEX/COMEX	Establishment of a block trade minimum threshold in four (4) new NYMEX products and issuance of NYMEX & COMEX Market Regulation Advisory Notice RA1327-4.	<u>13-563</u>
12/26/13	NYMEX	Listing of PJM Western Hub Real-Time Off-Peak Calendar-Month 50 MW Option on Calendar Futures Strip	<u>13-548</u> <u>APPENDIX B</u>
12/26/13	NYMEX	Listing of two (2) new petroleum crack spread options contracts, Gasoline Euro-bob Oxy NWE Barges (Argus) Average Price Option and RBOB Gasoline Brent Crack Spread Average Price Option	<u>13-545</u> <u>APPENDIX B</u>
12/26/13	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	13-545\$
12/26/13	NYMEX	Amendments to the Position Limits, Position Accountability and Reportable Levels for five (5) existing Henry Hub Natural Gas futures and options contracts	<u>13-585</u> <u>APPENDIX A</u>
12/26/13	NYMEX	Amendments to the Position Limits, Position Accountability and Reportable Levels for fifty (50) existing Natural Gas Swing Futures contracts	<u>13-584</u> <u>APPENDIX A</u>
12/20/13	CME	Weekly notification of rule amendments that were made effective during the week of December 9, 2013.	<u>13-582</u>
12/20/13	NYMEX	Listing of a new Natural Gas Futures contract ("Henry Hub Combo Futures")	<u>13-539</u> <u>APPENDIX B</u>
12/20/13	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	13-539\$
12/20/13	СВОТ	REVISED modifications to the CBOT Market Maker Program for Electronic Treasury Options.	<u>13-465R</u>
12/20/13	CME	REVISED modifications to the CME Market Maker Program for Eurodollar Options.	<u>13-464R</u>
12/20/13	CME CLEARING	Notification of rule amendments made effective during the week of December 9, 2013.	<u>13-583</u>
12/20/13	CME/CBOT/NYMEX/ COMEX	Adoption of Rule 980.F.	<u>13-581</u>
12/18/13	CME/CBOT/NYMEX/ COMEX	Withdrawal of CME/CBOT/NYMEX/COMEX Submission No. 13-572	<u>13-572W</u>
12/18/13	CME/CBOT/NYMEX	REVISED: Adoption of new rules for liquidity risk management.	<u>13-565R</u>

12/18/13	CME/CBOT/NYMEX/ COMEX	Revisions to Rule 536 (Section G. "Telephone Recordings" and Section H. "Retention of Records").	<u>13-564</u>
12/18/13	NYMEX	Termination of trading for Mini Argus Propane (Saudi Aramco) Futures and the Argus Propane (Saudi Aramco) Futures contracts.	13-580
12/18/13	NYMEX/COMEX	Establishment of a block trade minimum threshold in ten (10) new NYMEX Natural Gas Fixed Price Futures and issuance of NYMEX & COMEX Market Regulation Advisory Notice RA1326-4.	<u>13-559</u>
12/18/13	NYMEX	Listing of ten (10) new fixed price natural gas futures contracts.	<u>13-549</u> <u>APPENDIX B</u>
12/18/13	NYMEX	Withdrawal of NYMEX Submission No. 13-567	<u>13-567W</u>
12/18/13	СМЕ	Revisions to weather products in the CME Chapter 5 Table and modifications to weather position limits and accountability levels.	<u>13-547</u> EXHIBIT B
12/18/13	СВОТ	Weekly notification of rule amendments that were made effective during the week of December 9, 2013.	<u>13-579</u>
12/16/13	NYMEX/COMEX	Implementation of the Core Metals Products Single-Tiered Fee Rebate for High Volume Liquidity Providers.	13-578
12/16/13	СМЕ	Extension of the CME FX EFP Surcharge Volume Incentive Program.	13-574
12/16/13	СВОТ	Modifications to the CBOT ETH Agricultural Trading Incentive Program.	13-483
12/13/13	NYMEX	Modifications to the NYMEX Member Power Option Incentive Program.	<u>13-573</u>
12/13/13	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	13-573\$
12/13/13	CME/CBOT	Implementation of the Agricultural Options Market Maker Program.	13-445
12/13/13	NYMEX	Implementation of the Brent - DME Oman Spread Market Maker Program.	<u>13-576</u>
12/13/13	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	13-576S
12/13/13	NYMEX	Corrections to information contained in the non-reviewable trading ranges table at NYMEX Rule 588.H.	<u>13-577</u>
12/13/13	CME/CBOT/NYMEX	Proposed amendments to CME/CBOT/NYMEX Rule 971.C.	<u>13-453</u>
12/13/13	NYMEX	Modifications to the NYMEX International Firm Volume Incentive Program.	13-408
12/13/13	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	13-408S
12/13/13	NYMEX	Modifications to the NYMEX OTC Broker Incentive Program.	<u>13-442</u>
12/13/13	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	13-442S
12/13/13	NYMEX	Implementation of the NYMEX OTC Broker Rebate Incentive Program.	<u>13-575</u>
12/13/13	CME CLEARING	Notification of rule amendments made effective during the week of December 2, 2013.	<u>13-571</u>
12/13/13	NYMEX	Modifications to the Brent Futures and Inter-Commodity Spread Market Maker Program.	13-444

12/13/13	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	13-444\$
12/12/13	NYMEX	Implementation of the Junior International Energy Incentive Program.	<u>13-430</u>
12/13/13	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	13-430S
12/12/13	NYMEX	Modifications to the Bi-Venue Energy Tiers Incentive Program.	<u>13-438</u>
12/13/13	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	13-438\$
12/12/13	NYMEX/COMEX	NYMEX and COMEX Energy and Metals Products Non-Member Incentive Program.	<u>13-414</u>
12/12/13	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	13-414\$
12/12/13	NYMEX	Modifications to the Volume Incentive Program for NYMEX Energy Products.	13-409
12/12/13	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	13-409s
12/12/13	CME/CBOT/NYMEX/ COMEX	Modifications to the International Cross-Asset Volume Incentive Program.	<u>13-424</u>
12/12/13	CME/CBOT/NYMEX/ COMEX	Modifications to the International Incentive Program.	13-421
12/12/13	NYMEX	Modifications to the NYMEX Commercial Brent Spread Incentive Program.	<u>13-447</u>
12/12/13	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	13-447\$
12/12/13	NYMEX	Modifications to the NYMEX Brent Liquidity Provider Program.	<u>13-418</u>
12/12/13	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	13-418S
12/12/13	NYMEX/COMEX	Modifications to the NYMEX and COMEX Futures and Options Products Cross-Venue Incentive Program.	<u>13-446</u>
12/12/13	NYMEX/COMEX	SUPPLEMENTAL FILING UNDER FOIA	13-446S
12/12/13	NYMEX	Modifications to the NYMEX Natural Gas Options Gateway Incentive Program.	<u>13-443</u>
12/12/13	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	13-443\$
12/12/13	NYMEX	Modifications to the NYMEX Crude and Refined Options Gateway Incentive Program.	<u>13-441</u>
12/12/13	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	13-441\$
12/11/13	NYMEX	Implementation of a Fee Waiver for WTI-LLS (WJ) Contracts.	<u>13-419</u>
12/11/13	NYMEX	REVISED: Implementation of the NYMEX Natural Gas Basis ClearPort Fee Discount Program.	<u>13-385R</u>
12/11/13	COMEX	Weekly notification of rule amendments that were made effective during the week of December 2, 2013.	<u>13-570</u>

12/11/13	СВОТ	Weekly notification of rule amendments that were made effective during the week of December 2, 2013.	13-569
12/11/13	СМЕ	Weekly notification of rule amendments that were made effective during the week of December 2, 2013.	13-568
12/11/13	CME	Expansion of the listing cycle for European Monthly and Seasonal Weather contracts from one (1) year to (3) years.	<u>13-566</u>
12/11/13	СМЕ	Modifications to the CME Electronic Corporate Membership Waiver Program.	13-422
12/11/13	СВОТ	Modifications to the CBOT Non-Member Fee Discount Program for E-mini Dow Futures.	13-432
12/11/13	CME/CBOT/NYMEX/ COMEX	Modifications to the Asia-Pacific Incentive Program.	<u>13-486</u>
12/11/13	СМЕ	Extension of the CME Individual Member Equity Index Maxi-Mini Incentive Program.	<u>13-431</u>
12/10/13	NYMEX	Extension of NYMEX Brent Crude Oil Last Day Financial Futures Fee Waiver Program.	13-417
12/10/13	CME/CBOT	Modifications to the CME/CBOT Asset Manager Fixed Income Incentive Program.	<u>13-435</u>
12/10/13	CME/CBOT	SUPPLEMENTAL FILING UNDER FOIA	13-435S
12/10/13	CME/CBOT	Extension of the CME/CBOT Interest Rate Options Give Up Incentive Program.	<u>13-466</u>
12/10/13	CME/CBOT	SUPPLEMENTAL FILING UNDER FOIA	13-466S
12/10/13	NYMEX	Modifications to the Diverse Trading Non-Member International Commercial Firms Energy Incentive Program.	13-440
12/10/13	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	13-440S
12/10/13	NYMEX	Modifications to the Energy Natural Gas Cross-Venue Incentive Program for High Volume Participants.	<u>13-437</u>
12/10/13	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	13-437S
12/10/13	NYMEX/COMEX	Modifications to the Volume Incentive Program for NYMEX and COMEX Metals Products.	<u>13-427</u>
12/10/13	NYMEX/COMEX	SUPPLEMENTAL FILING UNDER FOIA	13-427S
12/10/13	NYMEX	Modifications to the NYMEX Natural Gas and Power Incentive Program for Commercial Firms.	<u>13-415</u>
12/10/13	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	13-415S
12/10/13	NYMEX/COMEX	Extension of the Combined Energy & Metals Globex Volume Incentive Program for High Volume Contributors.	<u>13-413</u>
12/10/13	NYMEX/COMEX	SUPPLEMENTAL FILING UNDER FOIA	13-413S
12/10/13	NYMEX/COMEX	Extension of the Cross-Venue Energy and Metals Products Incentive Program for High Volume Contributors.	13-412
12/10/13	NYMEX/COMEX	SUPPLEMENTAL FILING UNDER FOIA	13-412S

12/10/13	NYMEX/COMEX	Extension of the Energy & Metals ETH & Back Month Globex Volume Incentive Program for High Volume Contributors.	13-411
12/10/13	NYMEX/COMEX	SUPPLEMENTAL FILING UNDER FOIA	13-4115
12/10/13	NYMEX	Extension of the NYMEX Energy Products Resting Order Incentive Program	<u>13-410</u>
12/10/13	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	13-410\$
12/10/13	NYMEX	Extension of the NYMEX Crude and Refined Calendar Spread Options Incentive Program	<u>13-451</u>
12/10/13	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	13-451S
12/10/13	CME	Modifications to the CME FX Premium Quoted Options Market Maker Program.	<u>13-457</u>
12/10/13	СМЕ	SUPPLEMENTAL FILING UNDER FOIA	13-457\$
12/10/13	CME/CBOT/NYMEX/ COMEX	Modifications to the New Trader Incentive Program.	<u>13-423</u>
12/10/13	NYMEX	Modifications to the NYMEX European Coal Incentive Program.	<u>13-420</u>
12/10/13	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	13-420S
12/9/13	NYMEX	REVISED: Amended listing schedules for thirty-eight (38) petroleum futures contracts.	<u>13-561R</u>
12/9/13	NYMEX	Delisting of contract months beyond March 2016 for five (5) NYMEX crude oil futures and options contracts.	<u>13-562</u>
12/9/13	СМЕ	Modifications to the USD/CNH Market Making Incentive Program.	<u>13-485</u>
12/9/13	CME	SUPPLEMENTAL FILING UNDER FOIA	13-485\$
12/6/13	NYMEX	Rule amendments that were made effective during the week of November 25, 2013.	<u>13-557</u>
12/6/13	CME/CBOT/NYMEX/ COMEX	Adoption of Rule 132 (Sale of Membership at Direction of Regulatory Authority).	<u>13-554</u>
12/6/13	NYMEX	Implementation of the NYMEX Natural Gas Basis Trade Revenue Incentive Program.	<u>13-387</u>
12/6/13	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	13-387S
12/6/13	NYMEX	Modifications to the NYMEX European Carbon Products Globex Incentive Program.	<u>13-448</u>
12/6/13	CME/CBOT/NYMEX/ COMEX	Revisions to Rule 559 (Position Limits and Exemptions).	<u>13-555</u>
12/6/13	СВОТ/КСВТ	Modifications to the Grain and Oilseed Futures Volume Incentive Program.	<u>13-484</u>
12/6/13	CME CLEARING	Rule amendments made effective during the week of November 25, 2013.	<u>13-560</u>
12/6/13	СМЕ	Modifications to the CME Standard-Sized and E-Micro Indian Rupee/U.S. Dollar Futures Market Maker Incentive Program.	<u>13-459</u>

