

## CFTC RULE FILINGS

| <b>Date of Filing</b> | <b>Entity</b> | <b>Description</b>   | <b>Filing Type</b> | <b>CFTC Filing</b>   |
|-----------------------|---------------|--|--------------------|--|
| 12/30/16              | CME           | Weekly Notification of Amendments Related to Product Terms and Conditions - Week of December 19, 2016  | DCM                | <a href="#">16-583</a><br><a href="#">EXHIBIT A</a><br><a href="#">EXHIBIT B</a> |
| 12/30/16              | CME CLEARING  | Weekly Notification of Rule Amendments - Week of December 19, 2016   | DCO                | <a href="#">16-582</a><br><a href="#">EXHIBIT A</a>                              |
| 12/23/16              | CME           | Modifications to the FX Monthly Futures Market Development Program   | DCM                | <a href="#">16-581</a>   |
| 12/23/16              | CME           | SUPPLEMENTAL INFORMATION UNDER FOIA  | DCM                | <a href="#">16-581S</a>  |
| 12/22/16              | CBOT          | Implementation of the Grain & Oilseed Deferred Futures Contract Market Maker Program   | DCM                | <a href="#">16-580</a>   |
| 12/22/16              | CBOT          | SUPPLEMENTAL INFORMATION UNDER FOIA  | DCM                | <a href="#">16-580S</a>  |
| 12/22/16              | NYMEX         | Changes to the Underlying Platts Assessment for Certain NYMEX Singapore and Middle East Gasoil Futures and Option Contracts Commencing with the January 2018 Contract Month and Beyond | DCM                | <a href="#">16-555</a>   |
| 12/21/16              | CME CLEARING  | Weekly Notification of Rule Amendments - Week of December 12, 2016   | DCM                | <a href="#">16-579</a>   |
| 12/21/16              | COMEX         | Weekly Notification of Amendments Related to Product Terms and Conditions - Week of December 12, 2016  | DCM                | <a href="#">16-578</a><br><a href="#">EXHIBIT A</a>                              |
| 12/21/16              | CBOT          | Weekly Notification of Amendments Related to Product Terms and Conditions - Week of December 12, 2016  | DCM                | <a href="#">16-577</a>   |
| 12/21/16              | COMEX         | Implementation of the Gold and Silver Spot Spread Market Maker Program   | DCM                | <a href="#">16-576</a>   |
| 12/21/16              | COMEX         | SUPPLEMENTAL INFORMATION UNDER FOIA  | DCM                | <a href="#">16-576S</a>  |
| 12/20/16              | NYMEX         | Amendments to the RBOB Gasoline Futures and NY Harbor ULSD Futures Contracts   | DCM                | <a href="#">16-539R (1 of 2)</a><br><a href="#">16-539R (2 of 2)</a>             |

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| 12/20/16 | NYMEX                 | Initial Listing of Ethanol T2 FOB Rdam Including Duty (Platts) Average Price Option   | DCM         | <a href="#">16-491 APPENDIX B</a>   |
| 12/20/16 | NYMEX                 | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCM         | <a href="#">16-491S</a>   |
| 12/20/16 | CME/CBOT/NYMEX /COMEX | Request for Approval to Commingle Significantly and Reliably Correlated Base Guaranty Fund Products   | DCO/<br>DCM | <a href="#">16-448 (1 of 5)</a><br><a href="#">16-448 (2 of 5)</a><br><a href="#">16-448 (3 of 5)</a><br><a href="#">16-448 (4 of 5)</a><br><a href="#">16-448 (5 of 5)</a> |
| 12/16/16 | COMEX                 | Notification Regarding the London Spot Gold Futures and London Spot Silver Futures Daily Settlement Procedure Documents in Connection with the Initial Listing of the Contracts | DCM         | <a href="#">16-574</a>  |
| 12/16/16 | COMEX                 | Initial Listing of the London Spot Gold Futures and London Spot Silver Futures Contracts  | DCM         | <a href="#">16-429 (1 of 2)</a><br><a href="#">16-429 (2 of 2)</a><br><a href="#">APPENDIX B</a>  |
| 12/15/16 | CME/CBOT              | Adoption of New CME and CBOT Rule 990. ("U.S. Withholding Tax")   | DCO/<br>DCM | <a href="#">16-571 (1 of 3)</a><br><a href="#">16-571 (2 of 3)</a><br><a href="#">16-571 (3 of 3)</a>   |
| 12/15/16 | CME CLEARING          | Weekly Notification of Rule Amendments - Week of December 5, 2016   | DCO         | <a href="#">16-570</a>  |
| 12/14/16 | CME/CBOT/NYMEX /COMEX | Addition of Portfolio Gains Haircuts, Tear-Ups, and Limited Recourse to the Financial Safeguards Package for Base   | DCM         | <a href="#">16-569 (1 of 4)</a><br><a href="#">16-569 (2 of 4)</a><br><a href="#">16-569 (3 of 4)</a><br><a href="#">16-569 (4 of 4)</a>                                    |
| 12/14/16 | CME/CBOT/NYMEX /COMEX | Modifications to the Latin America Fund Manager Incentive Program   | DCM         | <a href="#">16-568 (1 of 4)</a><br><a href="#">16-568 (2 of 4)</a><br><a href="#">16-568 (3 of 4)</a><br><a href="#">16-568 (4 of 4)</a>                                    |
| 12/14/16 | NYMEX/COMEX           | Elimination of NYMEX/COMEX Cross-Division Exchange Rates  | DCM         | <a href="#">16-566 (1 of 2)</a><br><a href="#">16-566 (2 of 2)</a><br><a href="#">EXHIBIT 1</a>   |
| 12/14/16 | CME                   | Position Limit Increase for the Russian Ruble/U.S. Dollar (RUB/USD) Futures and Options Contracts   | DCM         | <a href="#">16-565 APPENDIX A</a>   |
| 12/14/16 | COMEX                 | Modifications to the COMEX Gold Kilo Futures Market Maker Program   | DCM         | <a href="#">16-564</a>  |
| 12/14/16 | COMEX                 | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCM         | <a href="#">16-564S</a>   |

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| 12/14/16 | NYMEX                    | Modifications to the NYMEX Fee Schedule for Iron Ore Futures and Options                  | DCM | <a href="#">16-562</a>   |
| 12/14/16 | NYMEX                    | Modifications to the NYMEX Iron Ore Market Maker Program                                  | DCM | <a href="#">16-558</a>   |
| 12/14/16 | NYMEX                    | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCM | <a href="#">16-558S</a>  |
| 12/14/16 | CBOT                     | Implementation of the Grain & Oilseed Futures ETH Market Maker Program                    | DCM | <a href="#">16-557</a>   |
| 12/14/16 | CBOT                     | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCM | <a href="#">16-557S</a>  |
| 12/14/16 | CME                      | Listing of the April 2018 Contract Month of the Live Cattle Futures and Options Contracts | DCM | <a href="#">16-553</a>   |
| 12/14/16 | CME/CBOT/<br>NYMEX/COMEX | Modifications to the Retail Broker Incentive Program                                      | DCM | <a href="#">16-552 (1 of 4)</a><br><a href="#">16-552 (2 of 4)</a><br><a href="#">16-552 (3 of 4)</a><br><a href="#">16-552 (4 of 4)</a> |
| 12/14/16 | NYMEX                    | Extension of the NYMEX Capped Give-Up Incentive Program                                   | DCM | <a href="#">16-549</a>   |
| 12/14/16 | NYMEX                    | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCM | <a href="#">16-549S</a>  |
| 12/14/16 | NYMEX                    | Modifications to the NYMEX OTC Broker Revenue Share Incentive Program                     | DCM | <a href="#">16-534</a>   |
| 12/14/16 | NYMEX                    | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCM | <a href="#">16-534S</a>  |
| 12/14/16 | NYMEX                    | Modifications to the NYMEX OTC Broker Rebate Incentive Program                            | DCM | <a href="#">16-533</a>   |
| 12/14/16 | CME/CBOT/<br>NYMEX/COMEX | Modifications to the International Cross-Asset Volume Incentive Program                   | DCM | <a href="#">16-494 (1 of 4)</a><br><a href="#">16-494 (2 of 4)</a><br><a href="#">16-494 (3 of 4)</a><br><a href="#">16-494 (4 of 4)</a> |
| 12/14/16 | CME/CBOT/<br>NYMEX/COMEX | Modifications to the International Incentive Program                                      | DCM | <a href="#">16-493 (1 of 4)</a><br><a href="#">16-493 (2 of 4)</a><br><a href="#">16-493 (3 of 4)</a><br><a href="#">16-493 (4 of 4)</a> |

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| 12/14/16 | COMEX                    | Modifications to the COMEX Aluminum Futures Market Development Program                              | DCM         | <a href="#">16-469</a>   |
| 12/14/16 | COMEX                    | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCM         | <a href="#">16-469S</a>  |
| 12/14/16 | NYMEX/COMEX              | Modifications to the Globex Core Metals Volume Incentive Program                                    | DCM         | <a href="#">16-438 (1 of 2)</a><br><a href="#">16-438 (2 of 2)</a>   |
| 12/14/16 | NYMEX/COMEX              | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCM         | <a href="#">16-438S (1 of 2)</a><br><a href="#">16-438S (2 of 2)</a>   |
| 12/13/16 | CME/CBOT/<br>NYMEX/COMEX | Amendments to CME/CBOT/NYMEX/COMEX Rules 814, 819, and 901.S and CME Rules 8H03, 8F003, 8H08        | DCM/<br>DCO | <a href="#">16-567R (1 of 5)</a><br><a href="#">16-567R (2 of 5)</a><br><a href="#">16-567R (3 of 5)</a><br><a href="#">16-567R (4 of 5)</a><br><a href="#">16-567R (5 of 5)</a> |
| 12/13/16 | NYMEX                    | Modifications to the NYMEX Fee Schedule for Henry Hub Natural Gas Last Day Financial Futures ("NN") | DCM         | <a href="#">16-563</a>   |
| 12/13/16 | NYMEX                    | Implementation of the Financial Natural Gas Spreads & Strips Market Maker Program                   | DCM         | <a href="#">16-561</a>   |
| 12/13/16 | NYMEX                    | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCM         | <a href="#">16-561S</a>  |
| 12/13/16 | CME/CBOT                 | Extension of the Agricultural Options Market Maker Program  | DCM         | <a href="#">16-556 (1 of 2)</a><br><a href="#">16-556 (2 of 2)</a>   |
| 12/13/16 | CME/CBOT                 | Modifications to the CME/CBOT Interest Rate Options Give Up Incentive Program                       | DCM         | <a href="#">16-544 (1 of 2)</a><br><a href="#">16-544 (2 of 2)</a>   |
| 12/13/16 | CME/CBOT                 | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCM         | <a href="#">16-544S (1 of 2)</a><br><a href="#">16-544S (2 of 2)</a>   |
| 12/13/16 | NYMEX                    | Modifications to NYMEX Crude and Refined Futures Market Maker Program                               | DCM         | <a href="#">16-541</a>   |
| 12/13/16 | NYMEX                    | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCM         | <a href="#">16-541S</a>  |
| 12/12/16 | NYMEX                    | Implementation of the NG Strips Market Maker Program  | DCM         | <a href="#">16-560</a>   |
| 12/12/16 | NYMEX                    | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCM         | <a href="#">16-560S</a>  |

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| 12/12/16 | NYMEX                    | Modifications to the NYMEX Financial Natural Gas Market Development Program   | DCM | <a href="#">16-559</a>   |
| 12/12/16 | NYMEX                    | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCM | <a href="#">16-559S</a>  |
| 12/12/16 | CME                      | Extension of the CME FX EFP Surcharge Volume Incentive Program  | DCM | <a href="#">16-554</a>   |
| 12/12/16 | CME                      | Modifications to the CME Commodity Trading Advisors/Hedge Fund Incentive Program  | DCM | <a href="#">16-551</a>   |
| 12/12/16 | CME                      | Modifications to the CME Bloomberg Dollar Spot IndexSM Futures Market Maker Program   | DCM | <a href="#">16-550</a>   |
| 12/12/16 | CME                      | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCM | <a href="#">16-550S</a>  |
| 12/12/16 | NYMEX                    | Modifications to the CME Group Energy Portfolio Program   | DCM | <a href="#">16-540</a>   |
| 12/12/16 | NYMEX                    | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCM | <a href="#">16-540S</a>  |
| 12/12/16 | NYMEX                    | Modifications to the NYMEX Deferred Natural Gas Market Maker Program  | DCM | <a href="#">16-527</a>   |
| 12/12/16 | NYMEX                    | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCM | <a href="#">16-527S</a>  |
| 12/12/16 | CME                      | Modifications to the Eurodollar Options Market Maker Program  | DCM | <a href="#">16-478</a>   |
| 12/9/16  | CBOT                     | Extension of the Fed Fund Options Floor Broker Pool Program   | DCM | <a href="#">16-543</a>   |
| 12/9/16  | CME                      | Amendments to CME Rule 1001 ("Regulatory Reporting of Swap Data")   | DCO | <a href="#">16-538</a>   |
| 12/9/16  | CME/CBOT/<br>NYMEX/COMEX | Amendments to Various Rules and the Issuance of Several Revised Market Regulation Advisory Notices in Connection with the Closing of NYMEX and COMEX Open Outcry Options Trading Pits | DCM | <a href="#">16-516 (1 of 4)</a><br><a href="#">16-516 (2 of 4)</a><br><a href="#">16-516 (3 of 4)</a><br><a href="#">16-516 (4 of 4)</a><br><a href="#">EXHIBIT A</a><br><a href="#">EXHIBIT B</a><br><a href="#">EXHIBIT C</a><br><a href="#">EXHIBIT D</a> |

