

The U.S. Commodity Futures Trading Commission ("CFTC") requires each futures commission merchant ("FCM"), including FanDuel Prediction Markets LLC ("FanDuel Predicts"), to provide the enclosed information to a customer prior to the time the customer first enters into an account agreement with the FCM or deposits money or securities with the FCM. Except as otherwise noted below, the information set out is as of December 22, 2026, FanDuel Predicts will update this information annually and as necessary to take account of any material change to its business operations, financial condition or other factors that FanDuel Predicts believes may be material to a customer's decision to do business with FanDuel Predicts. FanDuel Predicts' business activities and financial data are not static and may change in non-material ways frequently throughout any 12-month period.

FanDuel Predicts is jointly owned and operated by CME Group Inc. and FanDuel Group Inc. ("Parent Entities"). Information that may be material with respect to FanDuel Predicts for purposes of the CFTC's disclosure requirements may not be material to Parent Entities for purposes of applicable securities laws.

Background Information

The Firm's principal place of business is located:

FanDuel Prediction Markets LLC
20 S. Wacker Drive
Chicago, IL 60606
Phone: (312) - 930 - 1000
Email: F&Ofinancial@cmegroup.com
Public Website: <https://www.cmegroup.com/fcm.html>

Registered Principals

Directors:

Tim McCourt

Senior Managing Director, Global Head, Equities, FX and Alternative Products
CME Group Inc.
300 Vesey Street, Floor 7
New York, NY 10282

Tim McCourt has served as Senior Managing Director, Global Head, Equities, FX and Alternative Products since August 2024.

He is responsible for leading the development and execution of the company's global equity index, foreign exchange, cryptocurrency, and alternative investment product strategies. Previously, he served as Senior Managing Director, Global Head of Financial and OTC Products since June 2023 and as Senior Managing Director, Global Head of Equity and Foreign Exchange Products since February 2022. He serves on the CME Ventures Investment Committee and the S&P Dow Jones Indices U.S. Advisory Panel.

Before joining CME Group in 2013 as Global Head of Equity Products, McCourt worked for the Royal Bank of Scotland, where he was responsible for building and managing the Americas Index and Delta One trading book. Prior to RBS, he held a senior trading role with JPMorgan in New York, spending 10 years with the Equity Derivatives Group. McCourt holds a bachelor's degree in political science from Boston College and an MBA from The University of Pennsylvania's Wharton School.

Joseph Graves

Managing Director & Chief Corporate Counsel
CME Group Inc.
CME Center, 6 North Tower
20 S. Wacker Drive
Chicago, IL 60606

Joey Graves is Managing Director & Chief Corporate Counsel at CME Group, overseeing the Corporate Development, CME Ventures, and Commercial Legal teams. In this role, he is responsible for CME Group's broad transactional activities, including M&A, joint ventures, corporate venture capital, and commercial arrangements. He joined CME Group's Legal Department in 2016, serving as Associate General Counsel – M&A before moving to the Finance Division in 2022.

From 2023 to 2025, Joey was the Deputy General Counsel at Hub Group, a leading logistics service provider, where he was responsible for its corporate and commercial legal functions. Joey began his career practicing corporate law at Skadden, Arps in Chicago in 2009. He holds a B.A. from Grand Valley State University and a J.D. from the University of Michigan Law School.

Matthew Elkus

Vice President, Strategy & Partnerships
FanDuel Group Inc.
900 Wilshire Boulevard
Beverly Hills, CA 90211

Matt Elkus helps lead business development and strategic partnerships for FanDuel, including oversight over mergers & acquisitions and investment opportunities. Over the past 5 years with the company, Matthew has guided strategic initiatives during a period of significant growth in online sports betting across the United States. He oversees partnership development and

investment strategies that support FanDuel's expansion and market leadership in the rapidly evolving legal online gaming industry.

Prior to joining FanDuel, he spent 10 years at Fox in business development, where he developed expertise in media partnerships and strategic transactions. Earlier in his career, he worked in investment banking at Citigroup, building a strong foundation in financial analysis and deal execution. Matthew holds a Bachelor of Business Administration from the University of Michigan.

