

Name of Company: FanDuel Prediction Markets LLC	Employer ID No: 88-3646341	NFA ID No: 0550813
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CFTC FORM 1-FR-FCM  
STATEMENT OF THE COMPUTATION OF THE MINIMUM CAPITAL REQUIREMENTS  
AS OF 1/31/2026

Net Capital

1.	Current assets (page 3, line 20)	\$ 19,758,239	3000
2.	Increase/(decrease) to U.S. clearing organization stock to reflect margin value	0	3010
3.	Net current assets	\$ 19,758,239	3020
4.	Total liabilities (page 5, line 32)	\$ 4,018,178	3030
5.	Deductions from total liabilities		
A.	Liabilities subject to satisfactory subordination agreements (page 5, line 31.A)	\$ 0	3040
B.	Certain deferred income tax liability (see regulation 1.17(c)(4)(iv))	0	3050
C.	Certain current income tax liability (see regulation 1.17(c)(4)(v))	0	3060
D.	Long term debt pursuant to regulation 1.17(c)(4)(vi)	0	3070
E.	Total deductions (add lines 5.A. - 5.D.)	0	3080
F.	Adjusted liabilities (subtract line 5.E from line 4)	4,018,178	3090
6.	Net capital (subtract line 5.F. from line 3)	\$ 15,740,061	3100

Charges Against Net Capital (see regulation 1.17(c)(5))

7.	Excess of advances paid on cash commodity contracts over 95% of the market value of commodities covered by such contracts	\$ 0	3110
8.	Five percent (5%) of the market value of inventories covered by open futures contracts or commodity options (no charges applicable to inventories registered as deliverable on a contract market and which are covered by futures contracts)	0	3120
9.	Twenty percent (20%) of the market value of uncovered inventories or lesser percentage charge for uncovered balances in specified foreign currencies	0	3130
10.	Ten percent (10%) of the market value of commodities underlying fixed price commitments and forward contracts which are covered by open futures contracts or commodity options	0	3140
11.	Twenty percent (20%) of the market value of commodities underlying fixed price commitments and forward contracts which are not covered by open futures contracts or commodity options	0	3150

12. Charges as specified in section 240.15c3-1(c)(2)(vi) and (vii) against securities owned by firm, including securities representing investments of domestic and foreign customers' funds:

	<u>Market Value</u>		<u>Charge</u>	
A. U.S. and Canadian government obligations	\$ 0	3160	\$ 0	3170
B. State and Municipal government obligations	0	3180	0	3190
C. Certificates of deposit, commercial paper and bankers' acceptances	0	3200	0	3210
D. Corporate obligations	0	3220	0	3230
E. Stocks and warrants	0	3240	0	3250
F. Other securities	0	3260	0	3270
G. Total charges (add lines 12.A. - 12.F.)			0	3280

13. Charges as specified in section 240.15c3-1(c)(2)(iv)(F)

A. Against securities purchased under agreements to resell	0	3290
B. Against securities sold under agreements to repurchase	0	3300

14. Charges on securities options as specified in section 240.15c3-1, Appendix A

0	3310
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15. Undermargined commodity futures and commodity options accounts - amount in each account required to meet maintenance margin requirements, less the amount of current margin calls in that account and the amount of any noncurrent deficit in the account

A. Customer accounts	0	3320
B. Noncustomer accounts	0	3330
C. Omnibus accounts	0	3340

16. Charges against open commodity and cleared OTC derivatives positions in proprietary accounts

A. Uncovered exchange-traded futures, cleared OTC derivatives positions and granted options contracts			
i percentage of margin requirements applicable to such contracts	\$ 0	3350	
ii Less: equity in proprietary accounts included in liabilities	0	3360	0 3370

B. Ten percent (10%) of the market value of commodities which underlie commodity options not traded on a contract market carried long by the applicant or registrant which has value and such value increased adjusted net capital (this charge is limited to the value attributed to such options)	0	3380
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C. Commodity options which are traded on contract markets and carried long in proprietary accounts. Charge is the same as would be applied if applicant or registrant was the grantor of the options (this charge is limited to the value attributed to such options)	0	3390
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D. Haircuts on swaps and security-based swaps pursuant to 1.17(c)(5)(iii), (iv), (xv), and (xvi) (itemize to the subparagraph level on separate page)	\$0	3395
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17. Five percent (5%) of all unsecured receivables from foreign brokers

0	3410
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18. Deficiency in collateral for secured demand notes

0	3420
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19. Adjustment to eliminate benefits of consolidation (explain on separate page)

0	3430
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20. Total charges (add lines 7 through 19)

\$ 0	3440
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Net Capital Computation

