

CFTC Rule Filings

| Date of filing | Entity | Description | CFTC Filing |
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| 12/28/12 | CME Clearing | Notification of rule amendments during the week of December 17, 2012 | DCO Weekly Notification 20121228 |
| 12/27/12 | NYMEX | Amendments to the minimum price increments of three crude oil futures contracts. | CFTC 12-468 |
| 12/27/12 | CME | Self-certification of a draft CME Clearing Advisory Notice regarding the use of OIS Discounting in initial margin computations for AUD, GBP, and JPY in its production environment, effective January 14, 2013. | CFTC 12-474 |
| 12/26/12 | CME | Amendments to CME Rules 802 and 816. The proposed amendments will become effective on January 11, 2013 | CFTC 12-461 |
| 12/26/12 | KCBTCC | Revisions to By-Laws 7.01, 7.02, 7.03 and 7.04 | CFTC 12-462 |
| 12/26/12 | KCBT | Conforming amendments to KCBT Rules 301.01, 921.00, 922.00, 1001.01, 1001.02 and 1139.00. | CFTC 12-466 |
| 12/20/12 | NYMEX/COMEX | Revisions to Rule 806 ("Offset Process"). | CFTC 12-471 |
| 12/19/12 | CME | Implementation of a general fee discount program in support of Standard Sized and E-Micro INR/USD Futures. | CFTC 12-473 |
| 12/19/12 | CME Clearing | Rule amendments during the week of December 10, 2012 | DCO Weekly Notification 20121219 |
| 12/17/12 | CME | Plans to extend the Eurodollar/Euroyen/Euribor Volume Incentive Program. | CFTC 12-371 |
| 12/17/12 | CME | Terms and conditions for Standard-Sized and E-Micro Indian Rupee/U.S. Dollar futures, to be listed for trading beginning Monday, January 28, 2013. | CFTC 12-469 |
| 12/14/12 | NYMEX/COMEX | Establishment of block trade minimum thresholds in 423 NYMEX energy products and the issuance of NYMEX & COMEX Market Regulation Advisory Notice RA1208-4. | CFTC 12-467 |
| 12/14/12 | CME | Plans to modify the fee schedule (the "Fee Schedule") that applies to CDX North American Index Credit Default Swaps cleared at CME. The modifications include extending the twenty five percent (25%) | CFTC 12-464 |

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| | | discount off of base clearing fees for all market participants that clear OTC North American Index CDS products | |
| 12/14/12 | CME/CBOT | Modifications to the Interest Rate Options Give Up Incentive Program | CFTC 12-463 |
| 12/14/12 | CME/CBOT | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 12/14/12 | CME | Weekly notification of rule amendments that were made effective during the week of December 3, 2012. | CFTC 12-451 |
| 12/14/12 | CME/CBOT/NYMEX /COMEX | Modifications to the International Incentive Program | CFTC 12-454 |
| 12/14/12 | NYMEX | Proposed modifications to the NYMEX OTC Broker Incentive Program | CFTC 12-465 |
| 12/14/12 | NYMEX | Proposed modifications to its Light Sweet Crude Oil ETH Market Making Incentive Program | CFTC 12-457 |
| 12/14/12 | CME/CBOT | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 12/14/12 | CME | Adoption of new Chapter 10 ("Regulatory Reporting of Swap Data") and Rule 1001 ("Regulatory Reporting of Swap Data") | CFTC 12-391RC |
| 12/13/12 | NYMEX/COMEX | Modifications to the Cross-Venue Energy and Metals Incentive Program for High Volume Contributors | CFTC 12-432 |
| 12/13/12 | NYMEX/COMEX | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 12/13/12 | CBOT | Addition of a 20% FIFO component to the 10-Year U.S. Treasury Note Future calendar spread matching algorithm on Sunday, January 6, 2013 for trade date Monday, January 7, 2013. | CFTC 12-460 |
| 12/13/12 | NYMEX | Modifications to the NYMEX Energy Products Cross-Venue Incentive Program | CFTC 12-441 |
| 12/13/12 | CME | Plans to implement an incentive program in support of certain Nikkei 225 Index Yen futures contracts | CFTC 12-455 |
| 12/13/12 | CME | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 12/13/12 | NYMEX | Amendments to Iron Ore 62% Fe, CFR China (TSI) Futures and Iron Ore 62% Fe, CFR China (TSI) Average Price Option contracts. | CFTC 12-447 |

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| 12/13/12 | NYMEX | Amendments to the Freight Route TD3 (Baltic) Futures contact chapter (Commodity code: TL, Rule Chapter: 684) and the Freight Route TD3 (Baltic) Average Price Option contract chapter (Commodity code: TDT, Rule Chapter: 949). | CFTC 12-459 |
| 12/12/12 | CME | Modifications to its CME Dairy, Livestock and Lumber Futures Volume Incentive Program | CFTC 12-456 |
| 12/12/12 | CME/CBOT/NYMEX /COMEX | Modifications to an already existing Incentive Program for banks in the Asia-Pacific region | CFTC 12-405 |
| 12/12/12 | NYMEX | Amendments to position limits and accountability levels for several PJM AEP Dayton Hub electricity futures and option contracts, effective Thursday, December 27, 2012, for a trade date of Friday, December 28, 2012 | CFTC 12-431 |
| 12/11/12 | NYMEX | Plans to implement an incentive program in support of certain NYMEX energy futures and options contracts | CFTC 12-453 |
| 12/11/12 | NYMEX | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 12/11/12 | CME Clearing | Notification of rule amendments issued during the week of December 3, 2012. | DCO Weekly Notification 20121211 |
| 12/11/12 | NYMEX | Modifications to the NYMEX Legacy GreenX Volume and Liquidity Provider Program | CFTC 12-440 |
| 12/11/12 | NYMEX | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 12/11/12 | NYMEX | Modifications the NYMEX European and Asian Petroleum Products Incentive Program | CFTC 12-452 |
| 12/11/12 | NYMEX | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 12/11/12 | NYMEX | Modifications to the NYMEX European Union Allowance and Certified Emission Reduction futures and spread contracts Market Making program | CFTC 12-444 |
| 12/11/12 | NYMEX | Modifications to the International Firm Volume Incentive Program | CFTC 12-439 |
| 12/11/12 | NYMEX | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |

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| 12/10/12 | CME | Modifications to the CME Interest Rate Market Maker Program for Eurodollar Pack and Bundle Futures | CFTC 12-433 |
| 12/10/12 | CME | Plans to implement a market making incentive program in support of Mexican Peso options contracts traded | CFTC 12-449 |
| 12/10/12 | CME | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 12/10/12 | CME | Revisions to all 80 CME FX futures and options product chapters. | CFTC 12-422 |
| 12/10/12 | NYMEX | Proposed modifications to the NYMEX Henry Hub Natural Gas Look Alike Financial Futures and Henry Hub Natural Gas Last Day Financial Futures Contracts Trader Incentive Program | CFTC 12-424 |
| 12/10/12 | NYMEX | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 12/10/12 | NYMEX | Proposed modifications to the NYMEX Henry Hub Natural Gas Look Alike Financial Futures and Henry Hub Natural Gas Last Day Financial Futures Contracts Market Making Program | CFTC 12-423 |
| 12/10/12 | NYMEX | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 12/10/12 | NYMEX | Plans to extend the Henry Hub Natural Gas Look Alike Financial Futures and Henry Hub Natural Gas last Day Financial Futures Fee Waiver Program | CFTC 12-420 |
| 12/7/12 | NYMEX | Modifications to the existing NYMEX European Coal Incentive Products | CFTC 12-430 |
| 12/7/12 | NYMEX | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 12/7/12 | NYMEX | Modifications to the existing Incentive Program for Natural Gas Products | CFTC 12-437 |
| 12/7/12 | CME | Modifications to an existing incentive program for commodity trading advisors and hedge funds in support of certain Foreign Exchange products | CFTC 12-408 |
| 12/7/12 | CME Clearing | Notification of rule amendments issued during the week of November 26, 2012. | DCO Weekly Notification 20121207 |
| 12/7/12 | CME | Modifications to the CME Electronic Corporate Membership Waiver Program | CFTC 12-404 |

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| 12/7/12 | CME/CBOT/NYMEX /COMEX | Modifications to the New Trader Incentive Program | CFTC 12-443 |
| 12/6/12 | NYMEX | Modifications to NYMEX Core Energy Products Developmental Incentive Program | CFTC 12-442 |
| 12/6/12 | NYMEX | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 12/6/12 | CBOT | Notification that on December 5, 2012, CBOT terminated the condition of Force Majeure that was declared at AG Processing, Inc.'s soybean oil facility in Omaha, Nebraska (facility #2220) on June 14, 2012. The declaration of Force Majeure was communicated to the CFTC via CBOT Submission 12-202 from June 14, 2012. | CFTC 12-450 |
| 12/6/12 | NYMEX | Modifications to the European Carbon Products Market Making Program | CFTC 12-445 |
| 12/6/12 | NYMEX/COMEX | Declaration of Force Majeure pursuant to NYMEX Rule & COMEX Rule 7B01 ("Declarations of Force Majeure") with respect to the Manfra, Tordella and Brooks ("MTB") precious metals depository located in New York. | CFTC 12-448 |
| 12/5/12 | CME | Plans to extend the general Fee Waiver Program for U.S. Dollar Denominated Crude Palm Oil Futures | CFTC 12-402 |
| 12/5/12 | CME | Modifications to the CME Dairy Futures Regular Trading Hours Market Making Program | CFTC 12-426 |
| 12/5/12 | CME | Plans to renew the Forestry Futures Regular Trading Hours Market | CFTC 12-425 |
| 12/5/12 | CME | Modifications to the Livestock Option Market Making Program | CFTC 12-434 |
| 12/5/12 | CME | Plans to amend certain terms of the U.S. Dollar Denominated Crude Palm Oil Futures Market Making Program | CFTC 12-435 |
| 12/4/12 | NYMEX | Plans to modify the NYMEX Energy Products Incentive Program for High Volume Hedging Contributors | CFTC 12-427 |
| 12/4/12 | NYMEX | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 12/4/12 | CME | Requesting expedited approval under Regulation 40.5 for modifications to CME USD Denominated Ibovespa futures trading hours on the last day of trading due to a change in cash equity | CFTC 12-446 |