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|         |              |  |     | <a href="#">EXHIBIT E</a><br><a href="#">EXHIBIT F</a><br><a href="#">EXHIBIT G</a><br><a href="#">EXHIBIT H</a> |
| 12/9/16 | CBOT         | Notification Regarding the Expansion of the Listing Schedule for U.S. Midwest Domestic Hot-Rolled Coil Steel (CRU) Index Futures on CME Globex and CME ClearPort | DCM | <a href="#">16-440</a>   |
| 12/8/16 | CME CLEARING | Weekly Notification of Rule Amendments - Week of November 28, 2016   | DCO | <a href="#">16-548</a>   |
| 12/8/16 | CME CLEARING | Extension of the CME OTC FX Fee Waiver Program   | DCO | <a href="#">16-547</a>   |
| 12/8/16 | CME          | Modifications to the CME E-Micro FX Market Maker Program   | DCM | <a href="#">16-546</a>   |
| 12/8/16 | CME          | SUPPLEMENTAL INFORMATION UNDER FOIA  | DCM | <a href="#">16-546S</a>  |
| 12/8/16 | CME          | Extension of the CME FX Futures Cross Currency Liquidity Market Making Program   | DCM | <a href="#">16-545</a>   |
| 12/8/16 | CME          | SUPPLEMENTAL INFORMATION UNDER FOIA  | DCM | <a href="#">16-545S</a>  |
| 12/8/16 | CME/CBOT     | Modifications to the E-mini Equity Index Futures BTIC Market Maker Program   | DCM | <a href="#">16-542 (1 of 2)</a><br><a href="#">16-542 (2 of 2)</a>   |
| 12/8/16 | CME/CBOT     | SUPPLEMENTAL INFORMATION UNDER FOIA  | DCM | <a href="#">16-542S (1 of 2)</a><br><a href="#">16-542S (2 of 2)</a>   |
| 12/8/16 | NYMEX        | Modifications to the NYMEX Crude and Refined Options Incentive Program   | DCM | <a href="#">16-526</a>   |
| 12/8/16 | NYMEX        | SUPPLEMENTAL INFORMATION UNDER FOIA  | DCM | <a href="#">16-526S</a>  |
| 12/8/16 | NYMEX        | Termination of the Natural Gas Financial Futures Spread Program  | DCM | <a href="#">16-518</a>   |
| 12/8/16 | NYMEX        | SUPPLEMENTAL INFORMATION UNDER FOIA  | DCM | <a href="#">16-518S</a>  |
| 12/8/16 | NYMEX        | Modifications to the Energy Financial Natural Gas Volume Incentive Program   | DCM | <a href="#">16-512</a>   |

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| 12/8/16 | NYMEX                    | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCM | <a href="#">16-512S</a>  |
| 12/8/16 | CBOT                     | Modifications to the CBOT Market Maker Program for Deferred 30-Day Federal Fund Futures   | DCM | <a href="#">16-498</a>   |
| 12/7/16 | CME                      | Weekly Notification of Amendments Related to Product Terms and Conditions - Week of November 28, 2016                               | DCM | <a href="#">16-537</a><br><a href="#">EXHIBIT A</a><br><a href="#">EXHIBIT D</a>   |
| 12/7/16 | NYMEX                    | Weekly Notification of Amendments Related to Product Terms and Conditions - Week of November 28, 2016                               | DCM | <a href="#">16-536</a><br><a href="#">EXHIBIT A</a>  |
| 12/6/16 | NYMEX                    | Modifications to the NYMEX International Petroleum Volume Incentive Program   | DCM | <a href="#">16-532</a>   |
| 12/6/16 | NYMEX                    | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCM | <a href="#">16-532S</a>  |
| 12/6/16 | CME                      | Modifications to the Nikkei 225 Futures Volume Incentive Program  | DCM | <a href="#">16-504</a>   |
| 12/6/16 | CME                      | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCM | <a href="#">16-504S</a>  |
| 12/6/16 | CME                      | Implementation of the FX Monthly Futures Market Development Program   | DCM | <a href="#">16-465</a>   |
| 12/6/16 | CME                      | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCM | <a href="#">16-465S</a>  |
| 12/6/16 | CME                      | Implementation of the FX Monthly Futures Market Maker Program   | DCM | <a href="#">16-464R</a>  |
| 12/6/16 | CME                      | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCM | <a href="#">16-464SR</a>   |
| 12/5/16 | CME/CBOT/<br>NYMEX/COMEX | Amendments to CME/CBOT/NYMEX/COMEX Rule 553. ("Average Price System")   | DCM | <a href="#">16-515 (1 of 4)</a><br><a href="#">16-515 (2 of 4)</a><br><a href="#">16-515 (3 of 4)</a><br><a href="#">16-515 (4 of 4)</a> |
| 12/5/16 | CME                      | Administrative Amendments to the S&P 500 Total Return Index Futures and S&P 500 Carry Adjusted Total Return Index Futures Contracts | DCM | <a href="#">16-468</a>   |
| 12/5/16 | CME                      | Notification Regarding the Delisting of Certain Previously-Listed Contract Months of the Brazilian Real/US Dollar                   | DCM | <a href="#">16-450</a>   |

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|         |              | (BRL/USD) Futures Contract.   |     |  |
| 12/2/16 | NYMEX        | Modifications to the NYMEX Power Volume Incentive Program   | DCM | <a href="#">16-531</a>                               |
| 12/2/16 | NYMEX        | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCM | <a href="#">16-531S</a>                              |
| 12/2/16 | CME          | Weekly Notification of Amendments Related to Product Terms and Conditions - Week of November 21, 2016 | DCM | <a href="#">16-528</a>                               |
| 12/2/16 | CME CLEARING | Modifications to the CME Incentive Program for Over-The-Counter Interest Rate Swaps                   | DCO | <a href="#">16-517</a>                               |
| 12/2/16 | CME CLEARING | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCO | <a href="#">16-517S</a>                              |
| 12/2/16 | NYMEX        | Initial Listing of Mini European Propane CIF ARA (Argus) Futures Contract                             | DCM | <a href="#">16-471</a><br><a href="#">APPENDIX B</a> |
| 12/2/16 | NYMEX        | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCM | <a href="#">16-471S</a>                              |
| 12/2/16 | CME          | Amendments to the Listing Schedule of the Brazilian Real/US Dollar (BRL/USD) Futures Contract         | DCM | <a href="#">16-449</a>                               |
| 12/2/16 | CME          | Expansion of the Listing Schedule of S&P 500 Annual Dividend Index Futures Contract                   | DCM | <a href="#">16-400</a>                               |
| 12/1/16 | NYMEX        | Modifications to the NYMEX Brent Options ("BZO") Liquidity Provider Program                           | DCM | <a href="#">16-530</a>                               |
| 12/1/16 | NYMEX        | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCM | <a href="#">16-530S</a>                              |
| 12/1/16 | CME CLEARING | Weekly Notification of Rule Amendments - Week of November 21, 2016                                    | DCO | <a href="#">16-529</a>                               |
| 12/1/16 | NYMEX        | Modifications to the NYMEX Crude and Refined Options Gateway Incentive Program                        | DCM | <a href="#">16-525</a>                               |
| 12/1/16 | NYMEX        | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCM | <a href="#">16-525S</a>                              |



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| 12/1/16  | CME/CBOT | Modifications to the CME/CBOT E-mini Equity Index Futures Market Maker Program       | DCM         | <a href="#">16-522 (1 of 2)</a><br><a href="#">16-522 (2 of 2)</a>   |
| 12/1/16  | CME/CBOT | SUPPLEMENTAL INFORMATION UNDER FOIA  | DCM         | <a href="#">16-522S (1 of 2)</a><br><a href="#">16-522S (2 of 2)</a> |
| 12/1/16  | CME      | Modifications to the E-mini FTSE China 50 Index Futures Market Maker Program)        | DCM         | <a href="#">16-521</a>   |
| 12/1/16  | CME      | SUPPLEMENTAL INFORMATION UNDER FOIA  | DCM         | <a href="#">16-521S</a>  |
| 12/1/16  | CME      | Modifications to the CME USD-Denominated Ibovespa Index Futures Market Maker Program | DCM         | <a href="#">16-520</a>   |
| 12/1/16  | CME      | SUPPLEMENTAL INFORMATION UNDER FOIA  | DCM         | <a href="#">16-520S</a>  |
| 12/1/15  | CME/CBOT | Modifications to the Agricultural Futures Resting Order Program                      | DCM         | <a href="#">16-511 (1 of 2)</a><br><a href="#">16-511 (2 of 2)</a>   |
| 11/30/16 | NYMEX    | Modifications to the NYMEX Natural Gas Options Incentive Program                     | DCM         | <a href="#">16-524</a>   |
| 11/30/16 | NYMEX    | SUPPLEMENTAL INFORMATION UNDER FOIA  | DCM         | <a href="#">16-524S</a>  |
| 11/30/16 | NYMEX    | Modifications to the NYMEX Natural Gas Options Gateway Incentive Program             | DCM         | <a href="#">16-523</a>   |
| 11/30/16 | NYMEX    | SUPPLEMENTAL INFORMATION UNDER FOIA  | DCM         | <a href="#">16-523S</a>  |
| 11/30/16 | CME      | Modifications to the S&P 500® Dividend Futures Market Maker Program                  | DCM         | <a href="#">16-519</a>   |
| 11/30/16 | CME      | SUPPLEMENTAL INFORMATION UNDER FOIA  | DCM         | <a href="#">16-519S</a>  |
| 11/30/16 | CME      | Extension of the FX Block Trading Broker Rebate Program                              | DCM         | <a href="#">16-510</a>   |
| 11/30/16 | CME      | SUPPLEMENTAL INFORMATION UNDER FOIA  | DCM         | <a href="#">16-510S</a>  |
| 11/30/16 | CME      | Amendments to CME Rule 855. ("Offsetting Different Sized                             | DCM/<br>DCO | <a href="#">16-399R (1 of 2)</a><br><a href="#">16-399R (2 of 2)</a> |

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|          |                                     | Futures Positions”)   |              |   |
| 11/29/16 | CME/CBOT/<br>NYMEX/COMEX/<br>CMESEF | Amendments to Chapter 4 (“Enforcement of Rules”)  | DCM /<br>SEF | <a href="#">16-514 (1 of 5)</a><br><a href="#">16-514 (2 of 5)</a><br><a href="#">16-514 (3 of 5)</a><br><a href="#">16-514 (4 of 5)</a><br><a href="#">16-514 (5 of 5)</a><br><a href="#">EXHIBIT A</a><br><a href="#">EXHIBIT B</a><br><a href="#">EXHIBIT C</a><br><a href="#">EXHIBIT D</a> |
| 11/29/16 | CME                                 | Modifications to the USD/CNH FX Futures Market Maker Program  | DCM          | <a href="#">16-513</a>  |
| 11/29/16 | CME                                 | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCM          | <a href="#">16-513S</a>   |
| 11/29/16 | CME                                 | Modifications to the CME FX Premium Quoted Options Market Maker Program   | DCM          | <a href="#">16-499</a>  |
| 11/29/16 | CME/CBOT/<br>NYMEX/COMEX            | Modifications to the Latin America Proprietary Trading Incentive Program  | DCM          | <a href="#">16-487 (1 of 4)</a><br><a href="#">16-487 (2 of 4)</a><br><a href="#">16-487 (3 of 4)</a><br><a href="#">16-487 (4 of 4)</a>  |
| 11/29/16 | CME/CBOT/<br>NYMEX/COMEX            | Modifications to the Emerging Markets Bank Incentive Program  | DCM          | <a href="#">16-486 (1 of 4)</a><br><a href="#">16-486 (2 of 4)</a><br><a href="#">16-486 (3 of 4)</a><br><a href="#">16-486 (4 of 4)</a>  |
| 11/29/16 | CME/CBOT/<br>NYMEX/COMEX            | Modifications to the Latin America Fund Manager Incentive Program   | DCM          | <a href="#">16-485 (1 of 4)</a><br><a href="#">16-485 (2 of 4)</a><br><a href="#">16-485 (3 of 4)</a><br><a href="#">16-485 (4 of 4)</a>  |
| 11/29/16 | CME/CBOT/<br>NYMEX/COMEX            | Modifications to the Latin America Commodity Commercial Incentive Program   | DCM          | <a href="#">16-484 (1 of 4)</a><br><a href="#">16-484 (2 of 4)</a><br><a href="#">16-484 (3 of 4)</a><br><a href="#">16-484 (4 of 4)</a>  |
| 11/29/16 | CME/CBOT/<br>NYMEX/COMEX            | Modifications to the Central Bank Incentive Program   | DCM          | <a href="#">16-483 (1 of 4)</a><br><a href="#">16-483 (2 of 4)</a><br><a href="#">16-483 (3 of 4)</a><br><a href="#">16-483 (4 of 4)</a>  |
| 11/29/16 | NYMEX/COMEX                         | Amendments to NYMEX/COMEX Chapter 7 (“Delivery Facilities and Procedures”) to Expand the Location of Warehouses of Lead to Asia | DCM          | <a href="#">16-460 (1 of 2)</a><br><a href="#">16-460 (2 of 2)</a>  |