Michael Raffensperger

President - Sports

FanDuel Group Inc.

300 Park Avenue South, 14 Floor

New York, NY 10010

Mike Raffensperger is FanDuel Group's President, Sports, where he owns the P&L and all functional responsibility for the company's sports business unit, inclusive of sports betting, daily fantasy sports, retail, free-to-play, FanDuel TV, FanDuel Canada and New Ventures. In his role, Mike is responsible for the firm's strategy to capitalize on the emergence of legal online gaming. He leads all revenue, marketing, partnership, and media functions at the company. The group currently enjoys a leadership position of 40% market share.

Prior to FanDuel, Mike was the Head of Marketing for Amazon Advertising. In this role, he led an intrapreneurial initiative pairing online video with e-commerce. Growing the platform from its infancy, Mike created a new advertising category for the tech giant, a contributor to Amazon's meteoric rise in the advertising marketplace. Before joining Amazon, Mike was Director of Digital Marketing & Strategy for DIRECTV, with responsibility for all digital marketing, communication, and commerce. Through Mike's leadership, DIRECTV enjoyed improved bottom line contribution and years of net subscriber gains.

Mike holds a B.B.A in Mass Media from Messiah College and is a standing fellow with Columbia University's Graduate School of Journalism.

Officers:

Bryan McBlaine

President

CME Center, 5 North Tower

20 S. Wacker Drive

Chicago, IL 60606

Bryan McBlaine has led the Treasury and Financial Risk teams for CME Group for the past five years. Prior to that role, he served as Senior Director and Head of Credit, Collateral, and Liquidity Risk functions at CME Clearing. He led the development and formalization of these risk functions over a nine-year period.

Before joining CME Group, he was an Associate Director in the Treasury/ALM function for Societe Generale (FKA as Newedge) and had several risk roles over a five-year span. He started his career in several risk management roles in the commercial division at LaSalle Bank in the asset-based lending area of the bank.

He has a bachelor's degree in finance from Northern Illinois University and an MBA in Finance from DePaul University.

David Calderon

Chief Financial Officer
300 Vesey Street, Floor 7
New York, NY 10282

David Calderon is currently the Chief Financial Officer of BrokerTec Americas LLC since July 2019. He started his accounting and finance career at PriceWaterhouseCoopers LLP working in the Audit and Business Advisory Services team. After he left PwC, he continued to work in accounting and controller positions within the financial services industry. In 2004, he was hired by ICAP to be the assistant controller for BrokerTec Americas LLC. He has held several roles within the Finance Division since his original hire, including positions as Assistant Controller for BrokerTec Americas LLC, IT controller for Cash Markets, Global Controller for EBS, Senior Finance Business Partner for Cash Markets and Director, Financial Planning and Analysis.

David received a bachelor's degree in applied economics from Hofstra University in 1995 and a Masters of Business Administration degree with a concentration in Accounting from Hofstra University in 1997. David passed the Certified Public Accountant examination in 1999 and was licensed in New York state (status is currently inactive). In July 2019, he passed the Series 27 exam and was named the Chief Financial Officer of BrokerTec Americas LLC.

Elisa Hirschmann

Chief Compliance Officer
300 Vesey Street, Floor 7
New York, NY 10282

Elisa Hirschmann is the Chief Compliance Officer ("CCO") designated to direct FCM Compliance. She currently serves as an Executive Director for Americas Cash Markets Compliance at CME Group. Prior to joining CME, her previous experience includes Compliance Officer at ICAP and serving as CCO and AML Officer for First Brokers Securities. Elisa was also a Compliance Officer at Standard & Poor's and Merrill Lynch, and an Examiner for FINRA. She holds Series 7 and 24 registrations and earned a Bachelor of Science from Boston College.

Mark Blazevic

Chief Risk Officer
CME Center, 5 North Tower
20 S. Wacker Drive
Chicago, IL 60606

Mark Blazevic is Senior Director of Financial Risk, overseeing the Market and Credit Risk Management team for the Brokertec trading platform. In this role, he is responsible for measuring and monitoring market and credit risk exposures for institutional trading customers across the US Treasury, on-the-run and repo complexes. Mark joined CME in 2022.