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| | | market trading hours at BM&F Bovespa | |
| 12/4/12 | CME/CBOT | Plans to extend the CME/CBOT Asset Manager Fixed Income Incentive Program | CFTC 12-381 |
| 12/4/12 | CME/CBOT | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 12/4/12 | NYMEX | Plans to extend the Diverse Trading Non-Member International Commercial Firms Energy Incentive Program | CFTC 12-428 |
| 12/4/12 | CBOT | Modifications to the CBOT ETH Agricultural Trading Incentive Program | CFTC 12-392 |
| 12/3/12 | CBOT | Regarding proposed modifications to the CBOT Grain and Oilseed Options Market Maker Program. | CFTC 12-418 |
| 12/3/12 | CBOT | Plans to modify the CBOT Wheat Futures and Options Fee Waiver Program for Canadian Firms. | CFTC 12-411 |
| 12/3/12 | NYMEX | Delisting of fifty-nine (59) energy contracts effective December 2, 2012 for trade date December 3, 2012. | CFTC 12-415 |
| 11/30/12 | CME | On November 6, 2012, Chicago Mercantile Exchange Inc. ("CME") submitted a CME Submission No. 12-384 regarding changes to the current liquidity/concentration factor of CME's margin methodology for credit default swaps. This revised filing includes changes to the original submission. | CFTC 12-384R |
| 11/30/12 | CME | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 11/30/12 | CME Clearing | Notification of rule amendments issued during the week of November 19, 2012 | DCO Weekly Notification 20121130 |
| 11/30/12 | NYMEX | Amendment to the last trading day for the LLS (Argus) financial futures contract (chapter 838, commodity code XA) | CFTC 12-429 |
| 11/30/12 | NYMEX | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 11/30/12 | NYMEX | Withdrawal of NYMEX Submission No. 12-421 | CFTC 12-421W |
| 11/30/12 | CME | Expansion of the listing cycle for the weekly options on Standard and Poor's 500 Stock Price Index futures and the weekly options on | CFTC 12-436 |

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| | | E-mini Standard and Poor's 500 Stock Price Index futures | |
| 11/30/12 | NYMEX | Addition of 337 existing NYMEX energy futures and option contracts | CFTC 12-416 |
| 11/30/12 | NYMEX | Plans to extend the NYMEX Brent 25-Day Futures Fee Waiver Program | CFTC 12-403 |
| 11/30/12 | CME | Modifications to an existing market making program for certain Foreign Exchange futures contracts | CFTC 12-407 |
| 11/29/12 | CME | Modifications to an existing market making program for E-Micro Foreign Exchange | CFTC 12-409 |
| 11/29/12 | NYMEX | Plans to implement an incentive program in support of certain emissions products | CFTC 12-400 |
| 11/29/12 | NYMEX | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 11/28/12 | NYMEX | Revision of plans to implement an incentive program in support of certain NYMEX Brent and WTI contracts. | CFTC 12-376R |
| 11/28/12 | NYMEX | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 11/27/12 | CME | Modifications to CME Non-Member Globex Fee Discount Program for Foreign Exchange Options. | CFTC 12-412 |
| 11/27/12 | NYMEX/COMEX | Modifications to the Volume Incentive Program for NYMEX and COMEX Metals Products | CFTC 12-368 |
| 11/27/12 | NYMEX/COMEX | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 11/27/12 | COMEX | Listing of the Aluminum MW US Transaction Premium (Platts) Futures Contract | CFTC 12-308 |
| 11/27/12 | CME | Modifications to the CME Interest Rate Market Maker Program for Eurodollar Options | CFTC 12-375 |
| 11/26/12 | CBOT | Incentive program in support of certain CBOT agricultural contracts. | CFTC 12-393 |
| 11/26/12 | CME | Extension of the CME FX Premium Quoted Options Market Maker Program | CFTC 12-395 |

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| 11/26/12 | CBOT | Modifications to the CBOT Market Maker Program for Deferred 30-Day Federal Fund Futures. | CFTC 12-401 |
| 11/23/12 | CME | Changes to the Manual of Operations for CME Cleared Interest Rate Swaps. The proposed changes will be effective on December 10, 2012. | CFTC 12-414 |
| 11/23/12 | CME | Acceptance of Basis Swaps and Forward Rate Agreements. | CFTC 12-413 |
| 11/23/12 | CME/CBOT/NYMEX/COMEX | Revised Market Regulation Advisory Notices on block trades on Monday, November 26, 2012. | CFTC 12-410 |
| 11/21/12 | NYMEX/COMEX | Modifications to the Energy & Metals ETH & Back Month Globex Volume Incentive Program for High Volume Contributors. | CFTC 12-389 |
| 11/21/12 | NYMEX/COMEX | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 11/21/12 | CME Clearing | Notification of rule amendments issued during the week of November 12, 2012. | DCO Weekly Notification 20121121 |
| 11/20/12 | NYMEX | Modifications to the NYMEX Brent Crude Oil Last Day Financial Futures Fee Waiver Program | CFTC 12-379 |
| 11/20/12 | NYMEX | Release of Special Executive Report No. 6457 during the week of November 12, 2012. | CFTC 12-406 |
| 11/20/12 | CBOT | Modifications to the CBOT Oat Futures Market Maker Program | CFTC 12-388 |
| 11/20/12 | CBOT | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 11/20/12 | CBOT | modifications to an existing market making program for certain E-mini Dow futures contracts | CFTC 12-323 |
| 11/17/12 | NYMEX | Modifications to the Volume Incentive Program for NYMEX Energy Products | CFTC 12-373 |
| 11/17/12 | NYMEX | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 11/16/12 | CME | Modifications to an existing fee waiver program in support of certain cleared-only over-the-counter foreign exchange products. | CFTC 12-390 |
| 11/16/12 | NYMEX/COMEX | Block Trade Minimum Thresholds in Certain NYMEX Energy Products and Contracts | CFTC 12-386 |

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| 11/16/12 | CME Clearing | Notification of rule amendments issued during the week of November 5, 2012. | DCO Weekly Notification 20121116 |
| 11/15/12 | CME | Amendments to CME Rules 256A01.D. on the underlying futures contracts, 256A01.H. on the termination of trading, and 256A02. on the listing of exercise prices for monthly and weekly options on Mexican Peso/U.S. Dollar futures. | CFTC 12-358 |
| 11/15/12 | CME/CBOT | Corrections to the original submission | CFTC 12-355C |
| 11/15/12 | NYMEX | Modifications to the NYMEX Energy Products Resting Order Incentive Program | CFTC 12-383 |
| 11/15/12 | NYMEX | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 11/15/12 | CBOT | Regarding plans to implement an incentive program for Kansas City Board Of Trade Permit Holders in support of CBOT Wheat Futures and Options products traded on Globex® ("Program"). The proposed Program will become effective on December 3, 2012. | CFTC 12-394 |
| 11/15/12 | NYMEX | Regarding amendments to the listing schedules for the thirteen refined futures contracts | CFTC 12-394 |
| 11/15/12 | CME/CBOT | Establishment of All-or-None minimum thresholds for CBOT 2-Year, 5-Year, 10-Year and 30-Year US Dollar Interest Rate Swap futures for Physical Delivery and the issuance of CME & CBOT Market Regulation Advisory Notice RA1205-3. | CFTC 12-398 |
| 11/15/12 | NYMEX | Amendments to the listing schedules for eight (8) emissions futures and option contracts. In addition, the Exchange is making a conforming amendment to the termination of trading rule for the In Delivery Month European Union Allowance (EUA) Serial Option contract to reflect the change in its listing cycle. | CFTC 12-344 |
| 11/14/12 | NYMEX | Notification of plans to implement a market making program in support of certain NYMEX Brent contracts that are traded on Globex. | CFTC 12-397 |
| 11/14/12 | NYMEX | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 11/14/12 | NYMEX | Notification of plans to modify the Brent 25-Day Futures Incentive Program. | CFTC 12-377 |

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| 11/14/12 | NYMEX | Notification of plans to implement an incentive program for commercial firms in support of certain NYMEX Brent Energy contracts | CFTC 12-396 |
| 11/14/12 | NYMEX | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 11/14/12 | NYMEX | Notification of modifications to the NYMEX Brent Energy Volume Incentive Program | CFTC 12-378 |
| 11/14/12 | NYMEX | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 11/14/12 | NYMEX | Revisions to five (5) NYMEX Physically-Delivered Energy futures product chapters and twenty-one (21) NYMEX Environmental futures and options on futures product chapters in connection with CFTC Core Principle 7 (“Availability of General Information”) compliance. | CFTC 12-387 |
| 11/12/12 | NYMEX/COMEX | Modifications to an existing Combined Energy & Metals Globex Volume Incentive Program for High Volume Contributors | CFTC 12-367 |
| 11/12/12 | NYMEX/COMEX | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 11/9/12 | CME | Modifications to the CME Eurodollar Futures and Options Market Maker Tier Incentive Program | CFTC 12-357 |
| 11/9/12 | CME | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 11/9/12 | CBOT | Modifications to an existing market making program in support of certain Long-Term U.S. Treasury Bond futures contracts that are traded on Globex® | CFTC 12-372 |
| 11/9/12 | CME | Modifications to the Class III Milk Options Market Making Program. | CFTC 12-385 |
| 11/9/12 | CME Clearing | Notification of rule amendments during the week of October 29, 2012. | DCO Weekly Notification 20121109 |
| 11/7/12 | CME/CBOT/NYMEX /COMEX | Revisions to Rule 808 (“CME ClearPort: Procedures for Trade Submission”) based on a comprehensive review of the existing language. | CFTC 12-374 |
| 11/6/12 | CME/CBOT | Modifications to Price Limit and Trading Halt Methodologies for CME/CBOT US Equity Index Futures Contracts | CFTC 12-382 |