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| 11/29/16 | NYMEX        | Extension of the NYMEX Commercial Brent Spread Incentive Program                | DCM | <a href="#">16-435</a>  |
| 11/29/16 | NYMEX        | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCM | <a href="#">16-435S</a> |
| 11/29/16 | NYMEX        | Modifications to Brent Futures and Inter-Commodity Spread Market Maker Program  | DCM | <a href="#">16-433</a>  |
| 11/29/16 | NYMEX        | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCM | <a href="#">16-433S</a> |
| 11/28/16 | CME          | Modifications to the FX Futures Block Price Maker Fee Waiver Program            | DCM | <a href="#">16-509</a>  |
| 11/28/16 | CME          | Modifications to the Indian Rupee FX Futures Market Maker Program               | DCM | <a href="#">16-508</a>  |
| 11/28/16 | CME          | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCM | <a href="#">16-508S</a> |
| 11/28/16 | CME CLEARING | Amendments to CME Rule 8H802.B. and Addition of New CME Rule 8H04.13            | DCO | <a href="#">16-507</a>  |
| 11/28/16 | CME          | Modifications to the E-mini Russell 1000 Index Futures Market Making Program    | DCM | <a href="#">16-506</a>  |
| 11/28/16 | CME          | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCM | <a href="#">16-506S</a> |
| 11/28/16 | CME          | Modifications to E-mini Nikkei 225 Yen-Denominated Futures Market Maker Program | DCM | <a href="#">16-505</a>  |
| 11/28/16 | CME          | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCM | <a href="#">16-505S</a> |
| 11/28/16 | CME          | Modifications to the E-mini FTSE Index Futures Market Maker Program             | DCM | <a href="#">16-503</a>  |
| 11/28/16 | CME          | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCM | <a href="#">16-503S</a> |
| 11/28/16 | CME          | Modifications to the CME Housing Futures Market Maker Program                   | DCM | <a href="#">16-502</a>  |

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| 11/28/16 | NYMEX        | Modifications to the Brent Crude Oil Futures-Style Margin Option Fee Waiver Program                   | DCM | <a href="#">16-496</a>   |
| 11/28/16 | NYMEX        | Modifications to Bi-Venue Energy Tiers Incentive Program  | DCM | <a href="#">16-495</a>   |
| 11/28/16 | NYMEX        | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCM | <a href="#">16-495S</a>  |
| 11/28/16 | CBOT         | Amendments to the Soybean Meal Futures Contract   | DCM | <a href="#">16-472</a>   |
| 11/23/16 | CME          | Extension of the S&P 500® Floor Broker Incentive Program  | DCM | <a href="#">16-501</a>   |
| 11/23/16 | CME          | Extension of the CME Individual Member Equity Index Maxi-Mini Incentive Program                       | DCM | <a href="#">16-500</a>   |
| 11/23/16 | CBOT         | Modifications to the Ultra 10-Year Futures Market Maker Program                                       | DCM | <a href="#">16-497</a>   |
| 11/22/16 | CME CLEARING | Weekly Notification of Rule Amendments - Week of November 14, 2016                                    | DCO | <a href="#">16-492</a>   |
| 11/22/16 | NYMEX        | Weekly Notification of Rule Amendments - Week of November 14, 2016                                    | DCM | <a href="#">16-489</a>   |
| 11/22/16 | CME          | Weekly Notification of Rule Amendments - Week of November 14, 2016                                    | DCM | <a href="#">16-488</a><br><a href="#">EXHIBIT A</a>                              |
| 11/22/16 | CBOT         | Weekly Notification of Amendments Related to Product Terms and Conditions - Week of November 14, 2016 | DCM | <a href="#">16-482</a>   |
| 11/22/16 | COMEX        | Weekly Notification of Amendments Related to Product Terms and Conditions - Week of November 14, 2016 | DCM | <a href="#">16-481</a><br><a href="#">EXHIBIT B</a><br><a href="#">EXHIBIT D</a> |
| 11/22/16 | CBOT         | Extension of the CBOT Market Maker Program for Long-Term U.S. Treasury Bond Futures                   | DCM | <a href="#">16-480</a>   |
| 11/22/16 | CME          | Extension of the Globex Give Up Fee Waiver Program for CME Short-Term Interest Rate (STIR) Options    | DCM | <a href="#">16-479</a>   |
| 11/22/16 | CME/CBOT     | Extension of the CME/CBOT Asset Manager Fixed Income Incentive Program ("AMFIIP")                     | DCM | <a href="#">16-477 (1 of 2)</a><br><a href="#">16-477 (2 of 2)</a>               |

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| 11/22/16 | CME/CBOT                 | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCM         | <a href="#">16-477S (1 of 2)</a><br><a href="#">16-477S (2 of 2)</a>   |
| 11/22/16 | CME                      | Modifications to the Eurodollar Pack and Bundle Deferred Contract Liquidity Program                               | DCM         | <a href="#">16-476</a>   |
| 11/22/16 | CBOT                     | Modifications to CBOT Market Maker Program for Electronic Treasury Options  | DCM         | <a href="#">16-474</a>   |
| 11/21/16 | CBOT                     | Amendments to Corn Futures and Mini-Sized Corn Futures Listing Schedule   | DCM         | <a href="#">16-490</a>   |
| 11/21/16 | NYMEX                    | Elimination of Open Outcry Trading Venue in Connection with the Closing of NYMEX Open Outcry Options Trading Pits | DCM         | <a href="#">16-443</a>   |
| 11/21/16 | COMEX                    | Elimination of Open Outcry Trading Venue in Connection with the Closing of COMEX Open Outcry Options Trading Pits | DCM         | <a href="#">16-442</a>   |
| 11/18/16 | CME CLEARING             | Weekly Notification of Rule Amendments - Week of November 7, 2016   | DCO         | <a href="#">16-475</a>   |
| 11/17/16 | CME                      | Amendments to CME Rule 588.H. ("Globex Non-Reviewable Trading Ranges") for Certain FX Option Contracts            | DCM         | <a href="#">16-461</a>   |
| 11/16/16 | NYMEX                    | Expansion of Listing Schedule of the Iron Ore 62% Fe, CFR China (TSI) Average Price Option Contract               | DCM         | <a href="#">16-444</a>   |
| 11/16/16 | NYMEX                    | Amendments to Crude Oil, Refined and Freight Option Contracts   | DCM         | <a href="#">16-404</a><br><a href="#">APPENDIX A</a><br><a href="#">APPENDIX B</a>   |
| 11/16/16 | CME                      | Amendments to CME Rule 743.B (Delivery Obligation Failure)  | DCO/<br>DCM | <a href="#">16-346 (1 of 2)</a><br><a href="#">16-346 (2 of 2)</a>   |
| 11/15/16 | CME/CBOT/NYMEX<br>/COMEX | Issuance of CME Group Market Regulation Advisory Notice RA1613-5R Regarding Block Trades                          | DCM         | <a href="#">16-470 (1 of 4)</a><br><a href="#">16-470 (2 of 4)</a><br><a href="#">16-470 (3 of 4)</a><br><a href="#">16-470 (4 of 4)</a> |
| 11/15/16 | CME                      | Modifications to the E-mini Futures Volume Incentive Program  | DCM         | <a href="#">16-466</a>   |
| 11/15/16 | CME                      | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCM         | <a href="#">16-466S</a>  |
| 11/15/16 | CME/CBOT                 | Modifications to the Equity Index Futures Broker Incentive Program  | DCM         | <a href="#">16-463 (1 of 2)</a><br><a href="#">16-463 (2 of 2)</a>   |

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| 11/15/16 | CME/CBOT                 | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCM | <a href="#">16-463S (1 of 2)</a><br><a href="#">16-463S (2 of 2)</a>   |
| 11/15/16 | CME                      | Modifications to the E-mini Nifty 50 Index Futures Market Maker Program   | DCM | <a href="#">16-462</a>   |
| 11/15/16 | CME                      | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCM | <a href="#">16-462S</a>  |
| 11/15/16 | NYMEX                    | Modifications to Core Globex Energy Tiers Incentive Program   | DCM | <a href="#">16-437</a>   |
| 11/15/16 | NYMEX                    | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCM | <a href="#">16-437S</a>  |
| 11/15/16 | CME/CBOT/NYMEX<br>/COMEX | Issuance of CME Group Market Regulation Advisory Notice RA1614-5 Regarding Wash Trades  | DCM | <a href="#">16-310 (1 of 4)</a><br><a href="#">16-310 (2 of 4)</a><br><a href="#">16-310 (3 of 4)</a><br><a href="#">16-310 (4 of 4)</a> |
| 11/14/16 | CME                      | 40.4(b)(5) Request for Non-Material Agricultural Rule Change Regarding Amendments to Live Cattle Futures Contract and Related Delivery Rules in CME Chapter 7 (“Delivery Facilities and Procedures”)                      | DCM | <a href="#">16-458R</a>  |
| 11/10/16 | CME CLEARING             | Weekly Notification of Rule Amendments - Week of October 31, 2016   | DCO | <a href="#">16-459</a>   |
| 11/10/16 | NYMEX                    | Amendments to NYMEX Rule 588.H. (“Globex Non-Reviewable Trading Ranges”) for Two (2) Fuel Oil Crack Spread Average Price Option Contracts   | DCM | <a href="#">16-457</a>   |
| 11/10/16 | CME                      | Weekly Notification of Amendments Related to Product Terms and Conditions - Week of October 31, 2016  | DCM | <a href="#">16-456</a><br><a href="#">EXHIBIT B</a>  |
| 11/10/16 | CBOT                     | Weekly Notification of Amendments Related to Product Terms and Conditions - Week of October 31, 2016  | DCM | <a href="#">16-455</a><br><a href="#">EXHIBIT A</a>  |
| 11/10/16 | NYMEX/COMEX              | Application of Rule 589. (“Special Price Fluctuation Limits”) and Amendments to Gold/Silver Ratio Futures, Gold/Platinum Spread Futures, and Platinum/Palladium Spread Futures  | DCM | <a href="#">16-451 (1 of 2)</a><br><a href="#">16-451 (2 of 2)</a>   |
| 11/7/16  | CME/CBOT                 | Notice of Emergency Action – Temporary Modifications to CME and CBOT Rule 589. (“Special Price Fluctuation Limits”) for CME and CBOT Interest Rate Futures Products in Connection with the General Election to be Held on | DCM | <a href="#">16-454 (1 of 2)</a><br><a href="#">16-454 (2 of 2)</a><br><a href="#">EXHIBIT B</a>  |

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|          |              | November 8, 2016  |     |  |
| 11/4/16  | NYMEX        | Declaration of Force Majeure with Respect to the RBOB Gasoline Futures Contract   | DCM | <a href="#">16-453 EXHIBIT B</a>             |
| 11/3/16  | CME CLEARING | Weekly Notification of Rule Amendments - Week of October 24, 2016   | DCO | <a href="#">16-452</a>                       |
| 11/3/16  | NYMEX        | Extension of the NYMEX Brent Globex Fee Discount Incentive Program  | DCM | <a href="#">16-436</a>                       |
| 11/3/16  | NYMEX        | Extension of the NYMEX Commercial Brent Spread Incentive Program  | DCM | <a href="#">16-434</a>                       |
| 11/3/16  | NYMEX        | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCM | <a href="#">16-434S</a>                      |
| 11/3/16  | CBOT         | Amendments to Black Sea Wheat Futures Listing Schedule  | DCM | <a href="#">16-416</a>                       |
| 11/3/16  | NYMEX        | Amendments to Electricity and Emissions Option Contracts  | DCM | <a href="#">16-403 APPENDIX A APPENDIX B</a> |
| 11/1/16  | CME          | Weekly Notification of Amendments Related to Product Terms and Conditions - Week of October 24, 2016  | DCM | <a href="#">16-447 EXHIBIT B</a>             |
| 11/1/16  | CBOT         | Weekly Notification of Amendments Related to Product Terms and Conditions - Week of October 24, 2016  | DCM | <a href="#">16-446</a>                       |
| 11/1/16  | COMEX        | Weekly Notification of Amendments Related to Product Terms and Conditions - Week of October 24, 2016  | DCM | <a href="#">16-445 EXHIBIT C</a>             |
| 10/28/16 | CME CLEARING | Weekly Notification of Rule Amendments - Week of October 17, 2016   | DCO | <a href="#">16-439</a>                       |
| 10/27/16 | COMEX        | Amendments to Position Limit, Position Accountability and Reportable Level Table located in the Interpretations and Special Notices Section of Chapter 5 of the COMEX Rulebook  | DCM | <a href="#">16-431 APPENDIX A</a>            |
| 10/27/16 | NYMEX        | Amendments to Position Limit, Position Accountability and Reportable Level Table located in the Interpretations and Special Notices Section of Chapter 5 of the NYMEX Rulebook) | DCM | <a href="#">16-430 APPENDIX A</a>            |

