## 2021 CFTC Rule Filings

Date of Filing	Entity	Description	Filing Type	CFTC Filing
5/14/21	COMEX	Modifications to the Gold Options ETH Market Maker Program	DCM	<u>21-225</u>
5/14/21	COMEX	SUPPLEMENTAL INFORMATION UNDER FOIA	DCM	<u>21-225S</u>
5/13/21	СМЕ	Modifications to the Cryptocurrency Futures and Options Block and EFRP Program	DCM	<u>21-230</u>
5/13/21	COMEX	Expansion of the Listing Schedule of the Cobalt Metal (Fastmarkets) Futures Contract	DCM	<u>21-199</u>
5/12/21	СВОТ	Modifications to the South American Soybean Market Maker Program	DCM	21-229
5/11/21	NEX SEF	Initial Listing of the Z-TIBOR Interest Rate Swap Contract	SEF	<u>21-223</u>
5/11/21	NEX SEF	Initial Listing of the Russian Ruble (RUB) Forward Rate Agreement Contract	SEF	21-222
5/11/21	CME Clearing	Weekly Notification of Rule Amendments - Week of May 3, 2021	DCO	<u>21-221</u>
5/11/21	СВОТ	Weekly Notification of Amendments Related to Product Terms and Conditions - Week of May 3, 2021	DCM	<u>21-220</u>
5/11/21	СМЕ	Weekly Notification of Amendments Related to Product Terms and Conditions - Week of May 3, 2021	DCM	21-219 Exhibit B Exhibit C
5/7/21	СМЕ	Implementation of the FTIIE Futures Globex Market Maker Program	DCM	21-218
5/7/21	СМЕ	SUPPLEMENTAL INFORMATION UNDER FOIA	DCM	<u>21-218S</u>
5/7/21	СМЕ	Modifications to the Sterling Overnight Index Average ("SONIA") Futures Market Maker Program	DCM	<u>21-211</u>
5/7/21	СМЕ	SUPPLEMENTAL INFORMATION UNDER FOIA	DCM	<u>21-211S</u>
5/7/21	СМЕ	Extension of the Sterling Overnight Index Average ("SONIA") Futures Block Discount Program	DCM	<u>21-210</u>
5/7/21	СМЕ	Extension of the Sterling Overnight Index Average ("SONIA") Futures Give-Up Fee Waiver Program	DCM	<u>21-209</u>

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5/7/21	СМЕ	Implementation of FTIIE Futures Give-Up Fee Waiver Program	DCM	<u>21-208</u>
5/7/21	СМЕ	Implementation of the FTIIE Futures Block Fee Discount Program	DCM	<u>21-207</u>
5/7/21	СМЕ	Implementation of the FTIIE Futures Block Broker Rebate Program	DCM	<u>21-206</u>
5/6/21	СМЕ	Implementation of the E-mini S&P 350 Europe ESG Futures Market Maker Program	DCM	<u>21-214</u>
5/6/21	СМЕ	SUPPLEMENTAL INFORMATION UNDER FOIA	DCM	<u>21-214S</u>
5/6/21	CME/CBOT/NYMEX/ COMEX	Amendments to the CME, CBOT, NYMEX, and COMEX Hedge Exemption Form	DCM	21-212 (1 of 4) 21-212 (2 of 4) 21-212 (3 of 4) 21-212 (4 of 4) Exhibit A
5/6/21	СМЕ	Initial Listing of the E-mini S&P Europe 350 ESG Index Futures Contract	DCM	<u>21-179</u> <u>Exhibit B</u>
5/6/21	СМЕ	Initial listing of the Mexican Funding TIIE (Monthly Contracts) Futures Contract	DCM	21-178 Appendix B
5/5/21	CME Clearing	Weekly Notification of Rule Amendments - Week of April 26, 2021	DCO	<u>21-213</u>
5/3/21	СМЕ	Amendments to the CME Rule 588.H. ("Globex Non-Reviewable Trading Ranges") Table for the Random Length Lumber Futures Contract	DCM	<u>21-192</u>
5/3/21	NYMEX	Delisting of Three (3) Platts Petroleum Futures and Two (2) European Naphtha (Argus) Futures Contracts	DCM	21-158 Exhibit B
5/3/21	COMEX	Delisting of the Iron Ore 58% Fe, Low Alumina, CFR China Futures Contract	DCM	21-152 Exhibit B
4/28/21	CME Clearing	Weekly Notification of Rule Amendments - Week of April 19, 2021	DCO	<u>21-205</u>
4/26/21	NEX SEF	Amendments to the NEX SEF Limited Governance Policy and Adoption of the Board of Directors Terms of Reference	SEF	<u>21-197</u>
4/26/21	NEX SEF	SUPPLEMENTAL INFORMATION UNDER FOIA	SEF	<u>21-197S</u>
4/26/21	СВОТ	Reduction of the Spot Month Position Limit of the Black Sea Corn Financially Settled (Platts) Futures and Options on Black Sea Corn Financially Settled (Platts) Futures Contracts	DCM	21-164 Exhibit B
4/21/21	СМЕ	Expansion of the Listing Schedule of Monthly Volatility Quoted Options on CME Euro/US Dollar and Japanese Yen/US Dollar Futures Contracts	DCM	<u>21-162</u>
4/19/21	CME Clearing	Weekly Notification of Rule Amendments - Week of April 12, 2021	DCO	<u>21-200</u>
4/16/21	CME/CBOT/ NYMEX/COMEX	Amendments to CME, CBOT, NYMEX/COMEX Rule 702. ("Clearing Member Duties to the Clearing House") of Chapter 7 ("Delivery Facilities and Procedures")	DCO/ DCM	21-198 (1 of 5) 21-198 (2 of 5) 21-198 (3 of 5) 21-198 (4 of 5) 21-198 (5 of 5)

4/16/21	СМЕ	Implementation of the Micro Bitcoin Futures Market Maker Program	DCM	<u>21-196</u>
4/16/21	СМЕ	SUPPLEMENTAL INFORMATION UNDER FOIA	DCM	<u>21-196S</u>
4/16/21	СВОТ	Modifications to the 3-Year Note Futures Market Maker Program	DCM	<u>21-195</u>
4/16/21	CME/CBOT/ NYMEX/COMEX	Amendments to CME, CBOT, and NYMEX/COMEX Rule 559. ("Position Limits and Exemptions") and Issuance of CME Group Market Regulation Advisory Notice RA2104-5.	DCM	21-194 (1 of 4) 21-194 (2 of 4) 21-194 (3 of 4) 21-194 (4 of 4)