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| 11/6/12 | CBOT | Modifications to the CBOT Market Maker Program for Electronic Treasury Options | CFTC 12-380 |
| 11/5/12 | CME | Modifications to the CME Interest Rate Market Maker Program for Eurodollar Options | CFTC 12-375 |
| 11/5/12 | NYMEX | NYMEX Iron Ore and Asian Rebar Fee Waiver Program | CFTC 12-353 |
| 11/2/12 | CME Clearing | Notification of rule amendments during the week of October 22, 2012. | DCO Weekly Notification 20121102 |
| 11/2/12 | CME | Revisions to all CME Interest Rate futures and options on futures product chapters in connection with the October 17, 2012, compliance date for designated contract markets with respect to the Commodity Futures Trading Commission final rule on Core Principles and Other Requirements for Designated Contract Markets. | CFTC 12-365 |
| 11/1/12 | CBOT | Removal of obsolete language from Chapter 17 (Rough Rice Futures) of the CBOT Rulebook. | CFTC 12-366 |
| 11/1/12 | CBOT/CME | Modifications in CBOT and CME Equity Index futures price limit methodology. | CFTC 12-355 |
| 11/1/12 | CBOT/CME | Extension of the close of the trading day for Equity Index futures and options on Equity Index futures. | CFTC 12-356 |
| 11/1/12 | CME/CBOT/NYMEX /COMEX | Plans to extend the Latin America Commodity Commercial Incentive Program. | CFTC 12-342 |
| 11/1/12 | CME | Revisions to all CME Agricultural product chapters in connection with the October 17, 2012, compliance date for designated contract markets with respect to the Commodity Futures Trading Commission final rule on Core Principles and Other Requirements for Designated Contract Markets. | CFTC 12-364 |
| 11/1/12 | CME/CBOT/NYMEX /COMEX | Withdrawal of Submission No. 12-340 | CFTC 12-340W |
| 11/1/12 | CME/CBOT/NYMEX /COMEX | Plans to modify and extend the Latin America Bank Incentive Program. | CFTC 12-348 |
| 11/1/12 | CME/CBOT/NYMEX /COMEX | Plans to modify and extend the Latin America Fund Manager Incentive Program. | CFTC 12-349 |

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| 10/31/12 | CME/NYMEX | Emergency actions taken on October 30, 2012 due to Hurricane Sandy and pursuant to their authority under Rule 257 ("Exchange Physical Emergencies"). | CFTC 12-370 |
| 10/29/12 | CME/CBOT/NYMEX /COMEX | Emergency actions taken by the Registered Entities on October 29, 2012 due to Hurricane Sandy and pursuant to their authority under Rule 257 ("Exchange Physical Emergencies"). | CFTC 12-363 |
| 10/29/12 | COMEX | Plans to implement an incentive program in support of COMEX Copper Options. | CFTC 12-350 |
| 10/26/12 | CBOT | Plans to implement a market maker program in support of U.S. Dollar interest rate swaps futures products. | CFTC 12-327 |
| 10/26/12 | CBOT | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 10/26/12 | CME | Establishment of settlement arrangements between CME and the NY Branch of the Bank of China Limited | CFTC 12-362 |
| 10/26/12 | CME | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 10/26/12 | CBOT | Self-certification of terms and conditions for 2-Year US Dollar Interest Rate Swap futures, 5-Year US Dollar Interest Rate Swap futures, 10-Year Interest Rate Swap futures, and 30-Year US Dollar Interest Rate Swap futures, to be listed for trading beginning Tuesday, November 13, 2012. | CFTC 12-360 |
| 10/26/12 | CBOT | Changes to regularity products made effective during the Week of October 15, 2012. | CFTC 12-361 |
| 10/26/12 | NYMEX/COMEX | Notification of amendments made effective during the week of October 15, 2012. | CFTC 12-354 |
| 10/26/12 | CBOT | Plans to modify its schedule of fees that applies to Agricultural Futures fungibility transactions. | CFTC 12-359 |
| 10/26/12 | CME | Withdrawal of NYMEX Submission No. 12-352 (Weekly Notification). | CFTC 12-352W |
| 10/25/12 | CME Clearing | Notification of rule amendments during the week of October 15, 2012. | DCO Weekly Notification 20121025 |
| 10/24/12 | CME | Revisions to all CME Weather futures and options chapters in connection with the October 17, 2012, compliance date for designated contract markets with respect to the Commodity Futures Trading Commission final rule on Core Principles and Other | CFTC 12-343 |

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| | | Requirements for Designated Contract Markets. | |
| 10/23/12 | CME/CBOT/NYMEX /COMEX | Revisions to each Exchange's Rule 526 ("Block Trades"). | CFTC 12-351 |
| 10/22/12 | NYMEX | Delisting of certain contract days for the Daily European Union Allowance (EUA) futures contract (Code EUL, Chapter 1260) | CFTC 12-333 |
| 10/19/12 | CME/CBOT/NYMEX /COMEX | Revisions to CME/CBOT/NYMEX Rules 802 and 901, CME Rules 8G04, 8G802, 8H04 and 8H802, CME's Clearing House Manuals of Operation for Interest Rate Swaps and CME's Clearing House Manuals of Operation for Credit Default Swaps. | CFTC 12-347 |
| 10/19/12 | CME | CME Clearing Advisory Notice RE: regarding the use of the Price, Plus Accrued Interest, to Value Securities on Deposit at CME Clearing | CFTC 12-345 |
| 10/19/12 | CME | Expansion of the standard array of contract months which are authorized for trading in Lean Hog Calendar Spread Options. | CFTC 12-346 |
| 10/19/12 | NYMEX | Amendment to Listing Schedule for Six Petroleum Futures Contracts | CFTC 12-340 |
| 10/19/12 | NYMEX | Amendment to the listing schedule for East-West Fuel Oil Spread (Platts) futures contract (chapter 666, commodity code EW) | CFTC 12-320 |
| 10/18/12 | CME | Revisions to all CME Equity and Real Estate futures and options chapters in connection with the October 17, 2012, compliance date for designated contract markets with respect to the Commodity Futures Trading Commission final rule on Core Principles and Other Requirements for Designated Contract Markets. | CFTC 12-338 |
| 10/18/12 | CME/CBOT/NYMEX /COMEX | Adoption of CME/CBOT/NYMEX/COMEX Rule 419 ("Contract Modification") in connection with the October 17, 2012, compliance date for designated contract markets with respect to the Commodity Futures Trading Commission final rule on Core Principles and Other Requirements for Designated Contract Markets. | CFTC 12-341 |
| 10/18/12 | CME Clearing | Notification of rule amendments during the week of October 8, 2012. | DCO Weekly Notification 20121018 |
| 10/17/12 | CME/CBOT/NYMEX /COMEX | Implementation of an incentive for high volume proprietary trading firms and trading arcades located outside North America. | CFTC 12-318 |

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| 10/17/12 | CBOT | Modifications to the CBOT Non-Member Fee Discount Program for E-mini Dow Futures. | CFTC 12-318 |
| 10/17/12 | CME | Plans to implement a market making program in support of certain E-micro S&P CNX Nifty futures contracts that are traded on Globex®. | CFTC 12-334 |
| 10/17/12 | CME | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 10/17/12 | CME | Plans to extend the CME Floor-traded Futures Liquidity Program. | CFTC 12-322 |
| 10/16/12 | NYMEX | Revisions to position limits and position accountability levels in NYMEX Last Day Financial Natural Gas Contracts. | CFTC 12-310W |
| 10/16/12 | NYMEX | Modifications to the NYMEX International Crude and Refined Products Incentive Program. | CFTC 12-339 |
| 10/16/12 | CME | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 10/16/12 | NYMEX | Incentive program in support of certain NYMEX energy futures and options contracts that are traded on the Globex Platform or submitted for clearing to the CME Clearing House. | CFTC 12-328 |
| 10/16/12 | CME | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 10/16/12 | CME | Plans to renew the CME E-Mini Equity Options Spread Incentive Program. | CFTC 12-325 |
| 10/15/12 | NYMEX | Adoption of new Rule 525 (“9(B)(iii) Trades”) and the issuance of revised NYMEX Market Regulation Advisory Notices RA1201-6R and RA1201-6RR. | CFTC 12-336 |
| 10/15/12 | NYMEX/COMEX | Reduction of block trade minimum thresholds in five COMEX core metals futures and options products, establishment of block trade minimum thresholds in 26 NYMEX and COMEX metals products, increase in block trade minimum thresholds in four NYMEX power contracts and issuance of NYMEX & COMEX Market Regulation Advisory Notice RA1204-4. | CFTC 12-331 |
| 10/15/12 | NYMEX/COMEX | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 10/15/12 | CME | Amendments to the daily price limits for the nonfat Dry Milk and Dry Whey futures contracts | CFTC 12-337 |

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| 10/15/12 | CME | Plans to extend the CME Individual Member Equity Index Maxi-Mini Incentive Program. | CFTC 12-324 |
| 10/15/12 | NYMEX | Plans to modify the NYMEX Energy Products Incentive Program for High Volume Hedging Contributors. | CFTC 12-332 |
| 10/15/12 | NYMEX | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 10/15/12 | NYMEX | Delisting of nineteen contracts (23 commodity codes) effective immediately. | CFTC 12-317 |
| 10/11/12 | NYMEX | Listing of 15 new financially settled natural gas futures contracts for trading on CME Globex and the NYMEX trading floor, and for submission for clearing through CME ClearPort beginning at 6:00 p.m. on Sunday, October 14, 2012, for trade date Monday, October 15, 2012. | CFTC 12-330 |
| 10/11/12 | NYMEX | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 10/11/12 | NYMEX | Withdrawal of NYMEX Submission No. 12-307 (Listing of 15 Natural Gas Futures). | CFTC 12-307W |
| 10/11/12 | CME Clearing | CME Clearing Weekly Notification of Rule Amendments (Week of October 1, 2012). | DCO Weekly Notification 20121011 |
| 10/11/12 | COMEX | Amendments to Rule 1067.03 ("Trading Unit") of the Short Term Gold Option contract in order to clarify to the marketplace the months into which the options exercise upon expiration. | CFTC 12-300 |
| 10/11/12 | NYMEX | Listing of Chinese Steel Rebar HRB400 (Mysteel) Futures contract. | CFTC 12-293 |
| 10/11/12 | NYMEX | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 10/10/12 | CME | Acceptance of CDX North American Investment Grade Index Series 8 ("CDX IG 8") for clearing. | CFTC 12-326 |
| 10/9/12 | CME/CBOT/NYMEX /COMEX | Revisions to each Exchange's Rules 578, 579, 587, 588, 600 and 621 | CFTC 12-319 |
| 10/9/12 | NYMEX | Correction to submission regarding addition of 50 existing NYMEX energy futures and option contracts. | CFTC 12-294C |
| 10/5/12 | NYMEX | Plans to implement an incentive program for high volume crude options firms in support of certain NYMEX Brent option contracts. | CFTC 12-314 |