12/6/13	СМЕ	SUPPLEMENTAL FILING UNDER FOIA	13-459\$
12/6/13	СМЕ	Modifications to the CME FX Futures Cross Currency Liquidity Market Making Program	13-462
12/5/13	СМЕ	Acceptance of MXN denominated interest rate swaps for clearing, effective December 9, 2013.	13-523
12/5/13	СВОТ	Modifications to the CBOT Market Maker Program for Long-Term U.S. Treasury Bond Futures.	13-467
12/5/13	СВОТ	SUPPLEMENTAL FILING UNDER FOIA	13-467S
12/5/13	СМЕ	Modifications to the Eurodollar/Euroyen/Euribor Volume Incentive Program.	13-463
12/5/13	СМЕ	REVISED: Modifications to the CME Market Maker Program for Eurodollar Pack and Bundle Futures.	<u>13-482R</u>
12/5/13	СВОТ	Modifications to the CBOT Market Maker Program for Deferred 30-Day Federal Fund Futures.	<u>13-471</u>
12/5/13	СМЕ	Modifications to the Nikkei 225 Futures Volume Incentive Program	13-452
12/5/13	СМЕ	SUPPLEMENTAL FILING UNDER FOIA	13-462S
12/5/13	СМЕ	Modifications to the CME E-Micro FX Market Maker Program.	<u>13-460</u>
12/5/13	СМЕ	Extension of the CME Futures and Options Tier Aggregation	<u>13-426</u>
12/5/13	СМЕ	SUPPLEMENTAL FILING UNDER FOIA	13-426S
12/4/13	СМЕ	REVISED: Extension of the CME Floor-Traded Futures Liquidity Program.	<u>13-456R</u>
12/4/13	СМЕ	REVISED: Extension of the CME OTC FX Fee Waiver Program.	<u>13-455R</u>
12/4/13	СМЕ	Modifications to the CME Non-Member Globex Fee Discount Program for FX Options.	<u>13-458</u>
12/4/13	CME/CBOT/NYMEX/ COMEX	Modifications to the Latin America Fund Manager Incentive Program.	<u>13-399</u>
12/4/13	CME/CBOT/NYMEX/ COMEX	Modifications to the Latin America Bank Incentive Program.	13-398
12/4/13	CME/CBOT/NYMEX/ COMEX	Modifications to the Latin America Commodity Commercial Incentive Program.	<u>13-397</u>
12/4/13	CME	Extension of the CME Ibovespa Index USD-Denominated Futures Market Maker Program.	<u>13-429</u>
12/4/13	CME	SUPPLEMENTAL FILING UNDER FOIA	13-429\$
12/4/13	CME	Extension of the CME Livestock, Dairy, and Forestry Futures Volume Discount Program.	<u>13-425</u>
12/4/13	CME	Plans to modify its fee schedule to include legacy Board of Trade of Kansas City, Missouri, Inc. ("KCBT") futures and options under the Extended Access Program after the contracts' migration to the Board of Trade of the City of Chicago, Inc. ("CBOT") designated contract market.	<u>13-553</u>

12/4/13	СМЕ	REVISED: Delisting of four (4) CME monthly and seasonal strip snowfall and rainfall binary options contracts.	13-552R APPENDIX A
12/3/13	СМЕ	Extension of the CME Commodity Trading Advisors/Hedge Fund Incentive Program.	13-428
11/29/13	NYMEX/COMEX	Establishment of a block trade minimum threshold in NYMEX Canadian Light Sweet (Net Energy) Index futures and the issuance of NYMEX & COMEX Market Regulation Advisory Notice RA1325-4.	13-542
11/29/13	NYMEX	Listing of a new Canadian Light Sweet Oil (Net Energy) Index futures contract for trading	<u>13-551</u> <u>APPENDIX B</u>
11/29/13	CME CLEARING	Notification of rule amendments made effective during the week of November 18, 2013.	<u>13-550</u>
11/29/13	NYMEX	Implementation of the NYMEX Natural Gas Basis Market Maker Program.	13-388
11/29/13	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	13-388\$
11/29/13	NYMEX	Implementation of the NYMEX Natural Gas Basis Broker Incentive Program.	<u>13-384</u>
11/29/13	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	13-384\$
11/29/13	NYMEX	Implementation of the NYMEX Natural Gas Basis Fee Waiver Program.	13-383
11/18/13	СВОТ	REVISED: Implementation of an incentive program in support of legacy KCBT wheat futures and options products.	<u>13-500R</u>
11/27/13	NYMEX	REVISED: Extension of the NYMEX Natural Gas and Power Incentive Program for Hedge Funds.	<u>13-416R</u>
11/25/13	NYMEX	Modifications to NYMEX European and Asian Petroleum Products Incentive Program	13-450
11/25/13	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	13-450S
11/22/13	CME CLEARING	Notification of CH rule amendments made effective during the week of November 11, 2013.	13-544
11/21/13	СМЕ	Amendments to the CME Chapter 5 Table on Position Limits and Exemptions for Standard Sized and E-micro Sized U.S. Dollar/Offshore Chinese Renminbi ("USD/CNH") Futures. The proposed amendments will become effective on Sunday, December 8, 2013, for trade date Monday, December 9, 2013.	<u>13-543</u> EXHIBIT 1
11/20/13	NYMEX	Weekly notification of rule amendments that were made effective during the week of November 11, 2013.	<u>13-541</u>
11/8/13	NYMEX	REVISED: Implementation of NYMEX Member Power Option Incentive Program	<u>13-527R</u>
11/15/13	СВОТ	REVISED: Early listing of November 2015 Soybean options and December 2015 Soybean Oil and Soybean Meal options.	<u>13-537R</u>
11/18/13	NYMEX	Delisting of three (3) NYMEX natural gas futures contracts, effective immediately.	<u>13-538</u> <u>APPENDIX A</u>
11/18/13	NYMEX	Modifications to the NYMEX Legacy GreenX Volume and Liquidity Provider Program	13-449
11/18/13	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	13-449\$
11/15/13	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	13-416S

11/15/13	CME CLEARING	Notification of rule amendments made effective during the week of November 4, 2013.	<u>13-536</u>
11/14/13	CME	Modifications to the fee schedule applicable to over-the-counter ("OTC") Interest Rate Swaps cleared at CME.	<u>13-495</u>
11/14/13	СВОТ	Modifications to the fee schedule for cleared-only Dow Jones-UBS Commodity Index Swap contracts.	13-535
11/14/13	СМЕ	Implementation of the CME Interest Rate Portfolio Incentive Program.	<u>13-533</u>
11/14/13	СМЕ	SUPPLEMENTAL FILING UNDER FOIA	13-533S
11/13/13	NYMEX	Implementation of the New Globex Market Makers for European Emissions program.	<u>13-528</u>
11/13/13	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	13-528S
11/12/13	NYMEX	Expansion of the listing schedules of eight (8) petroleum futures and option contracts effective Sunday, December 1, 2013 for trade date Monday, December 2, 2013.	<u>13-534</u>
11/1/13	NYMEX	RESUBMISSION: Listing of three (3) petroleum futures contracts for trading on CME Globex and the NYMEX trading floor and for submission for clearing through CME ClearPort beginning at 6:00 p.m. (CT) on Sunday, November 17, 2013, for trade date Monday,	<u>13-521R</u> APPENDIX B
11/8/13	CME CLEARING	Notification of rule amendments made effective during the week of October 28, 2013.	13-532
11/6/13	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	13-527S
11/7/13	CME/CBOT	Establishment of a block trade minimum threshold in CME E-mini NASDAQ Biotechnology Index Futures and issuance of CME & CBOT Market Regulation Advisory Notice RA1313-3 concerning block trades.	<u>13-531</u>
11/7/13	СМЕ/КСВТ	Issuance of CBOT & KCBT Market Regulation Advisory Notice RA1301-8 concerning disciplinary procedures applicable to violations of KCBT Rules.	13-530
11/6/13	СМЕ	Notification of acceptance of Transco Zone 6 Natural Gas (Platts IFERC) Fixed Price Swap (XZW) for clearing.	<u>13-503</u>
11/6/13	CME	SUPPLEMENTAL FILING UNDER FOIA	13-503S
11/6/13	СМЕ	Notification of acceptance of U.S. Midwest Busheling Ferrous Scrap (AMM) Swap (BUW) for clearing.	13-502
11/6/13	СМЕ	SUPPLEMENTAL FILING UNDER FOIA	13-502S
11/4/13	NYMEX/COMEX	REVISED: Establishment of block trade minimum thresholds in three (3) new NYMEX products and the issuance of NYMEX & COMEX Market Regulation Advisory Notice RA1324-4.	<u>13-517R</u>
11/1/13	CME/CBOT/NYMEX/ COMEX/KCBT	Requesting approval to amend Exchange Rule 538 and issue Market Regulation Advisory Notice RA1311-5.	<u>13-526</u>
11/1/13	CME/CBOT/NYMEX/ COMEX/KCBT	Withdrawal of CME/CBOT/NYMEX/COMEX/KCBT Submission No. 13-381 (Amendments to Rule 538 and the Issuance of MRAN RA1311-5).	<u>13-381W</u>
11/1/13	NYMEX	Listing of ten (10) new financially settled natural gas fixed price futures contracts for trading on CME Globex and the NYMEX trading floor, and for submission for clearing through CME ClearPort beginning at 6:00 p.m. (CT) on Sunday, November 10,	<u>13-525</u> <u>APPENDIX B</u>

11/1/13	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	13-525\$
11/1/13	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	13-521\$
11/1/13	NYMEX/COMEX	Decreasing position limits and accountability levels for four (4) copper contracts.	13-506 APPENDIX A
11/1/13	СМЕ	REVISED: Amendments to correct an error in the current rule text of CME Rule 274H.02.A. on Cash Settlement of Cleared OTC U.S. Dollar/Chilean Peso (Cleared OTC USD/CLP) Spot, Forwards and Swaps Contracts.	<u>13-507R</u>
11/1/13	CME CLEARING	Notification of Clearing House Division rule amendments made effective during the week of October 21, 2013.	<u>13-524</u>
10/31/13	СМЕ	Amendments to the CME Manual of Operations for CME Cleared Interest Rate Swaps, effective November 18, 2013.	13-520
10/31/13	СМЕ	SUPPLEMENTAL FILING UNDER FOIA	13-520S
10/31/13	СМЕ	Change to CME Rule 90102.E relating to the addition of MXN-TIIE-BANXICO Rate Option for interest rate swaps denominated in Mexican Peso ("MXN"), effective November 18, 2013.	<u>13-522</u>
10/31/13	NYMEX/COMEX	Addition of the fourth contract month in CL, HO, NG and RB futures and deletion of the seventh contract month in CL futures from the list of contract months eligible to be priced by reference to the daily settlement price pursuant to Rule 524 and the issuance of NYMEX & COMEX Market Regulation Advisory Notice RA1323-4.	<u>13-519</u>
10/31/13	СМЕ	Amendments of terms and conditions for options on Three-Month Eurodollar futures.	<u>13-518</u> <u>APPENDIX C</u>
10/30/13	CME	Withdrawal of CME Submission No. 13-510 (Amendments of Terms and Conditions for Options on CME Three-Month Eurodollar Futures).	<u>13-510W</u>
10/30/13	СВОТ	Weekly notification of rule amendments that were made effective during the week of October 21, 2013.	<u>13-516</u>
10/30/13	NYMEX	Weekly notification of rule amendments that were made effective during the week of October 21, 2013.	<u>13-515</u>
10/25/13	NYMEX/COMEX	Establishment of block trade minimum thresholds in ten (10) new NYMEX products and issuance of NYMEX & COMEX Market Regulation Advisory Notice RA1322-4 concerning block trades.	<u>13-512</u>
10/25/13	CME/CBOT/NYMEX/ COMEX/KCBT	New effective dates for certain CME/CBOT/NYMEX/COMEX/KCBT submissions in light of the government shutdown and CFTC Order Sec. 78 FR 60260.	REGARDING CFTC ORDER §78 FR 60260
10/25/13	СВОТ	Expansion of listed months for corn, soybean, soybean meal, soybean oil and wheat calendar spread option contracts and expansion of calendar spread options.	<u>13-479</u> <u>APPENDIX A</u>
10/25/13	КСВТ	Extension of the HRW Permit Holder Incentive Program.	<u>13-509</u>
10/25/13	КСВТ	Extension of the CBOT and CME Member HRW Incentive Program.	13-508
10/25/13	CME CLEARING	Notification of rule amendments made effective during the week of October 14, 2013.	<u>13-513</u>
10/23/13	NYMEX	Amendments to NYMEX Brent crude oil futures and option contracts.	<u>13-511</u>
10/22/13	NYMEX	Revised listing of eight (8) existing western electricity futures contracts on the CME Globex trading platform, beginning at 6:00 p.m. on Sunday, November 10, 2013 for trade date Monday, November 11, 2013.	<u>13-504R</u>