Prior to joining CME, Mark spent four years at Bank of America within BoA's Counterparty Credit Risk function. Prior to BofA, Mark spent five years as the Senior Director of Risk at DV Trading, where he built DV's Risk Management function as DV became an independent firm. Mark also spent five years at ABN AMRO Clearing working within ABN's Market and Credit Risk Teams.

He holds a B.A. from the University of Iowa and an M.B.A. from DePaul University. Mark is a CFA Charter holder, holds the Financial Risk Manager certification from the Global Association of Risk Professionals as well as the Series 24 and Series 7 licenses.

Matthew Beyer

Chief Operating Officer
CME Center, 5 North Tower
20 S. Wacker Drive
Chicago, IL 60606

Matt Beyer is the Chief Operating Officer ("COO") for the FCM. He began his career with the CME Group Clearing House in 2010 and helped establish the Clearing Operations team's in the Belfast and Singapore offices. Most recently, he served as a Senior Director leading the Post-Trade Client Services & Risk team. In this role he oversaw Clearing Member operational issues in a variety of CME Group products including Futures and Options, Interest Rate Swaps, Repository Services, and Margin Services, additionally he managed the onboarding of all CME Group Clearing House Clearing Members from an operational perspective. Matt holds a bachelor's degree in Finance from Indiana University.

Entities:**FanDuel Prediction Markets Holdings LLC**

Holding Company / Direct Parent

Business Activities of the Firm

The Firm has been registered with the Commission as a Futures Commission Merchant (NFA ID number 0550813) and a member of the National Futures Association (“NFA”) since October 25, 2024. Additionally, the Firm was approved as a Swap Firm with NFA on October 25, 2024.

FanDuel Predicts offers futures and swaps, including event contracts to retail customers based in the United States who are individuals and does not intend to support institutional or commercial accounts, proprietary trading firms, or omnibus accounts of other FCMs.

FanDuel Predicts is a non-clearing FCM and clears all of its futures and cleared swaps transactions through an omnibus account arrangement with its clearing FCM, Plus500US Financial Services, LLC. FanDuel Predicts is not a member of any futures exchange.

FanDuel Predicts does not engage in direct sales activities with customers. All customer trading activities will be self-directed and fully collateralized.

FanDuel Predicts will not engage in proprietary trading for its own account, nor will it service or support proprietary trading for its Affiliates. The Firm does not intend to allow trading in over-the-counter products (“OTC”), or cash markets.

Activity and Product Lines	Percentage of Assets	Percentage of Capital
Futures and Cleared Swaps Contracts	100%	100%

Permitted Depositories and Counterparties

Regulation 1.20(b) limits an FCM to depositing futures customer funds, subject to the risk management policies and procedures of the FCM required by CFTC Regulation 1.11, with the following depositories: (1) A bank or trust company; (2) A derivatives clearing organization; or (3) Another FCM (individually a “Segregated Depository” and collectively “Segregated Depositories”). Pursuant to CFTC Regulation 1.49, the location of a Segregated Depository must be in the United States, a money center country or in the country of origin of the currency being held. Further, if the Segregated Depository is a bank or trust company located outside of the United States, it must have in excess of \$1 billion of regulatory capital.

The Firm will perform appropriate due diligence, as required by CFTC Regulation 1.11, on any and all Segregated Depositories, to ensure that the depository meets the Firm’s criteria. Such due diligence will also ascertain the depositories of futures customer funds comply with the requirements of CFTC Regulations 1.20, 1.26, and 1.49.

FanDuel Predicts shall deposit futures customer funds under an account name that clearly identifies them as customer funds and shows that such funds are segregated as required by sections 4d(a) and 4d(b) of the CEA and by CFTC Regulation 1.20(a).