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| 10/5/12 | NYMEX | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 10/4/12 | CME | CME Clearing Weekly Notification of Rule Amendments (Week of September 24, 2012) | DCO Weekly Notification 20121004 |
| 10/4/12 | CME | Plans to implement a market making program in support of certain Ibovespa Index USD-denominated futures contracts | CFTC 12-306 |
| 10/4/12 | CME | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 10/4/12 | CME | Amendments made effective during the week of September 22, 2012. | CFTC 12-316 |
| 10/3/12 | CME | The listing of USD Denominated Ibovespa Futures on Monday, October 22, 2012. | CFTC 12-298 |
| 10/3/12 | NYMEX | Amendment to the termination of trading rule for the Midwest ISO Indiana Hub 5 MW Peak Calendar-Day Real-Time Swap Futures contract (product code PDT, Chapter 1075), effective trade date October 22, 2012. | CFTC 12-313 |
| 10/2/12 | CME/CBOT/NYMEX | Withdrawal of revisions to each Exchange's Rule 559 ("Position Limits and Exemptions"). | CFTC 12-299W |
| 10/1/12 | CME/CBOT/NYMEX /COMEX | Revisions to various rules in connection with the compliance date for the Commission's final rule concerning Core Principles and other requirements for Designated Contract Markets. | CFTC 12-305 |
| 10/1/12 | CBOT | Updated delivery differentials for CBOT Soybean Oil and Soybean Meal, effective January 2, 2013. | CFTC 12-295 |
| 10/1/12 | NYMEX | Delisting of certain contract days for the Daily European Union Allowance (EUA) futures contract (Code EUL, Chapter 1260), effective immediately. | CFTC 12-296 |
| 9/28/12 | NYMEX/COMEX | Weekly notification of amendments issued during the week of September 17, 2012. | CFTC 12-303 |
| 9/28/12 | CME | Weekly notification of amendments issued during the week of September 17, 2012. | CFTC 12-304 |
| 9/28/12 | CBOT | Weekly notification of amendments issued during the week of September 17, 2012. | CFTC 12-302 |
| 9/28/12 | NYMEX/COMEX | Request for expedited approval for revisions to NYMEX Rule 526. | CFTC 12-301 |

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| 9/27/12 | CME | Notification of rule amendments during the week of September 17, 2012. | DCO Weekly Notification 20120927 |
| 9/27/12 | NYMEX | Listing of 35 existing electricity futures contracts. | CFTC 12-276 |
| 9/27/12 | NYMEX | Addition of 149 existing natural gas futures contracts. | CFTC 12-260 |
| 9/26/12 | CME | Changes to the value-date conventions for non-US Dollar variation margin for interest rate swaps effective November 8, 2012. | CFTC 12-297R |
| 9/24/12 | NYMEX | Adoption of new Rule 525 ("9(B)(iii) Trades"). In connection with the adoption of the new rule, NYMEX is releasing NYMEX Market Regulation Advisory Notice RA1201-6 ("RA1201-6"). | CFTC 12-290 |
| 9/20/12 | NYMEX/COMEX | Revisions to Rule 526, establishment of new block trade minimum thresholds in 87 existing NYMEX energy products, the elimination of block eligibility in three NYMEX products and the issuance of NYMEX/ COMEX MRAN RA1203-4. | CFTC 12-292 |
| 9/19/12 | CME/CBOT/NYMEX /COMEX | Revisions to Rule 561. | CFTC 12-289 |
| 9/19/12 | CME/CBOT/NYMEX /COMEX | Revisions to Rule 536B. | CFTC 12-288 |
| 9/17/12 | CME | Plans to modify and extend the CME FX EFP Surcharge Volume Incentive Program. | CFTC 12-286 |
| 9/17/12 | NYMEX | Notification of an amendment issued during the week of September 10, 2012. | CFTC 12-291 |
| 9/17/12 | CME/CBOT/NYMEX | Notification of amendments to CME/CBOT/NYMEX Rule 971. | CFTC 12-282 |
| 9/14/12 | CME/NYMEX | Notification of amendments to: CME Rules 8F005, 8G005 and 8H005, CME IRS and CDS Clearing House Manual of Operations, and NYMEX Rule 984. | CFTC 12-280 |
| 9/14/12 | CME/NYMEX | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 9/14/12 | NYMEX | Plans to implement an incentive program in support of certain NYMEX petroleum products. | CFTC 12-273 |
| 9/14/12 | NYMEX | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |

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| 9/13/12 | CBOT | Modifications to the CBOT Agricultural Back Month Incentive Program. | CFTC 12-285 |
| 9/13/12 | CBOT | Modifications to an existing CBOT Agricultural Progressive Volume Tier Discount Program for Firms and Individuals. | CFTC 12-284 |
| 9/13/12 | CME/CBOT/NYMEX | Revisions to CME/CBOT/NYMEX Rule 971 (Segregation, Secured and Sequestered Requirements) upon the Commission's approval. | CFTC 12-281 |
| 9/13/12 | CME | Launch of new Standard-size and E-micro-size U.S. Dollar/Offshore Chinese Renminbi (USD/CNH) Futures contracts on CME Globex® and CME ClearPort® | CFTC 12-287 |
| 9/11/12 | CME | Acceptance of CDX North American Investment Grade Index Series 19 ("CDX IG 19") and CDX North American High Yield Index Series ("CDX HY 19") for clearing. | CFTC 12-283 |
| 9/11/12 | NYMEX | Revised notification of rule amendments during the week of September 3, 2012. | CFTC 12-277r |
| 9/11/12 | CME | Notification of rule amendments during the week of September 3, 2012. | DCO Weekly Notification 20120911 |
| 9/10/12 | NYMEX | Delisting of a coal swap futures contract ((China Coal (IHS McCloskey/Xinhua Infolink South China CFR Marker 5,500kc NAR) Swap Futures)) effective immediately. | CFTC 12-264 |
| 9/7/12 | CBOT | Extension of open floor trading hours for CBOT Grain and Oilseed futures and options on United States Department of Agriculture ("USDA") Grain Stocks crop report days. | CFTC 12-279 |
| 9/6/12 | NYMEX | Listing of a new Coal (API 8) cfr South China (Argus/McCloskey) Swap futures contract for trading on the NYMEX trading floor and for submission for clearing through CME ClearPort. | CFTC 12-267 |
| 9/6/12 | NYMEX | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 9/4/12 | CME | Notification of rule amendments during the week of August 27, 2012. | DCO Weekly Notification 20120904 |
| 9/4/12 | CBOT | Amendments to Exchange Rules 18102.E. and 40102.E. concerning position limits and position accountability for CBOT U.S. Treasury Bond Futures and Long-Term ("Ultra") U.S. Treasury Bond Futures, respectively. | CFTC 12-278 |

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| 8/31/12 | NYMEX | Plans to implement a broker rebate program in support of certain NYMEX European Fuel Oil products. | CFTC 12-258 |
| 8/31/12 | NYMEX | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 8/30/12 | NYMEX | Amendments to option price rules for options associated with each of the physically delivered Henry Hub Natural Gas, Light Sweet Crude Oil, RBOB Gasoline and New York Harbor ULSD Heating Oil futures contracts. | CFTC 12-270 |
| 8/30/12 | CBOT | Plans to modify its schedule of fees that applies to Agricultural Futures fungibility transactions. | CFTC 12-272 |
| 8/30/12 | CME | Acceptance of Zero Coupon Swaps (USD, EUR and GBP) and Overnight Index Swaps (USD, EUR, GBP and JPY); Extension of maturity date for USD, EUR and GBP to 51 years. | CFTC 12-275 |
| 8/30/12 | CME | Amendments to the CME Manual of Operations for CME Cleared Interest Rate Swaps. | CFTC 12-274 |
| 8/30/12 | CME | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 8/29/12 | CME/CBOT/NYMEX /COMEX | Regarding the August 30, 2012, issuance of CME Group Market Regulation Advisory Notice RA1208-5 which informs the marketplace that the Exchanges will implement new Rule 512 ("Reporting Infractions") on October 1, 2012. | CFTC 12-271 |
| 8/29/12 | CME/CBOT/NYMEX /COMEX | Revisions to Chapter 8 of each of the Exchanges' Rulebook. | CFTC 12-269 |
| 8/28/12 | CME | Notification of rule amendments during the week of August 20, 2012. | DCO Weekly Notification 20120828 |
| 8/27/12 | NYMEX | Delisting of four environmental futures contracts, noted below, effective immediately. | CFTC 12-268 |
| 8/24/12 | NYMEX | Weekly notification of rule amendments | CFTC 12-265 |
| 8/23/12 | NYMEX | Plans to implement a market making program in support of U.S. Midwest No. 1 Busheling Scrap (AMM) Futures contracts that are traded on Globex. | CFTC 12-266 |
| 8/23/12 | NYMEX | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |

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| 8/23/12 | NYMEX | Listing of U.S. Midwest #1 Busheling Ferrous Scrap (AMM) futures contract. | CFTC 12-255 |
| 8/23/12 | NYMEX | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 8/23/12 | COMEX | Amendment to Rule 116.02 (“Trading Months of Silver Options”) in order to expand the listing schedule of non-cycle months for the Silver Option contract (chapter 116, commodity code SO) such that six (6) consecutive calendar months (cycle and non-cycle) are listed at all times. | CFTC 12-251 |
| 8/22/12 | NYMEX | Delisting of two (2) swap futures contracts from the CME Globex trading platform and the simultaneous listing of the products for trading on NYMEX trading floor in order to maintain the continued listing of these products on a competitive trading venue. | CFTC 12-263 |
| 8/21/12 | CME | Notification of rule amendments during the week of August 13, 2012 | DCO Weekly Notification 20120821 |
| 8/21/12 | CBOT | Amendments to CBOT Rule 855 (“Offsetting Mini-Sized And Full-Sized Futures Positions”) and CBOT Rules 10B07.A, 11B07.A, and 14B07.A (“Deliveries by Mini-Sized Certificates”) in order to allow for a 5:1 offset of Mini-Sized Corn, Soybean, and Wheat futures and Full-Sized Corn, Soybean, and Wheat futures contracts. | CFTC 12-261r |
| 8/17/12 | NYMEX | Amendment to the floating price rule for the Freight Route TD3 (Baltic) Swap Futures contract (code TL). | CFTC 12-259 |
| 8/16/12 | CME/CBOT/NYMEX /COMEX | Adoption of CME/CBOT/NYMEX/COMEX Rule 512 (“Reporting Infractions”) and revisions to CME/CBOT/NYMEX/COMEX Rule 852 (“Fines for Errors, Delays and Omissions”). | CFTC 12-257 |
| 8/15/12 | CME | Plans to modify its schedule of fees that apply to cleared OTC interest rate swaps. | CFTC 12-254 |
| 8/15/12 | CME | Notification of rule amendments during the week of August 6, 2012. | DCO Weekly Notification 20120815 |
| 8/9/12 | NYMEX | Listing of a new LNG Japan/Korea Marker (Platts) Swap Futures contract for trading on the NYMEX trading floor and for submission for clearing through CME ClearPort. | CFTC 12-252 |
| 8/9/12 | NYMEX | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |