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| 10/27/16 | CME/CBOT/NYMEX<br>/COMEX | Amendments to the Daily Option Settlement Procedures Document   | DCM | <a href="#">16-418 (1 of 4)</a><br><a href="#">16-418 (2 of 4)</a><br><a href="#">16-418 (3 of 4)</a><br><a href="#">16-418 (4 of 4)</a> |
| 10/27/16 | NYMEX/COMEX              | Amendments to NYMEX/COMEX Rule 300.20 ("Strike Price Listing Procedures")   | DCM | <a href="#">16-417 (1 of 2)</a><br><a href="#">16-417 (2 of 2)</a><br><a href="#">APPENDIX B</a>   |
| 10/27/16 | NYMEX/COMEX              | Amendments to NYMEX Coal, Natural Gas, and NYMEX and COMEX Metals Option Contracts                                | DCM | <a href="#">16-402 (1 of 2)</a><br><a href="#">16-402 (2 of 2)</a><br><a href="#">APPENDIX A</a><br><a href="#">APPENDIX B</a>           |
| 10/27/16 | NYMEX                    | Regarding the Reduction of the Block Trade Minimum Quantity Threshold Level for UxC Uranium U308 Futures Contract | DCM | <a href="#">16-394</a>   |
| 10/27/16 | COMEX                    | Reduction of the Block Trade Minimum Quantity Threshold Level for Four (4) COMEX Metals Futures Contracts         | DCM | <a href="#">16-393</a>   |
| 10/25/16 | CME                      | Amendments to Live Cattle Futures Contract  | DCM | <a href="#">16-432</a>   |
| 10/24/16 | CME/CBOT/NYMEX<br>/COMEX | Issuance of CME Group Market Regulation Advisory Notice RA1613-5 Regarding Block Trades                           | DCM | <a href="#">16-427 (1 of 4)</a><br><a href="#">16-427 (2 of 4)</a><br><a href="#">16-427 (3 of 4)</a><br><a href="#">16-427 (4 of 4)</a> |
| 10/20/16 | CME CLEARING             | Weekly Notification of Rule Amendments - Week of October 10, 2016   | DCO | <a href="#">16-428</a>   |
| 10/20/16 | CBOT                     | Weekly Notification of Amendments Related to Product Terms and Conditions - Week of October 10, 2016              | DCM | <a href="#">16-426</a>   |
| 10/19/16 | CME                      | Listing of the February 2018 Contract Month of the Live Cattle Futures and Options Contracts                      | DCM | <a href="#">16-425</a>   |
| 10/17/16 | NYMEX                    | Implementation of the NYMEX Natural Gas Financial Futures Portfolio Program                                       | DCM | <a href="#">16-408</a>   |
| 10/17/16 | NYMEX                    | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCM | <a href="#">16-408S</a>  |
| 10/17/16 | NYMEX/COMEX              | Implementation of the Precious Metals Cash-Settled Futures Fee Waiver Program                                     | DCM | <a href="#">16-405 (1 of 2)</a><br><a href="#">16-405 (2 of 2)</a>   |
| 10/17/16 | CBOT                     | Amendments to Minimum Fluctuation Rule of Twelve (12) Treasury Options Contracts                                  | DCM | <a href="#">16-397R</a>  |



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| 10/13/16 | CME CLEARING | Weekly Notification of Rule Amendments - Week of October 3, 2016                                    | DCO | <a href="#">16-424</a>   |
| 10/12/16 | COMEX        | Weekly Notification of Amendments Related to Product Terms and Conditions - Week of October 3, 2016 | DCM | <a href="#">16-423</a>   |
| 10/12/16 | COMEX        | Weekly Notification of Rule Amendments - Week of October 3, 2016                                    | DCM | <a href="#">16-422</a>   |
| 10/12/16 | NYMEX        | Weekly Notification of Rule Amendments - Week of October 3, 2016                                    | DCM | <a href="#">16-421</a>   |
| 10/12/16 | CBOT         | Weekly Notification of Rule Amendments - Week of October 3, 2016                                    | DCM | <a href="#">16-420</a>   |
| 10/12/16 | CME          | Weekly Notification of Rule Amendments - Week of October 3, 2016                                    | DCM | <a href="#">16-419</a>   |
| 10/10/16 | COMEX        | Expansion of Listing Schedule of the Aluminum A380 Alloy (Platts) Futures Contract                  | DCM | <a href="#">16-383</a>   |
| 10/7/16  | CME CLEARING | Weekly Notification of Rule Amendments - Week of September 26, 2016                                 | DCO | <a href="#">16-415</a>   |
| 10/6/16  | CME          | Implementation of the Volatility-Quoted Options Market Maker Program                                | DCM | <a href="#">16-414R</a>  |
| 10/6/16  | CME          | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCM | <a href="#">16-414SR</a>   |
| 10/6/16  | CME          | Implementation of the Volatility-Quoted Options Fee Waiver Program                                  | DCM | <a href="#">16-413R</a>  |
| 10/6/16  | CME SEF      | Adoption of CME SEF Rule 575 "Disruptive Practices Prohibited"                                      | SEF | <a href="#">16-401</a>   |
| 10/6/16  | NYMEX        | Initial Listing of Two (2) Petroleum Futures Contracts  | DCM | <a href="#">16-392 (1 of 2)</a><br><a href="#">16-392 (2 of 2)</a><br><a href="#">APPENDIX B</a> |
| 10/6/16  | NYMEX        | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCM | <a href="#">16-392S</a>  |
| 10/6/16  | NYMEX        | Initial Listing of Two (2) Fuel Oil Crack Spread Option Contracts                                   | DCM | <a href="#">16-377 (1 of 2)</a><br><a href="#">16-377 (2 of 2)</a><br><a href="#">APPENDIX B</a> |

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| 10/6/16 | NYMEX                 | SUPPLEMENTAL INFORMATION UNDER FOIA  | DCM | <a href="#">16-377S</a>  |
| 10/6/16 | CME                   | Amendments to the Price Increments Rules of the Six (6) Major FX Options to Enable Volatility Quoting Functionality on CME Globex,   | DCM | <a href="#">16-249R</a>  |
| 10/3/16 | COMEX                 | Weekly Notification of Amendments Related to Product Terms and Conditions - Week of September 26, 2016   | DCM | <a href="#">16-407</a>   |
| 10/3/16 | NYMEX                 | Weekly Notification of Amendments Related to Product Terms and Conditions - Week of September 26, 2016   | DCM | <a href="#">16-406</a>   |
| 9/30/16 | CBOT                  | 2017 Delivery Differentials for Soybean Meal Futures and Soybean Oil Futures   | DCM | <a href="#">16-387</a>   |
| 9/30/16 | CME                   | Amendments to the Globex Trade Matching Algorithm for Options on Three-Month Eurodollar Futures, Options on Two-Year Bundle Futures, Options on Three-Year Bundle Futures, and Options on Five-Year Bundle Futures Contracts | DCM | <a href="#">16-362</a><br><a href="#">APPENDIX A</a>   |
| 9/29/16 | CME CLEARING          | Weekly Notification of Rule Amendments - Week of September 19, 2016  | DCO | <a href="#">16-398</a>   |
| 9/29/16 | CME CLEARING          | Acceptance of OIS Denominated in AUD and CAD for Clearing  | DCO | <a href="#">16-391</a>   |
| 9/29/16 | CME CLEARING          | SUPPLEMENTAL INFORMATION UNDER FOIA  | DCO | <a href="#">16-391S</a>  |
| 9/29/16 | CBOT                  | Amendments to Increase Position Limits for the U.S. Treasury Bond Futures Contract   | DCM | <a href="#">16-389</a><br><a href="#">APPENDIX A</a>   |
| 9/28/16 | NYMEX                 | Declaration of Force Majeure with Respect to the RBOB Gasoline Futures Contract  | DCM | <a href="#">16-396</a><br><a href="#">APPENDIX B</a>   |
| 9/27/16 | CBOT                  | Weekly Notification of Amendments Related to Product Terms and Conditions - Week of September 19, 2016   | DCM | <a href="#">16-395</a>   |
| 9/27/16 | NYMEX                 | Weekly Notification of Amendments Related to Product Terms and Conditions - Week of September 19, 2016   | DCM | <a href="#">16-390</a>   |
| 9/26/16 | CME/CBOT/NYMEX /COMEX | Amendments to Rule 437 ("Notice of Discipline")  | DCM | <a href="#">16-336 (1 of 4)</a><br><a href="#">16-336 (2 of 4)</a><br><a href="#">16-336 (3 of 4)</a><br><a href="#">16-336 (4 of 4)</a> |

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| 9/23/16 | CME CLEARING          | Weekly Notification of Rule Amendments - Week of September 12, 2016   | DCO | <a href="#">16-388</a>   |
| 9/23/16 | NYMEX                 | Weekly Notification of Amendments Related to Product Terms and Conditions - Week of September 12, 2016  | DCM | <a href="#">16-386</a>   |
| 9/22/16 | CME/CBOT/NYMEX /COMEX | Issuance of CME Group Market Regulation Advisory Notice RA1610-5 with Updated Guidance Attendant to CME Globex operator IDs (“Tag 50 IDs”)                              | DCM | <a href="#">16-307 (1 of 4)</a><br><a href="#">16-307 (2 of 4)</a><br><a href="#">16-307 (3 of 4)</a><br><a href="#">16-307 (4 of 4)</a>     |
| 9/21/16 | CME                   | Weekly Notification of Amendments Related to Product Terms and Conditions - Week of September 12, 2016  | DCM | <a href="#">16-385</a>   |
| 9/21/16 | CBOT                  | Weekly Notification of Amendments Related to Product Terms and Conditions - Week of September 12, 2016  | DCM | <a href="#">16-384</a>   |
| 9/21/16 | CME/CBOT/NYMEX /COMEX | Implementation of the APAC FCM Incentive Program  | DCM | <a href="#">16-382 (1 of 4)</a><br><a href="#">16-382 (2 of 4)</a><br><a href="#">16-382 (3 of 4)</a><br><a href="#">16-382 (4 of 4)</a>     |
| 9/21/16 | CME/CBOT/NYMEX /COMEX | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCM | <a href="#">16-382S (1 of 4)</a><br><a href="#">16-382S (2 of 4)</a><br><a href="#">16-382S (3 of 4)</a><br><a href="#">16-382S (4 of 4)</a> |
| 9/21/16 | COMEX                 | Initial Listing of Gold/Silver Ratio Futures and Gold/Platinum Spread Futures Contracts for trading on CME Globex and for submission for clearing through CME ClearPort | DCM | <a href="#">16-375 (1 of 2)</a><br><a href="#">16-375 (2 of 2)</a><br><a href="#">APPENDIX B</a>   |
| 9/21/16 | NYMEX                 | Initial Listing of the Platinum/Palladium Spread Futures on CME Globex and CME ClearPort  | DCM | <a href="#">16-374</a><br><a href="#">APPENDIX B</a>   |
| 9/19/16 | CME/CBOT/NYMEX /COMEX | Amendments to Rule 538. (“Exchange for Related Positions”) and Issuance of CME Group Market Regulation Advisory Notice RA1612-5.  | DCM | <a href="#">16-316 (1 of 4)</a><br><a href="#">16-316 (2 of 4)</a><br><a href="#">16-316 (3 of 4)</a><br><a href="#">16-316 (4 of 4)</a>     |
| 9/16/16 | NYMEX                 | Modifications to the Natural Gas Financial Futures Spread Program   | DCM | <a href="#">16-380</a>   |
| 9/16/16 | NYMEX                 | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCM | <a href="#">16-380S</a>  |
| 9/16/16 | NYMEX                 | Modifications to the Natural Gas Financial Products Market Maker Program  | DCM | <a href="#">16-379</a>   |

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| 9/16/16 | NYMEX        | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCM | <a href="#">16-379S</a>                              |
| 9/16/16 | CME          | Implementation of the Ultra 10-Year Options Block Fee Discount Program                                  | DCM | <a href="#">16-378</a>                               |
| 9/16/16 | CME          | Listing of the December 2017 Contract Month of the Live Cattle Futures and Options Contracts            | DCM | <a href="#">16-376</a>                               |
| 9/16/16 | NYMEX        | Modifications to the NYMEX Iron Ore Market Maker Program)   | DCM | <a href="#">16-373</a>                               |
| 9/16/16 | NYMEX        | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCM | <a href="#">16-373S</a>                              |
| 9/16/16 | NYMEX        | Modifications to the NYMEX Financial Natural Gas Market Development Program                             | DCM | <a href="#">16-372</a>                               |
| 9/16/16 | NYMEX        | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCM | <a href="#">16-372S</a>                              |
| 9/16/16 | COMEX        | Extension of the Copper Options Broker Rebate Program   | DCM | <a href="#">16-371</a>                               |
| 9/16/16 | COMEX        | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCM | <a href="#">16-371S</a>                              |
| 9/16/16 | CME          | Extension of the CME Bloomberg Dollar Spot Index Futures Fee Waiver Program                             | DCM | <a href="#">16-370</a>                               |
| 9/15/16 | CME CLEARING | Weekly Notification of Rule Amendments - Week of September 5, 2016                                      | DCO | <a href="#">16-381</a>                               |
| 9/15/16 | CME          | Amendments to Rule 15203.A. ("Final Settlement") of the Lean Hog Futures Contract                       | DCM | <a href="#">16-361</a>                               |
| 9/15/16 | CME          | Increasing Spot Month Position Limits of the Nonfat Dry Milk and Dry Whey Futures and Options Contracts | DCM | <a href="#">16-330</a><br><a href="#">APPENDIX A</a> |
| 9/14/16 | CME          | Weekly Notification of Amendments Related to Product Terms and Conditions - Week of September 5, 2016   | DCM | <a href="#">16-369</a>                               |
| 9/14/16 | COMEX        | Weekly Notification of Amendments Related to Product Terms and Conditions - Week of September 5, 2016   | DCM | <a href="#">16-368</a><br><a href="#">EXHIBIT B</a>  |