4/16/21	NYMEX/COMEX	Amendments to NYMEX/COMEX Rule 703.A. ("Conditions of Approval") of NYMEX/COMEX Chapter 7 ("Delivery Facilities and Procedures")	DCM	21-193 (1 of 2) 21-193 (2 of 2)
4/16/21	СМЕ	Modifications to the Pork Cutout Market Maker Program	DCM	<u>21-191</u>
4/16/21	COMEX	Modifications to the Hot-Rolled Coil Steel Market Maker Program	DCM	<u>21-187</u>
4/16/21	COMEX	SUPPLEMENTAL INFORMATION UNDER FOIA	DCM	<u>21-187S</u>
4/16/21	COMEX	Modifications to the Busheling Ferrous Scrap Market Maker Program	DCM	<u>21-186</u>
4/16/21	COMEX	SUPPLEMENTAL INFORMATION UNDER FOIA	DCM	<u>21-186S</u>
4/16/21	COMEX	Modifications to the Copper Options Liquidity Provider Program	DCM	<u>21-185</u>
4/16/21	COMEX	SUPPLEMENTAL INFORMATION UNDER FOIA	DCM	<u>21-185S</u>
4/16/21	СМЕ	Initial Listing of the Micro Bitcoin Futures Contract	DCM	21-155 Appendix B
4/16/21	СМЕ	SUPPLEMENTAL INFORMATION UNDER FOIA	DCM	<u>21-155S</u>
4/16/21	СМЕ	Modifications to the E-mini S&P 500 ESG Index Futures Market Maker Program	DCM	<u>21-189</u>
4/16/21	СМЕ	SUPPLEMENTAL INFORMATION UNDER FOIA	DCM	<u>21-189S</u>
4/15/21	СМЕ	Modifications to the FX Monthly Futures Market Maker Program	DCM	<u>21-190</u>
4/15/21	СМЕ	SUPPLEMENTAL INFORMATION UNDER FOIA	DCM	<u>21-190S</u>
4/15/21	NYMEX	Amendments to the E-mini Crude Oil Futures Contract	DCM	21-167
4/15/21	СМЕ	Initial Listing of the USD Malaysian Crude Palm Oil 15th Bullet Futures and USD Malaysian Crude Palm Oil Day 10th Financial Options Contracts	DCM	21-098 (1 of 2) 21-098 (2 of 2) Exhibit B

4/14/21	СМЕ	Modifications to the E-mini Equity Index Options ETH Discount Program	DCM	<u>21-188</u>
4/14/21	СМЕ	SUPPLEMENTAL INFORMATION UNDER FOIA	DCM	<u>21-188S</u>
4/14/21	COMEX	Initial Listing of the Lithium Hydroxide CIF CJK (Fastmarkets) Futures Contract	DCM	21-148 Exhibit B
4/14/21	COMEX	SUPPLEMENTAL INFORMATION UNDER FOIA	DCM	<u>21-148S</u>
4/12/21	CME Clearing	Weekly Notification of Rule Amendments - Week of April 5, 2021	DCO	<u>21-182</u>
4/12/21	COMEX	Weekly Notification of Amendments Related to Product Terms and Conditions - Week of April 5, 2021	DCM	<u>21-181</u> <u>Exhibit B</u>
4/12/21	CME	Weekly Notification of Amendments Related to Product Terms and Conditions - Week of April 5, 2021	DCM	<u>21-180</u> <u>Exhibit C</u>
4/12/21	NYMEX	Delisting of Two (2) Natural Gas (Platts IFERC) Basis Futures Contracts	DCM	21-140 Exhibit B
4/9/21	CME/CBOT/ NYMEX/COMEX	Amendments to the CME Globex Messaging Policy	DCM	21-170 (1 of 4) 21-170 (2 of 4) 21-170 (3 of 4) 21-170 (4 of 4)
4/9/21	CME Clearing	Modifications to CME Clearing Credit Policy	DCO	<u>21-166</u>
4/9/21	CME Clearing	SUPPLEMENTAL INFORMATION UNDER FOIA	DCO	<u>21-166S</u>
4/9/21	СВОТ	Amendments to the Options on Black Sea Wheat Financially Settled (Platts) Futures and Options on Black Sea Corn Financially Settled (Platts) Futures Contracts to Convert from European Style to American Style Expiration	DCM	<u>21-018</u>
4/8/21	NYMEX	Initial Listing of the Freight Route TC18 (Baltic) Futures Contract	DCM	<u>21-117</u> <u>Exhibit B</u>
4/8/21	NYMEX	SUPPLEMENTAL INFORMATION UNDER FOIA	DCM	<u>21-117S</u>
4/8/21	NYMEX	Initial Listing of the Freight Route Liquefied Petroleum Gas (BLP3) (Baltic) Futures Contract	DCM	<u>21-080</u> <u>Exhibit B</u>
4/8/21	NYMEX	SUPPLEMENTAL INFORMATION UNDER FOIA	DCM	<u>21-080S</u>
4/5/21	CME Clearing	Weekly Notification of Rule Amendments - Week of March 22, 2021	DCO	<u>21-176</u>
4/5/21	COMEX	Weekly Notification of Amendments Related to Product Terms and Conditions - Week of March 29, 2021	DCM	21-175 Exhibit C
4/5/21	NYMEX	Weekly Notification of Amendments Related to Product Terms and Conditions - Week of March 29, 2021	DCM	21-174 Exhibit B
4/5/21	СМЕ	Weekly Notification of Amendments Related to Product Terms and Conditions - Week of March 29, 2021	DCM	21-173 Exhibit B
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Amendments to CME Rule BA71. ("Contract Eligibility") to add E-mini FTSE China 50 Futures Contract and E-mini FTSE Emerging Market Futures Contract to the Mutual Offset System ("Ref 1970 System")					
3/29/21   CME Clearing   Rule 526. [Block Trades*] in Connection with the Initial Listing of the Urkrainian Wheat (Platts)   Putrus and Options on Urkrainian Wheat (Platts)   Putrus and Options and Conditions - Week of March 22, 2021   Putrus and Options - Week of March 22, 2021   Putrus Appendix B   Putrus Appen	4/2/21	СМЕ	E-mini FTSE China 50 Futures Contract and E-mini FTSE Emerging Market Futures Contract to the Mutual Offset System		
