



Special Executive Report

DATE: August 20, 2020

SER#: 8633R

SUBJECT: Initial Listing of the UAN FOB NOLA Futures Contract

(SER 8633R supersedes SER 8633 dated July 31, 2020 and is being issued to correct the CME ClearPort reporting hours. No other changes have been made to the original SER.)

Effective Sunday, September 20, 2020 for trade date Monday, September 21, 2020, and pending all relevant CFTC regulatory review periods, The Board of Trade of the City of Chicago, Inc. ("CBOT" or "Exchange") will list the UAN FOB NOLA futures contract (the "Contract") for trading on the CME Globex electronic trading platform ("CME Globex") and for submission for clearing via CME ClearPort, as noted below.

Contract Title	CBOT Rulebook Chapter	Commodity Code
UAN FOB NOLA Futures	45	UNO

Exhibit 1 - Contract Specifications

Exhibit 2 - Exchange Fees

Inquiries regarding this matter may be directed to:

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Exhibit 1 -- Contract Specifications

Contract Unit	100 tons
Minimum Price Fluctuation	\$0.25 per ton = \$25.00
CME Globex	Sunday – Friday: 5:00 p.m. - 4:00 p.m. Central Time (CT) with a 60-minute break each day beginning at 4:00 p.m. CT Pre-Open: Sunday 4:00 p.m. – 5:00 p.m. CT Monday – Thursday: 4:45 p.m. – 5:00 p.m. CT
CME ClearPort	Sunday 5:00 p.m. - Friday 5:45 p.m. CT with a 15-minute maintenance window Monday - Thursday from 5:45 p.m. to 6:00 p.m. CT All CME Group Futures and Options hours may be viewed HERE .
Commodity Code	CME Globex: UNO

	CME ClearPort: UNO Clearing: UNO
Listing Schedule	Monthly contracts listed for 12 consecutive months
Initial Listed Months	October 2020, November 2020, December 2020, January 2020, February 2020, March 2020, April 2020, May 2020, June 2020, July 2020, August 2020, and September 2020
Termination of Trading	Jan-Nov contract months: Trading terminates on the last Thursday of the contract month. If this is not a U.S. or London business day, trading terminates on the prior U.S. and London business day. Dec contract month: Trading terminates on the Thursday prior to December 26th. If this is not a U.S. or London business day, trading terminates on the prior U.S. and London business day.
Rulebook Chapter	CBOT 45
Block Trade Minimum Threshold Level	2 contracts Reporting Window: 15 minutes
Settlement Method	Financially Settled based on the arithmetic average of midpoint price assessments published weekly by both ICIS and Profercy during the contract month.

Exhibit 2 – Exchange Fees

Level	Account Owner	Execution Type	Venue/Transaction Type	Fee
Individual Member				
1	Individual Members	Member Account Owner	CME Globex	\$3.50
			EFP	\$4.00
			EFR	\$4.00
			Block	\$4.00
	Individual Delegates	Delegate Trading Own Account	CME Globex	\$4.00
			EFP	\$4.50
			EFR	\$4.50
			Block	\$4.50
Equity Members (Individual Equity members, Clearing Firms and Equity Firms)				
2	Equity Members ¹	Member or Delegate	CME Globex	\$3.50
			EFP	\$4.00
			EFR	\$4.00
			Block	\$4.00
		Non Member	CME Globex	\$3.50
			EFP	\$4.00
			EFR	\$4.00
			Block	\$4.00
	Rule 106.S. Family of Funds Equity Member Firms	Member, Delegate or Non Member	CME Globex	\$3.50
			EFP	\$4.00
			EFR	\$4.00
			Block	\$4.00

¹ Clearing Closely Held Corporate Members & Sole Proprietors, Rule 106.J. Equity Closely Held Corporate Members & Rule 106.I. Affiliate Equity Member Firms, Individual Equity Members (other member/delegate executing), Clearing FCMs, Rule 106.J. Equity FCMs, Clearing Corporate Members, Rule 106.J. Equity Corporate Members & Rule 106.I. Affiliate Equity Member Firm (Affiliate of Clearing FCM, Clearing Corporate Member

or Rule 106.J Equity FCM or Equity Corporate Member), Rule 106.I. Affiliate Membership Umbrella - Qualified Affiliate - Unlimited Number of Affiliates.

(Execution Not a Factor in Membership Types Below)

Level	Account Owner	Execution Type	Venue/Transaction Type	Fee
Trading Members (Individual Non-Equity Members and Non-Equity Member Firms)				
3	Individual Non-Equity Members (other member/delegate executing); Rule 106.H. Corporate Trading Firms; Rule 106.H. Trading FCMs; & Rule 106.I. Affiliate Trading Member Firms	Member, Delegate or Non Member	CME Globex	\$4.00
			EFP	\$4.50
			EFR	\$4.50
			Block	\$4.50
	Individual Delegates (Other Member or Delegate executing the trade) & Rule 106.I. Affiliate Trading Member Firms	Member, Delegate or Non Member	CME Globex	\$4.00
			EFP	\$4.50
			EFR	\$4.50
			Block	\$4.50
	Rule 106.S. Family of Funds Trading Member Firms	Member, Delegate or Non Member	CME Globex	\$4.00
			EFP	\$4.50
			EFR	\$4.50
			Block	\$4.50
Level	Account Owner	Execution Type	Venue/Transaction Type	Exchange Fee
Electronic Corporate Member Firm				
4	Rule 106.R Electronic Corporate Member Firms (For other than CME Globex - Non-Member rates apply)	Member, Delegate or Non Member	CME Globex	\$4.50
Non Members				
5	International Incentive Program (IIP) and International Volume Incentive Program (IVIP) Participants (Open Outcry at same rate as CME Globex for Interest Rate products only)	Member, Delegate or Non Member	CME Globex	\$4.50
	Central Bank Incentive Program (CBIP), Emerging Markets Bank Incentive Program (EMBIP), Latin American Fund Manager Incentive Program (FMIP) Participants (For other than CME Globex - Non-Member rates apply)	Member, Delegate or Non Member	CME Globex	\$4.50
	Non-Members	N/A	CME Globex	\$4.50
			EFP	\$5.00
			EFR	\$5.00
			Block	\$5.00

Processing Fees	Fee
Exchange Fees for Non Trades (Member/Delegate/Non-Member)	\$4.00
Exchange Fees for Non-Trades - Expired Options	\$0.10
Facilitation Fee	\$0.40
Give-Up Surcharge	\$0.06
Brokerage Fees – with discretion 106F within/106D or 106F outside	\$0.00/\$0.15
Brokerage Fees – without discretion Member or 106F within/106D, 106F outside or CMACE Permit Holder	\$0.04/\$0.17
Position Adjustment/Position Transfer	\$0.10