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| 9/14/16  | CBOT                  | Weekly Notification of Amendments Related to Product Terms and Conditions - Week of September 5, 2016  | DCM | <a href="#">16-367</a>  |
| 9/9/16   | COMEX                 | Regarding notification of rule amendments made effective during the week of August 29, 2016.   | DCM | <a href="#">16-366</a>  |
| 9/9/16   | NYMEX                 | Regarding notification of rule amendments made effective during the week of August 29, 2016.   | DCM | <a href="#">16-365</a>  |
| 9/9/16   | CBOT                  | Regarding notification of amendments related to product terms and conditions made effective during the week of August 29, 2016. Please note that Exhibit A is attached as a separate document.   | DCM | <a href="#">16-364</a><br><a href="#">EXHIBIT A</a>   |
| 9/9/16   | CME                   | Regarding the initial listing of Wednesday Weekly Options on E-mini Standard and Poor's Stock Price Index Futures and Wednesday Weekly Options on Standard and Poor's 500 Stock Price Index Futures contracts for trading on CME Globex and for submission for clearing via CME ClearPort, effective on Sunday, September 25, for trade date Monday, September 26, 2016. Additionally, Wednesday Weekly Options on Standard and Poor's 500 Stock Price Index Futures contracts will also be listed for trading on the CME trading floor. Please note that Appendix B is attached as a separate document. | DCM | <a href="#">16-340 (1 of 10)</a><br><a href="#">16-340 (2 of 10)</a><br><a href="#">16-340 (3 of 10)</a><br><a href="#">16-340 (4 of 10)</a><br><a href="#">16-340 (5 of 10)</a><br><a href="#">16-340 (6 of 10)</a><br><a href="#">16-340 (7 of 10)</a><br><a href="#">16-340 (8 of 10)</a><br><a href="#">16-340 (9 of 10)</a><br><a href="#">16-340 (10 of 10)</a><br><a href="#">APPENDIX B</a> |
| 9/09/16  | NYMEX                 | Regarding amendments to the rulebook chapters for the Platinum Option contract and the Palladium Option contract, effective on Sunday, September 25, 2016 for trade date Monday, September 26, 2016.   | DCM | <a href="#">16-308</a>  |
| 9/09/16  | COMEX                 | Regarding amendments to the rulebook chapters for the Gold Option, Silver Option, and Copper Option contracts, effective on Sunday, September 25, 2016 for trade date Monday, September 26, 2016.  | DCM | <a href="#">16-309</a>  |
| 9/09/16  | CME CLEARING          | Regarding notification of rule amendments made effective during the week of August 29, 2016.   | DCO | <a href="#">16-363</a>  |
| 09/07/16 | CME/CBOT/NYMEX /COMEX | Modifications to the Latin America Fund Manager Incentive Program  | DCM | <a href="#">16-353 (1 of 4)</a><br><a href="#">16-353 (2 of 4)</a><br><a href="#">16-353 (3 of 4)</a><br><a href="#">16-353 (4 of 4)</a>  |

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| 9/06/16 | COMEX                 | Regarding the initial listing of the Alumina FOB Australia (S&P Global Platts) Futures and Alumina FOB Australia (Metal Bulletin) Futures contracts for trading on CME Globex and for submission for clearing through CME ClearPort, effective on Sunday, September 25, 2016.         | DCM | <a href="#">16-341 (1 of 2)</a><br><a href="#">16-341 (2 of 2)</a><br><a href="#">APPENDIX B</a>   |
| 9/06/16 | COMEX                 | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCM | <a href="#">16-341S</a>  |
| 9/06/16 | CME                   | Regarding amendments to the E-mini Standard and Poor's MidCap 400 Stock Price Index Futures contract to change the minimum price increment permitted in Basis Trade at Index Close transactions, effective Sunday, September 25, 2016.  | DCM | <a href="#">16-360</a>   |
| 9/06/16 | CME                   | Regarding amendments to the E-mini Standard and Poor's MidCap 400 Stock Price Index Futures contract to enable Basis Trade at Index Close transactions on the CME Globex electronic trading platform, effective Sunday, September 25, 2016 for trade date Monday, September 26, 2016. | DCM | <a href="#">16-335R</a>  |
| 9/1/16  | CME/CBOT/NYMEX /COMEX | Amendments to Rule 106.K. ("Transfer to a Trust")   | DCM | <a href="#">16-355 (1 of 4)</a><br><a href="#">16-355 (2 of 4)</a><br><a href="#">16-355 (3 of 4)</a><br><a href="#">16-355 (4 of 4)</a> |
| 8/31/16 | CME CLEARING          | Weekly Notification of Rule Amendments - Week of August 22, 2016  | DCO | <a href="#">16-359</a>   |
| 8/31/16 | COMEX                 | Weekly Notification of Rule Amendments - Week of August 22, 2016  | DCM | <a href="#">16-358</a>   |
| 8/31/16 | NYMEX                 | Weekly Notification of Rule Amendments - Week of August 22, 2016  | DCM | <a href="#">16-357</a>   |
| 8/31/16 | CBOT                  | Weekly Notification of Rule Amendments - Week of August 22, 2016  | DCM | <a href="#">16-356</a>   |
| 8/31/16 | CME CLEARING          | Addition of Portfolio Gains Haircuts, Tear-Ups, and Limited Recourse to the Financial Safeguards Package for Base   | DCO | <a href="#">16-354RRRR</a>   |
| 8/31/16 | CME CLEARING          | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCO | <a href="#">16-354SRRRR</a>  |
| 8/30/16 | CBOT                  | Issuance of CBOT Market Regulation Advisory Notice RA1602-1 Concerning Options Trades Executed at Cabinet   | DCM | <a href="#">16-350</a>   |
| 8/29/16 | CME                   | Implementation of the S&P Floor Calendar Spread Market Maker Discount Program   | DCM | <a href="#">16-352</a>   |

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| 8/25/16 | CME CLEARING | Regarding notification of rule amendments made effective during the week of August 15, 2016.         | DCO | <a href="#">16-351</a>  |
| 8/25/16 | CME          | Amendments to Price Limits and Trading Halts Rule of Fifteen (15) CME Equity Index Futures Contracts | DCM | <a href="#">16-320</a>  |
| 8/24/16 | NYMEX        | Initial Listing of Sixteen (16) MISO and NYISO Daily Electricity Futures Contracts                   | DCM | <a href="#">16-323 (1 of 16)</a><br><a href="#">16-323 (2 of 16)</a><br><a href="#">16-323 (3 of 16)</a><br><a href="#">16-323 (4 of 16)</a><br><a href="#">16-323 (5 of 16)</a><br><a href="#">16-323 (6 of 16)</a><br><a href="#">16-323 (7 of 16)</a><br><a href="#">16-323 (8 of 16)</a><br><a href="#">16-323 (9 of 16)</a><br><a href="#">16-323 (10 of 16)</a><br><a href="#">16-323 (11 of 16)</a><br><a href="#">16-323 (12 of 16)</a><br><a href="#">16-323 (13 of 16)</a><br><a href="#">16-323 (14 of 16)</a><br><a href="#">16-323 (15 of 16)</a><br><a href="#">16-323 (16 of 16)</a><br><a href="#">APPENDIX B</a> |
| 8/24/16 | CBOT         | Amendments to Price Limits and Trading Halts Rule of Two (2) CBOT Equity Index Futures Contracts     | DCM | <a href="#">16-321</a>  |
| 8/24/16 | NYMEX        | Weekly Notification of Amendments Related to Product Terms and Conditions - Week of August 15, 2016  | DCM | <a href="#">16-349</a>  |
| 8/23/16 | CME          | Weekly Notification of Amendments Related to Product Terms and Conditions - Week of August 15, 2016  | DCM | <a href="#">16-348</a><br><a href="#">EXHIBIT A</a>   |
| 8/23/16 | CBOT         | Weekly Notification of Amendments Related to Product Terms and Conditions - Week of August 15, 2016  | DCM | <a href="#">16-347</a>  |
| 8/22/16 | CBOT         | Amendment to the Listing Schedule of the Options on Soybean Futures Contract.                        | DCM | <a href="#">16-332</a>  |

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| 8/22/16 | CME                   | Temporary Reduction of the BTIC Block Trade Minimum Threshold Level and Waiver of Related BTIC Exchange Fee for the E-mini S&P Financial Sector Futures Contract | DCM | <a href="#">16-328</a>   |
| 8/19/16 | CME CLEARING          | Regarding notification of rule amendments made effective during the week of August 8, 2016.  | DCM | <a href="#">16-345</a>   |
| 8/19/16 | CBOT                  | Implementation of the EU Wheat Options Market Maker Program  | DCM | <a href="#">16-344</a>   |
| 8/19/16 | CBOT                  | SUPPLEMENTAL INFORMATION UNDER FOIA  | DCM | <a href="#">16-344S</a>  |
| 8/19/16 | CBOT                  | Implementation of the EU Wheat Futures Market Maker Program  | DCM | <a href="#">16-343</a>   |
| 8/19/16 | CBOT                  | SUPPLEMENTAL INFORMATION UNDER FOIA  | DCM | <a href="#">16-343S</a>  |
| 8/19/16 | CBOT                  | Implementation of the EU Wheat Fee Discount Program  | DCM | <a href="#">16-342</a>   |
| 8/17/16 | NYMEX                 | Modifications to the NYMEX Crude and Refined Options Incentive Program ("CRO")   | DCM | <a href="#">16-339</a>   |
| 8/17/16 | NYMEX                 | SUPPLEMENTAL INFORMATION UNDER FOIA  | DCM | <a href="#">16-339S</a>  |
| 8/17/16 | NYMEX                 | Modifications to the NYMEX Crude and Refined Options Gateway Incentive Program   | DCM | <a href="#">16-338</a>   |
| 8/17/16 | NYMEX                 | SUPPLEMENTAL INFORMATION UNDER FOIA  | DCM | <a href="#">16-338S</a>  |
| 8/17/16 | NYMEX                 | Modifications to the NYMEX Deferred Natural Gas Market Maker Program   | DCM | <a href="#">16-337</a>   |
| 8/17/16 | NYMEX                 | SUPPLEMENTAL INFORMATION UNDER FOIA  | DCM | <a href="#">16-337S</a>  |
| 8/18/16 | CME/CBOT/NYMEX /COMEX | Issuance of CME Group Market Regulation Advisory Notice RA1605-5R Regarding Suspense Accounts.   | DCM | <a href="#">16-317 (1 of 4)</a><br><a href="#">16-317 (2 of 4)</a><br><a href="#">16-317 (3 of 4)</a><br><a href="#">16-317 (4 of 4)</a> |
| 8/12/16 | CME                   | Modifications to the E-mini Futures Volume Incentive Program   | DCM | <a href="#">16-325</a>   |



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| 8/12/16 | CME                   | SUPPLEMENTAL INFORMATION UNDER FOIA  | DCM         | <a href="#">16-325S</a>   |
| 8/12/16 | CME                   | Modifications to the E-mini S&P Select Sector Index Futures Market Maker Program   | DCM         | <a href="#">16-324</a>  |
| 8/12/16 | CME                   | SUPPLEMENTAL INFORMATION UNDER FOIA  | DCM         | <a href="#">16-324S</a>   |
| 8/12/16 | CME                   | Initial Listing of E-mini S&P Real Estate Select Sector Stock Index Futures  | DCM         | <a href="#">16-292R</a><br><a href="#">APPENDIX B</a>   |
| 8/12/16 | CBOT                  | Notification Regarding Amendments to CBOT Chapter 7 (“Delivery Facilities and Procedures”) in Connection with the Initial Listing of the Physically-Delivered EU Wheat Futures Contract.   | DCM         | <a href="#">16-331R</a><br><a href="#">APPENDIX A</a><br><a href="#">APPENDIX B</a>   |
| 8/12/16 | CME/CBOT/NYMEX /COMEX | Addition of G-Cross Protocol to CBOT EU Wheat Futures and R-Cross Protocol to CBOT EU Wheat Options Pursuant to Rule 539.C. (“Pre-Execution Communications Regarding Globex Trades”) and Issuance of CME Group Market Regulation Advisory Notice RA1611-5. | DCM         | <a href="#">16-333R (1 of 4)</a><br><a href="#">16-333R (2 of 4)</a><br><a href="#">16-333R (3 of 4)</a><br><a href="#">16-333R (4 of 4)</a><br><a href="#">EXHIBIT A</a>   |
| 8/12/16 | CME                   | Initial Listing of S&P 500 Total Return Index Futures and S&P 500 Carry-Adjusted Total Return Index Futures Contracts  | DCM         | <a href="#">16-290 (1 of 2)</a><br><a href="#">16-290 (2 of 2)</a><br><a href="#">APPENDIX B</a>  |
| 8/12/16 | CBOT                  | Initial Listing of EU Wheat Futures and Options Contracts  | DCM         | <a href="#">16-199(1 of 2)</a><br><a href="#">16-199 (2 of 2)</a><br><a href="#">APPENDIX B</a>   |
| 8/11/16 | CME CLEARING          | Regarding notification of rule amendments made effective during the week of August 1, 2016.  | DCO         | <a href="#">16-334</a>  |
| 8/8/16  | COMEX                 | Weekly Notification of Amendments Related to Product Terms and Conditions - Week of August 1, 2016   | DCM         | <a href="#">16-329</a><br><a href="#">APPENDIX B</a><br><a href="#">APPENDIX D</a>  |
| 8/8/16  | CME/CBOT/NYMEX /COMEX | Amendments to CME/CBOT/NYMEX/COMEX Rule 816.; CME Rules 8G07. and 8H07   | DCM/<br>DCO | <a href="#">16-170 (1 of 5)</a><br><a href="#">16-170 (2 of 5)</a><br><a href="#">16-170 (3 of 5)</a><br><a href="#">16-170 (4 of 5)</a><br><a href="#">16-170 (5 of 5)</a> |
| 8/5/16  | NYMEX                 | Decreasing Position Limits for Eleven (11) Electricity Futures Contracts   | DCM         | <a href="#">16-322</a><br><a href="#">APPENDIX A</a>  |
| 8/5/16  | CME                   | Amendments to the Live Cattle Futures Deliveries and Listing of the October 2017 Live Cattle Futures and Options Contracts   | DCM         | <a href="#">16-304</a><br><a href="#">APPENDIX B</a>  |
| 8/5/16  | NYMEX                 | Amendments to Freight Route TD3 (Baltic) Futures Contract  | DCM         | <a href="#">16-248</a><br><a href="#">APPENDIX B</a>  |

