

# SUBMISSION COVER SHEET

Registered Entity Identifier Code: 13-185

Date: May 17, 2013

**IMPORTANT:** CHECK BOX IF CONFIDENTIAL TREATMENT IS REQUESTED. ☐

## ORGANIZATION

New York Mercantile Exchange, Inc. ("NYMEX")

## FILING AS A:



DCM



SEF



DCO



SDR



ECM/SPDC

## TYPE OF FILING

### • Rules and Rule Amendments



Certification under § 40.6 (a) or § 41.24 (a)



"Non-Material Agricultural Rule Change" under § 40.4 (b)(5)



Notification under § 40.6 (d)



Request for Approval under § 40.4 (a) or § 40.5 (a)



Advance Notice of SIDCO Rule Change under § 40.10 (a)

### • Products



Certification under § 39.5(b), § 40.2 (a), or § 41.23 (a)



Swap Class Certification under § 40.2 (d)



Request for Approval under § 40.3 (a)



Novel Derivative Product Notification under § 40.12 (a)

## RULE NUMBERS

## DESCRIPTION

Weekly Notification of Rule Amendments (Week of May 6, 2013)



**Christopher Bowen**  
Managing Director and Chief Regulatory Counsel  
Legal Department

May 17, 2013

**VIA E-MAIL**

Ms. Melissa Jurgens  
Office of the Secretariat  
Commodity Futures Trading Commission  
Three Lafayette Centre  
1155 21st Street, N.W.  
Washington, DC 20581

**RE: Rule 40.6(d) Notification. New York Mercantile Exchange, Inc. ("NYMEX" or the "Exchange")  
Weekly Notification of Rule Amendments  
NYMEX Submission No. 13-185**

Dear Ms. Jurgens:

Pursuant to Commodity Futures Trading Commission ("CFTC" or the "Commission") Regulation 40.6(d), the Exchange submits this weekly notification of the following rule amendments made effective during the week of May 6, 2013.

- On May 9, 2013, NYMEX made amendments to the "Scope of Chapter" rules for eleven (11) previously harmonized products. The amendments are intended to change references from Chapter 7 to Chapter 7B. These changes are reflected in Exhibit A with additions underlined and deletions ~~overstruck~~.
- On May 10, 2013, NYMEX announced that palladium bars produced at the former Russian State Refinery (and that are marked with a stamp of "CCCP") are now deliverable against the NYMEX Palladium Futures contracts. A notice distributed to the marketplace is attached hereto as Exhibit B. A list of approved brands for Palladium is attached hereto as Exhibit C with additions underlined and deletions ~~overstruck~~.

If you require any additional information, please contact the undersigned at (212) 299-2200 or via e-mail at [Christopher.Bowen@cmegroup.com](mailto:Christopher.Bowen@cmegroup.com). Please reference our NYMEX Submission No. 13-185 in any related correspondence.

Sincerely,

/s/Christopher Bowen  
Managing Director and Chief Regulatory Counsel

Attachments: Exhibit A – Rule Changes (black-lined)  
Exhibit B – Notice to Marketplace  
Exhibit C – Approved Brands for Palladium (black-lined)

# EXHIBIT A

## Chapter 241

### Conway Physical Propane In-Well (OPIS) Futures

#### 241100. SCOPE OF CHAPTER

This chapter is limited in application to Conway Physical Propane In-Well (OPIS) futures. The procedures for trading, clearing, delivery and settlement not specifically covered herein or in Chapter 7B shall be governed by the general rules of the Exchange.

## Chapter 242

### Mont Belvieu Spot Ethylene In-Well (PCW) Futures

#### 242100. SCOPE OF CHAPTER

This chapter is limited in application to Mont Belvieu Spot Ethylene In-Well (PCW) futures. The procedures for trading, clearing, delivery and settlement not specifically covered herein or in Chapter 7B shall be governed by the general rules of the Exchange.

## Chapter 364

### Mont Belvieu Physical Non-LDH Propane (OPIS) Futures

#### 364100. SCOPE OF CHAPTER

This chapter is limited in application to Mont Belvieu Physical Non-LDH Propane (OPIS) futures. The procedures for trading, clearing, delivery and settlement not specifically covered herein or in Chapter 7B shall be governed by the general rules of the Exchange.

## Chapter 365

### Mont Belvieu Physical LDH Propane (OPIS) Futures

#### 365100. SCOPE OF CHAPTER

This chapter is limited in application to Mont Belvieu Physical LDH Propane (OPIS) futures. The procedures for trading, clearing, delivery and settlement not specifically covered herein or in Chapter 7B shall be governed by the general rules of the Exchange.

