



**CHICAGO MERCANTILE EXCHANGE
NOTICE OF DISCIPLINARY ACTION**

FILE NO: DQA-25-1726

**MEMBER
FIRM: MACQUARIE FUTURES USA, LLC**

**RULE
VIOLATION: RULE 576. IDENTIFICATION OF GLOBEX TERMINAL OPERATORS**

Each Globex terminal operator shall be identified to the Exchange, in the manner prescribed by the Exchange, and shall be subject to Exchange rules. If user IDs are required to be registered with the Exchange, it is the duty of the clearing member to ensure that registration is current and accurate at all times. Each individual must use a unique user ID to access Globex. In no event may a person enter an order or permit the entry of an order by an individual using a user ID other than the individual's own unique user ID.

FINDINGS: During the period of January 1, 2025 through March 31, 2025, Macquarie Futures USA, LLC violated Rule 576 by failing to maintain current and accurate information in the Exchange Fee System and by submitting Operator IDs with multiple users.

PENALTY: On July 23, 2025, Macquarie Futures USA, LLC., pursuant to Rule 512 ("Reporting Infractions"), was issued a \$1,000 fine for its violation of Rule 576.

**EFFECTIVE
DATE: August 8, 2025**