10/21/13	NYMEX	Delisting of Two (2) In Delivery Month Certified Emission Reduction (CER) Contracts, effective immediately.	<u>13-505</u> <u>APPENDIX A</u>
10/18/13	CME CLEARING	Notification of rule amendments made effective during the week of October 7, 2013.	<u>13-501</u>
10/18/13	NYMEX	Weekly notification of rule amendments that were made effective during the week of October 7, 2013.	13-499
10/18/13	СМЕ	Weekly notification of rule amendments that were made effective during the week of October 7, 2013.	13-498
10/18/13	СВОТ	Weekly notification of rule amendments that were made effective during the week of October 7, 2013.	13-497
10/16/13	NYMEX	Emergency Rule Certification regarding EIA Flat Tax On-Highway Diesel Futures and related Average Price Option and EIA Flat Tax Retail U.S. Gasoline Futures contracts pursuant to NYMEX Rule 701.	<u>13-496</u>
10/16/13	СВОТ	Revised amendments to the Rule Chapters 10C, 11D, 14C and the Position Limits, Position Accountability and Reportable Level Table located in the Interpretations & Special Notices Section of Chapter 5 of the CBOT Rulebook. The proposed amendments will become effective Friday, October 11, 2013.	<u>13-436RR</u> <u>EXHIBIT A</u>
10/11/13	CME CLEARING	Notification of rule amendments made effective during the week of September 30, 2013.	<u>13-493</u>
10/10/13	СВОТ	Establishment of speculative position limits for the Dow Jones-UBS Commodity Index Futures contract	<u>13-494</u> <u>APPENDIX B</u>
10/7/13	CME	Emergency Rule Certification regarding Lean Hog Futures and Options pursuant to CME Rule 701.	13-492
10/4/13	NYMEX	New product listing of Gasoline Euro-bob Oxy NWE Barges (Argus) Average Price Option.	13-489 APPENDIX B
10/4/13	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	13-4895
10/4/13	NYMEX	New product listing of two (2) Low Sulphur Gasoil Based Futures Contracts	<u>13-488</u> <u>APPENDIX B</u>
10/4/13	NYMEX	New product listing of two (2) Low Sulphur Gasoil Based Futures Contracts	<u>13-487</u> <u>APPENDIX B</u>
10/4/13	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	13-4875
10/4/13	NYMEX/COMEX	Establishment of block trade minimum thresholds in six (6) new NYMEX products and issuance of NYMEX & COMEX Market Regulation Advisory Notice RA1321-4 concerning block trades.	<u>13-469</u>
10/4/13	CME CLEARING	Notification of rule amendments made effective during the week of September 23, 2013.	<u>13-491</u>
10/4/13	NYMEX	Expansion of contract month listing schedules for the two-month and three-month spread on existing natural gas calendar spread option contracts for trading on the NYMEX trading floor and for clearing through CME ClearPort.	<u>13-490</u>
10/4/13	CME/CBOT/NYMEX/ COMEX/KCBT	Revisions to CBOT and KCBT Rule 539 ("Prearranged, Pre- Negotiated and Noncompetitive Trades Prohibited") and the issuance of CME Group Market Regulation Advisory Notice RA1312-5 as a result of the revisions to CBOT and KCBT Rule 539.	<u>13-473</u>
10/4/13	CME/CBOT	Withdrawal of CME and CBOT Submission No. 13-470 (Issuance of CME and CBOT MRAN RA1312-3 concerning block trades in CME USD Denominated Ibovespa futures).	<u>13-470W</u>
10/3/13	СМЕ	Weekly notification of rule amendments that were made effective during the week of September 23, 2013.	13-481

10/3/13	СВОТ	Weekly notification of rule amendments that were made effective during the week of September 23, 2013.	<u>13-480</u>
9/30/13	NYMEX	Extension and modification to the NYMEX Brent Options Incentive Program for High Volume Crude Options Firms, effective Wednesday, October 16, 2013.	<u>13-477</u>
9/30/13	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	13-477S
9/30/13	NYMEX	Revised amendments to the Position Limits, Position Accountability and Reportable Levels for eleven (11) existing Natural Gas futures and options contracts, effective Tuesday, October 1, 2013.	13-407R APPENDIX A
9/30/13	СМЕ	Temporary delisting of certain contract months for the following cleared-only commodity index swaps, effective immediately: September 2014: Dow Jones-UBS Commodity Index Swaps (Cleared OTC) DJ-UBS Commodity Index 2 Month FWD Swaps (Cleared OTC) DJ-UBS Commodity Index 3 Month FWD Swaps (Cleared OTC) June 2014 and September 2014: S&P GSCI-ER Index Swaps (Cleared OTC) S&P GSCI Enhanced ER Index Swaps (Cleared OTC) S&P GSCI Gold Excess Return Index Swaps (Cleared OTC) S&P GSCI Crude Oil Excess Return Index Swaps (Cleared OTC) S&P-GSCI ER Index 2 Month FWD Swaps (Cleared OTC)	<u>13-475</u>
9/27/13	CME CLEARING	S&P-GSCI ER Index 3 Month FWD Swaps (Cleared OTC) Notification of rule amendments made effective during the week of September 16, 2013.	13-468
9/27/13	CME	Removal of the SGD-SOR-Reuters and addition of SGD-SOR-VWAP reference rate for Singapore Dollar denominated interest rate swaps, effective Tuesday, October 15, 2013.	<u>13-476</u>
9/26/13	КСВТ	Weekly notification of rule amendments that were made effective during the week of September 16, 2013.	<u>13-472</u>
9/26/13	NYMEX	Notification of rule amendments that were made effective during the week of September 16, 2013.	13-474
9/26/13	NYMEX	Revised listing of eight (8) western electricity futures contracts for trading on the NYMEX trading floor and CME Globex and for submission for clearing through CME ClearPort	13-393R APPENDIX B
9/24/13	СМЕ	Revision to Submission 13-337 regarding plans to implement a modification to the manner in which Standard & Poor's 500 Stock Price Index futures and NASDAQ 100 Index futures are settled beginning on Monday, October 7, 2013.	<u>13-337R</u>
9/23/13	CME	Plans to implement a modification to the manner in which options on Eurodollar futures contract months are settled.	<u>13-433R</u>
9/23/13	СМЕ	SUPPLEMENTAL FILING UNDER FOIA	13-433S
9/23/13	СМЕ	CME's New York Data Center will be classified as the primary operational backup data center for CME Clearing effective November 25, 2013.	<u>13-379</u>
9/23/13	CME	SUPPLEMENTAL FILING UNDER FOIA	13-3795
9/20/13	СМЕ	Revised filing of terms and conditions for U.S. Dollar/South African Rand (USD/ZAR) futures which includes revisions to Appendix C of the original submission.	13-354R APPENDIX C
9/20/13	СМЕ	Plans to implement a modification to the manner in which options on Eurodollar futures contract months are settled. The modification to the settlement procedure will become effective on Monday, October 7, 2013.	<u>13-433</u>

9/20/13	CME CLEARING	Notification of rule amendments made effective during the week of September 9, 2013.	<u>13-434</u>
9/20/13	СМЕ	Revisions to the original submission listing of an S&P Master Limited Partnership (S&P MLP) Index futures contract on Sunday, September 22, 2013, for trading date Monday, September 23, 2013. Please note that only the revised portion of CME Submission No. 13-369 has been attached with additions underlined and deletions stricken-through.	<u>13-369R</u>
9/16/13	NYMEX	Amendments to the Position Limits, Position Accountability and Reportable Levels for eleven (11) existing Natural Gas futures and options contracts, effective Tuesday, October 1, 2013.	13-407
9/16/13	NYMEX	Amendments to the Position Limits, Position Accountability and Reportable Levels for twelve (12) existing Natural Gas futures and options contracts, effective Tuesday, October 1, 2013.	<u>13-406</u> <u>APPENDIX A</u>
9/16/13	NYMEX	Amendments to the Position Limits, Position Accountability and Reportable Levels for sixteen (16) existing Natural Gas futures and options contracts, effective Tuesday, October 1, 2013.	<u>13-405</u> <u>APPENDIX A</u>
9/16/13	CME/CBOT	Establishment of a 50 contract block trade minimum threshold in U.S. Dollar/South African Rand ("USD/ZAR") futures effective on October 1, 2013. USD/ZAR will be launched for trading on September 22, 2013, for trade date September 23, 2013, via CME Submission No. 13-354.	<u>13-400</u>
9/16/13	NYMEX	Plans to modify the NYMEX Natural Gas Options Gateway Incentive Program ("Program"). The proposed modifications to the Program will become effective on October 1, 2013.	<u>13-390</u>
9/16/13	NYMEX	Plans to implement an incentive program in support of Power Options traded on Globex, the NYMEX trading floor, and submitted for clearing through CME ClearPort ("Program"). The proposed Program will become effective on October 1, 2013.	<u>13-402</u>
9/16/13	NYMEX	Plans to modify its market making program in support of iron ore, 62% Fe North China, (TSI) futures contracts that are traded on Globex ("Program"). The only change involves the length of the term of the Program; the original filing mistakenly listed the term as extending until March 31, 2016 rather than March 31, 2015. The proposed modification to the Program will become effective on October 1, 2013.	<u>13-401</u>
9/16/13	NYMEX	Plans to modify an existing broker incentive program in support of European and Asian fuel oil contracts ("Program"). The proposed modifications to the Program will become effective on October 1, 2013.	<u>13-380</u>
9/16/13	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	13-380S
9/16/13	NYMEX	Withdrawal of NYMEX Submission No. 13-396 (Amendment to position limits and accountability levels for eleven natural gas futures and options contracts).	<u>13-396W</u>
9/16/13	NYMEX	Withdrawal of NYMEX Submission No. 13-395 (Amendment to position limits and accountability levels for twelve natural gas futures and options contracts).	<u>13-395W</u>
9/16/13	NYMEX	Withdrawal of NYMEX Submission No. 13-394 (Amendment to position limits and accountability levels for sixteen natural gas futures and options contracts).	<u>13-394W</u>
9/16/13	NYMEX	Expansion of listing schedule of the seven (7) existing Renewable Identification Number (RINs) futures contracts on the CME Globex trading platform beginning at 6:00 p.m. on Monday, September 30, 2013, for trade date Tuesday, October 1, 2013.	<u>13-404</u>
9/16/13	СМЕ	Plans to modify the fee schedule that applies to the business of CME's swap data repository ("SDR") ("CME SDR"). The modifications will establish charges and replace the current fee holidays that apply to CME's SDR business. The modifications to the SDR Fee Schedule will become effective on October 1, 2013.	<u>13-403</u>
9/15/13	NYMEX/COMEX	Establishment of block trade minimum thresholds in eight (8) new power products concurrent with the launch of trading in the new products on Sunday, September 29, 2013, for trade date Monday,	13-382

		September 30, 2013, along with the issuance of NYMEX/ COMEX Market Regulation Advisory Notice RA1320-4.	
9/15/13	NYMEX	Listing of eight (8) western electricity futures contracts for trading on the NYMEX trading floor and CME Globex and for submission for clearing through CME ClearPort beginning at 6:00 p.m. on Sunday September 29, 2013, for trade date Monday, September 30, 2013.	<u>13-393</u> <u>APPENDIX B</u>
9/13/13	СМЕ	Amendment of the listing cycles for CBOT Dow Jones-UBS Commodity Index Swaps and CME S&P GSCI Swaps. The proposed cycle will become effective on Monday, September 30, 2013.	13-392
9/13/13	СВОТ	Adjustments to 2014 territorial delivery differentials for Soybean Meal Futures and Soybean Oil Futures contracts. The revised differentials will become effective on January 2, 2014.	<u>13-378</u>
9/12/13	СМЕ	Acceptance of CDX North American Investment Grade Index Series 21 ("CDX IG 21") and CDX North American High Yield Index Series 21 ("CDX HY 21") for clearing. CDX IG 21 will be accepted for clearing on September 20, 2013 and CDX HY 21 will be accepted for clearing on September 27, 2013.	<u>13-389</u>
9/9/13	NYMEX	Delisting of five (5) electricity futures contracts effective immediately, as set out below: Dow Jones Mid-Columbia Electricity Price Index 5 MW Futures Dow Jones Palo Verde Electricity Price Index 5 MW Peak Futures Dow Jones Palo Verde Electricity Price Index 5 MW Off-Peak Futures Dow Jones Mead/Marketplace Electricity Price Index 5 MW Peak Futures Dow Jones Mead/Marketplace Electricity Price Index 5 MW Off-Peak Futures	<u>13-377</u> <u>APPENDIX A</u>
9/9/13	СВОТ	Deletion of Section 14 of Rule 106.D. ("Futures Industry Transfers"), Rule 128 ("Full Member CBOE "Exercise" Privilege") and Rule 851 ("Transaction Fee Status of CBOE Exercisers"). These rules are being deleted as they are legacy rules that no longer remain applicable to CBOT or its members.	<u>13-371</u>
9/8/13	CME/CBOT	Establishment of a fifty (50) contract block trade minimum threshold in S&P MLP Index Futures contract concurrent with the launch of trading in that contract, and in the existing USD Denominated Ibovespa Futures contract. The proposed thresholds will become effective on Sunday, September 22, 2013 for trade date Monday, September 23, 2013.	<u>13-363</u>
9/8/13	СМЕ	Modifications to the daily price limit and trading halt methodology for Nikkei Stock Average Futures, Yen Denominated Nikkei Stock Average Futures and E-mini Yen Denominated Nikkei Stock Average Futures. The proposed modifications will become effective Sunday, September 22, 2013, for trading date Monday, September 23, 2013.	<u>13-374</u>
9/6/13	КСВТ	Amending the format by which KCBT Wheat Calendar Swaps (Cleared-Only) are entered into the CME Price Reporting System from fractional to decimal format. The proposed amendment will be effective for trading date Monday, September 23, 2013.	<u>13-361</u>
9/6/13	СМЕ	Amendments to the position limits for the Standard & Poor's 500 Stock Price Index Futures and E-mini Standard & Poor's 500 Stock Price Index futures contracts, and options thereon. The proposed amendments will become effective Sunday, September 22, 2013, for trading date Monday, September 23, 2013.	<u>13-373</u> <u>APPENDIX 1</u>
9/6/13	СВОТ	Amendments to the position limits for the Dow Jones U.S. Real Estate Index Futures contract. The proposed amendments will become effective Sunday, September 22, 2013, for trading date Monday, September 23, 2013.	<u>13-366</u> <u>APPENDIX 1</u>
9/6/13	СМЕ	Amendments to the position limits for the Nikkei Stock Average Futures, Yen Denominated Nikkei Stock Average Futures and E- mini Yen Denominated Nikkei Stock Average Futures contracts. The proposed amendments will become effective Sunday, September 22, 2013, for trading date Monday, September 23,	<u>13-370</u> <u>APPENDIX 1</u>