The Firm shall obtain a written acknowledgment from each depository, as required by CFTC Regulation Consistent with the requirements of CFTC Regulations 22.2(b), 22.4, 22.9 and 1.49 (as and to the extent applicable as provided in CFTC Regulation 22.9), an FCM is generally limited to depositing funds of cleared swaps customers with depositories that are Segregated Depositories (as defined above). The Firm will perform appropriate due diligence, as required by CFTC Regulation 1.11, on any and all depositories, to ensure that the depository meets the Firm's criteria. Such due diligence will also ascertain the depositories of cleared swaps customer funds comply with the requirements of CFTC Regulations 22.2(b), 22.4, 22.7, 22.9 and 1.49.

The firm does not currently engage in any permitted investment transactions under CFTC Regulation §1.25 with respect to the investment of customer funds.

Material Risks

Material Risk of Entrusting Funds to the FCM

Overview: In order to ensure that it is in compliance with its regulatory capital requirements and that it has sufficient liquidity to meet its ongoing business obligations, FanDuel Predicts holds all of its current assets at a permitted depository.

FCM's Creditworthiness, Leverage, Capital, Liquidity, Principal Liabilities, and Balance Sheet Leverage

While the ultimate parent companies of The Firm are publicly traded, FanDuel Predicts itself is not a publicly traded company, and therefore it does not have a credit rating. As of October 31, 2025, FanDuel Predicts was not live to customers, as such approximately 90% of the firm's assets were held in a Demand Deposit Account. The firm does not have any principal liabilities.

Balance Sheet Leverage – 1.24

Material Risk Posted to the FCM through an Affiliate

FanDuel Predicts does not invest customer funds in affiliated entities. The Firm's parents are CME Group Inc. and FanDuel Group Inc.

CME Group Inc. is the world's largest and most diverse derivatives marketplace. Headquartered in Chicago, it serves as a global hub for trading futures and options across nearly every major asset class, including interest rates, equity indexes, foreign exchange, energy, agricultural commodities, and metals.

FanDuel Group Inc. is the premier mobile gaming and sports-tech company in North America. Originally founded in 2009 as a pioneer in Daily Fantasy Sports (DFS), it has transformed into the dominant player in the U.S. legal sports betting market.

Significant Liabilities, Contingent or Otherwise, and Material Commitments

The firm does not currently have any significant liabilities or material commitments.

Regulatory Risk

FanDuel Predicts' business is highly regulated and could be adversely affected by regulatory and legislative initiatives. The Firm could be subject to investigations and/or proceedings by governmental, regulatory, and self-regulatory authorities, including state and federal regulators, such as the CFTC, and the firm's designated self-regulatory organization—the NFA.

FanDuel Predicts provides trading for various event contracts—otherwise known as prediction markets—as fully-collateralized or fully-margined cleared swaps on CFTC-regulated exchanges. Please be advised that certain exchanges are currently engaged in litigation regarding whether individual states possess the authority to regulate sports-related event contracts. Should a court rule in favor of state authority, customers in those jurisdictions may have their positions closed out or find their open positions untradeable or impossible to close.

Futures Designated Self-Regulatory Organization

FanDuel Predicts' designated self-regulatory organization is the National Futures Association. NFA's website address is www.nfa.futures.org.

Material Administrative, Civil, Enforcement, or Criminal Complaints or Actions

FanDuel Predicts has no material administrative, civil, criminal nor enforcement complaints or actions to report at this time.

NFA Basic: [FanDuel Prediction Markets LLC](http://www.nfa.futures.org)

Customer Funds

FanDuel Predicts maintains two types of accounts for customers:

- a Customer Segregated Account for customers that trade futures and options on futures listed on US futures exchanges;
- a Cleared Swaps Customer Account for customers trading swaps that are cleared on a DCO registered with the Commission.

Customer Segregated Account. Funds that Segregated Customers deposit with an FCM, or that are otherwise required to be held for the benefit of customers, to margin futures and options on futures contracts traded on futures exchanges located in the US, i.e., designated contract

markets, are held in a Customer Segregated Account in accordance with section 4d(a)(2) of the Act and Commission Rule 1.20. Customer Segregated Funds held in the Customer Segregated Account may not be used to meet the obligations of the FCM or any other person, including another customer.