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| 8/5/16 | NYMEX | SUPPLEMENTAL INFORMATION UNDER FOIA  | DCM | <a href="#">16-248S</a>   |
| 8/4/16 | NYMEX | Increasing Spot Month Limits of Dated Brent Futures Contracts                                    | DCM | <a href="#">16-319 APPENDIX A</a>   |
| 8/4/16 | NYMEX | Initial Listing of Thirty Two (32) ISO PJM Day-Ahead and Real-Time Electricity Futures Contracts | DCM | <a href="#">16-293 (1 of 32)</a><br><a href="#">16-293 (2 of 32)</a><br><a href="#">16-293 (3 of 32)</a><br><a href="#">16-293 (4 of 32)</a><br><a href="#">16-293 (5 of 32)</a><br><a href="#">16-293 (6 of 32)</a><br><a href="#">16-293 (7 of 32)</a><br><a href="#">16-293 (8 of 32)</a><br><a href="#">16-293 (9 of 32)</a><br><a href="#">16-293 (10 of 32)</a><br><a href="#">16-293 (11 of 32)</a><br><a href="#">16-293 (12 of 32)</a><br><a href="#">16-293 (13 of 32)</a><br><a href="#">16-293 (14 of 32)</a><br><a href="#">16-293 (15 of 32)</a><br><a href="#">16-293 (16 of 32)</a><br><a href="#">16-293 (17 of 32)</a><br><a href="#">16-293 (18 of 32)</a><br><a href="#">16-293 (19 of 32)</a><br><a href="#">16-293 (20 of 32)</a><br><a href="#">16-293 (21 of 32)</a><br><a href="#">16-293 (22 of 32)</a><br><a href="#">16-293 (23 of 32)</a><br><a href="#">16-293 (24 of 32)</a><br><a href="#">16-293 (25 of 32)</a><br><a href="#">16-293 (26 of 32)</a> |

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|          |              |   |     | <a href="#">32)</a><br><a href="#">16-293 (27 of 32)</a><br><a href="#">16-293 (28 of 32)</a><br><a href="#">16-293 (29 of 32)</a><br><a href="#">16-293 (30 of 32)</a><br><a href="#">16-293 (31 of 32)</a><br><a href="#">16-293 (32 of 32)</a><br><a href="#">APPENDIX B</a><br><a href="#">APPENDIX C</a><br><a href="#">APPENDIX D</a> |
| 8/4/16   | CME CLEARING | Regarding notification of rule amendments made effective during the week of July 25, 2016.  | DCO | <a href="#">16-326</a>  |
| 07/29/16 | COMEX        | Regarding the extension of the listing schedule for Aluminum MW U.S. Transaction Premium Platts (25MT) Futures, Aluminium European Premium Duty-Unpaid (Metal Bulletin), Aluminium European Premium Duty-Paid (Metal Bulletin) Futures, and Aluminum Japan Premium (Platts) Futures on CME Globex and CME ClearPort, effective on Sunday, August 21, 2016 for trade date Monday, August 22, 2016. | DCM | <a href="#">16-274</a>  |
| 07/29/16 | CME          | Regarding the Amendments to the Exercise and Assignment Rules for Options on CME Metro Area Housing Index Futures Contracts and Options on CME Composite Housing Index Futures Contracts, effective on Sunday, August 14, 2016, for trade date Monday, August 15, 2016.   | DCM | <a href="#">16-268</a><br><a href="#">APPENDIX B</a>  |
| 07/29/16 | CME          | Regarding notification of amendments related to product terms and conditions made effective during the week of July 18, 2016.   | DCM | <a href="#">16-315</a>  |
| 07/29/16 | CME CLEARING | Regarding notification of rule amendments made effective during the week of July 18, 2016.  | DCO | <a href="#">16-314</a>  |
| 07/29/16 | CME          | Regarding modifications to the CME FX Futures Cross Currency Liquidity Market Making Program. The modifications to the Program will be effective on August 15, 2016.  | DCM | <a href="#">16-313</a>  |

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| 07/29/16 | CME                   | SUPPLEMENTAL INFORMATION UNDER FOIA  | DCM | <a href="#">16-313S</a>  |
| 07/29/16 | NYMEX                 | Regarding modifications to the International Coal Products Volume Incentive Program. The modifications to the Program will be effective on August 15, 2016.  | DCM | <a href="#">16-312</a>   |
| 07/29/16 | NYMEX                 | SUPPLEMENTAL INFORMATION UNDER FOIA  | DCM | <a href="#">16-312S</a>  |
| 07/28/16 | CME/CBOT/NYMEX /COMEX | Regarding the Issuance of Market Regulation Advisory Notice RA1609-5 Regarding Block Trades, effective August 12, 2016.  | DCM | <a href="#">16-311 ( 1 of 4)</a><br><a href="#">16-311 ( 2 of 4)</a><br><a href="#">16-311 ( 3 of 4)</a><br><a href="#">16-311 ( 4 of 4)</a>   |
| 07/26/16 | CBOT                  | Regarding notification of amendments related to product terms and conditions made effective during the week of July 18, 2016.  | DCM | <a href="#">16-306</a>   |
| 07/26/16 | CME/CBOT/NYMEX        | Amendments to CME/CBOT/NYMEX Rule 106. (“Transfers, Security Transactions, and Authorizations to Transfer or Sell”)  | DCM | <a href="#">16-280 (1 of 3)</a><br><a href="#">16-280 (2 of 3)</a><br><a href="#">16-280 (3 of 3)</a>  |
| 07/25/16 | CME                   | Delisting of Two (2) Interest Rate Futures and Option Contract   | DCM | <a href="#">16-286</a><br><a href="#">APPENDIX B</a>   |
| 07/22/16 | CME                   | Regarding the Amendments to the Bursa Malaysia Crude Palm Oil – Gasoil Spread Futures Contract, effective on Sunday, August 7, 2016 for trade date Monday, August 8, 2016.   | DCM | <a href="#">16-298</a>   |
| 07/22/16 | NYMEX                 | Regarding Decreasing Position Limits for Two (2) PJM Day-Ahead Electricity Futures Contracts, effective Monday, August 8, 2016. Please note that Appendix A is attached as a separate document.  | DCM | <a href="#">16-294</a><br><a href="#">APPENDIX A</a>   |
| 07/22/16 | CME                   | Regarding the Initial Listing of European-Style Weekly, Monthly, and Quarterly Options with the 2 PM Central Time Fix on Six (6) Major Foreign Exchange Futures for trading on the CME trading floor and CME Globex, and for submission for clearing through CME ClearPort, effective on Sunday, August 7, 2016 for trade date Monday, August 8, 2016. | DCM | <a href="#">16-277 ( 1 of 6)</a><br><a href="#">16-277 ( 2 of 6)</a><br><a href="#">16-277 ( 3 of 6)</a><br><a href="#">16-277 ( 4 of 6)</a><br><a href="#">16-277 ( 5 of 6)</a><br><a href="#">16-277 ( 6 of 6)</a><br><a href="#">APPENDIX I</a><br><a href="#">APPENDIX J</a><br><a href="#">APPENDIX K</a> |
| 07/22/16 | NYMEX                 | Regarding the initial listing of three (3) European refined petroleum futures contracts for trading on CME Globex and for submission for clearing via CME ClearPort, effective on  | DCM | <a href="#">16-240R (1of 3)</a><br><a href="#">16-240R (2 of 3)</a><br><a href="#">16-240R (3 of 3)</a>  |

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|          |              | Sunday, August 7, 2016 for trade date Monday, August 8, 2016.  |     | <a href="#">APPENDIX B REVISED</a>                            |
| 07/22/16 | NYMEX        | SUPPLEMENTAL INFORMATION UNDER FOIA  | DCM | <a href="#">16-240SR</a>                                      |
| 07/22/16 | NYMEX        | Regarding Amendments to NYMEX Position Limit Table, effective August 7, 2016 for trade date Monday, August 8, 2016.  | DCM | <a href="#">16-279R</a><br><a href="#">APPENDIX B REVISED</a> |
| 07/22/16 | CME          | Regarding the amendments to the listing schedule for the existing American-Style options with the 2 PM Central Time fix on six (6) major foreign exchange futures contracts, effective on Sunday, August 7, 2016 for trade date Monday, August 8, 2016.  | DCM | <a href="#">16-285</a>  |
| 07/21/16 | CME          | Regarding the listing of existing American-Style Weekly Options on the Mexican Peso/US Dollar ("MXN/USD"), Brazilian Real/US Dollar ("BRL/USD"), New Zealand Dollar/US Dollar ("NZD/USD"), Euro/British Pound ("EUR/GBP"), Euro/Japanese Yen ("EUR/JPY"), and Euro/Swiss Franc ("EUR/CHF") Futures contracts on CME Globex and CME ClearPort, effective on Sunday, August 7, 2016 for trade date Monday, August 8, 2016. | DCM | <a href="#">16-273</a>  |
| 07/21/16 | CME CLEARING | Regarding notification of rule amendments made effective during the week of July 11, 2016.   | DCO | <a href="#">16-300</a>  |
| 07/20/16 | NYMEX        | Regarding modifications to the Natural Gas Options Market Maker Program. The modifications to the Program will be effective on August 5, 2016.   | DCM | <a href="#">16-299</a>  |
| 07/20/16 | NYMEX        | SUPPLEMENTAL INFORMATION UNDER FOIA  | DCM | <a href="#">16-299S</a>                                       |
| 07/19/16 | COMEX        | Regarding notification of amendments related to product terms and conditions made effective during the week of July 11, 2016.  | DCM | <a href="#">16-297</a><br><a href="#">EXHIBIT C</a>           |
| 07/19/16 | CBOT         | Regarding notification of amendments related to product terms and conditions made effective during the week of July 11, 2016.  | DCM | <a href="#">16-296</a>  |
| 07/19/16 | NYMEX        | Regarding notification of amendments related to product terms and conditions made effective during the week of July  | DCM | <a href="#">16-295</a>  |

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| 07/15/16 | CME          | Regarding modifications to the fee schedule for over-the-counter (“OTC”) interest rate swaps (“IRS”). The modifications to the fee schedule will be effective on August 1, 2016. | DCM | <a href="#">16-213</a>                               |
| 07/15/16 | CME          | Regarding implementation of the Indian Rupee FX Futures Liquidity Provider Program. The implementation of the Program will be effective on August 1, 2016.                       | DCM | <a href="#">16-284R</a>                              |
| 07/15/16 | CME          | SUPPLEMENTAL INFORMATION UNDER FOIA  | DCM | <a href="#">16-284SR</a>                             |
| 07/15/16 | CME          | Regarding modifications to the E-mini Nifty 50 Index Futures Market Maker Program. The modifications to the Program will be effective on August 1, 2016.                         | DCM | <a href="#">16-283</a>                               |
| 07/15/16 | CME          | SUPPLEMENTAL INFORMATION UNDER FOIA  | DCM | <a href="#">16-283S</a>                              |
| 07/14/16 | NYMEX        | Regarding notification of amendments related to product terms and conditions made effective during the week of July 4, 2016.   | DCM | <a href="#">16-289</a><br><a href="#">EXHIBIT C</a>  |
| 07/14/16 | CME CLEARING | Regarding notification of rule amendments made effective during the week of July 4, 2016.  | DCM | <a href="#">16-288</a>                               |
| 07/13/16 | CME          | Regarding Implementation of the Chilean Peso Futures Market Maker Program. The proposed Program will be effective on August 1, 2016.   | DCM | <a href="#">16-254</a>                               |
| 07/13/16 | CME          | SUPPLEMENTAL INFORMATION UNDER FOIA  | DCM | <a href="#">16-254S</a>                              |
| 07/12/16 | NYMEX        | Regarding notification of rule amendments made effective during the week of July 4, 2016.  | DCM | <a href="#">16-281</a>                               |
| 07/11/16 | NYMEX        | Regarding the amendments to the Henry Hub Natural Gas futures contract, effective July 26, 2016.   | DCM | <a href="#">16-080R</a>                              |
| 07/11/16 | NYMEX        | Regarding the delisting of six (6) natural gas and two (2) petroleum futures contracts, effective immediately.   | DCM | <a href="#">16-190</a><br><a href="#">APPENDIX B</a> |