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9/6/13	СМЕ	Listing of the S&P Master Limited Partnership Index Futures contract for trading on the CME Globex platform beginning at 5:00 p.m. (CT) on Sunday, September 22, 2013, for trade date Monday, September 23, 2013.	<u>13-369</u> APPENDIX 1
9/6/13	КСВТ	Changing the time that unexercised KCBT Standard, Serial, Weekly and Short-Dated New Crop Options expire, to be effective for trading date Monday, September 23, 2013.	<u>13-375</u>
9/6/13	NYMEX/COMEX	Establishment of a 25 contract block trade minimum threshold in 11 new natural gas fixed price futures contracts concurrent with the launch of trading in the new products on Sunday, September 22, 2013, for trade date Monday, September 23, 2013, and the issuance of Market Regulation Advisory Notice ("MRAN") RA1319-4 concerning Rule 526 (Block Trades).	<u>13-362</u>
9/6/13	NYMEX	Amendments to the Position Limits, Position Accountability and Reportable Levels for eleven (11) existing natural gas futures and options contracts, effective Monday, September 23, 2013, and the listing of five (5) new financially settled natural gas fixed price futures contracts for trading on CME Globex and the NYMEX trading floor, and for submission for clearing through CME ClearPort beginning at 6:00 p.m. on Sunday, September 22, 2013, for trade date Monday, September 23, 2013.	<u>13-367</u> <u>APPENDIX B</u>
9/6/13	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	13-367S
9/6/13	NYMEX	Amendments to the Position Limits, Position Accountability and Reportable Levels for thirteen (13) existing natural gas futures and options contracts, effective Monday, September 23, 2013, and the listing of six (6) new financially settled natural gas fixed price futures contracts for trading on CME Globex and the NYMEX trading floor, and for submission for clearing through CME ClearPort beginning at 6:00 p.m. on Sunday, September 22, 2013, for trade date Monday, September 23, 2013.	13-368 APPENDIX B
9/6/13	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	13-368S
9/6/13	NYMEX	Amendments to the Position Limits, Position Accountability and Reportable Levels for fifteen (15) existing Natural Gas futures and options contracts, effective Monday, September 23, 2013.	<u>13-372</u> <u>APPENDIX A</u>
9/6/13	NYMEX	Amendments to the Position Limits, Position Accountability and Reportable Levels for twelve (12) existing Natural Gas futures and options contracts, effective Monday, September 23, 2013.	<u>13-365</u> <u>APPENDIX A</u>
9/5/13	CME CLEARING	Notification of rule amendments made effective during the week of August 26, 2013.	<u>13-364</u>
9/5/13	СМЕ	Modifications to an existing market maker program in support of E-mini Yen denominated Nikkei Stock Average futures traded on Globex® ("Program"). The proposed modifications will become effective on September 20, 2013.	<u>13-291</u>
9/5/13	CME	SUPPLEMENTAL FILING UNDER FOIA	13-2915
9/5/13	СМЕ	Changes to the margin model for interest rate swaps ("IRS") relating to Singapore Dollar ("SGD") IRS. The proposed changes will be effective on September 23, 2013.	<u>13-360</u>
9/5/13	CME	SUPPLEMENTAL FILING UNDER FOIA	13-360S
9/4/13	NYMEX	Plans to extend the term of the NYMEX European Union Allowance and Certified Emission Reduction futures and spread contracts Market Making program ("Program"). The Program recently expired on August 31, 2013. This submission simply extends the terms of the Program for roughly six weeks until October 31, 2013. The Program will become effective on September 19, 2013.	<u>13-359</u>

8/30/13	СМЕ	Regarding notification of rule amendments made effective during the week of August 19, 2013.	13-358
8/30/13	NYMEX	Listing of the Low Sulphur Gasoil Crack Spread Financial Futures contract for trading on CME Globex and the NYMEX trading floor and for submission for clearing through CME ClearPort beginning at 6:00 p.m. on Sunday, September 8, 2013, for trade date Monday, September 9, 2013.	<u>13-357</u> <u>APPENDIX B</u>
8/29/13	СВОТ/КСВТ	Enhancement to the settlement methodology for certain deferred- month CBOT and KCBT agricultural futures contracts, and the issuance of CME Group Special Executive Report S-6656 describing such enhancements. The proposed enhancements will be effective on September 16, 2013.	<u>13-336</u>
8/29/13	NYMEX	Weekly notification of rule amendments that were made effective during the week of August 19, 2013.	<u>13-355</u>
8/26/13	NYMEX	Implementation of a Renewable Identification Number (RIN) Incentive Program, effective September 11, 2013.	<u>13-353</u>
8/26/13	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	13-353S
8/26/13	СВОТ	Delisting of the Cash-Settled Denatured Fuel Ethanol Forward Month Swap Option (Cleared-Only) contract, effective immediately.	<u>13-352</u> <u>APPENDIX A</u>
8/23/13	CME Clearing	Notification of rule amendments made effective during the week of August 12, 2013.	<u>13-348</u>
8/23/13	CME/CBOT/NYMEX/ COMEX/KCBT	Amendments to the Exchanges' By-laws relating to indemnification and advancement rights as well as other conforming changes. In addition, the Exchanges are self-certifying conforming changes to the Certificate of Incorporation of NYMEX and KCBT and CME Rule 256 ("Indemnification of Certain Persons"). These amendments shall be effective on September 10, 2013.	13-351 APPENDICES: A, B, C, D, E, F, G, H
8/22/13	СМЕ	Acceptance of the following interest rate swaps beginning August 26, 2013: GBP denominated FRAs; IRS denominated in PLN, CZK, HUF and ZAR; CAD denominated OIS; Fed Funds v USD LIBOR Basis Swaps.	<u>13-317</u>
8/22/13	NYMEX/COMEX	Establishment of a ten (10) contract block trade minimum threshold in Low Sulphur Gasoil Crack Spread Financial futures concurrent with the launch of trading in the product on Sunday, September 8, for trade date Monday, September 9, 2013.	<u>13-346</u>
8/22/13	NYMEX/COMEX	Elimination of pricing NY Harbor ULSD futures and RBOB Gasoline futures based on the Platts 3:15 p.m. Marker pursuant to NYMEX Rule 524 and the issuance of NYMEX/COMEX Market Regulation Advisory Notice RA 1317-4.	<u>13-345</u>
8/22/13	СМЕ	Weekly notification of rule amendments that were made effective during the week of August 12, 2013.	13-347
8/22/13	СВОТ	Amendments to terms and conditions for 2-Year US Dollar Interest Rate Swap futures contracts, 5-Year US Dollar Interest Rate Swap futures contracts, 10-Year Interest Rate Swap futures contracts, and 30-Year US Dollar Interest Rate Swap futures contracts.	<u>13-349</u>
8/19/13	СВОТ	Enabling of block trading in options on Long-Term U.S. Treasury Bond futures	13-342
8/16/13	СМЕ	Change in CME Globex trading hours from 5:00 PM Central Time to 2:00 PM Central Time (next day) to 8:15 AM Central Time to 3:00 PM Central Time for S&P/Case-Shiller HPI futures and options traded on CME Globex.	<u>13-344</u>
8/16/13	CME Clearing	Notification of rule amendments made effective during the week of August 5, 2013.	<u>13-343</u>
8/16/13	CME	Plans to implement a Fee Waiver Program in support of CME Short-Term Interest Rate ("STIR") Options on Globex® ("Program"). The proposed Program will become effective on September 3, 2013.	<u>13-338</u>

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8/16/13	СМЕ	Amendments to position limits for Options on Lean Hog Futures Calendar Spreads, effective Tuesday, September 3, 2013.	<u>13-339</u> <u>APPENDIX 1</u>
8/16/13	NYMEX	Delisting of the Daily European Union Allowance (EUA) Futures contracts for contract days Thursday, August 22, 2013, through August 28, 2013. A banking holiday on August 26, and the Union Registry's closure from August 27 through August 29, 2013, preclude regularly scheduled deliveries in the delisted contracts.	<u>13-340</u>
8/12/13	NYMEX	Delisting of previously listed contracts months beyond January 2014 for certain contract months for four (4) biodiesel futures contracts effective immediately	<u>13-335</u>
8/12/13	NYMEX	Delisting of five (5) biofuel futures contracts effective immediately	<u>13-334</u> <u>APPENDIX A</u>
8/12/13	NYMEX	Delisting of four (4) Natural Gas futures contracts effective immediately:	<u>13-333</u> <u>APPENDIX A</u>
8/12/13	СМЕ	Amendments to CMEs IRS Manual of Operations, effective August 26, 2013.	13-313
8/12/13	СМЕ	SUPPLEMENTAL FILING UNDER FOIA	13-313S
8/9/13	СВОТ	Modifications to the contract months and listing cycles for CBOT Short-Dated Options on New Crop Futures for Corn, Wheat and Soybeans	<u>13-321</u>
8/9/13	КСВТ	Amendments to KCBT Rule Chapter 25, Rules 559.F, 561.B and 588.H to facilitate the listing of Short-dated New Crop Options on KCBT Hard Red Winter Wheat Futures contracts	13-320
8/9/13	NYMEX	Amendments to twenty-four (24) electricity futures and option contracts	<u>13-330</u> <u>APPENDIX C</u>
8/9/13	NYMEX	Listing of the PJM Daily Load Forecast Futures contract for trading on CME Globex and the NYMEX trading floor, and for submission for clearing through CME ClearPort	<u>13-332</u> <u>APPENDIX B</u>
8/9/13	СВОТ	Issuance of CBOT Market Regulation Advisory Notices RA1305-1 and RA1306-1 as a result of changes to the time period during which the locational restrictions are in force with respect to execution of trades in the Treasury and Interest Rate Swap futures trading pit.	<u>13-323</u>
8/9/13	NYMEX/COMEX	Establishment of a block trade minimum threshold in a new NYMEX product, revisions to block trade minimum thresholds in four NYMEX electricity futures contracts and the issuance of NYMEX & COMEX Market Regulation Advisory Notice RA1316-4.	<u>13-316</u>
8/9/13	CME/CBOT/NYMEX/ COMEX/KCBT	Revisions to Rule 536 in each of the respective DCM's rulebooks and the issuance of CME Group Market Regulation Advisory Notice RA1309-5.	<u>13-160</u>
8/9/13	СМЕ	Amendments to CME Rule 90102.E, effective August 26, 2013.	<u>13-315</u>
8/9/13	СВОТ	Amendments to language in the CBOT Dow Jones-UBS Excess Return Commodity Index Futures and CBOT Dow Jones-UBS Commodity IndexSM Swaps (Cleared OTC) rule chapters	13-322
8/9/13	NYMEX	Modification to the Iron Ore Futures Market Maker Program ("Program").	<u>13-331</u>
8/9/13	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	13-331S
8/9/13	CME/CBOT/NYMEX	Reduction of CME Group Inc. Share Requirements for certain member firms.	<u>13-314</u>
8/7/13	NYMEX	Revised amendments to the Position Limits, Exemptions, Position Accountability and Reportable Levels for sixty five (65) existing Brent crude oil futures and option contracts, effective Monday, August 12, 2013.	13-304R APPENDIX A1 APPENDIX A2

8/2/13	NYMEX	Modifications to the NYMEX Commercial Brent Spread Incentive Program.	<u>13-311</u>
8/2/13	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	13-311\$
8/2/13	CME Clearing	Notification of rule amendments made effective during the week of July 22, 2013.	<u>13-312</u>
8/2/13	СМЕ	Modifications to the fee schedule applicable to OTC Interest Rate Swaps.	<u>13-310</u>
8/2/13	NYMEX	Plans to implement an incentive program in support of all Natural Gas, Natural Gas Liquids & Petrochemicals (NGLs) and Power/Electricity ("Power") products.	<u>13-308</u>
8/2/13	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	13-308S
8/2/13	NYMEX	Plans to implement an incentive program in support of all Natural Gas, Natural Gas Liquids & Petrochemicals (NGLs) and Power/Electricity ("Power") products	<u>13-307</u>
8/2/13	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	13-307S
8/1/13	СМЕ	weekly notification of rule amendments that were made effective during the week of July 22, 2013.	<u>13-309</u>
7/30/13	NYMEX	Modifications to the NYMEX Henry Hub Natural Gas Look Alike Financial Futures and Henry Hub Natural Gas Last Day Financial Futures Contracts Market Making Program	<u>13-277R</u>
7/30/13	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	13-2775
7/26/13	CME/CBOT/NYMEX/ COMEX/KCBT	Modifications to the International Incentive Program	<u>13-301</u>
7/26/13	СВОТ	Withdrawal of Submission 13-305	<u>13-305W</u>
7/26/13	NYMEX/COMEX	The establishment of a five (5) contract block trade minimum threshold in three (3) new products concurrent with the launch of trading in the products on Sunday, August 11, 2013 for trade date Monday, August 12, 2013.	<u>13-300</u>
7/26/13	CME Clearing	Notification of rule amendments made effective during the week of July 15, 2013.	<u>13-302</u>
7/26/13	NYMEX	Amendments to Ethanol T2 FOB Rdam Futures Contract	<u>13-303</u>
7/25/13	NYMEX	Listing of a new Freight Route TC14 (Baltic) Futures contract for trading on CME Globex and the NYMEX trading floor and for submission for clearing through CME ClearPort beginning at 6:00 p.m. EST on Sunday, July 28, 2013, for trade date Monday, July 29, 2013.	<u>13-290</u> <u>APPENDIX B</u>
7/25/13	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	13-290S
7/25/13	СМЕ	Changes to the Manual of Operations for CME Credit Default Swaps.	13-299
7/25/13	СМЕ	SUPPLEMENTAL FILING UNDER FOIA	13-2995
7/23/13	СМЕ	Revised submission regarding adoption of a new MYR/USD foreign exchange benchmark for U.S. Dollar Cash Settled Crude Palm Oil Futures contracts in conjunction with the Central Bank of Malaysia's adoption of the new benchmark.	<u>13-296R</u>
7/22/13	СМЕ	Adoption of a new MYR/USD foreign exchange benchmark for the USD Malaysian Crude Palm Oil Calendar Swap (Cleared Only) in	<u>13-297</u>