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| 8/9/12 | NYMEX | Listing of new Daily Brent Crude Oil Option for open outcry trading on the NYMEX trading floor and for submission for clearing through CME ClearPort®. | CFTC 12-244 |
| 8/7/12 | CME/CBOT/NYMEX /COMEX | Request for expedited approval to adopt new Rule 418 (“Consent to Exchange Jurisdiction”) and various revisions to Rules in Chapter 4 (“Enforcement of Rules”) of each Exchange’s Rulebook. | CFTC 12-247 |
| 8/7/12 | CME | Notification of rule amendments during the week of July 30, 2012. | DCO Weekly Notification 20120807 |
| 8/3/12 | CBOT | CBOT Market Regulation Advisory Notice RA1203-1 that provides the members in the Treasury and Swap futures pit with revised information concerning the rollover time period for purposes of applying the policy concerning open outcry trading in the pit. | CFTC 12-250 |
| 8/2/12 | CBOT | Weekly notification of rule amendments | CFTC 12-249 |
| 8/2/12 | CME/CBOT/NYMEX /COMEX | Revisions to the CME Globex Messaging Policy for effective date January 2, 2013. | CFTC 12-248 |
| 8/2/12 | NYMEX | Listing of two (2) new petroleum swap futures contracts for trading on the NYMEX trading floor and for submission for clearing through CME ClearPort beginning on Sunday, August 5, 2012, for trade date Monday, August 6, 2012. | CFTC 12-225 |
| 8/2/12 | NYMEX | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 8/2/12 | NYMEX/COMEX | Listing of a cleared only OTC London Silver forwards (cash margin) contract on its derivatives clearing organization for submission for clearing through CME ClearPort. | CFTC 12-131 |
| 8/1/12 | CME | Acceptance of the cleared only S&P GSCI Enhanced Excess Return Index swaps for clearing, effective for the trade date of Monday, August 6, 2012. | CFTC 12-246 |
| 7/31/12 | CME | Notification of rule amendments during the week of July 23, 2012. | DCO Weekly Notification 20120731 |
| 7/27/12 | NYMEX | Delisting of eleven natural gas basis swap futures contracts from the Globex trading platform and the simultaneous listing of these products onto the NYMEX trading floor in order to maintain the continued listing of these products on a competitive trading venue. | CFTC 12-245 |

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| 7/27/12 | CME | Notification of rule amendments during the week of July 16, 2012. | DCO Weekly Notification 20120727 |
| 7/24/12 | NYMEX | Delisting of four tanker freight swap futures contracts. | CFTC 12-238 |
| 7/23/12 | NYMEX | Delisting of eleven tanker freight forwards contracts. | CFTC 12-237 |
| 7/20/12 | NYMEX | Incentive program in support of certain European petroleum products. | CFTC 12-243 |
| 7/20/12 | NYMEX | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 7/20/12 | CME/CBOT/NYMEX /COMEX | Issuance of CME Group Market Regulation Advisory Notice RA1206-5 announcing a change in the large trader adjustment window deadline for clearing member firms and omnibus accounts. | CFTC 12-242 |
| 7/18/12 | CME | Notification of rule amendments during the week of July 9, 2012. | DCO Weekly Notification 20120718 |
| 7/17/12 | CME/CBOT/NYMEX /COMEX | Amendments to CME/CBOT/NYMEX Rule 819 and CME Rules 8F002, 8F004 and 8F008. | CFTC 12-241 |
| 7/17/12 | CME/CBOT/NYMEX /COMEX | Amendments to CME/CBOT/NYMEX Rules 818, 930, 971 and 973 and CME Chapter 8F. | CFTC 12-240 |
| 7/16/12 | NYMEX/COMEX | Plans to implement an incentive program in support of certain energy and metals futures and options products. | CFTC 12-239 |
| 7/16/12 | NYMEX/COMEX | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 7/16/12 | NYMEX | Withdrawal of plans to implement Non-Member Core Energy Futures and Options Products and Overnight Trading Incentive Program. | CFTC 12-236W |
| 7/13/12 | NYMEX | Weekly Notification | CFTC 12-235 |
| 7/12/12 | NYMEX | Listing of a new LNG East Asia Index (ICIS Heren) Swap futures contract. | CFTC 12-232 |
| 7/12/12 | NYMEX | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 7/11/12 | NYMEX | Plans to implement an incentive program for banks, hedge funds and commercial firms in support of certain energy contracts. | CFTC 12-229 |

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| 7/11/12 | NYMEX | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 7/10/12 | CME | Acceptance of the cleared only Urea-FOB Yuzhny and Urea-FOB Egypt swaps for clearing, effective for the trade date of Monday, July 16, 2012. | CFTC 12-233 |
| 7/10/12 | CME | Notification of rule amendments during the week of July 2, 2012. | DCO Weekly Notification 20120710 |
| 7/6/12 | CBOT | Expansion of the standard array of contract months which are authorized for trading in cleared only CBOT Corn, Soybean, and Wheat Calendar Swaps, such that the listed swap month arrays will match the consecutive listed month arrays for the underlying futures contracts. | CFTC 12-230 |
| 7/6/12 | CBOT | Weekly Notification of rule amendments | CFTC 12-231 |
| 7/6/12 | CME | Notification of rule amendments during the week of June 25, 2012 | DCO Weekly Notification 20120706 |
| 7/6/12 | NYMEX | Amendments to the spot month position limits for the Dubai Crude Oil (Platts) Calendar Swaps futures and nine other financially settled swap futures and average price option contracts associated with the Dubai Crude Oil (Platts) Calendar Swaps futures contract. | CFTC 12-228 |
| 7/6/12 | NYMEX | Amendments to the Spot Month Position Limits for Japan C&F Naptha (Platts) Swap Futures | CFTC 12-227 |
| 6/29/12 | NYMEX | Weekly Notification of Rule Amendments | CFTC 12-223 |
| 6/28/12 | CBOT | Modifications to the CBOT Wheat futures and Mini-Sized Wheat futures contracts beginning with the September 2014 contract expiration. | CFTC 12-226 |
| 6/28/12 | NYMEX | Listing of a new financially settled refined futures contract for trading on the NYMEX trading floor and for submission for clearing through CME ClearPort. | CFTC 12-222 |
| 6/28/12 | NYMEX | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 6/28/12 | NYMEX | Listing of two (2) new financially settled average price option contracts for trading on the NYMEX trading floor and for submission | CFTC 12-221 |

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| | | for clearing through CME ClearPort. | |
| 6/28/12 | NYMEX | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 6/28/12 | NYMEX | The listing of the East-West Fuel Oil Spread (Platts) BALMO Swap futures contract for trading on the NYMEX trading floor and for submission for clearing through CME ClearPort. | CFTC 12-196 |
| 6/28/12 | NYMEX | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 6/26/12 | CME | Expansion of the listing cycle for the Nikkei 225 (Dollar) futures on Globex on July 15, 2012 for trade date July 16, 2012. | CFTC 12-213 |
| 6/26/12 | CME | Notification of rule amendments during the week of June 18, 2012. | DCO Weekly Notification 20120626 |
| 6/22/12 | NYMEX | Amendment to the aggregation of positions for the Short Term Gold option contract. | CFTC 12-220 |
| 6/22/12 | COMEX | Amendments to its Short Term Gold Option contract trading unit and absence of contrary instructions rules. | CFTC 12-212 |
| 6/22/12 | CBOT | Plans to implement the CBOT Agricultural Progressive Volume Tier Discount Program for Firms and Individuals. | CFTC 12-197 |
| 6/21/12 | CME | Deletion of Rule 537 ("Substitution of Futures for Forwards (SUB)") and, as a result of the deletion, adopting conforming amendments to several other CME Rules. | CFTC 12-216 |
| 6/21/12 | CME | Delisting of its Three-Month Eurodollar Forward Rate Agreement Futures contract. | CFTC 12-215 |
| 6/19/12 | NYMEX | Plans to implement an incentive program in support of certain European coal products. | CFTC 12-193 |
| 6/19/12 | NYMEX | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 6/19/12 | CBOT/NYMEX | Changes to CBOT and NYMEX Rules 816 and 817. | CFTC 12-217 |
| 6/19/12 | CME Clearing | Notification of rule amendments during the week of June 11, 2012. | DCO Weekly Notification 20120619 |
| 6/19/12 | CBOT | Weekly Notification | CFTC 12-218 |
| 6/18/12 | NYMEX | Please see below | CFTC 12-214 (part 2 of 2) |

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| 6/18/12 | NYMEX | Rule changes to implement the transfer of Green Exchange contracts and open interest to the New York Mercantile Exchange, Inc. ("NYMEX"). | CFTC 12-214 (part 1 of 2) |
| 6/18/12 | NYMEX | Plans to implement an incentive program in support of certain former Green Exchange, LLC ("GreenX") products that are executed on Globex or submitted for clearing via CME ClearPort. | CFTC 12-211 |
| 6/18/2012 | NYMEX | Plans to implement a Market Making Program in support of European Union Allowance and Certified Emission Reduction futures and spread contracts that are listed on the Globex Platform. | CFTC 12-210 |
| 6/18/12 | NYMEX | Plans to implement a Liquidity Provider Program in support of former Green Exchange, LLC ("GreenX") products that are traded on the Globex platform or cleared via CME ClearPort. | CFTC 12-209 |
| 6/18/12 | NYMEX | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 6/18/12 | NYMEX | Plans to implement a Market Making Program in support of European carbon products that are traded on the Globex Platform. | CFTC 12-208 |
| 6/18/12 | NYMEX | Plans to implement a Liquidity Provider Program in support of European Union Allowance contracts, Certified Emission Reduction contracts, Cross-State Air Pollution Rule contracts and California Carbon Allowance contracts. | CFTC 12-207 |
| 6/18/12 | NYMEX | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 6/18/12 | NYMEX | Plans to implement a CPC Incentive Program in support of former Green Exchange, LLC ("GreenX") products that are submitted for clearing via CME ClearPort. | CFTC 12-206 |
| 6/18/12 | NYMEX | Plans to implement a broker incentive program in support of certain former Green Exchange, LLC ("GreenX") products that are submitted for clearing via CME ClearPort. | CFTC 12-205 |
| 6/18/12 | NYMEX | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 6/15/12 | CME | Changes to CME's offering for cleared interest rate swaps. | CFTC 12-204 |
| 6/15/12 | CME | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 6/15/12 | CBOT | Weekly Notification | CFTC 12-203 |
| 6/15/12 | NYMEX | Modifications to the NYMEX OTC Broker Incentive Program. | CFTC 12-191 |
| 6/15/12 | NYMEX/COMEX | Modifications to the Volume Incentive Program for NYMEX and COMEX Metals Products. | CFTC 12-177 |
| 6/15/12 | NYMEX/COMEX | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |

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| 6/15/12 | CBOT | Modifications to the CBOT Agricultural Back Month Incentive Program. | CFTC 12-198 |
| 6/14/12 | NYMEX | Plans to implement a trader incentive program in support of Henry Hub Natural Gas Look Alike Financial Futures and Henry Hub Natural Gas Last Day Financial Futures contracts that are traded on Globex and via open outcry. | CFTC 12-172 |
| 6/14/12 | NYMEX | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 6/14/12 | NYMEX | Plans to modify the NYMEX Globex Traded Energy Products Back Month Messaging Program. | CFTC 12-194 |
| 6/14/12 | NYMEX | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 6/14/12 | CBOT | Declaration of Force Majeure with respect to AG Processing, Inc.'s soybean oil facility in Omaha, Nebraska. | CFTC 12-202 |
| 6/13/12 | CBOT | Withdrawal of plans to implement a Fee Waiver Program in support of Canadian Wheat Futures and Options products traded on Globex®. | CFTC 12-190W |
| 6/13/12 | CBOT | Plans to implement a Fee Waiver Program in support of CBOT Wheat Futures and Options products traded on Globex®. | CFTC 12-201 |
| 6/13/12 | NYMEX | Plans to implement a market making program in support of Henry Hub Natural Gas Look Alike Financial Futures and Henry Hub Natural Gas Last Day Financial Futures contracts. | CFTC 12-171 |
| 6/13/12 | NYMEX | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 6/13/12 | NYMEX | Plans to implement a Fee Waiver Program in support of Henry Hub Natural Gas Look Alike Financial Futures and Henry Hub Natural Gas last Day Financial Futures contracts | CFTC 12-170 |
| 6/13/12 | COMEX | Amendments to the Short Term Gold Option contract. | CFTC 12-186 |
| 6/13/12 | NYMEX | Modifications to the NYMEX Brent Crude Oil Last Day Financial Futures Fee Waiver Program. | CFTC 12-142 |
| 6/12/12 | NYMEX | Modifications to the existing Incentive Program for Natural Gas Products. | CFTC 12-163 |
| 6/12/12 | NYMEX | Plans to modify the existing Diverse Trading Non-Member International Commercial Firms Energy Incentive Program. | CFTC 12-162 |
| 6/12/12 | NYMEX | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 6/12/11 | CME/CBOT | Plans to extend the CME and CBOT E-mini Equity Options Market Maker Program on Globex®. | CFTC 12-175 |

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| 6/11/12 | NYMEX/COMEX | Modifications to the Cross-Venue Energy and Metals Incentive Program for High Volume Contributors. | CFTC 12-192 |
| 6/11/12 | NYMEX/COMEX | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 6/11/12 | NYMEX | Modifications to an existing NYMEX Energy Products Cross-Venue Incentive Program. | CFTC 12-167 |
| 6/11/12 | NYMEX | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 6/11/12 | NYMEX | Modifications to the Non-Member Cross Venue Incentive Program for certain NYMEX energy products. | CFTC 12-166 |
| 6/11/12 | NYMEX | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 6/11/12 | CBOT | Price limit rule amendments for the CBOT Dow Jones US Real Estate (“DJUSRE”) index futures, self-certification of the Block Trade provision known as Basis Trade at Index Close (“BTIC”) for the CBOT DJUSRE index futures and self-certification of the Market Regulation Advisory Notice on Block Trades, CME & CBOT RA1202-3, to be issued on Monday, June 11, 2012. | CFTC 12-185 |
| 6/11/12 | NYMEX | Delisting of previously listed contract months beyond December 2019 for six (6) Brent Crude Oil contracts. | CFTC 12-195 |
| 6/8/12 | CME | Adoption of the Modified Split Allocation feature of CME’s Standard Portfolio Analysis of Risk (“SPAN”) methodology for certain energy futures contracts. | CFTC 12-189 |
| 6/8/12 | CBOT | Modifications to an existing trading incentive program in support of certain CBOT agricultural contracts that are traded during extended trading hours. | CFTC 12-187 |
| 6/8/12 | NYMEX | Modifications to the NYMEX Energy Products Resting Order Incentive Program. | CFTC 12-168 |
| 6/8/12 | NYMEX | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 6/8/12 | NYMEX | Modifications to the Light Sweet Crude Oil ETH Market Making Incentive Program. | CFTC 12-165 |
| 6/8/12 | NYMEX | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 6/8/12 | CBOT | Extension of the close of floor trading hours for CBOT Grain and Oilseed futures and options contracts from 1:15 p.m. Central Time to 2:00 p.m. Central Time. | CFTC 12-188 |
| 6/8/12 | NYMEX | Plans to implement a volume incentive program for international firms in support of certain NYMEX Energy contracts | CFTC 12-169 |

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| 6/8/12 | NYMEX | SUPPLEMENTAL FILING UNDER FOIA | Filed Under FOIA |
| 6/7/12 | NYMEX/COMEX | Modifications to an existing Combined Energy & Metals Globex Volume Incentive Program for High Volume Contributors. | CFTC 12-164 |
| 6/7/12 | NYMEX/COMEX | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 6/7/12 | NYMEX | Modifications to existing Flex Energy Futures and Options Incentive Program | CFTC 12-161 |
| 6/7/12 | NYMEX | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 6/5/12 | CME | Rule amendments made effective during the week of May 28, 2012. | DCO Weekly Notification 20120605 |
| 6/5/12 | NYMEX | SUPPLEMENTAL FILING UNDER FOIA | Filed Under FOIA |
| 6/5/12 | NYMEX | Modifications to a volume incentive program in support of certain NYMEX Energy contracts that are traded on CME Globex®. | CFTC 12-160 |
| 6/4/12 | CME/CBOT/NYMEX | Notification of adopted revisions to Rule 802. | CFTC 12-184 |
| 6/4/12 | CBOT | Request for approval to increase the maximum storage charge that approved delivery warehouses can charge carriers of its outstanding warehouse receipts. | CFTC 12-183R |
| 6/1/12 | CME/CBOT | Plans to revise Market Regulation Advisory Notice RA1201-3R concerning block trades | CFTC 12-182 |
| 6/1/12 | CME | SUPPLEMENTAL FILING UNDER FOIA | Filed Under FOIA |
| 6/1/12 | CME | Plans to implement a market maker program in support of E-mini Yen denominated Nikkei Stock Average futures. | CFTC 12-176 |
| 6/1/12 | NYMEX/COMEX | Modifications to the NYMEX and COMEX Energy and Metals Products Non-Member Incentive Program. | CFTC 12-159 |
| 6/1/12 | NYMEX/COMEX | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 6/1/12 | CME | Revision to CFTC 12-152 regarding Block Trade provision for the E-mini S7P Select Sector Stock Index Futures referred to as Basis Trade at Index Close ("BTIC") | CFTC 12-152R |
| 6/1/12 | CME | Listing of E-mini Yen Denominated Nikkei Stock Average Index Futures | CFTC 12-141r |

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| 5/31/12 | CME | Rule amendments made effective during the week of May 21, 2012 | DCO Weekly Notification 20120531 |
| 5/31/12 | NYMEX | Listing of one (1) new Canadian sweet synthetic crude oil index futures contract for open outcry trading on the NYMEX trading floor and for submission for clearing through CME ClearPort®. | CFTC 12-158 |
| 5/31/12 | NYMEX | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 5/31/12 | CBOT | Listing of short dated options on new crop Corn, Soybean, and Wheat futures. | CFTC 12-135 |
| 5/30/12 | CME/CBOT/NYMEX /COMEX | Adoption of Rule 812 to clarify that certain products establish a final settlement price that is distinct from the final daily settlement price of a contract on its last day of trading and a revision to Rule 813 to clarify that Rule 813 pertains to the determination of daily settlement prices and retitles the rule to that effect. | CFTC 12-181 |
| 05/30/12 | CME/CBOT/NYMEX /COMEX | Revisions to Rule 619 in the Arbitration Chapter of each Exchange's Rulebook to clarify the procedures attendant to the appeals of arbitration panel decisions applicable to member-versus-member disputes. | CFTC 12-180 |
| 5/30/12 | CBOT | Modifications to an existing trading incentive program in support of certain CBOT agricultural contracts that are traded during extended trading hours. | CFTC 12-179 |
| 5/30/12 | CME/CBOT/NYMEX | Amendments to Rules 930.C (Acceptable Performance Bond Deposits) and 971 (Clearing Member Reporting Requirements). | CFTC 12-178 |
| 05/30/12 | CME | Modifications to an existing fee waiver program in support of certain cleared-only over-the-counter foreign exchange products. | CFTC 12-156 |
| 05/25/12 | CME | Weekly Notification – Reduction of minimum price fluctuation limit for S&P GSCI-ER Cleared Only Swaps | CFTC 12-154 |
| 5/24/12 | CBOT | Withdrawing plans for extension of open floor trading hours for CBOT Grain and Oilseed futures and options contracts. | CFTC 12-155W |
| 05/24/12 | CBOT | Modifications to trading hours for CBOT Grain and Oilseed products | CFTC 12-157 |
| 05/24/12 | CBOT | SUPPLEMENTAL FILING UNDER FOIA under FOIA | CFTC 12-153S |
| 05/24/12 | CBOT | Implementation of market maker program in support of Oat Futures | CFTC 12-153 |
| 05/24/12 | CME | Block Trade provision for the E-mini Standard and Poor's Stock Index Futures known as Basis Trade at Index Close ("BTIC") | CFTC 12-152 |
| 5/24/12 | CME | Request for approval to commingle customer positions and related collateral in Interest Rate Futures and Interest Rate Swaps in customer cleared swaps accounts | CFTC 12-151 |