2021   COMEX   Weekly Notification of Amendments Related to Product Terms and Conditions - Week of March 22, 2021   DCM   Exhibit B	3/29/21		RA2103-5 Regarding Rule 526. ("Block Trades") in Connection with the Initial Listing of the Ukrainian Wheat (Platts) Futures and Options on Ukrainian Wheat (Platts)	DCM	21-171 (2 of 4) 21-171 (3 of 4)
and Conditions - Week of March 22, 2021  CBOT  REVISED: Delisting of the South American Short-Dated New Crop Soybean Options Contract  Amendments to the Termination of Trading Rule and Holiday Schedule for Three (3) Argus Saudi Aramoc Liquefied Petroleum Gas Futures Contracts  CBOT  Initial Listing of the Ukrainian Wheat (Platts) Futures and Options on Ukrainian Wheat (Platts) Futures Contracts  CBOT  SUPPLEMENTAL INFORMATION UNDER FOIA  J25/21  CME  Initial Listing of European Style Wednesday Weekly and Monday Weekly Options Contracts on E-mini Nasdaq-100 Index Petroleum Gas Futures  Expansion of Clearing Of Certain OTC Interest Rate Swap Products Denominated in Australian Dollars, Canadian Dollars, British Pound Sterling and Japanese Yen Increase of Spot Month Position Limits and Accountability Levels of Six (6) European Natural Gas Futures and Option Contracts  Weekly Notification of Rule Amendments - Week of March 15, 2021  NYMEX  Weekly Notification of Amendments Related to Product Terms and Conditions - Week of March 15, 2021  NYMEX  Weekly Notification of Amendments Related to Product Terms and Conditions - Week of March 15, 2021  NYMEX  Weekly Notification of Amendments Related to Product Terms and Conditions - Week of March 15, 2021  NYMEX  Weekly Notification of Amendments Related to Product Terms and Conditions - Week of March 15, 2021  NYMEX  Weekly Notification of Amendments Related to Product Terms and Conditions - Week of March 15, 2021  NYMEX  Weekly Notification of Amendments Related to Product Terms and Conditions - Week of March 15, 2021  NYMEX  Meekly Notification of Amendments Related to Product Terms and Conditions - Week of March 15, 2021  NYMEX  Meekly Notification of Amendments Related to Product Terms and Conditions - Week of March 15, 2021  NYMEX  Meekly Notification of Amendments Petroleum Notion of Class III Milk Futures and Class III Milk Futures and Class III Milk Futures and Conditions - Week of March 15, 2021  Memoval of Daily Price Limits in Class III Milk Futures and Conditio	3/29/21	CME Clearing		DCO	<u>21-169</u>
3/29/21 CBOT Crop Soybean Options Contract DCM Appendix B  Amendments to the Termination of Trading Rule and Holiday Schedule for Three (3) Argus Saudi Aramoc Liquefied Petroleum Gas Futures Contracts  3/26/21 CBOT Initial Listing of the Ukrainian Wheat (Platts) Futures and Options on Ukrainian Wheat (Platts) Futures Contracts  3/26/21 CBOT SUPPLEMENTAL INFORMATION UNDER FOIA DCM 21-103S (2 of 2) Appendix D  3/25/21 CME Initial Listing of European Style Wednesday Weekly and Monday Weekly Options Contracts on E-mini Nasdaq-100 Index® Futures  21-103 (2 of 2) Appendix D  21-102 (2 of 2	3/29/21	COMEX		DCM	
NYMEX	3/29/21	СВОТ		DCM	
CBOT   Initial Listing of the Ortainian Wheat (Platts) Futures Contracts   DCM   21-103 (2 of 2)   Appendix D   Monday Weekly Options on Ukrainian Wheat (Platts) Futures Contracts   DCM   21-103 (2 of 2)   21-102 (2 of 2)   21	3/26/21	NYMEX	Schedule for Three (3) Argus Saudi Aramco Liquefied	DCM	<u>21-157</u>
SUPPLEMENTAL INFORMATION UNDER FOIA   DCM   21-103S (2 of 2)	3/26/21	СВОТ		DCM	21-103 (2 of 2)
CME   Monday Weekly Options Contracts on E-mini Nasdaq-100   Index® Futures	3/26/21	СВОТ	SUPPLEMENTAL INFORMATION UNDER FOIA	DCM	
CME Clearing	3/25/21	СМЕ	Monday Weekly Options Contracts on E-mini Nasdaq-100	DCM	21-102 (2 of 2)
NYMEX   Levels of Six (6) European Natural Gas Futures and Option Contracts   DCM   Exhibit A	3/24/21	CME Clearing	Products Denominated in Australian Dollars, Canadian	DCO	<u>21-165</u>
3/22/21 NYMEX Weekly Notification of Amendments Related to Product Terms and Conditions - Week of March 15, 2021  Removal of Daily Price Limits in Class III Milk Futures and Class IV Milk Futures Contracts During the Final Month of Trading in an Expiring Contract Month  CME Supplemental Information to CME Submission No. 21-130 DCM 21-131  CME Supplemental Information to CME Submission No. 21-130 DCM 21-130S  Implementation of the Agriculture Calendar Spread Options Incentive Program DCM 21-150  CME Modifications to the CME FX Link Market Maker Program DCM 21-150  CME SUPPLEMENTAL INFORMATION UNDER FOIA DCM 21-150S	3/24/21	NYMEX	Levels of Six (6) European Natural Gas Futures and Option	DCM	
