

Procedure to Submit Clearing Exception Documents

Step 1:

Select "Submit Document" under Submit tab.



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Home

Submit Data

- Data Upload
 - Submit Commodity Data
 - Submit Credit Data
 - Submit FX Data
 - Submit Interest Rate Data
 - Submit Document
 - Generate Token

Quick Links

Reports > Trade Reports > **Trade Reports**
The trade report allows users to search for all CDS swap trades submitted to the Swap Data Repository (SDR). Trades are available for report results in a real-time.

Reports > Trade Reports > **FX Swap Trades**
The trade report allows users to search for all FX swap trades submitted to the Swap Data Repository (SDR). Trades are available for report results in a real-time.

Reports > Trade Reports > **Interest Rate Swap Trades**
The trade report allows users to search for all IRS swap trades submitted to the Swap Data Repository (SDR). Trades are available for report results in a real-time.

Reports > Valuation Reports > **Commodity Swap Valuation**
The valuation report displays daily Commodity valuation and positions submitted to the Swap Data Repository (SDR). Valuations for the top day are available on a nightly basis.

Reports > Valuation Reports > **Credit Swap Valuation**
The valuation report displays daily CDS valuation and positions submitted to the Swap Data Repository (SDR). Valuations for the top day are available on a nightly basis.

Reports > Valuation Reports > **FX Swap Valuation**
The valuation report displays daily FX valuation and positions submitted to the Swap Data Repository (SDR). Valuations for the top day are available on a nightly basis.

Reports > Valuation Reports > **Interest Rate Swap Valuation**
The valuation report displays daily IRS valuation and positions submitted to the Swap Data Repository (SDR). Valuations for the top day are available on a nightly basis.

Click on "Submit Document" Link to access UI for uploading various documents

Step 2:

Browse and select the document to be uploaded and enter the required information as detailed below.



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Submit Document

Document Type:	End-user exception	<input type="button" value="Browse_"/>
Submit Exception/Other Documents :	C:\Users\E20000\Docu	
Enter USI:	CRFX000000000000000000	
Enter USI Namespace:	1010000252	
Enter LEI:	CME00000000000000GSAC	
On Behalf of CP:	<input checked="" type="checkbox"/> Reporting <input type="checkbox"/> Non Reporting	
<input type="button" value="Upload Data"/>		

Description of the fields:

Document Type

Click on the drop down to select the type of document to be uploaded. CME SDR provides for following clearing exception documents –

- End-user exception (For Swap by Swap End-user Exception document)
- Inter-affiliate exception (For Swap by Swap Inter-affiliate document)
- Annual End-user exception (For Annual End-user exception document)

Other document types supported are –

- Confirmation
- Bespoke

Submit Exception/Other Document

Click on Browse to select the document to be uploaded for the selected document type

Enter USI|Enter USI Namespace

In case of Swap by Swap exception document enter the USI and the USI Namespace for which the exception has been opted for

In case of Confirmation or Bespoke enter the USI and the USI Namespace for which confirmation document or a bespoke file is being uploaded

In case of Annual End-user exception these fields will be greyed out as only the LEI is required for the upload

Enter LEI

This will be auto populated as the LEI of the logged in user

In case of Annual End-user exception the document will be uploaded in reference to the auto populated LEI

On Behalf of CP

In case of Swap by Swap exception documents, specify whether the exception document being uploaded is for the reporting or non- reporting or both parties

This will be greyed out for Annual End-user exception, Confirmation and bespoke document types

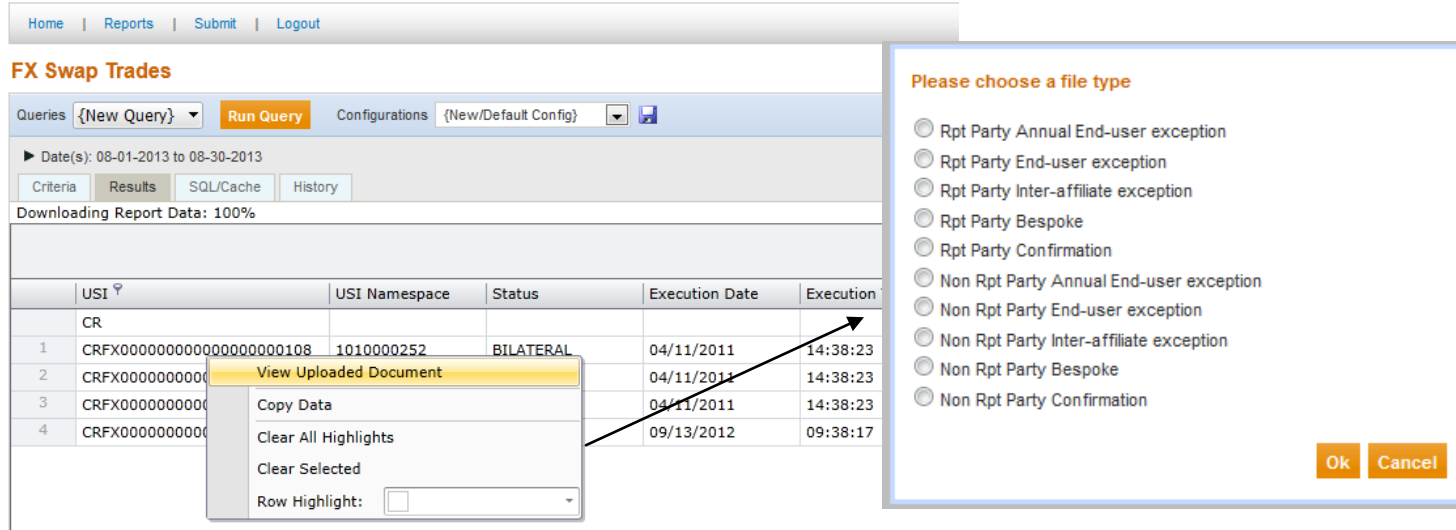
Upload Data

Click on Upload Data to upload the file in SDR records

Step 3:

View document by right clicking on the trade grid and selecting the document type to be viewed

CME Group | Swap Data Repository



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FX Swap Trades

Queries {New Query} Run Query Configurations {New/Default Config}

▶ Date(s): 08-01-2013 to 08-30-2013

Criteria Results SQL/Cache History

Downloading Report Data: 100%

	USI	USI Namespace	Status	Execution Date	Execution
	CR				
1	CRFX000000000000000000108	1010000252	BILATERAL	04/11/2011	14:38:23
2	CRFX0000000000			04/11/2011	14:38:23
3	CRFX0000000000			04/11/2011	14:38:23
4	CRFX0000000000			09/13/2012	09:38:17

View Uploaded Document

Copy Data

Clear All Highlights

Clear Selected

Row Highlight:

Please choose a file type

- Rpt Party Annual End-user exception
- Rpt Party End-user exception
- Rpt Party Inter-affiliate exception
- Rpt Party Bespoke
- Rpt Party Confirmation
- Non Rpt Party Annual End-user exception
- Non Rpt Party End-user exception
- Non Rpt Party Inter-affiliate exception
- Non Rpt Party Bespoke
- Non Rpt Party Confirmation

Ok Cancel