## Chapter 366

### Mont Belvieu Physical Normal Butane (OPIS) Futures

#### 366100. SCOPE OF CHAPTER

This chapter is limited in application to Mont Belvieu Physical Normal Butane (OPIS) futures. The procedures for trading, clearing, delivery and settlement not specifically covered herein or in Chapter 7B shall be governed by the general rules of the Exchange.

## Chapter 367

### Mont Belvieu Physical Natural Gasoline (OPIS) Futures

#### 367100. SCOPE OF CHAPTER

This chapter is limited in application to Mont Belvieu Physical Natural Gasoline (OPIS) futures. The procedures for trading, clearing, delivery and settlement not specifically covered herein or in Chapter 7B shall be governed by the general rules of the Exchange.

## Chapter 368

### Mont Belvieu Physical Iso-Butane (OPIS) Futures

**368100. SCOPE OF CHAPTER**

This chapter is limited in application to Mont Belvieu Physical Iso-Butane (OPIS) futures. The procedures for trading, clearing, delivery and settlement not specifically covered herein or in Chapter 7B shall be governed by the general rules of the Exchange.

**Chapter 369**  
**Mont Belvieu Physical Ethane (OPIS) Futures**

**369100. SCOPE OF CHAPTER**

This chapter is limited in application to Mont Belvieu Physical Ethane (OPIS) futures. The procedures for trading, clearing, delivery and settlement not specifically covered herein or in Chapter 7B shall be governed by the general rules of the Exchange.

**Chapter 260**  
**Central Appalachian Coal Futures**

**260100. SCOPE OF CHAPTER**

This chapter is limited in application to Central Appalachian Coal futures. The procedures for trading, clearing, delivery and settlement not specifically covered herein or in Chapter 7B shall be governed by the general rules of the Exchange.

**Chapter 506**  
**Gulf Coast Sour Crude Oil Futures**

**506100. SCOPE OF CHAPTER**

This chapter is limited in application to Gulf Coast Sour Crude Oil futures. The procedures for trading, clearing, delivery and settlement not specifically covered herein or in Chapter 7B shall be governed by the general rules of the Exchange.

**Chapter 1108**  
**Western Canadian Select (WCS) Crude Oil Futures**

**1108100. SCOPE OF CHAPTER**

This chapter is limited in application to Western Canadian Select (WCS) Crude Oil futures. The procedures for trading, clearing, delivery and settlement not specifically covered herein or in Chapter 7B shall be governed by the general rules of the Exchange.

## EXHIBIT B

May 10, 2013

### NOTICE

RE: NOTIFICATION OF APPROVAL OF A PRODUCER BRAND FOR PALLADIUM

Notice is hereby given that palladium bars produced at the former Russian State Refinery and that are marked with a stamp of "CCCP" are now deliverable against the NYMEX palladium futures contracts. These bars must also meet all the other criteria of the Palladium contract specifications.

| Producer               | Refined In | Brand Marks |
|------------------------|------------|-------------|
| Russian State Refinery | Russia     | CCCP        |

This change is effective **immediately**.

The Commodity Futures Trading Commission (CFTC) will be notified of the added deliverable brand in Palladium during the week of May 17, 2013 via the weekly notification procedures set forth in Part 40 of the CFTC Regulations.

Questions may be directed to Kim Riordan in the Registrar's Office at (312) 341-3370.