		conjunction with the Central Bank of Malaysia's adoption of the new benchmark.	
7/22/13	СМЕ	Amendments to CME Rules 280H.02.A. and 281H.02.A. on Cash Settlement of Cleared OTC U.S. Dollar/Malaysian Ringgit (USD/MYR) and U.S. Dollar/Indonesian Rupiah (USD/IDR) Spot, Forwards and Swaps Contracts, respectively.	<u>13-298</u>
7/19/13	CME	Withdrawal of Submission No. 13-295	<u>13-295W</u>
7/18/13	NYMEX	Plans to modify the fee schedule applicable to trading certain NYMEX power futures contracts.	<u>13-294</u>
7/18/13	NYMEX	Withdrawal of Submission 13-270	<u>13-270W</u>
7/18/13	СМЕ	Modification of the close of trading time for CME USD Denominated Ibovespa futures on the last day of trading from 5:30 p.m. Sao Paulo time to 5:00 p.m. Sao Paulo time.	<u>13-293</u>
7/18/13	CME Clearing	Notification of rule amendments made effective during the week of July 8, 2013.	<u>13-292</u>
7/18/13	CME/CBOT/NYMEX/ COMEX	Modifications to the International Cross-Asset Volume Incentive Program ("Program"). The proposed modifications of the Program will become effective on August 1, 2013.	<u>13-285</u>
7/17/13	CME/CBOT/NYMEX/ COMEX	Modifications to the International Incentive Program	<u>13-286</u>
7/17/13	CME/CBOT/NYMEX	Modifications to the New Trader Incentive Program ("Program"). The proposed modifications of the Program will become effective on August 1, 2013.	<u>13-284</u>
7/17/13	NYMEX	Plans to extend the NYMEX Henry Hub Natural Gas Look Alike Financial Futures and Henry Hub Natural Gas Last Day Financial Futures Contracts Trader Incentive Program	<u>13-288</u>
7/17/13	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	13-288S
7/17/13	NYMEX	Plans to extend the Henry Hub Natural Gas Look Alike Financial Futures and Henry Hub Natural Gas last Day Financial Futures Fee Waiver Program	<u>13-287</u>
7/17/13	CME	Modifications to an existing market making program for E-Micro Foreign Exchange futures contracts that are traded on Globex®	<u>13-289</u>
7/15/13	КСВТ	Weekly Notification of rule amendments made effective during the week of July 8, 2013.	<u>13-283</u>
7/15/13	NYMEX/COMEX	Weekly Notification of rule amendments made effective during the week of July 1, 2013.	<u>13-282R</u> <u>EXHIBIT B</u>
7/15/13	NYMEX	Delisting of eight (8) freight futures contracts effective immediately. Please note that Appendix A is attached as a separate document.	<u>13-281</u> <u>APPENDIX A</u>
7/15/13	NYMEX	Delisting of the Daily European Union Allowance (EUA) Futures contracts for contract days Monday, July 15, 2013, and Tuesday, July 16, 2013.	<u>13-279</u>
7/12/13	NYMEX	Listing of fifteen (15) existing freight futures and options contracts on the CME Globex trading platform beginning at 6:00 p.m. on Sunday, July 28, 2013, for trade date Monday, July 29, 2013.	<u>13-280</u>
7/12/13	NYMEX/COMEX	Establishment of a block trade minimum threshold of five (5) contracts in new NYMEX Freight Route TC14 (Baltic) futures concurrent with the launch of trading in the new product on Sunday, July 28, 2013, for trade date Monday, July 29, 2013. Additionally, eight (8) NYMEX freight products are being removed from the list of block-eligible NYMEX products based on the delisting of those products effective July 29, 2013.	<u>13-273</u>
7/12/13	СВОТ	Correction to the position limit table at the end of CBOT Rule Chapter 5 as it relates to the Dow Jones - UBS Roll Select Commodity Index Futures contracts. The Exchange plans to make	<u>13-274</u> <u>APPENDIX 1</u>

		this correction effective on July 27, 2013.	
7/12/13	CME Clearing	Notification of rule amendments made effective during the week of July 1, 2013.	13-278
7/10/13	СМЕ	Weekly Notification of rule amendments made effective during the week of July 1, 2013.	<u>13-276</u>
7/10/13	CME/CBOT/NYMEX/ COMEX/KCBT	Issuance of CME Group Market Regulation Advisory Notice RA1308-5, which contains regulatory guidance with respect to the Exchanges' Rule 534 ("Wash Trades Prohibited"). Pending Commission approval, the Exchanges plan to make RA1308-5 effective on Monday, September 9, 2013.	<u>13-272</u>
7/3/13	CME Clearing	Notification of rule amendments made effective during the week of June 24, 2013.	<u>13-271</u>
7/3/13	NYMEX/COMEX	Deletion of Rule 991 ("Clearing Requirements for Clearing of Over- the-Counter Option Transactions in Exchange-Designated Pari- Mutuel Auctions").	<u>13-269</u>
7/1/13	CME	Weekly Notification of rule amendments made effective during the week of June 24, 2013.	<u>13-267</u>
6/27/13	NYMEX	The listing of the seven (7) new low sulphur gasoil-based futures and options contracts (collectively "the Contracts") for trading on CME Globex and the NYMEX trading floor, and for submission for clearing through CME ClearPort beginning at 6:00 p.m. EST on Sunday, June 30, 2013, for the trade date Monday, July 1, 2013.	13-195R APPENDIX B
6/27/13	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	13-195\$
6/28/13	CME/CBOT/NYMEX/ COMEX/KCBT	Withdrawal of CME/CBOT/NYMEX/COMEX/KCBT Submission No. 13-217	<u>13-217W</u>
6/27/13	NYMEX/COMEX	Establishment of a block trade minimum threshold of five (5) contracts in four (4) new products concurrent with the launch of trading in those products on Sunday, July 14, 2013, for trade date Monday, July 15, 2013, as well as the issuance of NYMEX & COMEX Market Regulation Advisory Notice RA1313-4 ("RA1313-4") concerning Rule 526 ("Block Trades").	<u>13-257</u>
6/27/13	СВОТ	Listing of KCBT-CBOT Wheat Intercommodity Spread Options for trading beginning at 7:00 p.m. on Sunday, June 30, 2013, for trade date Monday, July 1, 2013.	13-253R APPENDIX D
6/27/13	КСВТ	Listing of MGEX-KCBT Wheat Intercommodity Spread Options for trading beginning at 7:00 p.m. CT on Sunday, June 30, 2013, for trade date Monday, July 1, 2013.	<u>13-247R</u>
6/27/13	СМЕ	Amendments to three short-term interest rate option products: options on Three-Month Eurodollar ("GE") futures, options on Three-Month Eurodollar Futures Calendar Spreads ("SPO"), and options on One-Month Eurodollar ("GBL") futures. In each instance, the object of such amendments is to enable dynamic listing of new option contracts with out-of-current-range exercise prices.	<u>13-265</u>
6/27/13	СМЕ	CME Clearing Advisory Notice pertaining to a change to the Interest Rate Swap Margin Calculation Parameters, effective July 15, 2013.	<u>13-263</u>
6/27/13	NYMEX	Weekly Notification of rule amendments made effective during the week of June 17, 2013.	<u>13-266</u>
6/27/13	CME CLEARING	Notification of rule amendments made effective during the week of June 17, 2013.	<u>13-264</u>
6/27/13	NYMEX	Modifications to the fee schedule for U.S. Domestic Hot-Rolled Coil Steel Index Options contracts. The proposed modifications to the fee schedule will become effective on July 15, 2013.	<u>13-261R</u>
6/24/13	СВОТ	Delisting of eleven interest rate products, comprising three On- the-Run Treasury Yield ("OTR Treasury") futures, four Interest Rate Swap ("IRS") futures, and the four respective suites of companion	<u>13-259R</u> APPENDICES <u>A1</u> , <u>A2</u>

		options on IRS futures.	
		Revised filing (13-259R) which includes revisions to the Appendix A1 and Appendix A2	
6/26/13	CME/CBOT/NYMEX/ COMEX	Plans to implement an incentive program for European, Middle East and African trading firms trading on the CME Globex Platform ("Program"). The proposed Program will become effective on September 1, 2013.	13-262
6/26/13	CME/CBOT/NYMEX/ COMEX	SUPPLEMENTAL FILING UNDER FOIA	13-262S
6/26/13	CME/CBOT/NYMEX/ COMEX	Revisions to various rules which will become effective on July 1, 2013. The revisions are being adopted for various reasons as explained in the attached submission.	<u>13-230RR</u>
6/26/13	КСВТ	Migration of the KCBT trading floor from Kansas City, Missouri, to the CBOT trading floor in Chicago, Illinois.	<u>13-229R</u>
6/26/13	CME/CBOT/NYMEX/ COMEX/KCBT	Withdrawal of CME/CBOT/NYMEX/COMEX/KCBT Submission No. 13-228	<u>13-228W</u>
6/26/13	CME/CBOT/NYMEX/ COMEX/KCBT	Withdrawal of CME/CBOT/NYMEX/COMEX/KCBT Submission No. 13-227	<u>13-227W</u>
6/25/13	/ксвт	Withdrawal of KCBT Submission No. 13-246	<u>13-246W</u>
6/25/13	COMEX	Modifications to the 1000oz Silver Futures Resting Order Incentive Program ("Program"). The proposed modifications to the Program will become effective on July 11, 2013.	<u>13-260</u>
6/25/13	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	13-2605
6/24/13	СВОТ	Withdrawal of CBOT Submission No. 13-256W	<u>13-256W</u>
6/21/13	CME Clearing	Notification of rule amendments made effective during the week of June 10, 2013	13-258
6/20/13	NYMEX/COMEX	Revisions to NYMEX and COMEX Chapters 7A ("Metals Rules for Electronic Warrants") and 7B ("Delivery Facilities and Procedures") and six (6) physically-delivered Metals futures product chapters.	13-255 EXHIBITS: <u>A, B, C, D, E, F, G</u>
6/20/13	NYMEX/COMEX	Withdrawal of NYMEX/COMEX Submission No. 13-252W	<u>13-252W</u>
6/20/13	СМЕ	Draft CME Clearing Advisory Notice regarding a technical enhancement to automate the clearing member risk limits for credit default swap transactions, effective July 15, 2013.	<u>13-254</u>
6/14/13	NYMEX/COMEX	Plans to extend the NYMEX and COMEX Futures and Options Products Cross-Venue Incentive Program	<u>13-243</u>
6/14/13	СМЕ	Amendments to CME Rule 559. on Position Limits and Exemptions for Brazilian Real, Mexican Peso, and South African Rand futures.	13-245 ATTACHMENT 1
6/14/13	СВОТ/КСВТ	Plans to modify and extend the CBOT Agricultural Progressive Volume Tier Discount Program for Firms and Individuals	<u>13-241</u>
6/14/13	CME/CBOT/NYMEX/ COMEX/KCBT	Issuance of CME Group Market Regulation Advisory Notice RA1308-5 concerning Rule 534 ("Wash Trades Prohibited").	13-217
6/14/13	КСВТ	Amendments to KCBT Rule Chapter 25 to facilitate the listing of Weekly Options on KCBT Hard Winter Wheat Futures contracts	13-250
6/14/13	NYMEX	Plans to modify the NYMEX Brent Market Maker Program	13-237
6/14/13	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	13-237S

6/14/13	NYMEX	Plans to implement a market maker program in support of Exchange listed Brent-WTI spreads and Brent Crack spreads traded on the Globex Platform	<u>13-236</u>
6/14/13	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	13-236S
6/14/13	КСВТ	Plans to modify the CBOT and CME Member HRW Incentive Program	<u>13-242</u>
6/14/13	NYMEX	Plans to modify the NYMEX Brent 25-Day Futures Incentive Program	<u>13-235</u>
6/14/13	CME Clearing	Notification of rule amendments made effective during the week of June 3, 2013.	<u>13-249</u>
6/14/13	СМЕ	Amendments to CME Rule 301A01.C. on price increments for options on Euro/British Pound Sterling ("EUR/GBP") Cross Rate futures.	13-198
6/14/13	NYMEX	Modifications to the NYMEX OTC Broker Incentive Program	<u>13-238</u>
6/14/13	СМЕ	Revisions to the delivery specifications and procedures for the Live Cattle futures contract.	<u>13-234</u>
6/14/13	СМЕ	Changes to the daily settlement procedures for its U.S. Dollar Cash Settled Crude Palm Oil Futures contract.	<u>13-221</u>
6/14/13	CME/CBOT/NYMEX/ COMEX/KCBT	Issuance of CME Group Market Regulation Advisory Notice RA1307-5.	<u>13-240</u>
6/14/13	NYMEX	Weekly Notification of rule amendments made effective during the week of June 3, 2013.	<u>13-248</u> EXHIBIT A
6/14/13	КСВТ	Withdrawal of KCBT Submission No. 13-213 (Modifications to CBOT and CME Member HRW Incentive Program).	<u>13-213W</u>
6/14/13	NYMEX/COMEX	Reduction of block trade minimum thresholds in 115 products and the establishment of block trade minimum thresholds in seven new NYMEX Refined products as well the issuance of NYMEX/COMEX Market Regulation Advisory Notice RA1312-4 concerning Rule 526 ("Block Trades").	<u>13-231</u>
6/13/13	СМЕ/СВОТ	Plans to modify the CME/CBOT Asset Manager Fixed Income Incentive Program	13-224
6/13/13	CME/CBOT	SUPPLEMENTAL FILING UNDER FOIA	13-2245
6/13/13	NYMEX	Listing of a new Coal (API 5) fob Newcastle (Argus/McCloskey) Futures contract	<u>13-208</u> <u>APPENDIX C</u>
6/13/13	NYMEX	Listing of the ERCOT Daily Load Forecast Futures contract	<u>13-202</u> <u>APPENDIX B</u>
6/12/13	СВОТ	Listing of Dow Jones - UBS Roll Select Commodity Index Futures contracts for trading on CME Globex and for submission for clearing through CME ClearPort, effective on Sunday, June 16, 2013, for trading date Monday, June 17, 2013.	13-232 APPENDIX B-1 APPENDIX D
6/12/13	СВОТ	Withdrawal of CBOT Submission No. 13-201	<u>13-201W</u>
6/12/13	NYMEX/COMEX	Modifications to the Volume Incentive Program for NYMEX and COMEX Metals Products ("Program"). The proposed modifications to the Program will become effective on July 1, 2013.	<u>13-223</u>
6/12/13	СМЕ	SUPPLEMENTAL FILING UNDER FOIA	CFTC 13-223S
6/12/13	CME/CBOT/NYMEX/ COMEX	Implementation of an incentive program for Central Banks. The proposed program will become effective on July 1, 2013.	13-226