and Conditions - Week of March 15, 2021  Removal of Daily Price Limits in Class III Milk Futures and Class IV Milk Futures Contracts During the Final Month of Trading in an Expiring Contract Month  CME  Supplemental Information to CME Submission No. 21-130    CME   Supplemental Information to CME Submission No. 21-130	3/22/21	CME Clearing		DCO	<u>21-161</u>
3/18/21 CME Class IV Milk Futures Contracts During the Final Month of Trading in an Expiring Contract Month  3/18/21 CME Supplemental Information to CME Submission No. 21-130 DCM 21-130S  3/17/21 CBOT Implementation of the Agriculture Calendar Spread Options Incentive Program DCM 21-151  3/17/21 CME Modifications to the CME FX Link Market Maker Program DCM 21-150  3/17/21 CME SUPPLEMENTAL INFORMATION UNDER FOIA DCM 21-150S	3/22/21	NYMEX		DCM	<u>21-160</u>
3/17/21 CBOT Implementation of the Agriculture Calendar Spread Options Incentive Program DCM 21-151  3/17/21 CME Modifications to the CME FX Link Market Maker Program DCM 21-150  3/17/21 CME SUPPLEMENTAL INFORMATION UNDER FOIA DCM 21-150S	3/18/21	СМЕ	Class IV Milk Futures Contracts During the Final Month of	DCM	<u>21-131</u>
3/17/21 CME Modifications to the CME FX Link Market Maker Program DCM 21-150  3/17/21 CME SUPPLEMENTAL INFORMATION UNDER FOIA DCM 21-150S	3/18/21	СМЕ	Supplemental Information to CME Submission No. 21-130	DCM	<u>21-130S</u>
3/17/21 CME SUPPLEMENTAL INFORMATION UNDER FOIA DCM 21-150S	3/17/21	СВОТ		DCM	<u>21-151</u>
ONE AND FRANCISCO DE LA COMPANION DE LA COMPAN	3/17/21	СМЕ	Modifications to the CME FX Link Market Maker Program	DCM	<u>21-150</u>
3/17/21 CME Modifications to the FX Link Bank Incentive Program DCM 21-149	3/17/21	СМЕ	SUPPLEMENTAL INFORMATION UNDER FOIA	DCM	<u>21-150S</u>
	3/17/21	СМЕ	Modifications to the FX Link Bank Incentive Program	DCM	<u>21-149</u>

3/17/21	СМЕ	SUPPLEMENTAL INFORMATION UNDER FOIA	DCM	<u>21-149S</u>
3/17/21	NEX SEF	Modifications to the NEX SEF Fee Schedule	SEF	<u>21-146</u>
3/17/21	NEX SEF	SUPPLEMENTAL INFORMATION UNDER FOIA	SEF	<u>21-146S</u>
3/17/21	СВОТ	Extension of the Eris Block Broker Rebate Program	DCM	<u>21-145</u>
3/17/21	СВОТ	Modifications to the South American Soybean Market Maker Program	DCM	<u>21-144</u>
3/17/21	СМЕ	Modifications to the Adjusted Interest Rate ("AIR") S&P 500 Total Return Futures Market Maker Program	DCM	<u>21-142</u>
3/17/21	СМЕ	SUPPLEMENTAL INFORMATION UNDER FOIA	DCM	<u>21-142S</u>
3/17/21	СВОТ	Modifications to the Eris Swap Futures Market Maker Program	DCM	<u>21-137</u>
3/17/21	СМЕ	Modifications to the FX Futures Blocks and EFP Intermediary Rebate Program	DCM	<u>21-135</u>
3/17/21	СМЕ	SUPPLEMENTAL INFORMATION UNDER FOIA	DCM	<u>21-135S</u>
3/17/21	СМЕ	Modifications to the Nasdaq Veles California Water Index Futures Market Maker Program	DCM	<u>21-134</u>
3/17/21	СМЕ	SUPPLEMENTAL INFORMATION UNDER FOIA	DCM	<u>21-134S</u>
3/17/21	СМЕ	Implementation of the Nasdaq Veles California Water Index Futures Broker Rebate Program	DCM	<u>21-133</u>
3/17/21	СМЕ	SUPPLEMENTAL INFORMATION UNDER FOIA	DCM	<u>21-133S</u>
3/17/21	СМЕ	REVISED: Amendments to the Spot Month Period Definition and Increase in the Spot and Single Month Position Limits of the Cash Settled Butter Futures and Options on Cash Settled Butter Futures Contracts	DCM	21-130RR Appendix A
3/16/21	NYMEX	Modifications to the Japan Basis Trade at Index Close ("BTIC") Market Maker Program	DCM	<u>21-143</u>
3/16/21	NYMEX	SUPPLEMENTAL INFORMATION UNDER FOIA	DCM	<u>21-143S</u>
3/16/21	СВОТ	Modifications to the MAC Swap Futures Incentive Program	DCM	<u>21-136</u>
3/16/21	COMEX	Modifications to the Shanghai Gold Futures Liquidity Provider Program	DCM	<u>21-129</u>
3/16/21	COMEX	SUPPLEMENTAL INFORMATION UNDER FOIA	DCM	<u>21-129S</u>

COMEX	Extension of the Shanghai Gold Futures Volume Incentive Program	DCM	<u>21-128</u>
COMEX	SUPPLEMENTAL INFORMATION UNDER FOIA	DCM	<u>21-128S</u>
NYMEX	Weekly Notification of Amendments Related to Product Terms and Conditions - Week of March 8, 2021	DCM	21-141 Exhibit B
CME Clearing	Weekly Notification of Rule Amendments - Week of March 8, 2021	DCO	<u>21-139</u>
СМЕ	Weekly Notification of Amendments Related to Product Terms and Conditions - Week of March 8, 2021	DCM	<u>21-138</u>
CME Clearing	Modifications to Discounting and Price Alignment for Emerging Markets Interest Rate Swaps ("IRS") and Over the Counter Foreign Exchange ("OTC FX") Products to Transition to the Secured Overnight Financing Rate ("SOFR") and Euro Short Term Rate ("€STR")	DCM	<u>21-125</u>
СМЕ	Modifications to the Micro E-mini Options Market Maker Program	DCM	<u>21-127</u>
СМЕ	SUPPLEMENTAL INFORMATION UNDER FOIA	DCM	<u>21-127S</u>
