

**SUBMISSION COVER SHEET**

**IMPORTANT:** Check box if Confidential Treatment is requested

Registered Entity Identifier Code (optional): 14-454R (1 of 2)

Organization: New York Mercantile Exchange, Inc. ("NYMEX")

Filing as a:  DCM  SEF  DCO  SDR

Please note - only ONE choice allowed.

Filing Date (mm/dd/yy): October 30, 2014 Filing Description: Amendments to Metals Physically Delivered Futures Rules

**SPECIFY FILING TYPE**

Please note only ONE choice allowed per Submission.

**Organization Rules and Rule Amendments**

- |                          |                                     |            |
|--------------------------|-------------------------------------|------------|
| <input type="checkbox"/> | Certification                       | § 40.6(a)  |
| <input type="checkbox"/> | Approval                            | § 40.5(a)  |
| <input type="checkbox"/> | Notification                        | § 40.6(d)  |
| <input type="checkbox"/> | Advance Notice of SIDCO Rule Change | § 40.10(a) |
| <input type="checkbox"/> | SIDCO Emergency Rule Change         | § 40.10(h) |

**Rule Numbers:**

**New Product**

Please note only ONE product per Submission.

- |                          |                                       |            |
|--------------------------|---------------------------------------|------------|
| <input type="checkbox"/> | Certification                         | § 40.2(a)  |
| <input type="checkbox"/> | Certification Security Futures        | § 41.23(a) |
| <input type="checkbox"/> | Certification Swap Class              | § 40.2(d)  |
| <input type="checkbox"/> | Approval                              | § 40.3(a)  |
| <input type="checkbox"/> | Approval Security Futures             | § 41.23(b) |
| <input type="checkbox"/> | Novel Derivative Product Notification | § 40.12(a) |
| <input type="checkbox"/> | Swap Submission                       | § 39.5     |

**Official Product Name:**

**Product Terms and Conditions (product related Rules and Rule Amendments)**

- |                                     |   |                      |
|-------------------------------------|---|----------------------|
| <input checked="" type="checkbox"/> | Certification   | § 40.6(a)            |
| <input type="checkbox"/>            | Certification Made Available to Trade Determination     | § 40.6(a)            |
| <input type="checkbox"/>            | Certification Security Futures                          | § 41.24(a)           |
| <input type="checkbox"/>            | Delisting (No Open Interest)                            | § 40.6(a)            |
| <input type="checkbox"/>            | Approval  | § 40.5(a)            |
| <input type="checkbox"/>            | Approval Made Available to Trade Determination          | § 40.5(a)            |
| <input type="checkbox"/>            | Approval Security Futures                               | § 41.24(c)           |
| <input type="checkbox"/>            | Approval Amendments to enumerated agricultural products | § 40.4(a), § 40.5(a) |
| <input type="checkbox"/>            | “Non-Material Agricultural Rule Change”                 | § 40.4(b)(5)         |
| <input type="checkbox"/>            | Notification  | § 40.6(d)            |

**Official Name(s) of Product(s) Affected:** Platinum Futures, Palladium Futures

**Rule Numbers:** NYMEX Chapters 105, 106

October 30, 2014

**VIA ELECTRONIC PORTAL**

Christopher J. Kirkpatrick  
Office of the Secretariat  
Commodity Futures Trading Commission  
Three Lafayette Centre  
1155 21st Street, N.W.  
Washington, DC 20581

**RE: CFTC Regulation 40.6(a) Certification. Notification Regarding Amendments to Metals Physically Delivered Futures Rules. NYMEX Submission No. 14-454R (1 of 2)**

Dear Mr. Kirkpatrick:

New York Mercantile Exchange, Inc. ("NYMEX") and Commodity Exchange, Inc. ("COMEX") (collectively, the "Exchanges") are notifying the Commodity Futures Trading Commission ("CFTC" or "Commission") that they are self-certifying amendments to NYMEX Chapters 105 ("Platinum Futures") and 106 ("Palladium Futures") and COMEX Chapters 107 ("Aluminum Futures"), 111 ("Copper Futures"), 112 ("Silver Futures") and 113 ("Gold Futures").

The administrative, non-substantive amendments are summarized as follows:

- Elimination of the term "Licensed" in reference to service providers who are subject to an approval process as defined in NYMEX Chapter 7;
- Removal of the term "Approved" in reference to service providers such as "Assayer", "Brand", "Carrier", "Depository", "Producer", "Shed", "Warehouse" and "Weighmaster". By definition, and as per Chapter 7, the use of the defined term for a service provider indicates that such service providers have been approved by the Exchanges;
- Removal of certain provisions for approval of gold depositories as such provisions are being concurrently incorporated into NYMEX Chapter 7;
- Removal of weighing requirements for a gold depository upon receipt of 100-troy ounce gold bar as such requirements are being concurrently incorporated into NYMEX Chapter 7; and
- Removal of Aluminum load out rules via alternate conveyance as such rules are being concurrently incorporated into NYMEX Chapter 7.

For changes to NYMEX Chapter 7 referenced above, please refer to NYMEX Submission 14-453. Additionally, NYMEX Chapter 7 may be viewed in its entirety on the CME Group website at <http://www.cmegroup.com/rulebook/NYMEX/1/7.pdf>.

Amendments to the rules cited above are set forth in Appendix A, with additions underscored and deletions ~~overstruck~~. The amendments will become effective on Tuesday, November 18, 2014.

Please note that NYMEX Submission No. 14-454R revises the effective date of the rule amendments, which will now become effective on Tuesday, November 18, 2014. At the request of CFTC staff, NYMEX Submission No. 14-454R also includes a link to the CME Group website where NYMEX Chapter 7 may be viewed in its entirety. There are no other revisions being made to the original submission.

The Market Regulation Department and the Legal Department collectively reviewed the designated contract market core principles ("Core Principles") as set forth in the Commodity Exchange Act ("Act"). During the review, we have identified that the amendments may have some bearing on the following Core Principle:

**Availability of General Information:** In order to ensure that market participants are apprised of the amendments, NYMEX will issue a CME Group Market Surveillance Notice to the marketplace

notifying them of the implementation of these amendments in advance of the amendments becoming effective. The issuance of the Market Surveillance Notice constitutes compliance with this Core Principle.

The Exchange certifies that the amendments comply with the Act and regulations thereunder. There were no opposing views to the amendments.

The Exchange certifies that this submission has been concurrently posted on the CME Group website at <http://www.cmegroup.com/market-regulation/rule-filings.html>.

Should you have any questions concerning the above, please contact the undersigned at 212-299-2200 or [Christopher.Bowen@cmegroup.com](mailto:Christopher.Bowen@cmegroup.com).

Sincerely,

/s/ Christopher Bowen  
Managing Director and Chief Regulatory Counsel

Attachment: Appendix A – Rulebook Amendments (attached under separate cover)

**Appendix A**

**NYMEX/COMEX Rulebook Amendments**

(attached under separate cover)