6/11/13	CME/CBOT	Plans to extend the CME and CBOT E-mini Equity Options Market Maker Program on Globex®, modifications to the program will become effective on July 1, 2013.	<u>13-225</u>
6/11/13	СМЕ	Modifications to an existing market maker program in support of E-mini Yen denominated Nikkei Stock Average futures traded on Globex® ("Program"). The proposed modifications will become effective on July 1, 2013.	13-212
6/11/13	СМЕ	SUPPLEMENTAL FILING UNDER FOIA	CFTC 13-212S
6/10/13	СВОТ	Modifications to the CBOT Agricultural Back Month Incentive Program ("Program"). The proposed modifications to the Program will become effective on July 1, 2013.	13-222
6/7/13	CME Clearing	Notification of rule amendments made effective during the week of May 27, 2013.	CFTC 13-218
6/7/13	СМЕ	Amendments to CME Rules 251A01.C., 252A01.C., 253A01.C., 254A01.C., 255A01.C., 261A01.C., 270A01.C., 271A01.C., 318A01.C. and 319A01.C. to amend the trading rules and regulations to eliminate volatility quoted price increments for options on the Exchange's six major currency futures (i.e., the Australian dollar, British pound, Canadian dollar, Euro, Japanese yen, and Swiss franc versus the U.S. dollar), the options on the three onshore Chinese renminbi futures (versus the U.S. dollar, Euro, and Japanese yen), and the option on the Korean won/U.S. dollar futures.	CFTC 13-199
6/7/13	NYMEX	Weekly Notification of rule amendments made effective during the week of May 27, 2013.	CFTC 13-220
6/7/13	CME/CBOT/NYMEX/ COMEX	Revisions to CBOT Rule 539 ("Prearranged, Pre-Negotiated and Noncompetitive Trades Prohibited") and the issuance of CME Group Market Regulation Advisory Notice RA1306-5 ("RA1306-5") concerning Rule 539 as a result of the revisions to CBOT Rule 539.	CFTC 13-210
6/7/13	NYMEX	Amendments to the RBOB Gasoline vs. Euro-bob Oxy NWE Barges (Argus) Futures contract (Code: XER, Rule Chapter 1206).	CFTC 13-219
6/7/13	CME/CBOT	Reduction of the block trade minimum threshold in 19 FX options products and the issuance of CME & CBOT Market Regulation Advisory Notice RA1306-3.	CFTC 13-216
6/6/13	NYMEX	Plans to modify Crude and Refined Calendar Spread Options Incentive Program ("Program"). The proposed modifications to the Program will become effective on June 21, 2013.	CFTC 13-215
6/6/13	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	CFTC 13-215S
6/6/13	CME/CBOT/NYMEX/ COMEX	Plans to implement a natural gas options cross-asset class volume incentive program ("Program"). The proposed Program will become effective on June 21, 2013.	CFTC 13-211
6/6/13	CME/CBOT/NYMEX/ COMEX	SUPPLEMENTAL FILING UNDER FOIA	CFTC 13-211S
6/4/13	СМЕ	Modifications to an existing fee waiver program supporting certain cleared-only over-the-counter ("OTC") foreign exchange ("FX") products	<u>CFTC 13-205</u>
6/4/13	CME/CBOT/NYMEX/ COMEX/KCBT	Revised filing (13-191R) which includes revisions to the original submission	CFTC 13-191R
6/3/13	NYMEX	Listing of three (3) new energy variance futures contracts: WTI Crude Oil Realized Variance Futures, Brent Crude Oil Realized Variance Futures, and Natural Gas Realized Variance Futures. Please note that Appendix C is attached as a separate document.	CFTC 13-203R APPENDIX C
5/31/13	CME/CBOT/NYMEX/ COMEX/KCBT	Plans to implement an incentive program for retail based online brokerage firms ("Program"). The proposed Program will become effective on June 17, 2013.	CFTC 13-191

5/31/13	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	CFTC 13-191S
5/31/13	CME/CBOT/NYMEX/ COMEX	Issuance of CME & CBOT Market Regulation Advisory Notice RA1305-3 and the issuance of NYMEX & COMEX Market Regulation Advisory Notice RA1311-4 concerning Rule 526 ("Block Trades").	CFTC 13-197
5/31/13	COMEX	Plans to implement a resting order incentive program in support of COMEX 1000oz Silver Futures Contracts	CFTC 13-204
5/31/13	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	CFTC 13-204S
5/31/13	COMEX	Plans to implement a fee discount program in support of COMEX 1000oz Silver Futures Contracts	CFTC 13-200
5/31/13	NYMEX	Amendments to the names of six (6) Brent crude oil futures contracts, and making minor amendments to the contract rules of three of these contracts to clarify the description of the floating price.	CFTC 13-207 APPENDIX C
5/31/13	NYMEX/COMEX	Listing of 1,000-oz. Silver Futures contract for trading on the COMEX trading floor and CME Globex and for submission for clearing through CME ClearPort beginning at 6:00 p.m. EST on Sunday, June 16, 2013, for trade date Monday, June 17, 2013.	CFTC 13-175 APPENDIX B
5/31/13	CME Clearing	Notification of rule amendments made effective during the week of May 20, 2013.	CFTC 13-206
5/30/13	NYMEX	Withdrawal of NYMEX Submission No. 13-153 (Listing of Three (3) Energy Realized Variance Futures Contracts).	<u>CFTC 13-153W</u>
5/30/13	COMEX	Listing of Gold Realized Variance Futures contract (Chapter 1233, Codes VGQ, VGS, VGA) and Silver Realized Variance Futures contract (Chapter 1234, Codes VSQ, VSS, VSY). Please note that Appendix C is attached as a separate document.	CFTC 13-154 APPENDIX C
5/30/13	СМЕ	Listing of four (4) new contracts for Australian Dollar/U.S. Dollar ("AUD/USD") Realized Variance Futures, Great British Pound/U.S. Dollar ("GBP/USD") Realized Variance Futures, Euro/U.S. Dollar ("EUR/USD") Realized Variance Futures, and Japanese Yen/U.S. Dollar ("JPY/USD") Variance Futures.	CFTC 13-157 APPENDIX C
5/29/13	СМЕ	Please note that Appendix C is attached as a separate document. Acceptance of USD Malaysian Crude Palm Oil Calendar Swaps for clearing beginning June 2, 2013, for trading date June 3, 2013. Please note that Appendices 2 and 4 are attached as a separate document.	CFTC 13-194 APPENDIX 2 APPENDIX 4
5/29/13	CME/CBOT	Changes related to CME and CBOT Equity Index futures BTIC Block Trades.	<u>CFTC 13-196</u>
5/24/13	NYMEX	Delisting of the Daily European Union Allowance (EUA) Futures contracts for contract days Thursday, June 27, 2013, through Thursday, July 4, 2013. The Union Registry's closure from July 1, 2013 through July 7, 2013 precludes deliveries on the delisted contract dates.	CFTC 13-193
5/24/13	СВОТ	Issuance of CBOT Market Regulation Advisory Notices RA1303-1 and RA1304-1.	CFTC 13-187
5/24/13	CME Clearing	Notification of rule amendments made effective during the week of May 13, 2013.	CFTC 13-192
5/23/13	NYMEX	Non-material amendments to references within NYMEX Rule 143 (Gasoil Crack Spread (1000mt) Financial Futures)	CFTC 13-189
5/22/13	NYMEX	Withdrawal of NYMEX Submission No. 13-165 (Amendment to increase position limits and accountability levels for 65 Brent crude oil futures and option contracts).	CFTC 13-165W

5/21/13	NYMEX	Plans to modify its Crude and Refined Calendar Spread Options Incentive Program ("Program"). The proposed modifications to the Program will become effective on June 6, 2013.	CFTC 13-188
5/14/13	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	CFTC 13-188S
5/17/13	NYMEX	Weekly Notification of rule amendments made effective during the week of May 6, 2013.	CFTC 13-185
5/17/13	CME Clearing	Notification of rule amendments made effective during the week of May 6, 2013.	CFTC 13-184
5/17/13	КСВТ	Plans to convert from use of paper USDA warehouse receipts to electronic USDA warehouse receipts.	CFTC 13-183R
5/17/13	СВОТ	Modifications to the CBOT Market Maker Program for Long-Term U.S. Treasury Bond Futures.	CFTC 13-179
5/16/13	CME/CBOT/ NYMEX/COMEX	Issuance of CME & CBOT Market Regulation Advisory Notice RA1304-3 and the issuance of NYMEX & COMEX Market Regulation Advisory Notice RA1310-4.	CFTC 13-182
5/16/13	NYMEX	Amendments to the Position Limits, Exemptions, Position Accountability and Reportable Levels rule for the following contracts to incorporate harmonized position limit language in the NYMEX rulebook.	CFTC 13-167 Appendix A
5/14/13	NYMEX	implementation of a NYMEX European and Asian Fuel Oil Broker Incentive Program	CFTC 13-177
5/14/13	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	CFTC 13-177S
5/14/13	КСВТ	removal of last trade day price limits on KCBT Wheat option contracts	CFTC 13-180
5/10/13	CME	Modifications to the E-mini Select Sector Index Futures Market Maker Program	CFTC 13-174
5/10/13	CME	SUPPLEMENTAL FILING UNDER FOIA	CFTC 13-174S
5/10/13	NYMEX	Modifications to its European and Asian Petroleum Products Incentive Program	CFTC 13-173
5/10/13	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	CFTC 13-173S
5/10/13	CME Clearing	Notification of rule amendments made effective during the week of April 29, 2013.	CFTC 13-178
5/8/13	СВОТ	Termination of Force Majeure at Corn and Soybean shipping stations impacted by flooding on the Illinois River.	CFTC 13-176
5/7/13	NYMEX/COMEX	Establishment of block thresholds in nine (9) new NYMEX Renewable Identification Number ("RIN") Energy products; modifications to block trade minimum thresholds in twenty-five (25) NYMEX Power Products; adoption of TAS block trading in COMEX Gold and Silver Futures; the issuance of NYMEX & COMEX Market Regulation Advisory Notices RA1308-4 and RA 1309-4; and the delayed launch of NYMEX and COMEX Variance Futures contracts.	<u>CFTC 13-156R</u>
5/7/13	NYMEX	Amendments to twenty-eight (28) electricity futures and options contracts.	CFTC 13-148R Appendix B Appendix E
5/3/13	СВОТ	Weekly Notification of rule amendments made effective during the week of April 22, 2013.	CFTC 13-170
5/3/13	NYMEX	Administrative amendments to the "Trading Unit" rule of the Gasoline Euro-bob Oxy NWE Barges (Argus) Average Price Option contract (code 7HO, rule chapter 1238).	CFTC 13-172