СМЕ	Extension of the IPOX Futures Fee Discount Program	DCM	<u>21-126</u>
CME Clearing	Implementation of the FEX Strip Option Expiration Fee Waiver Program	DCO	<u>21-111</u>
CME Clearing	Implementation of the Post-Trade Fee Schedule for FEX Products	DCO	21-107 Exhibit 1
CME Clearing	Weekly Notification of Rule Amendments - Week of March 1, 2021	DCO	21-124
COMEX	Weekly Notification of Amendments Related to Product Terms and Conditions - Week of March 1, 2021	DCM	21-123 Exhibit C
NYMEX	Weekly Notification of Amendments Related to Product Terms and Conditions - Week of March 1, 2021	DCM	<u>21-122</u> <u>Exhibit C</u>
СМЕ	Weekly Notification of Amendments Related to Product Terms and Conditions - Week of March 1, 2021	DCM	<u>21-121</u> <u>Exhibit C</u>
COMEX	Expansion of the Listing Schedule of the Cobalt Metal (Fastmarkets) Futures Contract	DCM	<u>21-100</u>
CME Clearing	Expansion of the Gold Warrant Collateral Program to Permit Clearing Members to Deposit COMEX Gold Warrants as Performance Bond in the Customer Origins	DCO	<u>21-119</u>
CME Clearing	Weekly Notification of Rule Amendments - Week of February 22, 2021	DCO	<u>21-116</u>
COMEX	Weekly Notification of Amendments Related to Product Terms and Conditions - Week of February 22, 2021	DCM	21-115 Exhibit B
NYMEX	Weekly Notification of Amendments Related to Product Terms and Conditions - Week of February 22, 2021	DCM	21-114 Exhibit B
	COMEX  NYMEX  CME Clearing  CME  CME  CME  CME  CME  CME  CME Clearing  CME Clearing  CME Clearing  CME Clearing  CME Clearing  CME Clearing  COMEX  NYMEX  CME  COMEX  CME  COMEX  CME Clearing	COMEX SUPPLEMENTAL INFORMATION UNDER FOIA  NYMEX Weekly Notification of Amendments Related to Product Terms and Conditions - Week of March 8, 2021  CME Clearing Weekly Notification of Rule Amendments - Week of March 8, 2021  CME Clearing  C	COMEX  SUPPLEMENTAL INFORMATION UNDER FOIA  DCM  NYMEX  Weekly Notification of Amendments Related to Product Terms and Conditions - Week of March 8, 2021  CME Clearing  Weekly Notification of Rule Amendments - Week of March 8, 2021  CME  Weekly Notification of Amendments Related to Product Terms and Conditions - Week of March 8, 2021  Modifications to Discounting and Price Alignment for Emerging Markets Interest Rate Swaps ("IRS") and Over the Counter Foreign Exchange ("OTC FX") Products to Transition to the Secured Overnight Financing Rate ("SOFR") and Euro Short Term Rate ("ESTR")  CME  Modifications to the Micro E-mini Options Market Maker Program  CME  SUPPLEMENTAL INFORMATION UNDER FOIA  CME  Extension of the IPOX Futures Fee Discount Program  DCM  CME Clearing  Implementation of the FEX Strip Option Expiration Fee Waiver Program  CME Clearing  Implementation of the Post-Trade Fee Schedule for FEX Products  CME Clearing  Weekly Notification of Rule Amendments - Week of March 1, 2021  COMEX  Weekly Notification of Amendments Related to Product Terms and Conditions - Week of March 1, 2021  DCM  Weekly Notification of Amendments Related to Product Terms and Conditions - Week of March 1, 2021  DCM  Weekly Notification of Amendments Related to Product Terms and Conditions - Week of March 1, 2021  CME  Expansion of the Listing Schedule of the Cobalt Metal (Fastmarkets) Futures Contract  Expansion of the Listing Schedule of the Cobalt Metal (Fastmarkets) Futures Contract  CME Clearing  CME Clearing  CME Clearing  Weekly Notification of Amendments Related to Product Terms and Conditions - Week of March 1, 2021  DCM  CME Clearing  Weekly Notification of Rule Amendments Related to Product Terms and Conditions - Week of February 22, 2021  CME Clearing  Weekly Notification of Rule Amendments - Week of February 22, 2021  CME Clearing  Weekly Notification of Rule Amendments - Week of February 22, 2021  DCM  Weekly Notification of Amendments Related to Product Terms and Conditions - Week of February 22, 2021  DCM

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3/1/21	CME	Weekly Notification of Amendments Related to Product Terms and Conditions - Week of February 22, 2021	DCM	<u>21-113</u>
2/26/21	СВОТ	Amendments to Eris Swap Futures Daily Settlement Procedure Document	DCM	<u>21-101</u>
2/26/21	CME/CBOT/ NYMEX/COMEX	Supplemental information to Submission No. 21-069 (Amendments to CME, CBOT, and NYMEX/COMEX Rules 559. ("Position Limits and Exemptions") and 562. ("Position Limit Violations") and Issuance of CME Group Market Regulation Advisory Notice RA2101-5.)	DCM	21-069S (1 of 4) 21-069S (2 of 4) 21-069S (3 of 4) 21-069S (4 of 4) Exhibit C.1 Exhibit C.2