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| 5/24/12 | CBOT | New settlement methodology for CBOT Agricultural Futures products | CFTC 12-150 |
| 5/23/12 | CME | Rule amendments during the week of May 14, 2012 | DCO Weekly Notification 20120523 |
| 5/21/12 | CBOT | Black Sea Wheat Futures | CFTC 12-149 |
| 5/21/12 | CBOT | Black Sea Wheat Fee Waiver Program | CFTC 12-147 |
| 5/21/12 | CBOT | Black Sea Wheat Market Making Program | CFTC 12-146 |
| 5/21/12 | CBOT | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 5/18/12 | CME/CBOT/ NYMEX/ COMEX | Revisions to Rule 588.G. | CFTC 12-148 |
| 5/17/12 | NYMEX | Listing of two Singapore Jet Kerosene Crack Spread Swap futures contracts | CFTC 12-129 |
| 5/17/12 | NYMEX | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 5/16/12 | CBOT | Withdrawal of submission regarding plans to extend electronic trading hours for CBOT Grain, Oilseed, and Ethanol futures and options contracts. | CFTC 12-134W |
| 5/16/12 | CBOT | Trading Hours for CBOT Grain and Oilseed Products | CFTC 12-144 |
| 5/16/12 | NYMEX | Brent Block Broker Rebate Program | CFTC 12-143 |
| 5/15/12 | CME | Rule amendments during the week of May 7, 2012 | DCO Weekly Notification 20120515 |
| 5/10/12 | NYMEX | Weekly Notification of Amendments: Reduction of minimum price fluctuation limit for eight (8) petroleum swap futures and option contracts | CFTC 12-139 |
| 5/10/12 | COMEX | Weekly Notification of Rule Amendments: Addition of approved depository for silver futures, addition of "sunshine" silver brand to supplement no. 1 "Official List of Approved Refiners and Brands," and amendment to minimum price fluctuation of cleared OTC London Gold Forwards contract | CFTC 12-138 |
| 05/9/12 | CME/CBOT/NYMEX /COMEX | Changes to the rule change approval authority set out in Rule 230.j. to reflect certain organizational changes within CMEG and its subsidiary registered entities | CFTC 12-137 |

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| 05/8/12 | CME | Modifications to the CME Incentive Program for Over-the-Counter Interest Rate Swaps | CFTC 12-136 |
| 5/8/12 | CME | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 05/07/12 | CME | Rule amendments during the week of April 30, 2012 | DCO Weekly Notification 20120507 |
| 05/03/12 | CME/NYMEX/CBOT | Revision to Rule 701 (Force Majeure) | CFTC 12-126 |
| 05/02/12 | CME | Listing: EOM Options on NASDAQ 100 futures and E-Mini NASDAQ 100 futures | CFTC 12-127 |
| 05/01/12 | CME | Rule amendments during the week of April 23, 2012 | DCO Weekly Notification 20120501 |
| 04/27/12 | CME | Permanent halt in Listing: S&P GSCI-ER Index Forward contracts | CFTC 12-133 |
| 04/27/12 | NYMEX | Partial delisting: Contract months beyond December 2014 for Singapore Fuel Oil 180 (Platts) Crack Spread Swap Futures | CFTC 12-132 |
| 04/27/12 | NYMEX | Filed under FOIA | CFTC 12-122S |
| 04/27/12 | NYMEX | Launch: Three (3) new petrochemical contracts | CFTC 12-122 |
| 04/27/12 | NYMEX | Listing CSX and PRB Coal option contracts | CFTC 12-121 |
| 04/27/12 | NYMEX | Listing: Five (5) Japan RIM swap futures contracts | CFTC 12-120 |
| 04/27/12 | NYMEX | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 04/27/12 | COMEX | Listing: Aluminum MW U.S. Transaction Premium Platts (25MT) Swap Futures | CFTC 12-105 |
| 04/27/12 | COMEX | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 04/25/12 | CME Clearing | Rule amendments during the week of April 16, 2012 | DCO Weekly Notification 20120425 |
| 04/24/12 | CME | Partial delisting of NASDAQ 100 Weekly Options and E-mini NASDAQ 100 Weekly Options | CFTC 12-128 |

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| 04/23/12 | NYMEX | Reduction of minimum price fluctuation for certain energy products | CFTC 12-125 |
| 04/17/12 | CME Clearing | Rule amendments during the week of April 9, 2012 | DCO Weekly Notification 20120417 |
| 04/13/12 | CME | Changes to 8H04 – CDS CM Capital | CFTC 12-124 |
| 04/13/12 | CME | Changes to 8G04 – IRS CM Capital | CFTC 12-123 |
| 04/13/12 | CME | Change in the fees for S&P GSCI-ER swaps contracts | CFTC 12-119 |
| 04/13/12 | NYMEX | Rule amendment to the NY Harbor HO futures contract and listing expansion | CFTC 12-118 |
| 04/13/12 | NYMEX | Delisting of MACI backwardation | CFTC 12-117 |
| 04/13/12 | NYMEX | Listing of Western Canadian Crude Oil Option | CFTC 12-116 |
| 04/13/12 | NYMEX | Brent Energy Volume Incentive Program | CFTC 12-115 |
| 04/13/12 | NYMEX | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 04/12/12 | CME | Portfolio margining program for CME Eurodollar futures together with Eris Exchange Interest Rate Swap futures, for both customer and house accounts | CFTC 12-114 |
| 04/12/12 | NYMEX | EMEA Brent Calendar Spread Market Maker Program | CFTC 12-113 |
| 04/12/12 | NYMEX | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 04/12/12 | NYMEX | Asia Pacific Brent Calendar Spread Market Maker Program | CFTC 12-110 |
| 04/12/12 | NYMEX | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 04/11/12 | CME/CBOT/NYMEX | Enhanced reporting requirements required of FCM clearing members pursuant to CME/CBOT/NYMEX Rule 970.D. | CFTC 12-112 |
| 04/11/12 | COMEX | Extension and modifications to E-micro Gold Futures Market Making Program on Globex | CFTC 12-111 |
| 04/10/12 | NYMEX | Brent Block trade broker rebate program | CFTC 12-109 |

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| 04/10/12 | CME/CBOT/NYMEX /COMEX | Modifications (extension) of the New trader Incentive Program | CFTC 12-108 |
| 04/10/12 | NYMEX/COMEX | Modifications to the NYMEX Brent 25-Day Futures Incentive Program | CFTC 12-107 |
| 04/09/12 | NYMEX | Weekly notification of rule amendments | CFTC 12-106 |
| 04/04/12 | CME | Modifications to CME Housing Futures Market Maker Program | CFTC 12-101 |
| 4/4/2012 | CME Clearing | Rule amendments during the week of March 26, 2012 | DCO Weekly Notification 20120404 |
| 03/29/12 | NYMEX | Listing of freight TC6 (Baltic) futures | CFTC 12-098 |
| 03/29/12 | NYMEX | FOIA Supplementary Submission | Filed Under FOIA |
| 03/29/12 | NYMEX | Notification Regarding the Listing of a Cleared OTC London Gold Forwards (cash margin) contract for Submission for Clearing through CME ClearPort | CFTC 12-092 |
| 03/28/12 | CME | Amendments to comply Rule 8F04 (OTC Clearing Member Obligations and Qualifications) | CFTC 12-097 |
| 3/28/2012 | CME Clearing | Rule amendments during the week of March 19, 2012 | DCO Weekly Notification 20120321 |
| 3/26/12 | CME/CBOT/NYMEX | Amendments to CME/CBOT/NYMEX Rule 981: Anti-Money Laundering | CFTC 12-094 |
| 3/26/12 | CME | Weekly Notification - Revised regularity for Spot Call Butter and Spot Call Nonfat Dry Milk. | CFTC 12-096 |
| 3/23/12 | CME | Weekly Notification | CFTC 12-095 |
| 3/23/12 | NYMEX | Withdrawal of revisions to contract month listing basis on Globex for eleven (11) natural gas basis swap futures | CFTC 12-076RW |
| 3/22/12 | NYMEX | NY ULSD (Argus) vs. Heating Oil Spread BALMO Swap Futures | CFTC 12-084 |
| 03/22/12 | NYMEX | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 3/22/12 | NYMEX | Listing of two (2) MISO Hub Electricity contracts | CFTC 12-090 |
| 3/21/12 | CME | Approved revisions to CME Rule 542 | CFTC 12-091 |
| 3/21/12 | CME Clearing | Rule amendments during the week of March 12, 2012 | DCO Weekly Notification 20120321 |

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| 03/20/12 | CME | Acceptance of JPY, ZHF, and AUD denominated IRS | CFTC 12-100 |
| 03/19/12 | CME | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 03/19/12 | NYMEX | Extension of NYMEX Incentive Program for OTC Energy Options Products | CFTC 12-089 |
| 03/19/12 | NYMEX | FOIA Supplementary Submission | Filed Under FOIA |
| 03/16/12 | CBOT | CBOT Agricultural Volume Incentive Program | CFTC 12-086 |
| 03/16/12 | CBOT | CBOT ETH for Agricultural volume traded on CME Globex | CFTC 12-087 |
| 03/16/12 | CME | Acceptance of CDX North American Investment Grade Index Series and CDX North American High Yield Index Series | CFTC 12-088 |
| 03/15/12 | NYMEX/COMEX | Modifications to NYMEX and COMEX Energy and Metals Cross Venue IP | CFTC 12-081 |
| 03/15/12 | NYMEX/COMEX | FOIA Supplementary Submission | Filed Under FOIA |
| 03/15/12 | CME | Cash Settled Cheese Futures Market Maker Program | CFTC 12-082 |
| 03/15/12 | NYMEX/COMEX | Extension of Cross Venue Energy & Metals IP for High Volume Contributors | CFTC 12-083 |
| 03/15/12 | NYMEX/COMEX | FOIA Supplementary Submission | Filed Under FOIA |
| 03/15/12 | NYMEX | Diverse Trading Non-Member International Commercial Firms Energy Incentive Program | CFTC 12-085 |
| 03/15/12 | NYMEX | FOIA Supplementary Submission | Filed Under FOIA |
| 3/14/12 | CME Clearing | Rule amendments during the week of March 5, 2012 | DCO Weekly Notification 20120314 |
| 03/13/12 | CME | Modifications to CME Crude Palm Oil Futures Market Maker Incentive Program | CFTC 12-078 |
| 03/13/12 | CME | Extension to CME Crude Palm Oil Futures Fee Waiver Program | CFTC 12-079 |
| 03/13/12 | COMEX | Extension to E-Micro Gold Futures Market Making Program on Globex | CFTC 12-080 |
| 03/09/12 | NYMEX | Weekly Notification | CFTC 12-059 |
| 03/09/12 | CBOT | Revisions to CBOT Position Limit, Position Accountability and Reportable Level Table | CFTC 12-077 |