## EXHIBIT C

### Palladium Supplement No. 1 Approved Brands for Palladium

| Producer                                       | Refined At    | Code | Brand Marks                                                                                         |
|------------------------------------------------|---------------|------|-----------------------------------------------------------------------------------------------------|
| Argor SA                                       | Switzerland   | ARSA | * Argor S.A. Chiasso                                                                                |
| Argor-Heraeus SA                               | Switzerland   | AHSA | # Argor-Heraeus SA                                                                                  |
| Asahi Pretec Corp.                             | Japan         | ASPC | # AKK in a diamond                                                                                  |
| Compagnie des Metaux Precieux SA               | France        | CMPP | * Compagnie des Metaux Precieux Paris CMP                                                           |
| Comptoir Lyon – Alemand Louyot                 | France        | CLAL | * "Comptoir Lyon-Alemand Louyot Paris Affineur Fondeur" in octagon                                  |
| Degussa AG                                     | Germany       | DEAG | * Degussa with half sun & quarter moon in a diamond                                                 |
| Engelhard Corporation, Carteret                | United States | ECCA | # "E" Symbol in octagon                                                                             |
| Engelhard Limited                              | Great Britain | ELGB | * Engelhard London and "E" in parallelogram                                                         |
| Engelhard SA                                   | France        | ENSA | * "Engelhard" in parallelogram and "Comptoir Lyon-Alemand Louyot Paris Affineur Fondeur" in octagon |
| Engelhard s.r.l.                               | Italy         | ESRL | # "1ER" in octagon (from serial number R501 onwards)                                                |
| Engelhard-Clal (UK) Limited                    | Great Britain | ECUK | # E-C in a parallelogram                                                                            |
| Engelhard-Clal Paris                           | France        | ECLP | # Engelhard-Clal Paris                                                                              |
| H. Drijfhout & Zoon's Edelmetaalbedrijven B.V. | Netherlands   | DZAM | *# H. Drijfhout & Zoons Amsterdam Melters                                                           |
| Heraeus Metal Processing                       | United States | HPMI | # Heraeus with HMP surrounded by a sun                                                              |
| Inco Europe Limited                            | Great Britain | INCO | # Inco                                                                                              |
| Ishifuku Metals Industry Co. Limited           | Japan         | IMIL | # Ishifuku Tokyo                                                                                    |
| Johnson Matthey Inc.                           | United States | JMUS | # Johnson Matthey Made in U.S.A.                                                                    |
| Johnson Matthey plc                            | Great Britain | JMUK | # Company logo and Made in England                                                                  |
| Krasnoyarsk                                    | Russia        | KRAS | # Made in Russia (with K and a flower)                                                              |
| Matsuda Sangyo Co. Limited                     | Japan         | MSCL | # Matsuda Tokyo Assayer Melter                                                                      |
| Matthey Rustenburg Refiners                    | South Africa  | MRRA | * "JM Ltd" and company symbol "Trade Mark" and "Made in South Africa"                               |

|                                   |               |            |                                                                        |
|-----------------------------------|---------------|------------|------------------------------------------------------------------------|
| Metaux Precieux SA Metalor        | Switzerland   | MPSA       | # M.P. Assayeur Fondeur                                                |
| Novosibirsk Refining Factory      | Russia        | NOVO       | # ПООСНН (where "N" and "R" are backwards) in an oval & company symbol |
| Pamp S.A.                         | Switzerland   | PAMP       | # PAMP individual letters in cylinder followed by the word Switzerland |
| PGP Industries Inc.               | United States | PGPI       | * PGP in a circle of dots                                              |
| Prioksk                           | Russia        | PRIO       | # ПООСНН (where "N" and "R" are backwards) in an oval & company symbol |
| Rustenburg Platinum Mines Limited | South Africa  | RPML       | # Rustenburg in a rectangle                                            |
| Sabin Metal Corporation           | United States | SMC        | # SMC                                                                  |
| State Refinery                    | Russia        | RUSS       | * Made in USSR with hammer & sickle                                    |
| State Refinery                    | Russia        | ARKA       | # Made in Russia (with K and a flower)                                 |
| State Refinery                    | Russia        | SSRA       | * Made in USSR with hammer & sickle                                    |
| <u>State Refinery</u>             | <u>Russia</u> | <u>CCP</u> | <u>*# CCCP</u>                                                         |
| Tanaka Kinkinzoku Kogyo K.K.      | Japan         | TKKK       | # Tanaka Tokyo                                                         |
| Tanaka Kinkinzoku Kogyo K.K.      | Japan         | TKKM       | # Tanaka Tokyo Melters                                                 |
| Tokuriki Honten Co. Limited       | Japan         | THCL       | # Tokuriki Tokyo                                                       |
| Umicore AG & Co. KG               | Germany       | OMGA       | # Degussa with half sun and quarter moon in a diamond                  |
| Valcambi SA                       | Switzerland   | VABS       | # Valcambi S.A. Balerna-Suisse                                         |
| W.C. Heraeus GmbH                 | Germany       | WCHG       | # H surrounded by a sun and the word Heraeus                           |
| Western Platinum Refinery Limited | South Africa  | WPRL       | # W.P.L. Palladium & symbol                                            |

(1) Brands which are no longer produced are preceded by an asterisk (\*).

(2) Brands which are acceptable on the London Platinum and Palladium Market are preceded by a pound sign (#).