2/26/21	СВОТ	Supplemental information to CBOT Submission No. 21-068 (Increase of Position Limits for Certain Agricultural Futures and Related Contracts)	DCM	21-068S Appendix A Appendix C
2/26/21	NYMEX	Increase of the Spot Month Position Limits and Amendments to the Single Month, All-month Accountability and Reportable Levels for Three (3) LNG Freight Route (LNG Fuel) Futures Contracts	DCM	21-017 Exhibits A & B
2/26/21	NYMEX	Initial Listing of Three (3) LNG Freight (LNG Fuel) (Baltic) Futures Contracts	DCM	21-006 (1 of 3) 21-006 (2 of 3) 21-006 (3 of 3) Exhibit B
2/26/21	NYMEX	SUPPLEMENTAL INFORMATION UNDER FOIA	DCM	21-006S (1 of 3) 21-006S (2 of 3) 21-006S (3 of 3)
2/24/21	CME Clearing	Weekly Notification of Rule Amendments - Week of February 15, 2021	DCO	<u>21-112</u>
2/24/21	NYMEX	Increase of Spot Position Limits of Eleven (11) Core Energy Futures and Related Contracts	DCM	21-109 Appendix A
2/22/21	NEX SEF	Initial Listing of Seven (7) Single Period Swap Contracts (CZK, DKK, EUR, HUF, NOK, SEK, PLN)	SEF	21-110 (1 of 7) 21-110 (2 of 7) 21-110 (3 of 7) 21-110 (4 of 7) 21-110 (5 of 7) 21-110 (6 of 7) 21-110 (7 of 7)
2/19/21	NYMEX/COMEX	Increase of Spot Month Position Limits of NYMEX and COMEX Physically-Delivered Metals Futures and Related Contracts	DCM	21-108 (1 of 2) 21-108 (2 of 2) Appendix A and B Appendix C
2/19/21	СВОТ	Amendments to Spot Month Position Limits for the 3-Year T-Note Futures, 10-Year U.S. Treasury Note Futures, and Ultra 10-Year U.S Treasury Note Futures Contracts	DCM	21-078 Exhibits A, B and C
2/19/21	СМЕ	Initial Listing of the Options on Standard and Poor's 500 Stock Price Index Futures – Quarterly PM (European-Style) Contract	DCM	21-070 Appendix B
2/18/21	CME Clearing	Weekly Notification of Rule Amendments - Week of February 1, 2021	DCO	<u>20-105</u>
2/18/21	СМЕ	Weekly Notification of Amendments Related to Product Terms and Conditions - Week of February 1, 2021	DCM	20-104 Exhibit C
2/11/21	СМЕ	Modifications to the Bitcoin Futures Market Maker Program	DCM	<u>21-099</u>
2/11/21	СМЕ	SUPPLEMENTAL INFORMATION UNDER FOIA	DCM	<u>21-099S</u>

2/11/21	СМЕ	Modifications to the Bitcoin Options Market Maker Program	DCM	<u>21-096</u>
2/11/21	СМЕ	SUPPLEMENTAL INFORMATION UNDER FOIA	DCM	<u>21-096S</u>
2/11/21	NYMEX	Implementation of the NYMEX GEO Futures Liquidity Provider Program	DCM	21-092
2/11/21	NYMEX	SUPPLEMENTAL INFORMATION UNDER FOIA	DCM	<u>21-092S</u>
2/11/21	NYMEX	Initial Listing of the Physically-Delivered CBL Global Emissions Offset Futures Contract	DCM	<u>21-009</u> <u>Exhibit B</u>
2/10/21	СМЕ	Modifications to the E-Mini Trade at Cash Open ("TACO") Futures Market Maker Program	DCM	<u>21-097</u>
2/10/21	СМЕ	SUPPLEMENTAL INFORMATION UNDER FOIA	DCM	<u>21-097S</u>
2/10/21	СМЕ	Modifications to the Micro E-mini Options Market Maker Program	DCM	<u>21-095</u>
2/10/21	СМЕ	SUPPLEMENTAL INFORMATION UNDER FOIA	DCM	<u>21-095S</u>
2/10/21	СМЕ	Modifications to the Nasdaq-100 Volatility Index ("VOLQ") Futures Market Maker Program	DCM	21-094
2/10/21	СМЕ	SUPPLEMENTAL INFORMATION UNDER FOIA	DCM	<u>21-094S</u>
2/10/21	CME/CBOT	Modifications to the CME/CBOT Interest Rate Options Give Up Incentive Program	DCM	21-093 (1 of 2) 21-093 (2 of 2)
2/10/21	CME/CBOT	SUPPLEMENTAL INFORMATION UNDER FOIA	DCM	21-093S (1 of 2) 21-093S (2 of 2)
2/10/21	СМЕ	Extension of the TOPIX Futures Fee Waiver Program	DCM	<u>21-090</u>
2/9/21	NYMEX	Modifications to the NYMEX WTI Houston Crude Oil Futures Liquidity Provider Program	DCM	<u>21-091</u>
2/9/21	NYMEX	SUPPLEMENTAL INFORMATION UNDER FOIA	DCM	<u>21-091S</u>
2/9/21	CME Clearing	Weekly Notification of Rule Amendments - Week of February 1, 2021	DCO	<u>21-089</u>
2/9/21	COMEX	Weekly Notification of Amendments Related to Product Terms and Conditions - Week of February 1, 2021	DCM	21-088 Exhibit B
2/9/21	СМЕ	Weekly Notification of Amendments Related to Product Terms and Conditions - Week of February 1, 2021	DCM	<u>21-087</u>
2/9/21	COMEX	Weekly Notification of Rule Amendments - Week of February 1, 2021	DCM	<u>21-086</u>

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2/9/21	СВОТ	Weekly Notification of Rule Amendments - Week of February 1, 2021	DCM	<u>21-085</u>
2/9/21	СМЕ	Weekly Notification of Rule Amendments - Week of February 1, 2021	DCM	<u>21-084</u>
2/9/21	СМЕ	Amendments to the Three-Month Eurodollar Futures and Options on Three-Month Eurodollar Futures Contracts to Implement USD London Inter-bank Offered Rate ("LIBOR") Fallback Provisions	DCM	21-082
2/9/21	NEX SEF	AMENDMENT TO NEX SEF LIMITED RULEBOOK RELATING TO SUMMARY FINES, AUDIT TRAIL REQUIRMENTS AND ERROR CORRECTION PROCESSES; ISSUANCE OF NEX SEF LIMITED MARKET REGULATION ADVISORY NOTICES MRAN 2021-01 AND 2021-02	SEF	<u>21-079</u> Appendix A