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| 03/08/12 | COMEX | Copper Calendar Swap and Copper Options | CFTC 12-045 |
| 03/08/12 | CME/CBOT/NYMEX /COMEX | S&P Case-Shiller Home Price Index Futures (Globex) Market Maker Program | CFTC 12-060 |
| 03/08/12 | NYMEX | Listing of three (3) new petroleum swap futures contracts (RBOB Spread) | CFTC 12-068 |
| 03/08/12 | NYMEX | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 03/08/12 | NYMEX | FOIA Supplementary Submission | Filed Under FOIA |
| 03/08/12 | NYMEX | Listing of Dated Brent (Platts) BALMO Swap futures contract | CFTC 12-069 |
| 03/08/12 | NYMEX | FOIA Supplementary Submission | Filed Under FOIA |
| 03/08/12 | NYMEX | Listing for four (4) fuel oil contracts (Fuel Oil Crack Spread BALMO) | CFTC 12-070 |
| 03/08/12 | NYMEX | Listing of Mini European Naphtha (Platts) BALMO Swap futures | CFTC 12-071 |
| 03/08/12 | NYMEX | FOIA Supplementary Submission | Filed Under FOIA |
| 3/7/12 | CME Clearing | Rule amendments during the week of February 27, 2012 | DCO Weekly Notification 20120307 |
| 03/06/12 | NYMEX | Energy Futures Messaging Pilot Program | CFTC 12-058 |
| 03/06/12 | NYMEX | FOIA Supplementary Submission | Filed Under FOIA |
| 03/06/12 | CME/CBOT/NYMEX /COMEX | IRS Guaranty Fund Allocation Amendments | CFTC 12-061RR |
| 03/06/12 | CME/CBOT/NYMEX /COMEX | FOIA Supplementary Submission | Filed Under FOIA |
| 03/06/12 | COMEX | Weekly Notification | CFTC 12-072 |
| 03/06/12 | NYMEX | Weekly Notification | CFTC 12-073 |
| 03/06/12 | CME/CBOT/NYMEX /COMEX | Messaging Policy for CME Globex Trading Platform | CFTC 12-074 |
| 03/06/12 | CME/CBOT/NYMEX /COMEX | Revision to 12-074 | CFTC 12-074R |
| 03/06/12 | CME/CBOT/NYMEX /COMEX | Revision to 12-074R | CFTC 12-074RR |
| 03/01/12 | NYMEX | Amendments to Type Option Rules for Two Electricity Options | CFTC 12-063 |

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| 3/1/12 | CME Clearing | Rule amendments during the week of February 20, 2012 | DCO Weekly Notification 20120301 |
| 02/28/12 | CME/CBOT/NYMEX /COMEX | CDS Guaranty Fund Allocation Amendments | CFTC 12-062R |
| 02/28/12 | CME | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 02/27/12 | CBOT | New Wheat Spread product on Minneapolis Grain Exchange (MGEX) | CFTC 12-057R |
| 2/23/12 | CME Clearing | Rule amendments during the week of February 13, 2012 | DCO Weekly Notification 20120223 |
| 02/22/12 | CME | Singapore Fuel Oil 180 cst vs. 380 cst Spread (Platts) BALMO Swap Futures | CFTC 12-032 |
| 02/22/12 | CME | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 02/22/12 | NYMEX | European Naphtha APO Options | CFTC 12-052 |
| 02/22/12 | NYMEX | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 02/22/12 | CME Clearing | Mandatory Clearing Determination | CFTC 12-053 |
| 02/22/12 | CME | Increase all month speculative position limits in S&P GSCI and S&P GSCI-ER contracts | CFTC 12-056 |
| 02/16/12 | CME | Revision to Approved Regularity for Spot Call Butter and Spot Call Nonfat Dry Milk | CFTC 12-048 |
| 02/15/12 | CBOT | Weekly Notification of Amendments | CFTC 12-050 |
| 2/15/12 | CME Clearing | Rule amendments during the week of February 6, 2012 | DCO Weekly Notification 20120215 |
| 02/14/12 | NYMEX | Rule amendments to NG and MNG | CFTC 12-049 |
| 02/14/12 | CME | Floor-Traded Futures Liquidity Incentive Program | CFTC 12-051 |
| 02/13/12 | NYMEX | Volume Incentive Program for Options (VIPO) for Natural Gas options and Crude and Refined options for high level contributors across all trading platforms | CFTC 12-043 |
| 02/13/12 | NYMEX | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |

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| 02/10/12 | NYMEX | Light Sweet Corn Crude ETH Market Maker | CFTC 12-040 |
| 02/10/12 | NYMEX | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 02/09/12 | NYMEX | Modifications to ETH IP for NYMEX Core Energy Products | CFTC 12-039 |
| 02/09/12 | NYMEX | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 02/09/12 | NYMEX | Eight (8) new PJM ATSI Electricity contracts | CFTC 12-042 |
| 02/09/12 | NYMEX | Henry Hub Natural Gas Last Physically Delivered Futures Contract | CFTC 12-044 |
| 02/09/12 | NYMEX | Revision to typographical errors | CFTC 12-044r |
| 02/09/12 | CME | Increase liquidity of Weather Temperature-based Index products on CME Globex platform | CFTC 12-046 |
| 2/8/2012 | CME Clearing | Rule amendments during the week of January 30, 2012 | DCO Weekly Notification 20120208 |
| 02/07/12 | CME | Delisting of CME hardwood pulp futures and options on futures contracts | CFTC 12-041 |
| 02/03/12 | NYMEX | Delisting of certain contract days (1C) and contract weeks (CFA) | CFTC 12-035 |
| 2/1/12 | CBOT | Withdrawal of submission regarding the listing of three new cleared-only Ag calendar swaps and five new cleared-only Ag bullet swaps | CFTC 12-024W |
| 2/1/2012 | CME Clearing | Rule amendments during the week of January 23, 2012 | DCO Weekly Notification 20120201 |
| 01/30/12 | NYMEX | Rule amendment to Henry Hub Natural Gas Futures and associated products listed for trading | CFTC 12-037 |
| 01/27/12 | NYMEX | Amendment to listing schedule for two petroleum futures contracts (Brent CFD - Platts) | CFTC 12-007 |
| 01/27/12 | NYMEX | Expansion of listing schedule for four (4) coal futures and options contracts | CFTC 12-033 |
| 01/27/12 | NYMEX | Delisting of contracts beyond Dec 2012 for products SZF, SZZ, and SZL | CFTC 12-034 |

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| 01/27/12 | NYMEX | Expansion of contract months for HO option contracts on NXPIT and CPC | CFTC 12-036 |
| 01/27/12 | CME | Addition of Price Alignment Interest ("PAI") to the cash mark-to-market performance bond method for CME Cleared OTC FX Spot, Forward and Swap Transactions | CFTC 12-038 |
| 01/20/12 | CBOT | Modifications to the CBOT Agricultural Volume Discount Incentive Program | CFTC 12-026 |
| 01/20/12 | CBOT | Modifications to the CBOT ETH Agricultural Trading Incentive Program | CFTC 12-028 |
| 01/20/12 | CBOT | Weekly notification of rule amendments | CFTC 12-031 |
| 01/19/12 | NYMEX | Rule 524 to allow Trading at Market (TAM) block trades | CFTC 12-009 |
| 01/19/12 | NYMEX | Listing of 1ULSD Futures and 2ULSD Options | CFTC 12-025 |
| 01/19/12 | CME | Amendments to CDS Guaranty Fund Allocation | CFTC 12-029 |
| 01/19/12 | CME | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 01/17/12 | CME | OTC FX Products Fee Waiver Program | CFTC 12-027 |
| 01/13/12 | NYMEX | European and Asian Petroleum Products Incentive Program | CFTC 12-014 |
| 01/13/12 | NYMEX | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 01/13/12 | NYMEX | Energy Products Cross-Venue Incentive Program | CFTC 12-015 |
| 01/13/12 | NYMEX | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 01/13/12 | NYMEX | Natural Gas Products Incentive Program | CFTC 12-016 |
| 01/13/12 | NYMEX | Non-Member Core Energy & Natural Gas Incentive Program | CFTC 12-017 |
| 01/13/12 | NYMEX | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 01/13/12 | NYMEX | OTC Broker Incentive Program | CFTC 12-018 |
| 01/13/12 | NYMEX | Modifications to Non-Member Cross-Venue IP for Energy Products | CFTC 12-019 |

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| 01/13/12 | NYMEX | SUPPLEMENTAL FILING UNDER FOIA | Filed under FOIA |
| 01/13/12 | NYMEX | Coal Incentive Program | CFTC 12-020 |
| 1/12/12 | CME Clearing | Rule amendments during the week of January 2, 2012 for the Clearing House Division | DCO Weekly Notification 20120112 |
| 01/09/12 | CBOT | Weekly notification of rule amendments during the week of January 2, 2012 | CFTC 12-008 |
| 01/05/12 | CME | Rule amendments to add four new CME Snowfall Monthly and Seasonal locations to CME Rules 40201 and 41801 | CFTC 12-005 |
| 01/05/12 | NYMEX | Withdrawal of Certain NYMEX Incentive Programs. (11-400, 11-413, 11-499, 11-515, 11-517, 11-526) | CFTC 12-006 |
| 01/04/12 | CME | CME Standard Freight Rate for Live Cattle Futures Deliveries | CFTC 12-002 |
| 01/04/12 | NYMEX | Delisting NGPL Louisiana Basis Swap (Platts IFERC) | CFTC 12-003 |
| 1/4/12 | CME Clearing | Rule amendments during the week of December 29, 2011 for the Clearing House Division | DCO Weekly Notification 20120104 |
| 01/03/12 | CBOT | CBOT Futures Equivalent Speculative Position Limits | CFTC 12-001 |