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5/3/13	CME Clearing	Notification of rule amendments made effective during the week of April 22, 2013.	CFTC 13-171
5/2/13	СВОТ	Amendments to terms and conditions for 2-Year US Dollar Interest Rate Swap futures contracts, 5-Year US Dollar Interest Rate Swap futures contracts, 10-Year Interest Rate Swap futures contracts and 30-Year US Dollar Interest Rate Swap futures contracts.	CFTC 13-169
5/2/13	КСВТ	Plans on implementing a market maker program in support of hard red winter wheat options that are traded on Globex	CFTC 13-166
5/2/13	NYMEX	Plans to modify the NYMEX Brent Market Maker Program	CFTC 13-168
5/2/13	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	CFTC 13-168S
4/29/13	NYMEX	Modifications to three NYMEX physically-delivered futures product chapters: Central Appalachian Coal Futures (Chapter 260), Gulf Coast Sour Crude Oil Futures (Chapter 506) and Western Canadian Select (WCS) Crude Oil Futures (Chapter 1108).	CFTC 13-162
4/28/13	CME	Acceptance of HZD, NZD, SGD denominated IRS OIS to 30 years; Variable Notional Amounts; New Zealand calendar days; Straight and Spread Compounding; Changes to the IRS Manual	CFTC 13-164
4/26/13	СВОТ	Updated Status Regarding Declaration of Force Majeure with Respect to Corn and Soybean Shipping Stations Due to Flooding on the Illinois River	CFTC 13-163
4/26/13	NYMEX	Weekly Notification of rule amendments made effective during the week of April 15, 2013.	CFTC 13-159
4/26/13	CME Clearing	Notification of rule amendments made effective during the week of April 15, 2013.	CFTC 13-158
4/26/13	NYMEX	Listing of the nine (9) Renewable Identification Number (RINs) (Argus) Futures.	CFTC 13-152 Appendix C
4/26/13	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	CFTC 13-152S
4/25/13	СВОТ	Declaration of Force Majeure pursuant to CBOT Rule 701 ("Declarations of Force Majeure") with respect to all Corn and Soybean shipping stations as a majority of the facilities on the Illinois River are unable to load due to high waters and/or flooding.	CFTC 13-161
4/24/13	СМЕ	Additional information relating to OTC FX swaps that CME was accepting for clearing after the date of enactment of the Dodd-Frank Act.	CFTC 13-151
4/24/13	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	CFTC 13-151S
4/24/13	СМЕ	Self-certification of a draft CME Clearing Advisory Notice concerning an expansion to CME Clearing's Category 3 Collateral Limits, effective Friday, May 10, 2013.	CFTC 13-155
4/23/13	NYMEX	Revised filing regarding plans to implement a market making program in support of certain natural gas options contracts that are traded on Globex.	CFTC 13-141R
4/23/13	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	CFTC 13-141S
4/19/13	COMEX	Withdrawal of the listing of Gold Realized Variance Futures contract (Chapter 1233, Codes VGQ, VGS, VGA) and Silver Realized Variance Futures contract (Chapter 1234, Codes VSQ, VSS, VSY).	<u>CFTC 13-125W</u>
4/19/13	NYMEX	Withdrawal of the listing of three (3) new energy variance futures contracts: WTI Crude Oil Realized Variance Futures, Brent Crude Oil Realized Variance Futures, and Natural Gas Realized Variance Futures.	CFTC 13-124W
4/19/13	СМЕ	Withdrawal of the listing of four (4) new contracts for Australian Dollar/U.S. Dollar ("AUD/USD") Realized Variance Futures, Great British Pound/U.S. Dollar ("GBP/USD") Realized Variance Futures, Euro/U.S. Dollar ("EUR/USD") Realized Variance Futures, and	CFTC 13-123W

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		Japanese Yen/U.S. Dollar ("JPY/USD").	
4/19/13	СВОТ	Modifications to the trading hours and settlement time for CBOT Ethanol products and Distillers' Dried Grain Futures	CFTC 13-147
4/19/13	СВОТ	Weekly Notification of rule amendments made effective during the week of April 8, 2013.	CFTC 13-150
4/19/13	CME	Notification of rule amendments made effective during the week of April 8, 2013.	CFTC 13-149
4/16/13	NYMEX	Plans to implement an incentive program in support of certain crude, refined and natural gas energy products on Globex	CFTC 13-137
4/16/13	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	CFTC 13-137S
4/16/13	NYMEX	Plans to implement an incentive program in support of NYMEX Energy Options Products traded on the NYMEX trading floor, the Globex Platform, or that are submitted for clearing to the CME Clearing House	CFTC 13-139
4/16/13	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	CFTC 13-139S
4/16/13	CME	Modifications to the existing Housing Futures Market Maker Program	CFTC 13-138
4/15/13	NYMEX	Revisions to the eight (8) NYMEX physically-delivered Natural Gas Liquids futures product chapters, effective April 30, 2013	CFTC 13-102 EXHIBIT A
4/15/13	NYMEX	Plans to implement an incentive program in support of certain natural gas futures products	CFTC 13-140
4/15/13	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	CFTC 13-140S
4/15/13	NYMEX	Plans to implement an incentive program in support of certain NYMEX energy futures and options contracts that are traded on the Globex Platform or submitted for clearing to the CME Clearing House	CFTC 13-136
4/15/13	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	Filed under FOIA
4/12/13	NYMEX	Weekly Notification of rule amendments made effective during the week of April 1, 2013. Please note that Exhibit A is attached as a separate document.	CFTC 13-143 EXHIBIT A
4/12/13	CME	Plans to implement a market maker program in support of Brazilian Real futures and options traded on Globex	CFTC 13-134
4/12/13	CME	SUPPLEMENTAL FILING UNDER FOIA	Filed under FOIA
4/12/13	NYMEX	Amendments to Rule 150101.B ("Grade and Quality Specifications") and Rule 150105 ("Delivery") of the New York Harbor ULSD Heating Oil Futures contract (chapter 150, commodity code HO)	CFTC 13-130
4/12/13	NYMEX/COMEX	Weekly notification to the Commodity Futures Trading Commission of amendments issued during the week of April 1, 2013	CFTC 13-146
4/12/13	СМЕ	Notification of rule amendments made effective during the week of April 1, 2013.	CFTC 13-145
4/11/13	NYMEX	Listing of the Gasoline Euro-bob Oxy NWE Barges (Argus) Average Price Option and related rules, effective April 15, 2013.	CFTC 13-088
4/10/13	СВОТ	Harmonizing changes to Rule Chapters 16 and 16A. The proposed amendments will become effective on April 25, 2013.	CFTC 13-135

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CME	The implementation of single-month speculative position limits for the S&P GSCI Commodity Index Futures and S&P GSCI ER Index Futures contracts	CFTC 13-105
NYMEX	Delisting of sixteen (16) energy and emissions futures and option contracts	CFTC 13-086
CME	Proposed new rules to permit futures commission merchants to transmit collateral of Cleared Swaps Customers to CME that is in	CFTC 13-132
КСВТ	Issuance of KCBT Market Regulation Advisory Notice RA1301-7 concerning the April 15, 2013, adoption of KCBT Rule 1167.00 ("Customer Type Indicator (CTI) Codes").	CFTC 13-133
КСВТ	Withdrawal of KCBT Submission No. 13-122 (Issuance of KCBT Market Regulation Advisory Notice RA1301-7 concerning accurate submission of Customer Type Indicator Codes).	<u>CFTC 13-122W</u>
CME/CBOT/NYMEX/ COMEX	Issuance of CME & CBOT Market Regulation Advisory Notice RA1303-3 and the issuance of NYMEX & COMEX Market Regulation Advisory Notice RA1307-4 regarding the adoption of block trade minimum thresholds in nine new Realized Variance futures contracts and additional guidance regarding the disclosure and use of nonpublic information regarding block trades.	CFTC 13-127
СВОТ	Weekly Notification of rule amendments made effective during the week of March 25, 2013.	CFTC 13-128
CME	Requesting approval to increase the single non-spot month futures equivalent speculative position limits in the Lean Hog futures (the "Hog Contract") and options on the Hog Contract.	CFTC 13-131
CME	Proposed rule changes to the termination of trading and final cash settlement procedures for the Onshore Chinese Renminbi/U.S. Dollar futures contract	CFTC 13-106
КСВТ	Rulebook modifications to facilitate clearing of KCBT products by CME	<u>CFTC 13-107RRR</u>
CME	Notification of rule amendments made effective during the week of March 25, 2013	CFTC 13-129
СВОТ	Plans to modify the CBOT Deliverable Interest Rate Swap Futures Market Maker Program	CFTC 13-111
СВОТ	SUPPLEMENTAL FILING UNDER FOIA	CFTC 13-111S
CME/CBOT/NYMEX/ COMEX/KCBT	Revision to the issuance of CME Group Market Regulation Advisory Notice RA1305-5 ("RA1304-5") concerning large trader reporting requirements.	CFTC 13-113R
NYMEX	Plans to modify the fee schedule ("Fee Schedule") applicable to trading NYMEX European Emissions Allowance Futures Contracts ("Products"). Specifically, NYMEX plans to reduce the delivery fees associated with the Products in order to be more consistent with fees charged for similar products.	CFTC 13-112
СМЕ	Delisting two (2) crude oil contracts for WTS (Argus) futures and WTS (Argus) vs. WTI futures	CFTC 13-120
CME	Modification of the Final Settlement for USD Denominated Ibovespa futures to the business day following the Termination of Trading and the determination of the Final Settlement Price	CFTC 13-121
CME/CBOT/NYMEX/ COMEX	Revisions to the Position Limit, Position Accountability and Reportable Level Tables in the Interpretations & Special Notices Section of Chapter 5 in the Exchanges' Rulebooks	CFTC 13-066R
NYMEX	Listing of two (2) new contracts for WTI Midland (Argus) vs. WTI Financial Futures (code FF) and WTI Midland (Argus) Financial Futures (code XB) for trading on the NYMEX trading floor and on the Globex platform as well as submission for clearing through CME ClearPort.	CFTC 13-117
NYMEX	SUPPLEMENTAL FILING UNDER FOIA	CFTC 13-117S
	NYMEX CME KCBT KCBT KCBT CME/CBOT/NYMEX/ COMEX CME CME CME CME CME CME CBOT CME/CBOT/NYMEX/ COMEX/KCBT	Delisting of sixteen (16) energy and emissions futures and option contracts CME Proposed new rules to permit futures commission merchants to transmit collateral of Cleared Swaps Customers to CME that is in excess of the CME requirement for such customer. KCBT Issuance of KCBT Market Regulation Advisory Notice RA1301-7 concerning the April 15, 2013, adoption of KCBT Rule 1167.00 ("Customer Type Indicator (CTI) Codes"). Withdrawal of KCBT Submission No. 13-122 (Issuance of KCBT Market Regulation Advisory Notice RA1301-7 concerning accurate submission of Customer Type Indicator Codes). Issuance of CME & CBOT Market Regulation Advisory Notice RA1303-7 concerning accurate submission of Customer Type Indicator Codes). Issuance of CME & CBOT Market Regulation Advisory Notice RA1303-7 energarding the adoption of block trade minimum thresholds in nien ewe Realized Variance futures contracts and additional guidance regarding the disclosure and use of nonpublic information regarding block trades. Weekly Notification of rule amendments made effective during the week of March 25, 2013. Requesting approval to increase the single non-spot month futures equivalent speculative position limits in the Lean Hog futures (the "Hog Contract") and options on the Hog Contract. Proposed rule changes to the termination of trading and final cash settlement procedures for the Onshore Chinese Remninbl/U.S. Dollar futures contract Rulebook modifications to facilitate clearing of KCBT products by CME CME Notification of rule amendments made effective during the week of March 25, 2013 Plans to modify the CBOT Deliverable Interest Rate Swap Futures Market Maker Program CBOT SUPPLEMENTAL FILING UNDER FOIA Revision to the issuance of CME Group Market Regulation Advisory Notice RA1305-5 ("RA1304-5") concerning large trader reporting requirements. Plans to modify the fee schedule ("Fee Schedule") applicable to trading NYMEX Everopean Emissions Allowance Futures Contracts ("Products"). Specifically, NYMEX plans to reduce the deliv

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3/22/13 CME Notification of rule amendments made effective during the week of March 11, 2013. 3/22/13 CME Notification of rule amendments made effective during the week of March 11, 2013. Issuance of NYMEX & COMEX Market Regulation Advisory Notice RA1306-4 concerning Rule 524 ("Trading at Settlement ("TAS"), Trading at Marker ("TAM") and Matched Order ("MO") Transactions"). Additionally, NYMEX is self-certifying NYMEX Market Regulation Advisory Notice RA1301-6 and revisions to NYMEX Rule 524. 3/20/13 NYMEX SUPPLEMENTAL FILING UNDER FOIA CFTC 13-1015 3/20/13 CBOT Withdrawal of CBOT Submission No. 13-068 CFTC 13-068W 3/19/13 NYMEX Plans to extend the Tri-Venue Energy Futures and Options Incentive Program 3/19/13 NYMEX SUPPLEMENTAL FILING UNDER FOIA Filed under FOIA 3/19/13 NYMEX SUPPLEMENTAL FILING UNDER FOIA Filed under FOIA 3/19/13 NYMEX SUPPLEMENTAL FILING UNDER FOIA Filed under FOIA 3/19/13 NYMEX SUPPLEMENTAL FILING UNDER FOIA CFTC 13-075 3/18/13 NYMEX Proposed modifications the NYMEX European and Asian Petroleum Products Incentive Program. 3/18/13 NYMEX SUPPLEMENTAL FILING UNDER FOIA CFTC 13-1005	3/26/13	NYMEX	ore, 62% Fe North China, (TSI) futures contracts that are traded on	CFTC 13-076
SIZZ/13 CME Of March 11, 2013. CFTC 13-109	3/22/13	СМЕ	_	CFTC 13-110
RA1306-4 concerning Rule 524 ("Trading at Settlement ("TAS"), Trading at Marker ("TAM") and Matched Order ("MO") Transactions"). Additionally, NYMEX is self-certifying NYMEX Market Regulation Advisory Notice RA1301-6 and revisions to NYMEX Rule 524. 3/20/13 NYMEX SUPPLEMENTAL FILING UNDER FOIA CFTC 13-101S 3/20/13 CBOT Withdrawal of CBOT Submission No. 13-068 CFTC 13-068W 3/19/13 NYMEX Plans to extend the Tri-Venue Energy Futures and Options Incentive Program CFTC 13-104 3/19/13 NYMEX SUPPLEMENTAL FILING UNDER FOIA Filed under FOIA 3/19/13 NYMEX SUPPLEMENTAL FILING UNDER FOIA Filed under FOIA 3/19/13 NYMEX Contract on the CME Globex trading platform CFTC 13-075 3/18/13 NYMEX SUPPLEMENTAL FILING UNDER FOIA CFTC 13-100 3/18/13 NYMEX Proposed modifications the NYMEX European and Asian Petroleum Products Incentive Program. 3/18/13 NYMEX SUPPLEMENTAL FILING UNDER FOIA CFTC 13-100S 3/18/13 NYMEX SUPPLEMENTAL FILING UNDER FOIA CFTC 13-100S 3/18/13 NYMEX SUPPLEMENTAL FILING UNDER FOIA CFTC 13-100S 3/15/13 CME/CBOT Plans to implement the CME/CBOT E-mini Equity Index Futures Market Maker Program CFTC 13-087	3/22/13	СМЕ		CFTC 13-109
3/20/13 CBOT Withdrawal of CBOT Submission No. 13-068 CFTC 13-068W 3/19/13 NYMEX Plans to extend the Tri-Venue Energy Futures and Options Incentive Program 3/19/13 NYMEX SUPPLEMENTAL FILING UNDER FOIA Filed under FOIA 3/19/13 NYMEX Listing of an existing Iron Ore 62% Fe CFR China (TSI) Futures contract on the CME Globex trading platform 3/18/13 NYMEX Proposed modifications the NYMEX European and Asian Petroleum Products Incentive Program. 3/18/13 NYMEX SUPPLEMENTAL FILING UNDER FOIA CFTC 13-100S 3/15/13 CME/CBOT Plans to implement the CME/CBOT E-mini Equity Index Futures Market Maker Program	3/22/13	NYMEX/COMEX	RA1306-4 concerning Rule 524 ("Trading at Settlement ("TAS"), Trading at Marker ("TAM") and Matched Order ("MO") Transactions"). Additionally, NYMEX is self-certifying NYMEX Market Regulation Advisory Notice RA1301-6 and revisions to	CFTC 13-101
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3/19/13 NYMEX contract on the CME Globex trading platform 3/18/13 NYMEX Proposed modifications the NYMEX European and Asian Petroleum Products Incentive Program. 3/18/13 NYMEX SUPPLEMENTAL FILING UNDER FOIA CFTC 13-100S 3/15/13 CME/CBOT Plans to implement the CME/CBOT E-mini Equity Index Futures Market Maker Program	3/19/13	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	Filed under FOIA
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3/15/13 CME/CBOT Plans to implement the CME/CBOT E-mini Equity Index Futures Market Maker Program CFTC 13-087	3/18/13	NYMEX	· · · · · · · · · · · · · · · · · · ·	<u>CFTC 13-100</u>
Market Maker Program CME/CBOT Market Maker Program	3/18/13	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	CFTC 13-100S
3/15/13 CME/CBOT SUPPLEMENTAL FILING UNDER FOIA CFTC 13-087S	3/15/13	CME/CBOT		CFTC 13-087
	3/15/13	CME/CBOT	SUPPLEMENTAL FILING UNDER FOIA	CFTC 13-087S