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| 07/08/16 | NYMEX        | Regarding amendments to expand the CME Globex listing schedule for the Crude Oil Financial Calendar Spread Option - 1 Month contract on Sunday, July 24, 2016 for trade date Monday, July 25, 2016.   | DCM | <a href="#">16-253</a>  |
| 07/07/16 | NYMEX        | Regarding the initial listing of fourteen (14) ISO New England day-ahead electricity futures contracts for trading on CME Globex and the NYMEX trading floor, and for submission for clearing via CME ClearPort, effective on Sunday, July 24, 2016 for trade date Monday, July 25, 2016. | DCM | <a href="#">16-262 (1 of 14)</a><br><a href="#">16-262 (2 of 14)</a><br><a href="#">16-262 (3 of 14)</a><br><a href="#">16-262 (4 of 14)</a><br><a href="#">16-262 (5 of 14)</a><br><a href="#">16-262 (6 of 14)</a><br><a href="#">16-262 (7 of 14)</a><br><a href="#">16-262 (8 of 14)</a><br><a href="#">16-262 (9 of 14)</a><br><a href="#">16-262 (10 of 14)</a><br><a href="#">16-262 (11 of 14)</a><br><a href="#">16-262 (12 of 14)</a><br><a href="#">16-262 (13 of 14)</a><br><a href="#">16-262 (14 of 14)</a><br><a href="#">APPENDIX B</a> |
| 07/06/16 | CME CLEARING | Regarding notification of rule amendments made effective during the week of June 27, 2016.  | DCO | <a href="#">16-278</a>  |
| 07/05/16 | COMEX        | Regarding notification of amendments related to product terms and conditions made effective during the week of June 27, 2016.   | DCM | <a href="#">16-276</a><br><a href="#">APPENDIX B</a>  |
| 07/05/16 | CBOT         | Regarding notification of rule amendments made effective during the week of June 27, 2016.  | DCM | <a href="#">16-275</a>  |
| 06/30/16 | CME CLEARING | Regarding Amendments to the Cleared OTC U.S. Dollar/Russian Ruble (USD/RUB) Spot, Forwards and Swaps Contract effective Sunday, July 17, 2016 for trade date Monday, July 18, 2016.   | DCO | <a href="#">16-272</a>  |
| 06/30/16 | CME          | Regarding Amendments to the Final Settlement Rules for the Russian Ruble/U.S. Dollar (RUB/USD) Futures, effective on Sunday, July 17, 2016 for trade date Monday, July 18, 2016.  | DCM | <a href="#">16-261</a>  |
| 06/30/16 | CME CLEARING | Regarding Amendments to CME Rules 204A03, 204A04, and the Settlement Procedures Document of the USD Malaysian   | DCO | <a href="#">16-269</a>  |

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|          |              | Crude Palm Oil Calendar Swap (Cleared Only) Contract effective Sunday, July 17, 2016 for trade date Monday, July 18, 2016.  |     |   |
| 06/30/16 | CME          | Regarding modifications the CME Bloomberg Dollar Spot Index Futures Market Maker Program. The modifications to the Program will be effective on July 18, 2016.  | DCM | <a href="#">16-255</a>  |
| 06/30/16 | CME          | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCM | <a href="#">16-255S</a>   |
| 06/30/16 | CME CLEARING | Regarding Amendments to CME Rule 280H.02. ("Cash Settlement") of the Cleared OTC U.S. Dollar/Malaysian Ringgit (USD/MYR) Spot, Forwards and Swaps Contract effective Sunday, July 17, 2016 for trade date Monday, July 18, 2016.  | DCO | <a href="#">16-270</a>  |
| 06/30/16 | CME CLEARING | Regarding notification of rule amendments made effective during the week of June 20, 2016.  | DCO | <a href="#">16-271</a>  |
| 06/29/16 | COMEX        | Regarding notification of rule amendments made effective during the week of June 20, 2016.  | DCM | <a href="#">16-267</a>  |
| 06/29/16 | NYMEX        | Regarding notification of rule amendments made effective during the week of June 20, 2016.  | DCM | <a href="#">16-266</a>  |
| 06/29/16 | CBOT         | Regarding notification of rule amendments made effective during the week of June 20, 2016.  | DCM | <a href="#">16-265</a>  |
| 06/29/16 | CME          | Regarding notification of rule amendments made effective during the week of June 20, 2016.  | DCM | <a href="#">16-264</a>  |
| 06/28/16 | COMEX        | Regarding notification of amendments related to product terms and conditions made effective during the week of June 20, 2016.   | DCM | <a href="#">16-263</a><br><a href="#">EXHIBIT C</a>   |
| 06/24/16 | CME/CBOT     | Regarding notice of Emergency Action – Extension of Temporary Modifications to CME and CBOT Rule 589. ("Special Price Fluctuation Limits") for CME FX and CME and CBOT Interest Rate Futures Products in Connection with the June 23, 2016 "Brexit" Vote in the United Kingdom. | DCM | <a href="#">16-260 (1 of 2)</a><br><a href="#">16-260 (2 of 2)</a><br><a href="#">EXHIBIT B</a> |
| 06/23/16 | CME Clearing | Regarding notification of rule amendments made effective during the week of June 13, 2016.  | DCO | <a href="#">16-259</a>  |



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| 06/23/16 | CME      | Regarding the initial listing of the Chilean Peso/US Dollar (CLP/USD) Futures Contract, effective on Sunday, July 10, 2016 for trade date Monday, July 11, 2016.  | DCM | <a href="#">16-225R</a><br><a href="#">APPENDIX B</a><br><a href="#">APPENDIX D</a><br><a href="#">APPENDIX G</a>  |
| 06/23/16 | CME      | Regarding the initial listing of Four (4) Palm Oil Based Contracts for trading on CME Globex and for submission for clearing via CME ClearPort, effective on Sunday, July 10, 2016 for trade date Monday, July 11, 2016.  | DCM | <a href="#">16-169 (1 of 4)</a><br><a href="#">16-169 (2 of 4)</a><br><a href="#">16-169 (3 of 4)</a><br><a href="#">16-169 (4 of 4)</a><br><a href="#">APPENDIX B</a> |
| 06/22/16 | CME/CBOT | Regarding Notification of Emergency Action – Temporary Modifications to CME and CBOT Rule 589 (“Special Price Fluctuation Limits”) for CME FX and CME and CBOT Interest Rate Products in Connection with the June 23, 2016 “Brexit” Vote in the United Kingdom.                                 | DCM | <a href="#">16-258 (1 of 2)</a><br><a href="#">16-258 (2 of 2)</a><br><a href="#">EXHIBIT B</a>  |
| 06/21/16 | CME      | Regarding notification of amendments related to product terms and conditions made effective during the week of June 13, 2016.   | DCM | <a href="#">16-257</a>   |
| 06/21/16 | NYMEX    | Regarding notification of amendments related to product terms and conditions made effective during the week of June 13, 2016.   | DCM | <a href="#">16-256</a>   |
| 06/17/16 | NYMEX    | Regarding the Listing of Three (3) Petroleum Futures Contracts, effective Sunday, July 10, 2016 for first trade date Monday, July 11, 2016. Please note that Appendix B is attached as a separate document.   | DCM | <a href="#">16-227 (1 of 3)</a><br><a href="#">16-227 (2 of 3)</a><br><a href="#">16-227 (3 of 3)</a><br><a href="#">APPENDIX B</a>                                    |
| 06/17/16 | NYMEX    | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCM | <a href="#">16-227S (1 of 3)</a><br><a href="#">16-227S (2 of 3)</a><br><a href="#">16-227S (3 of 3)</a>   |
| 06/17/16 | CME      | Regarding amendments to CME Rules 25201.C. ("Price Increment") and 588.H. ("Globex Non-Reviewable Trading Ranges") for Canadian Dollar/U.S. Dollar (CAD/USD) Futures Contract, effective on Sunday, July 10, 2016.  | DCM | <a href="#">16-218</a>   |
| 06/17/16 | CME      | Regarding amendments to CME Rule 588.H. (“Globex Non-Reviewable Trading Ranges”) for E-Mini Euro/US Dollar, E-Mini Japanese Yen/US Dollar, E-Micro Canadian Dollar/US Dollar, E-Micro Euro/US Dollar, and E-Micro Japanese Yen/US Dollar Futures Contracts, effective on Sunday, July 10, 2016. | DCM | <a href="#">16-175</a>   |

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| 06/16/16 | NYMEX/COMEX  | Regarding an extension of the Globex Core Metals Volume Incentive Program. The extension of the Program will be effective on July 1, 2016.                            | DCM | <a href="#">16-226 (1 of 2)</a><br><a href="#">16-226 (2 of 2)</a> |
| 06/16/16 | NYMEX/COMEX  | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCM | <a href="#">16-226S</a>  |
| 06/16/16 | NYMEX        | Regarding modifications to the NYMEX Crude and Refined Calendar Spread Options Incentive Program. The modifications to the Program will be effective on July 1, 2016. | DCM | <a href="#">16-237</a>   |
| 06/16/16 | NYMEX        | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCM | <a href="#">16-237S</a>  |
| 06/16/16 | NYMEX        | Regarding modifications to the NYMEX Fee Schedule for Brent Last Day Financial Futures. The modifications to the fee schedule will be effective on July 1, 2016.      | DCM | <a href="#">16-252</a>   |
| 06/16/16 | NYMEX        | Regarding modifications to the NYMEX Fee Schedule for Natural Gas Basis Futures and Options. The modifications to the fee schedule will be effective on July 1, 2016. | DCM | <a href="#">16-251</a>   |
| 06/16/16 | COMEX        | Regarding modifications to the COMEX Options Volume Incentive Program. The modifications to the Program will be effective on July 1, 2016.                            | DCM | <a href="#">16-235</a>   |
| 06/16/16 | COMEX        | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCM | <a href="#">16-235S</a>  |
| 06/15/16 | CME CLEARING | Regarding notification of rule amendments made effective during the week of June 6, 2016.   | DCO | <a href="#">16-250</a>   |
| 06/15/16 | NYMEX/COMEX  | Regarding an Extension of the Globex Metals Spreads Incentive Program for Highly Passive Participants. The extension the Program will be effective on July 1, 2016.   | DCM | <a href="#">16-234 (1 of 2)</a><br><a href="#">16-234 (2 of 2)</a> |
| 06/15/16 | NYMEX/COMEX  | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCM | <a href="#">16-234S</a>  |
| 06/15/16 | NYMEX        | Regarding modifications to the NYMEX APAC Petroleum Products Incentive Program. The modifications to the Program will be effective on July 1, 2016.                   | DCM | <a href="#">16-245</a>   |
| 06/15/16 | NYMEX        | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCM | <a href="#">16-245S</a>  |

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| 06/15/16 | NYMEX | Regarding modifications to Energy Financial Natural Gas Volume Incentive Program. The modifications to the Program will be effective on July 1, 2016.  | DCM | <a href="#">16-223</a>  |
| 06/15/16 | NYMEX | SUPPLEMENTAL INFORMATION UNDER FOIA  | DCM | <a href="#">16-223S</a> |
| 06/15/16 | CME   | Regarding modifications to the E-mini S&P Select Sector Index Futures Market Maker Program. The modifications to the Program will be effective on July 1, 2016.  | DCM | <a href="#">16-224</a>  |
| 06/15/16 | CME   | SUPPLEMENTAL INFORMATION UNDER FOIA  | DCM | <a href="#">16-224S</a> |
| 06/15/16 | CME   | Regarding modifications to the fee schedule that applies to over-the-counter (“OTC”) Credit Default Swaps (“CDS”) cleared at CME. The modifications to the Fee Schedule will be effective on July 1, 2016. | DCO | <a href="#">16-212</a>  |
| 06/15/16 | NYMEX | Regarding modifications to the NYMEX OTC Broker Revenue Share Incentive Program. The modifications to the Program will be effective on July 1, 2016.   | DCM | <a href="#">16-217</a>  |
| 06/15/16 | NYMEX | SUPPLEMENTAL INFORMATION UNDER FOIA  | DCM | <a href="#">16-217S</a> |
| 06/15/16 | NYMEX | Regarding modifications to the NYMEX OTC Broker Rebate Incentive Program. The modifications to the Program will be effective on July 1, 2016.  | DCM | <a href="#">16-216</a>  |
| 06/15/16 | NYMEX | Regarding modifications to the Commercial Hedging Incentive Program. The modifications to the Program will be effective on July 1, 2016.   | DCM | <a href="#">16-239</a>  |
| 06/15/16 | NYMEX | SUPPLEMENTAL INFORMATION UNDER FOIA  | DCM | <a href="#">16-239S</a> |
| 06/15/16 | NYMEX | Regarding an extension of the Iron Ore Futures and Options Fee Waiver Program. The extension of the Program will be effective on July 1, 2016.   | DCM | <a href="#">16-219</a>  |
| 06/15/16 | NYMEX | Regarding implementation of the NYMEX Crude and Refined Options Portfolio Program. The proposed Program will be effective on July 1, 2016.   | DCM | <a href="#">16-247</a>  |

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| 06/15/16 | NYMEX        | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCM | <a href="#">16-247S</a> |
| 06/15/16 | NYMEX        | Regarding implementation of the NYMEX Natural Gas Options Portfolio Program. The proposed Program will be effective on July 1, 2016.                                      | DCM | <a href="#">16-246</a>  |
| 06/15/16 | NYMEX        | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCM | <a href="#">16-246S</a> |
| 06/15/16 | NYMEX        | Regarding an extension of the NYMEX European Coal and Dry Freight Incentive Program. The extension of the Program will be effective on July 1, 2016.                      | DCM | <a href="#">16-244</a>  |
| 06/15/16 | NYMEX        | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCM | <a href="#">16-244S</a> |
| 06/15/16 | NYMEX        | Regarding modifications to the Natural Gas Options Market Maker Program. The modifications to the Program will be effective on July 1, 2016.                              | DCM | <a href="#">16-242</a>  |
| 06/15/16 | NYMEX        | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCM | <a href="#">16-242S</a> |
| 06/15/16 | NYMEX        | Regarding modifications to the Natural Gas Options Liquidity Provider Program. The modifications to the Program will be effective on July 1, 2016.                        | DCM | <a href="#">16-243</a>  |
| 06/15/16 | NYMEX        | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCM | <a href="#">16-243S</a> |
| 06/14/16 | CBOT         | Regarding modifications to the USD Deliverable Swap Futures Volume Incentive Program ("USD DSF"). The modifications to the Program will become effective on July 1, 2016. | DCM | <a href="#">16-209</a>  |
| 06/14/16 | CBOT         | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCM | <a href="#">16-209S</a> |
| 06/14/16 | CME CLEARING | Regarding an extension of the CME OTC FX Fee Waiver Program. The extension of the Program will become effective on July 1, 2016.  | DCO | <a href="#">16-236</a>  |
| 06/14/16 | CME          | Regarding modifications to the Weather Futures Market Maker Program. The modifications to the Program will become effective on July 1, 2016.                              | DCM | <a href="#">16-233</a>  |