2/5/21	CME Clearing	Modifications to CME's Operational Risk Management Framework	DCM	<u>21-081</u>
2/5/21	CME Clearing	SUPPLEMENTAL INFORMATION UNDER FOIA	DCM	<u>21-081S</u>
2/4/21	NYMEX	Initial Listing of the Methanol CFR China Specific Origins (ICIS) Futures Contract	DCM	21-033 Exhibit B
2/4/21	NYMEX	SUPPLEMENTAL INFORMATION UNDER FOIA	DCM	<u>21-033S</u>
2/4/21	CME/CBOT/ NYMEX/COMEX	Amendments to Block Trade Reporting Requirements for Foreign Exchange Futures (FX) Contracts and Issuance of CME Group Market Regulation Advisory Notice RA2102-5.	DCM	21-013 (1 of 4) 21-013 (2 of 4) 21-013 (3 of 4) 21-013 (4 of 4)
2/1/21	CME Clearing	Weekly Notification of Rule Amendments - Week of January 25, 2021	DCO	<u>21-077</u>
2/1/21	СМЕ	Weekly Notification of Amendments Related to Product Terms and Conditions - Week of January 25, 2020	DCM	<u>21-076</u>
1/28/21	CME/CBOT/ NYMEX/COMEX	Modifications to the APAC FCM Incentive Program	DCM	21-075 (1 of 4) 21-075 (2 of 4) 21-075 (3 of 4) 21-075 (4 of 4)
1/28/21	CME/CBOT/ NYMEX/COMEX	SUPPLEMENTAL INFORMATION UNDER FOIA	DCM	21-075S (1 of 4) 21-075S (2 of 4) 21-075S (3 of 4) 21-075S (4 of 4)
1/28/21	CME Clearing	Modifications to the Fee Schedule for Over-the-Counter ("OTC") Interest Rate Swaps ("IRS")	DCO	<u>21-065</u>
1/27/21	CME/CBOT/ NYMEX/COMEX	Amendments to CME, CBOT, and NYMEX/COMEX Rules 559. ("Position Limits and Exemptions") and 562. ("Position Limit Violations") and Issuance of CME Group Market Regulation Advisory Notice RA2101-5	DCM	21-069 (1 of 4) 21-069 (2 of 4) 21-069 (3 of 4) 21-069 (4 of 4)
1/27/21	СВОТ	Increase of Position Limits for Certain Agricultural Futures and Related Contracts	DCM	21-068 Appendix A
1/26/21	CME Clearing	Weekly Notification of Rule Amendments - Week of January 18, 2021	DCO	<u>21-074</u>
1/26/21	NYMEX	Amendments to the Disclaimer Rule of Two (2) German Power Futures Contracts	DCM	<u>21-032</u>

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1/22/21 CME SUPPLEMENTAL INFORMATION UNDER FOIA DCM 21-	-073S
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	<u>-005</u> endix B
1/22/21 CME SUPPLEMENTAL INFORMATION UNDER FOIA DCM 21-	- <u>005S</u>
1/21/21 NYMEX Initial Listing of Four (4) Japanese Power Futures Contracts DCM 21-004 21-004	4 (1 of 4) 4 (2 of 4) 4 (3 of 4) 4 (4 of 4) nibit B
Initial Listing of the Japan Crude Cocktail (Detailed) Yen Denominated Futures and the LNG Japan/Korea Marker  DCM  21-00*	1 (1 of 2) 1 (2 of 2) nibit B
	S (1 of 2) S (2 of 2)
1/20/21 CME Clearing Weekly Notification of Rule Amendments - Week of January 11, 2021 DCM	-072
1/20/21 NYMEX Weekly Notification of Amendments Related to Product Terms and Conditions - Week of January 11, 2020 DCM 21	-071
Reduction of Block Trade Minimum Quantity Threshold Levels for the Random Length Lumber Futures and Options on Random Length Futures Contracts    CME	-007
1/15/21 CME Implementation of the FX Futures Member Bank Gateway Program DCM 21	-064
1/15/21 CME SUPPLEMENTAL INFORMATION UNDER FOIA DCM 21-	-064 <u>S</u>
1/14/21 CME Modifications to the Indian Rupee FX Futures Market Maker Program DCM 21	<u>-063</u>
1/14/21 CME SUPPLEMENTAL INFORMATION UNDER FOIA DCM 21-	<u>-063S</u>
1/14/21 CME Implementation of the Crosses and Micros Market Maker Program DCM 21	<u>-062</u>
1/14/21 CME SUPPLEMENTAL INFORMATION UNDER FOIA DCM 21-	<u>-062S</u>
1/14/21 CME Implementation of the Emerging Markets and Scandi Market Maker Program DCM 21	<u>-060</u>
1/14/21 CME SUPPLEMENTAL INFORMATION UNDER FOIA DCM 21-	- <u>060S</u>
1/14/21 CME Modifications to the Cryptocurrency Futures and Options Block and EFRP Program  DCM  21	<u>-059</u>
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1/13/21	CME/CBOT	SUPPLEMENTAL INFORMATION UNDER FOIA	DCM	21-058S (1 of 2) 21-058S (2 of 2)
1/13/21	COMEX	Modifications to the Globex Base Metals Volume Incentive Program	DCM	<u>21-057</u>
1/13/21	COMEX	SUPPLEMENTAL INFORMATION UNDER FOIA	DCM	<u>21-057S</u>
1/13/21	NYMEX/ COMEX	Modifications to the Globex Precious Metals Volume Incentive Program	DCM	21-056 (1 of 2) 21-056 (2 of 2)
1/13/21	NYMEX/ COMEX	SUPPLEMENTAL INFORMATION UNDER FOIA	DCM	21-056S (1 of 2) 21-056S (2 of 2)
1/13/21	COMEX	Modifications to the Global Aluminum Futures Market Maker Program	DCM	<u>21-055</u>
1/13/21	COMEX	SUPPLEMENTAL INFORMATION UNDER FOIA	DCM	<u>21-055S</u>
1/13/21	CME Clearing	Weekly Notification of Rule Amendments - Week of January 4, 2021	DCO	<u>21-054</u>
1/13/21	COMEX	Modifications to the Hot-Rolled Coil Steel Market Maker Program	DCM	<u>21-051</u>