3/15/13	COMEX	Plans to implement a resting order incentive program in support of COMEX Copper Options	CFTC 13-095
3/15/13	COMEX	SUPPLEMENTAL FILING UNDER FOIA	CFTC 13-095S
3/15/13	СМЕ	Notification of rule amendments made effective during the week of March 4, 2013.	CFTC 13-096
3/15/13	NYMEX	Rule amendments for the Argus Propane (Saudi Aramco) Futures (code 9N) and the Mini Argus Propane (Saudi Aramco) Futures (code MAS) to revise the final settlement reference to clarify the assessment methodology.	CFTC 13-094
3/15/13	NYMEX/COMEX	Issuance of Market Regulation Advisory Notice RA1305-4 concerning block trades and the establishment of block trade minimum thresholds in eight NYMEX power futures products.	CFTC 13-079
3/15/13	CME	Revisions to all 13 CME cleared over-the-counter foreign exchange spot, forward and swap product chapters	CFTC 13-065
3/13/13	СВОТ	Modifications to the CBOT Agricultural Back Month Incentive Program	CFTC 13-089
3/13/13	NYMEX	Plans to implement a market making program in support of certain crude and refined energy contracts	<u>CFTC 13-085</u>
3/13/13	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	CFTC 13-085S
3/13/13	КСВТ	Adjustments to the electronic and open auction trading hours for Wheat futures and options contracts	CFTC 13-093
3/13/13	СВОТ	Adjustments to the electronic and open auction trading hours for Grain and Oilseed futures and options contracts	CFTC 13-092
3/13/13	NYMEX	Modifications to Crude and Refined Options Gateway Incentive Program	CFTC 13-091
3/13/13	NYMEX	Modifications to Natural Gas Options Gateway Incentive Program	CFTC 13-090
3/8/13	СМЕ	Acceptance of CDX North American Investment Grade Index Series 20 ("CDX IG 20") and CDX North American High Yield Index Series 20 ("CDX HY 20") for clearing.	<u>CFTC 13-071R</u>
3/8/13	NYMEX	Weekly notification to the Commodity Futures Trading Commission of rule amendments made effective during the week of February 25, 2013	CFTC 13-082
3/8/13	CME Clearing	Notification of rule amendments made effective during the week of February 25, 2013.	CFTC 13-080
3/8/13	CME/CBOT/NYMEX/ COMEX	Issuance of CME Group Market Regulation Advisory Notice RA1304-5	CFTC 13-078
3/8/13	NYMEX	Addition of 350 existing NYMEX energy futures and option contracts on the CME Globex trading platform	CFTC 13-077
3/8/13	NYMEX	Notification of an amendment to the contract quantity and value rule for the MISO Michigan Hub Off-Peak Calendar-Month LMP Futures contract (Chapter 776A, Code HJ), effective March 24, 2013, for the trade date March 25, 2013.	CFTC 13-081
3/6/13	CME/CBOT/NYMEX	Proposed clarifying amendments to CME/CBOT/NYMEX Rule 980 relating to clearing member records and reports.	CFTC 13-073
3/5/13	NYMEX	Amendment to the aggregation of positions for sixteen (16) ERCOT electricity futures contracts.	CFTC 13-035R
3/4/13	NYMEX/COMEX	Reduction in the minimum block threshold in one NYMEX product and the establishment of a minimum block threshold in two additional NYMEX products.	CFTC 13-069

3/4/13	СМЕ	Withdrawal of CME Submission No. 13-070 (CDX North American Investment Grade Index Series 20 and CDX North American High Yield Index Series 20; Removal of Expired CDX Products).	<u>CFTC 13-070W</u>
3/1/13	NYMEX	Amendment to the Final Settlement for the NYMEX Brent 25-Day Futures Contract	CFTC 13-064
3/1/13	CME Clearing	Weekly Notification of Rule Amendments - Week of February 18, 2013	CFTC 13-067
2/27/13	СМЕ	Modifications to the E-micro S&P CNX Nifty futures Market Maker Program	CFTC 13-048
2/27/13	CME/CBOT/NYMEX/ COMEX	Revisions to CME Rules 531, 532, 533 and 539, and issuance of Market Regulation Advisory Notices RA1301-2, RA1301-5, RA1302-5 and RA1303-5.	<u>CFTC 13-060</u>
2/26/13	NYMEX/COMEX	Addition of second and third contract months of Brent Crude Oil Last Day Financial Futures as TAS-Eligible contract months and the issuance NYMEX & COMEX Market Regulation Advisory Notice ("MRAN") RA1303-4	CFTC 13-063
2/25/13	CME/CBOT	Changes related to CME and CBOT options on Equity Index futures exercises	CFTC 13-061
2/25/13	NYMEX	Plans to implement an incentive program in support of certain Crude and Refined Options products	CFTC 13-058
2/25/13	NYMEX	Plans to implement an incentive program in support of certain Natural Gas Options products	CFTC 13-057
2/25/13	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	Filed under FOIA
2/25/13	NYMEX	Plans to modify the 2013 NYMEX Natural Gas Options Incentive Program	CFTC 13-056
2/25/13	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	Filed under FOIA
2/25/13	NYMEX	Plans to modify the 2013 NYMEX Crude and Refined Options Incentive Program	CFTC 13-055
2/25/13	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	Filed under FOIA
2/25/13	NYMEX	Delisting of three Singapore Gasoil products	CFTC 13-054
2/22/13	NYMEX	Listing of Singapore Fuel Oil 380 cst (Platts) vs. European 3.5% Fuel Oil Barges FOB Rdam (Platts) Futures and Singapore Fuel Oil 380 cst (Platts) vs. European 3.5% Fuel Oil Barges FOB Rdam (Platts) BALMO Futures contracts.	CFTC 13-030
2/22/13	СМЕ	Amendments to Chapter 452A, ("Options on Three-Month Eurodollar Futures") to authorize the listing and trading of 5-year Eurodollar Mid-Curve Options.	CFTC 13-062
2/20/13	СМЕ	Modifications to Globex trading hours for international Equity Index futures contracts.	CFTC 13-039R
2/20/13	СМЕ	Plans to implement a volume incentive program in support of the Standard size and E-micro size U.S. Dollar denominated Chinese Yuan ("USD/CNH") futures contract	CFTC 13-051
2/20/13	СМЕ	SUPPLEMENTAL FILING UNDER FOIA	Filed under FOIA
2/20/13	СМЕ	Notification of rule amendments made effective during the week of February 11, 2013.	CFTC 13-053
2/20/13	CME	Revisions to the CME Globex Messaging Policy for effective date March 7, 2013.	CFTC 13-052

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C 13-040
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C 13-039
C 13-043
C 12-287R
C 13-036
C 13-038
C 13-020W
C 13-028
C 13-033
C 13-027
C 13-032
C 12-262W

2/7/13	СВОТ	Rule Changes to Convert CBOT Cleared-Only Ethanol Forward Month Swaps to Futures.	CFTC 13-029
2/7/13	CME	Delisting of the December 2014 Australian Dollar futures and options contract month.	CFTC 13-034
2/1/13	CME	Weekly Notification of Rule Amendments	CFTC 13-031
2/1/13	CME Clearing	Weekly Notification of Rule Amendments	CFTC 13-028
1/29/13	CME/CBOT	Revision to CME and CBOT Implementation Date for Price Limit Methodology	CFTC 13-026
1/29/13	CME/CBOT	Withdrawal of CME/CBOT Submission No. 12-382	<u>CFTC 12-382W</u>
1/29/13	NYMEX	Modifications to Participant Eligibility for NYMEX Commercial Brent Spread Incentive Program	CFTC 13-021
1/29/13	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	Filed under FOIA
1/25/13	CME Clearing	Weekly Notification of Rule Amendments	CFTC 13-025
1/25/13	NYMEX	Revision to Listing Rules for European Gasoil (100mt) Bullet Futures	CFTC 13-024
1/25/13	NYMEX	Weekly Notification of Rule Amendments	CFTC 13-023
1/25/13	CME	Corrections to Revised Submission	<u>CFTC 12-469RR</u>
1/25/13	CME	Delisting of CME Softwood Pulp Futures and Options on Futures	CFTC 13-022
1/23/13	NYMEX	Declaration of Force Majeure Due to System Upgrade at Union Registry	CFTC 13-019
1/17/13	NYMEX	Implementation of the 2013 NYMEX Natural Gas Options Incentive Program	CFTC 13-018
1/17/13	NYMEX	Implementation of the 2013 NYMEX Crude and Refined Options Incentive Program	CFTC 13-017
1/16/13	CME	Notification of rule amendments made effective during the week of January 7, 2013	CFTC 13-016
1/15/13	NYMEX	Implementation of the NYMEX Core Products European Prop Incentive Program	CFTC 13-008
1/14/13	NYMEX	Plans to implement an incentive program in support of certain NYMEX energy futures and options contracts.	CFTC 13-007
1/14/13	NYMEX	SUPPLEMENTAL FILING UNDER FOIA	Filed under FOIA
1/14/13	СМЕ	Delisting of International Skimmed Milk Powder futures and options contracts, which are currently listed for trading on Globex	CFTC 13-014
1/11/13	NYMEX	Corrections to the original submission.	<u>CFTC 13-011C</u>
1/11/13	NYMEX	Corrections to the original submission.	CFTC 13-010C
1/11/13	NYMEX	Amendments to Rule 150101.B ("Grade and Quality Specifications") of the New York Harbor ULSD Heating Oil Futures (Appendix A, chapter 150, commodity code HO)	CFTC 13-011

1/11/13	CME Clearing	Notification of rule amendments made effective during the week of December 31, 2012	<u>CFTC 13-015</u>
1/11/13	COMEX	Modifications to the COMEX Copper Options Incentive Program	CFTC 13-012
1/10/13	CME	Revisions to the original submission.	<u>CFTC 12-469R</u>
1/10/13	CME/CBOT	Issuance of CME & CBOT Market Regulation Advisory Notice RA1301-3	CFTC 13-013
1/10/13	NYMEX/COMEX	Issuance of NYMEX & COMEX Market Regulation Advisory Notices RA1301-4 and RA1302-4.	CFTC 13-009
1/10/13	NYMEX	Amendments to the listed months for the Heating Oil Calendar Spread Option (1 Month)	CFTC 13-010
1/10/13	NYMEX	Listing of three (3) new emission option contracts	CFTC 13-002
1/9/13	NYMEX	Corrections to the original submission.	CFTC 12-468C
1/8/13	NYMEX	Revisions to the original submission.	CFTC 13-005R
1/7/13	CME	Implementation of Standard-Sized and E-Micro Indian Rupee/U.S. Dollar Futures Market Maker Incentive Program	CFTC 13-006
1/4/13	NYMEX	Amendment to the listing schedule for fifty two (52) petroleum futures and options contracts effective for trade date Tuesday, January 22, 2013.	CFTC 13-004
1/4/13	NYMEX	Delisting of thirty eight (38) petroleum futures and options contracts effective close of business today, Friday, January 4, 2013.	CFTC 13-005
1/3/13	CME Clearing	Notification of rule amendments made effective during the week of December 24, 2012.	CFTC 13-003
1/3/13	CME	Changes to CME Chapter 8H regarding certain rules changes requested by the Securities and Exchange Commission regarding CME's credit default swap product offering.	CFTC 13-001