Cleared Swaps Customer Account (or LSOC Account - legally separated, operationally comingled). Funds deposited with an FCM, or otherwise required to be held for the benefit of customers, to margin swaps cleared through a registered DCO, i.e., Cleared Swaps Customer Collateral, are held in a Cleared Swaps Customer Account in accordance with the provisions of section 4d(f) of the Act and Part 22 of the Commission's rules. Funds required to be held in a Cleared Swaps Customer Account may be comingled in an omnibus account and held with: (i) a bank or trust company located in the US; (ii) a bank or trust company located outside of the US that has in excess of \$1 billion of regulatory capital; (iii) a DCO; or (iv) another FCM. Such comingled accounts must be properly titled to make clear that the funds belong to, and are being held for the benefit of, the FCM's Cleared Swaps Customers.

Investments of Customer Funds

CFTC Regulation §1.25 authorizes FCMs to invest Customer Segregated Funds and Cleared Swaps Funds in certain permitted instruments. The regulation further provides that the FCM may retain all gains earned and is responsible for investment losses incurred in connection with the investment of Customer Funds. However, the FCM and customer may agree that the FCM will pay the customer interest on the funds deposited.

As previously stated, FanDuel Predicts does not currently engage in any permitted investment transactions under CFTC Regulation §1.25 with respect to the investment of customer funds.

Filing a Complaint

A customer that wishes to file a futures-related complaint with the NFA about FanDuel Predicts or one of its employees may do so via one of the following methods:

Electronically complete the online "File-A-Complaint" Form located at

<http://www.nfa.futures.org/basicnet/Complaint.aspx>

Fax or mail the printable Complaint Form located at

<https://www.nfa.futures.org/complaintnet/FileAComplaint.aspx>

Call NFA directly at (800) 621-3570.

Further, a customer is able to lodge a complaint directly with FanDuel Predicts by completing the [Complaint Form](#) and emailing directly to FOCompliance@cmegroup.com.

Financial Data as of October 31, 2025

Total Equity	\$1,865,584
Regulatory Capital	\$1,689,476
Net Worth	\$1,865,584
The dollar value of the futures commission merchant's proprietary margin requirements as a percentage of the aggregate margin requirement for Futures Customers and Cleared Swaps Customers.	The firm does not engage in proprietary trading.
The smallest number of Futures Customers and Cleared Swaps Customers that comprise 50 percent of the futures commission merchant's total funds held for Futures Customers and Cleared Swaps Customers	Zero - as of October 31, 2025, the Firm did not have any customers.
The aggregate notional value, by asset class, of all non-hedged, principal over-the-counter transactions into which the futures commission merchant has entered;	None
The amount, generic source and purpose of any committed unsecured lines of credit (or similar short-term funding) the futures commission merchant has obtained but not yet drawn upon	FanDuel Predicts does not have any committed unsecured lines of credit (or similar short-term funding).
The aggregated amount of financing the futures commission merchant provides for customer transactions involving illiquid financial products for which it is difficult to obtain timely and accurate prices; and	FanDuel Predicts does not provide financing for customer transactions involving illiquid financial products.
The percentage of Futures Customers and Cleared Swaps Customers receivable balances that the futures commission merchant had to write-off as uncollectable during the past 12-month period, as compared to the current balance of funds	FanDuel Predicts did not have any write-offs of receivable balances as uncollectable in the past 12-months period.

held for Futures Customers and Cleared Swaps Customers	
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Risk Practices

FanDuel Predicts has implemented a comprehensive Risk Management Program (“RMP”) for monitoring and management of material risks to the firm. Material risks to FanDuel Predicts’ business include market, credit, liquidity, foreign currency, legal, operational, settlement, technological, capital (list non-exhaustive). The RMP defines key risks of the firm and assigns risk tolerance limits.

The RMP includes policies and procedures for detecting breaches of risk tolerance limits set by the Company, and alerting supervisors and senior management, as appropriate. Exceptions to risk tolerance limits are subject to written policies and procedures. Senior management reviews and approves risk tolerance limits on a periodic basis.