1/13/21	COMEX	SUPPLEMENTAL INFORMATION UNDER FOIA	DCM	<u>21-051S</u>
1/13/21	COMEX	Modifications to the Busheling Ferrous Scrap Market Maker Program	DCM	<u>21-050</u>
1/13/21	COMEX	SUPPLEMENTAL INFORMATION UNDER FOIA	DCM	<u>21-050S</u>
1/13/21	CME/CBOT/ NYMEX/COMEX	Modifications to the Latin America Fund Manager Incentive Program	DCM	21-048 (1 of 4) 21-048 (2 of 4) 21-048 (3 of 4) 21-048 (4 of 4)
1/13/21	CME/CBOT/ NYMEX/COMEX	Modifications to the Central Bank Incentive Program	DCM	21-047 (1 of 4) 21-047 (2 of 4) 21-047 (3 of 4) 21-047 (4 of 4)
1/13/21	CME/CBOT/ NYMEX/COMEX	Modifications to the International Cross-Asset Volume Incentive Program	DCM	21-046 (1 of 4) 21-046 (2 of 4) 21-046 (3 of 4) 21-046 (4 of 4)
1/13/21	CME/CBOT/ NYMEX/COMEX	Modifications to the International Incentive Program	DCM	21-045 (1 of 4) 21-045 (2 of 4) 21-045 (3 of 4) 21-045 (4 of 4)
1/12/21	NYMEX	Implementation of the NYMEX International Energy Gateway Program	DCM	<u>21-061</u>
1/12/21	NYMEX	SUPPLEMENTAL INFORMATION UNDER FOIA	DCM	<u>21-061S</u>
1/12/21	NYMEX	Extension of the NYMEX Natural Gas Deferred Credit Incentive Program	DCM	<u>21-053</u>

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1/12/21	NYMEX	SUPPLEMENTAL INFORMATION UNDER FOIA	DCM	<u>21-053S</u>
1/12/21	СМЕ	Modifications to the Emerging Markets Bank Incentive Program	DCM	<u>21-049</u>
1/12/21	NYMEX	Modifications to the Crude and Refined OTC Market Development Program	DCM	<u>21-030</u>
1/12/21	NYMEX	SUPPLEMENTAL INFORMATION UNDER FOIA	DCM	<u>21-030S</u>
1/12/21	NYMEX	Modifications to the Crude and Refined OTC Incentive Program	DCM	21-029
1/12/21	NYMEX	SUPPLEMENTAL INFORMATION UNDER FOIA	DCM	<u>21-029S</u>
1/11/21	NYMEX	Extension of the Oil Incentive Program	DCM	<u>21-031</u>
1/11/21	NYMEX	SUPPLEMENTAL INFORMATION UNDER FOIA	DCM	<u>21-031S</u>
1/11/21	NYMEX	Delisting of Four (4) Coal Platts (OTC Broker Index) Futures and Options Contracts	DCM	21-008 Exhibit B
1/8/21	CME/CBOT	Modifications to the Micro E-mini Futures Market Maker Program	DCM	21-044 (1 of 2) 21-044 (2 of 2)
1/8/21	CME/CBOT	SUPPLEMENTAL INFORMATION UNDER FOIA	DCM	21-044S (1 of 2) 21-044S (2 of 2)
1/8/21	NYMEX	Modifications to the NGL Growth Incentive Program	DCM	<u>21-043</u>
1/8/21	NYMEX	SUPPLEMENTAL INFORMATION UNDER FOIA	DCM	<u>21-043S</u>
1/8/21	СМЕ	Modifications to the SOFR Options Market Maker Program	DCM	<u>21-042</u>
1/8/21	СМЕ	Modifications to the Eurodollar Options Market Maker Program	DCM	<u>21-041</u>
1/7/21	СМЕ	Extension of the FX Futures Calendar Spreads Discount Program	DCM	<u>21-039</u>
1/7/21	СМЕ	Modifications to the E-mini® FTSE Emerging Index Futures Market Maker Program	DCM	<u>21-038</u>
1/7/21	СМЕ	SUPPLEMENTAL INFORMATION UNDER FOIA	DCM	<u>21-038S</u>
1/6/21	СВОТ	Modifications to the CBOT Market Maker Program for Electronic Treasury Options	DCM	<u>21-040</u>
1/6/21	NYMEX	Extension of the International Coal Liquidity Provider Program	DCM	<u>21-035</u>

1/6/21	NYMEX	SUPPLEMENTAL INFORMATION UNDER FOIA	DCM	<u>21-035S</u>
1/6/21	NYMEX	Extension of the European Power Fee Waiver Program	DCM	<u>21-034</u>
1/5/21	СВОТ	Extension of the SOFR Options Pit Liquidity Provider Program	DCM	<u>21-037</u>
1/5/21	СВОТ	Extension of the SOFR Options Give-up Program	DCM	<u>21-036</u>
1/5/21	СМЕ	Modifications to the E-mini Russell 1000 Index Futures Market Making Program	DCM	<u>21-028</u>
1/5/21	СМЕ	SUPPLEMENTAL INFORMATION UNDER FOIA	DCM	<u>21-028S</u>
1/5/21	СМЕ	Extension of the S&P Floor Calendar Spread Market Maker Discount Program	DCM	21-027
1/5/21	СМЕ	Extension of the S&P Options Broker Rebate Program	DCM	<u>21-026</u>
1/5/21	СМЕ	SUPPLEMENTAL INFORMATION UNDER FOIA	DCM	<u>21-026S</u>
1/5/21	СМЕ	Modifications to the S&P 500® Floor Broker Incentive Program	DCM	<u>21-025</u>
1/5/21	NYMEX	Extension of the NYMEX Commercial Brent Spread Incentive Program	DCM	<u>21-024</u>
1/5/21	NYMEX	SUPPLEMENTAL INFORMATION UNDER FOIA	DCM	<u>21-024S</u>
1/5/21	NYMEX	Modifications to the NYMEX Brent Futures Market Maker Program	DCM	<u>21-023</u>
1/5/21	NYMEX	SUPPLEMENTAL INFORMATION UNDER FOIA	DCM	<u>21-023S</u>
1/5/21	NYMEX	Modifications to the NYMEX Brent Spread Market Maker Program	DCM	21-022
1/5/21	NYMEX	SUPPLEMENTAL INFORMATION UNDER FOIA	DCM	<u>21-022S</u>
1/5/21	NYMEX	Extension of the NYMEX Oil Options Program	DCM	<u>21-021</u>
1/5/21	NYMEX	SUPPLEMENTAL INFORMATION UNDER FOIA	DCM	<u>21-021S</u>
1/4/21	CME Clearing	Weekly Notification of Rule Amendments - Week of December 28, 2020	DCM	<u>21-020</u>
1/4/21	СМЕ	Weekly Notification of Amendments Related to Product Terms and Conditions - Week of December 28, 2020	DCM	21-019 Exhibit D