

Derived Data Scope of Use

Date: Name of Organization:

Address:

Website: Contact Name:

Contact Phone/E-mail:

This scope of use is intended to assist with articulating the activities in which CME Group Market Data (herein after "Information") is, or will be used, by your organization in the creation of derived works. The purpose of completing this document is to facilitate the appropriate licensing as required.

Section 1 – Customer

If your organization uses CME Group Information for the purposes of creating, distributing, maintaining or settlement of any derived works (herein after "Products") please complete each of the following questions in respect of your usage of CME Group Information. Derived works include, but are not limited to indexes, exchange traded or other structured products, OTC products, quotes, spot prices, curves, surfaces, contracts for difference (CFDs) and other similar leveraged products, indicative optimized portfolio values (IOPV), net asset values (NAV), or analytical reference figures or values calculated from Information for purposes of fund administration and portfolio management services, risk management services, or valuation services.

1. What is the name of the legal entity that requires/uses CME Group Information?

2. What are your organization's primary business activities?

- | | |
|--|--|
| <input type="checkbox"/> Asset Manager | <input type="checkbox"/> Bank |
| <input type="checkbox"/> Software Vendor | <input type="checkbox"/> Commercial/Corporate |
| <input type="checkbox"/> Exchange | <input type="checkbox"/> Hedge Fund |
| <input type="checkbox"/> Index Provider | <input type="checkbox"/> Information Vendor |
| <input type="checkbox"/> CFD Provider | <input type="checkbox"/> Government Agency or Academic Institution |
| <input type="checkbox"/> Other (please specify): | <input type="text"/> |

3. In which region(s) does your company offer or conduct these activities?

- | | |
|---------------------------------------|---|
| <input type="checkbox"/> Asia-Pacific | <input type="checkbox"/> Canada |
| <input type="checkbox"/> EMEA | <input type="checkbox"/> Latin America |
| <input type="checkbox"/> U.S. | <input type="checkbox"/> Other (please specify): <input type="text"/> |

4. Are you currently licensed to receive and use CME Group Information?

- Market Data License Agreement
- Subscriber Agreement
- Other (please specify):

5. Please list all market data distributors your organization uses to receive CME Group Information:

Primary Data Distributor:

Distributor Name: Contact Name:

Telephone Number: Email Address:

Additional Data Distributor:

Additional Data Distributor:

6. On which date did/will you start receiving CME Group Information?

Section 2 – Use of Information in Derived Works

7. In the table below, please list each of your organization’s Products that contain CME Group Information and provide the associated detail. *(Please be as specific as possible, please use one row per product. A spreadsheet version can be provided upon request if more rows are required.)*

Total Number of Products:

Branded Name of Product <i>(e.g. US Light Crude CFD; Acme Commodity Index)</i>	Product Type <i>(e.g. CFD, Index, ETF)</i>	CME Information used to create your Product <i>(please list all if multiple, e.g. Gold Options; WTI Futures)</i>	Frequency of Access to CME Information <i>(real time, delayed or end of day)</i>	% weighting of CME Group Information in your Product <i>(list each)</i>

8. In the table below, please provide the details about each Products' distribution.

Branded Name of Product <i>(e.g. US Light Crude CFD; Acme Commodity Index)</i>	Internal or External Distribution?	Distribution Method <i>(e.g. www.client.com, white label service, platform, API feed, exchange listed, research paper)</i>	Frequency of Product Dissemination <i>(real-time, delayed, EOD, quarterly, yearly)</i>	Distribution Start Date <i>(MM/DD/YYYY)</i>

9. Are any Product(s) tradable instruments or investment products?

Yes No

10. Please provide the details of each methodology used to create your Product(s). Please use one row per Product methodology and a clear example to illustrate the approach.

Details of Methodology	Examples of Methodology

Section 4 – Additional Product Information

11. Please provide any additional information or material with your submission that may be relevant with regard to the Products and would assist CME in accurately licensing your organization for the use of CME Group Information (e.g. prospectus', investor documents, legal and/or regulatory filings, informational and/or marketing material)

12. If your organization intends to use a third party to calculate all or a portion of a Product (Calculation Agent) please provide detail below:

Name of Calculation Agent:

Description of calculation the Calculation Agent is to perform:

Section 4 – Affiliated Companies

"Affiliate" means, an entity that controls, is controlled by or is under common control with a party. Control means the ownership or control, directly or indirectly, of at least fifty percent (50%) or more of all of the voting shares (or other securities or rights) entitled to vote for the election of directors or other governing authority.

13. Please list all affiliates of your organization that will use CME Group Information:

1.
2.
3.
4.
5.
6.