21. Adjusted net capital (subtract line 20 from line 6)		<u>\$ 15,740,061</u>	3500
22. Net capital required			
A. Risk Based Requirement			
i. Amount of Customer Risk Maintenance Margin	<u>\$ 0</u>		3515
ii. Enter 8% of line 22.A.i		<u>\$ 0</u>	3525
iii. Amount of Non-Customer Risk Maintenance Margin	<u>\$ 0</u>		3535
iv. Enter 8% of line 22.A.iii		<u>\$ 0</u>	3545
v. Enter the sum of 22.A.ii and 22.A.iv		<u>\$ 0</u>	3555
vi. Total Uncleared Swap Margin, as applicable		<u>\$ 0</u>	3556
vii. Enter 2% of line 22.A.vi		<u>\$ 0</u>	3557
viii. Enter the sum 22.A.v and 22.A.vii		<u>\$ 0</u>	3558
B. Minimum Dollar Amount Requirement		<u>\$ 1,000,000</u>	3565
C. Other NFA Requirement		<u>\$ 0</u>	3575
D. Enter the greater of lines 22.A.viii, 22.B. or 22.C.		<u>\$ 1,000,000</u>	3600
23. Excess net capital (line 21 less line 22.D.)		<u>\$ 14,740,061</u>	3610

Computation of Early Warning Level

24. If the Minimum Net Capital Requirement computed on line D (Box 3600) is: \$ 1,500,000 3620

- The Risk Based Requirement, enter 110% of line 22.A.viii. (3558), or
- The Minimum Dollar Requirement of \$1,000,000, for FCMs, or \$20,000,000 for FCMs registered as SDs, enter 150% of line 22.B. (3565), or
- The Minimum Dollar Requirement of \$20,000,000 for FCMs offering or engaging in retail forex transactions or Retail Foreign Exchange Dealers ("RFED"), enter 110% of line 22.B (3565), or
- Other NFA Requirement for FCMs offering or engaging in retail forex transaction or Retail Foreign Exchange Dealers ("RFED"), as calculated on line 11.F (8210) of Exchange Supplementary Schedule, enter 110% of line 22.C. (3575), or
- Any other NFA Requirement, enter 150% of line 22.C. (3575)

This is your early warning capital level. If this amount is greater than the amount on line 21 (3500), you must immediately notify your DSRO and the Commission pursuant to section 1.12 or 5.6, as applicable, of the regulations.

Guaranteed Introducing Brokers

25. List all IBs with which guarantee agreements have been entered into by the FCM and which are currently in effect . 3650  
 See Attached

Name of Company: FanDuel Prediction Markets LLC	Employer ID No: 88-3646341	NFA ID No: 0550813
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CFTC FORM 1-FR-FCM  
STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION  
FOR CUSTOMERS TRADING ON U.S. COMMODITY EXCHANGES  
AS OF 1/31/2026

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance				
A. Cash		\$ 1,243	5000	
B. Securities (at market)		0	5010	
2. Net unrealized profit (loss) in open futures contracts traded on a contract market		0	5020	
3. Exchange traded options				
A. Market value of open option contracts purchased on a contract market		362	5030	
B. Market value of open option contracts granted (sold) on a contract market		0	5040	
4. Net equity (deficit) (add lines 1, 2, and 3)		\$ 1,605	5050	
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount	\$ 618	5060		
Less: amount offset by customer owned securities	0	5070	618	5080
6. Amount required to be segregated (add lines 4 and 5)		\$ 2,223	5090	

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts				
A. Cash		\$ 3,500,000	5100	
B. Securities representing investments of customers' funds (at market)		0	5110	
C. Securities held for particular customers or option customers in lieu of cash (at market)		0	5120	
8. Margins on deposit with derivatives clearing organizations of contract markets				
A. Cash		0	5130	
B. Securities representing investments of customers' funds (at market)		0	5140	
C. Securities held for particular customers or option customers in lieu of cash (at market)		0	5150	
9. Net settlement from (to) derivatives clearing organizations of contract markets		0	5160	
10. Exchange traded options				
A. Value of open long option contracts		0	5170	
B. Value of open short option contracts		0	5180	
11. Net equities with other FCMs				
A. Net liquidating equity		251,163	5190	
B. Securities representing investments of customers' funds (at market)		0	5200	
C. Securities held for particular customers or option customers in lieu of cash (at market)		0	5210	
12. Segregated funds on hand (describe: )		0	5215	
13. Total amount in segregation (add lines 7 through 12)		\$ 3,751,163	5220	
14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)		\$ 3,748,940	5230	
15. Management Target Amount Excess funds in segregation		\$ 250,000	5240	
16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess		\$ 3,498,940	5250	

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CFTC FORM 1-FR-FCM  
STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS  
IN SEGREGATION FOR CUSTOMERS' DEALER OPTIONS ACCOUNTS  
AS OF 1/31/2026

1.	Amount required to be segregated in accordance with Commission regulation 32.6	\$ 0	5400
2.	Funds in segregated accounts		
A.	Cash	\$ 0	5410
B.	Securities (at market)	0	5420
C.	Total	0	5430
3.	Excess (deficiency) funds in segregation (subtract line 1. from line 2.C.)	\$ 0	5440

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CFTC FORM 1-FR-FCM  
STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS  
PURSUANT TO COMMISSION REGULATION 30.7  
AS OF 1/31/2026

FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder		\$ 0	5605
1.	Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers		
	A. Cash	\$ 0	5615
	B. Securities (at market)	\$ 0	5617
2.	Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade	\$ 0	5625
3.	Exchange traded options		
	A. Market value of open option contracts purchased on a foreign board of trade	\$ 0	5635
	B. Market value of open option contracts granted (sold) on a foreign board of trade	\$ 0	5637
4.	Net equity (deficit) (add lines 1, 2, and 3)	\$ 0	5645
5.	Accounts liquidating to a deficit and accounts with debit balances - gross amount	\$ 0	5651
	Less: amount offset by customer owned securities	\$ 0	5652
6.	Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)	\$ 0	5655
7.	Greater of amount required to be set aside to a foreign jurisdiction (above) or line 6.	\$ 0	5660

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CFTC FORM 1-FR-FCM  
STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS  
PURSUANT TO COMMISSION REGULATION 30.7  
AS OF 1/31/2026

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in Banks				
A. Banks located in the United States		\$ 0	5700	
B. Other banks qualified under Regulation 30.7				
Name(s): _	5710	0	5720	\$ 0
				5730
2. Securities				
A. In safekeeping with banks located in the United States		\$ 0	5740	
B. In safekeeping with other banks qualified under Regulation 30.7				
Name(s): _	5750	0	5760	0
				5770
3. Equities with registered futures commission merchants				
A. Cash		\$ 0	5780	
B. Securities		0	5790	
C. Unrealized gain (loss) on open futures contracts		0	5800	
D. Value of long option contracts		0	5810	
E. Value of short option contracts		0	5815	0
				5820
4. Amounts held by clearing organizations of foreign boards of trade				
Name(s): _	5830			
A. Cash		\$ 0	5840	
B. Securities		0	5850	
C. Amount due to (from) clearing organization - daily variation		0	5860	
D. Value of long option contracts		0	5870	
E. Value of short option contracts		0	5875	0
				5880
5. Amounts held by members of foreign boards of trade				
Name(s): _	5890			
A. Cash		\$ 0	5900	
B. Securities		0	5910	
C. Unrealized gain (loss) on open futures contracts		0	5920	
D. Value of long option contracts		0	5930	
E. Value of short option contracts		0	5935	0
				5940
6. Amounts with other depositories designated by a foreign board of trade				
Name(s): _	5950			0
				5960
7. Segregated funds on hand (describe): _				0
				5965
8. Total funds in separate section 30.7 accounts				\$ 0
				5970
9. Excess (deficiency) Set Aside Funds for Secured Amount (Subtract line 7 Secured Statement Page 1 from line 8)				\$ 0
				5680
10. Management Target Amount for Excess funds in separate 30.7 accounts				0
				5980
11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target Excess				0
				5985

**SUPPLEMENT TO  
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT  
PART II**

**BROKER OR DEALER:**

**as of: 01/31/2026**

**STATEMENT OF CLEARED SWAPS SEGREGATION REQUIREMENTS AND  
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

**Cleared Swaps Customer Requirements**

1.	Net ledger balance			
	A. Cash	\$ 43,214	8500	
	B. Securities (at market)	0	8510	
		0	8520	
2.	Net unrealized profit (loss) in open cleared swaps		0	8520
3.	Cleared swaps options			
	A. Market value of open cleared swaps option contracts purchased	12,446	8530	
	B. Market value of open cleared swaps granted (sold)	0	8540	
		55,660	8550	
4.	Net equity (deficit) (add lines 1, 2 and 3)			
5.	Accounts liquidating to a deficit and accounts with debit balances			
	- gross amount	\$ 467	8560	
	Less: amount offset by customer securities	0	8570	
		467	8580	
6.	Amount required to be segregated for cleared swaps customers (add lines 4 and 5)		56,127	8590

**Funds in Cleared Swaps Customer Segregated Accounts**

7.	Deposited in cleared swaps customer segregated accounts at banks			
	A. Cash	\$ 2,070,517	8600	
	B. Securities representing investments of cleared swaps customers' funds (at market)	0	8610	
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	0	8620	
		0	8630	
8.	Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts			
	A. Cash		0	8640
	B. Securities representing investments of cleared swaps customers' funds (at market)		0	8650
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)		0	8660
		0	8670	
9.	Net settlement from (to) derivatives clearing organizations		0	8680
10.	Cleared swaps options			
	A. Value of open cleared swaps long option contracts		0	8690
	B. Value of open cleared swaps short option contracts		0	8700
		7,246,724	8710	
11.	Net equities with other FCMs			
	A. Net liquidating equity		0	8720
	B. Securities representing investments of cleared swaps customers' funds (at market)		0	8730
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)		0	8740
		0	8750	
12.	Cleared swaps customer funds on hand (describe: )		9,317,241	8760
13.	Total amount in cleared swaps customer segregation (add lines 7 through 12)		\$ 9,261,114	8770
14.	Excess (deficiency) funds in segregation (subtract line 6 from line 13)		\$ 1,000,000	8780
15.	Management target Amount for Excess funds in cleared swaps segregated accounts		\$ 8,261,114	8790
16.	Excess (deficiency) funds in cleared swaps customer segregation over (under) Management Target Excess		\$ 8,261,114	8800