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| 06/14/16 | NYMEX    | Regarding modifications to the NYMEX Crude and Refined Futures Market Maker Program. The modifications to the Program will be effective on July 1, 2016.   | DCM | <a href="#">16-215</a>   |
| 06/14/16 | NYMEX    | SUPPLEMENTAL INFORMATION UNDER FOIA  | DCM | <a href="#">16-215S</a>  |
| 06/14/16 | NYMEX    | Regarding modifications to the Energy Options RFQ Incentive Program. The modifications to the Program will be effective on July 1, 2016.                   | DCM | <a href="#">16-241</a>   |
| 06/14/16 | NYMEX    | SUPPLEMENTAL INFORMATION UNDER FOIA  | DCM | <a href="#">16-241S</a>  |
| 06/14/16 | NYMEX    | Regarding modifications to the NYMEX Financial Natural Gas Market Development Program. The modifications to the Program will be effective on July 1, 2016. | DCM | <a href="#">16-238</a>   |
| 06/14/16 | NYMEX    | SUPPLEMENTAL INFORMATION UNDER FOIA  | DCM | <a href="#">16-238S</a>  |
| 06/13/16 | NYMEX    | Regarding modifications to the Crude and Refined Options Market Maker Program. The modifications to the Program will be effective on July 1, 2016.         | DCM | <a href="#">16-222</a>   |
| 06/13/16 | NYMEX    | SUPPLEMENTAL INFORMATION UNDER FOIA  | DCM | <a href="#">16-222S</a>  |
| 06/13/16 | NYMEX    | Regarding implementation of the NYMEX Natural Gas Basis Volume Incentive Program. The proposed Program will be effective on July 1, 2016.                  | DCM | <a href="#">16-232</a>   |
| 06/13/16 | NYMEX    | SUPPLEMENTAL INFORMATION UNDER FOIA  | DCM | <a href="#">16-232S</a>  |
| 06/13/16 | NYMEX    | Regarding modifications to Bi-Venue Energy Tiers Incentive Program. The modifications to the Program will be effective on July 1, 2016.                    | DCM | <a href="#">16-220</a>   |
| 06/13/16 | NYMEX    | SUPPLEMENTAL INFORMATION UNDER FOIA  | DCM | <a href="#">16-220S</a>  |
| 06/13/16 | CME/CBOT | Regarding an Extension of the CME/CBOT Equity Index Options Market Maker Program. The extension of the Program will become effective on July 1, 2016.      | DCM | <a href="#">16-214 (1 of 2)</a><br><a href="#">16-214 (2 of 2)</a> |

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| 06/10/16 | CBOT         | Regarding notification of rule amendments made effective during the week of May 30, 2016.   | DCM | <a href="#">16-230</a>  |
| 06/10/16 | CME          | Regarding notification of rule amendments made effective during the week of May 30, 2016.   | DCM | <a href="#">16-229</a>  |
| 06/10/16 | CBOT         | Regarding notification of amendments related to product terms and conditions made effective during the week of May 30, 2016.                                      | DCM | <a href="#">16-231</a>  |
| 06/10/16 | CME CLEARING | Regarding notification of rule amendments made effective during the week of May 30, 2016.   | DCO | <a href="#">16-228</a>  |
| 06/06/16 | NYMEX        | Regarding modifications to the NYMEX Brent Liquidity Provider Program. The modifications to the Program will be effective on July 1, 2016.                        | DCM | <a href="#">16-204</a>  |
| 06/06/16 | NYMEX        | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCM | <a href="#">16-204S</a> |
| 06/03/16 | NYMEX        | Regarding implementation of the NYMEX Brent Globex Fee Discount Incentive Program. The proposed Program will be effective on July 1, 2016.                        | DCM | <a href="#">16-207</a>  |
| 06/03/16 | NYMEX        | Regarding modifications to the NYMEX Commercial Brent Spread Incentive Program. The modifications to the Program will be effective on July 1, 2016.               | DCM | <a href="#">16-206</a>  |
| 06/03/16 | NYMEX        | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCM | <a href="#">16-206S</a> |
| 06/03/16 | NYMEX        | Regarding modifications to the Brent Futures and Inter-Commodity Spread Market Maker Program. The modifications to the Program will be effective on July 1, 2016. | DCM | <a href="#">16-205</a>  |
| 06/03/16 | NYMEX        | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCM | <a href="#">16-205S</a> |
| 06/03/16 | NYMEX        | Regarding modifications to the NYMEX Brent Liquidity Provider Program. The modifications to the Program will be effective on July 1, 2016.                        | DCM | <a href="#">16-204</a>  |
| 06/03/16 | NYMEX        | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCM | <a href="#">16-204S</a> |

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| 06/03/16 | NYMEX        | Regarding modifications to the Core Globex Energy Tiers Incentive Program. The modifications to the Program will be effective on July 1, 2016.   | DCM | <a href="#">16-208</a>            |
| 06/03/16 | NYMEX        | SUPPLEMENTAL INFORMATION UNDER FOIA  | DCM | <a href="#">16-208S</a>           |
| 06/03/16 | NYMEX        | Regarding notification of amendments related to product terms and conditions made effective during the week of May 23, 2016.   | DCM | <a href="#">16-211 EXHIBIT A</a>  |
| 06/03/16 | CME CLEARING | Regarding notification of rule amendments made effective during the week of May 23, 2016.  | DCO | <a href="#">16-210</a>            |
| 05/27/16 | CME CLEARING | Regarding notification of rule amendments made effective during the week of May 16, 2016.  | DCO | <a href="#">16-203</a>            |
| 05/26/16 | NYMEX        | Regarding amendments to the NYMEX Rulebook Chapter 5 (“Trading Qualifications and Practices”) Position Limit, Position Accountability, and Reportable Level Table in order to: (1) Reduce the reporting levels for twenty-two (22) financially-settled Natural Gas Basis Futures and Option contracts, and (2) Reduce the single-month and all-month accountability levels of the physically-delivered Palladium and Platinum Futures contracts, effective on Monday, June 13, 2016. | DCM | <a href="#">16-195 APPENDIX A</a> |
| 05/26/16 | COMEX        | Regarding amendments to the COMEX Rulebook Chapter 5 (“Trading Qualifications and Practices”) Position Limit, Position Accountability, and Reportable Level Table in order to amend reporting levels for physically delivered Gold and Silver Futures contracts, effective on Monday, June 13, 2016.   | DCM | <a href="#">16-196 APPENDIX A</a> |
| 05/26/16 | CME          | Regarding amendments to the settlement procedures for fifteen (15) CME Equity futures contracts, effective on Sunday, June 19, 2016 for trade date Monday, June 20, 2016.  | DCM | <a href="#">16-186</a>            |
| 5/26/16  | CME          | Regarding amendments to Rule 588.H. (“Globex Non-Reviewable Trading Ranges”) for the Nikkei Stock Average Futures, Yen Denominated Nikkei Stock Average Futures, and E-mini Yen Denominated Nikkei Stock Average Index Futures contracts, effective on Sunday, June 12, 2016 for trade date Monday, June 13, 2016.   | DCM | <a href="#">16-177</a>            |

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| 5/26/16  | COMEX                 | Regarding amendments to Rule 588.H. ("Globex Non-Reviewable Trading Ranges") for the Lead Futures contract, effective on Sunday, June 12, 2016 for trade date Monday, June 13, 2016.  | DCM | <a href="#">16-176</a>  |
| 5/25/16  | COMEX                 | Regarding notification of amendments related to product terms and conditions made effective during the week of May 16, 2016. Please note that Exhibit B is attached as a separate document.   | DCM | <a href="#">16-202 EXHIBIT B</a>  |
| 05/19/16 | CME                   | Regarding notification of amendments related to product terms and conditions made effective during the week of May 9, 2016.   | DCM | <a href="#">16-200 EXHIBIT C</a>  |
| 05/19/16 | CME                   | Regarding notification of rule amendments made effective during the week of May 9, 2016.  | DCM | <a href="#">16-201</a>  |
| 05/19/16 | COMEX                 | Regarding notification of amendments related to product terms and conditions made effective during the week of May 9, 2016.   | DCM | <a href="#">16-198 EXHIBIT C</a>  |
| 05/19/16 | CME                   | Regarding a reduction of the block trade minimum threshold level for the E-mini Nifty 50 Index Futures and USD Denominated Ibovespa Futures contracts, effective on Sunday, June 5, 2016 for trade date Monday, June 6, 2016.   | DCM | <a href="#">16-157</a>  |
| 05/18/16 | COMEX                 | Regarding the initial listing of the Aluminum A380 Alloy (S&P Global Platts) Futures contract for trading on CME Globex and for submission for clearing through CME ClearPort, effective on Sunday, June 5, 2016 for trade date Monday, June 6, 2016.   | DCM | <a href="#">16-174 APPENDIX A</a>   |
| 05/18/16 | COMEX                 | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCM | <a href="#">16-174S</a>   |
| 05/18/16 | CME CLEARING          | Regarding notification of rule amendments made effective during the week of May 9, 2016.  | DCO | <a href="#">16-197</a>  |
| 05/18/16 | CME/CBOT/NYMEX /COMEX | Regarding the addition of the Committed Cross protocol ("C-Cross") to CME Interest Rate and FX futures and CBOT Interest Rate futures and swaps that result from permissible pre-execution communications pursuant to CME and CBOT Rule 539.C. ("Pre-Execution Communications Regarding Globex Trades"), and the issuance of CME Group Market Regulation Advisory Notice RA1607-5. These amendments | DCM | <a href="#">16-142 (1)</a><br><a href="#">16-142 (2)</a><br><a href="#">16-142 (3)</a><br><a href="#">16-142 (4)</a><br><a href="#">EXHIBIT A</a> |



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|          |       | will be effective on Sunday, June 5, 2016 for trade date Monday, June 6, 2016.  |     |  |
| 05/18/16 | CBOT  | Regarding amendments to CBOT Rulebook Chapter 58 that (a) establish minimum price increments applicable to trading in intracommodity and intercommodity spreads of CBOT Treasury Invoice Swap contracts and (b) clarify declaration of contract terms and conditions with the addition of definitional terms to formalize the concepts of Treasury Delivery Invoice Yield and Swap Spread, effective on Sunday, June 5, 2016 for first trade date Monday, June 6, 2016. | DCM | <a href="#">16-165</a>   |
| 05/18/16 | CBOT  | Regarding the initial listing of Ultra Ten-Year Treasury Invoice Swap contracts for trading on the CME Globex electronic trading platform and for submission for clearing via CME ClearPort, effective on Sunday, June 5, 2016 for first trade date of Monday, June 6, 2016.  | DCM | <a href="#">16-163(1)</a><br><a href="#">16-163(2)</a><br><a href="#">16-163(3)</a><br><a href="#">16-163(4)</a><br><a href="#">16-163(5)</a><br><a href="#">16-163(6)</a><br><a href="#">APPENDIX B</a> |
| 05/17/16 | NYMEX | Regarding notification of amendments related to product terms and conditions made effective during the week of May 9, 2016.   | DCM | <a href="#">16-193</a>   |
| 05/17/16 | NYMEX | Regarding the initial listing of the Gulf Coast ULSD (S&P Global Platts) Average Price Option contract for trading on CME Globex and the NYMEX trading floor, and for submission for clearing via CME ClearPort, effective on Sunday, June 5, 2016 for trade date Monday, June 6, 2016.   | DCM | <a href="#">16-171</a><br><a href="#">APPENDIX B</a>   |
| 05/17/16 | NYMEX | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCM | <a href="#">16-171S</a>  |
| 05/16/16 | NYMEX | Regarding modifications to the Non-Core Crude and Refined Liquidity Provider Program. The modifications to the Program will be effective on June 1, 2016.   | DCM | <a href="#">16-192R</a>  |
| 05/16/16 | NYMEX | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCM | <a href="#">16-192SR</a>   |
| 05/16/16 | NYMEX | Regarding modifications to the Non-Core Crude and Refined Resting Volume Incentive Program. The modifications to the Program will be effective on June 1, 2016.   | DCM | <a href="#">16-191</a>   |
| 05/16/16 | NYMEX | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCM | <a href="#">16-191S</a>  |

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| 05/16/16 | NYMEX | Regarding modifications to the Natural Gas Financial Products Market Maker Program. The modifications to the Program will be effective on June 1, 2016.  | DCM | <a href="#">16-183R</a>                              |
| 05/16/16 | NYMEX | SUPPLEMENTAL INFORMATION UNDER FOIA  | DCM | <a href="#">16-183SR</a>                             |
| 05/16/16 | NYMEX | Regarding modifications to the Natural Gas Financial Futures Spread Program. The modifications to the Program will be effective on June 1, 2016.   | DCM | <a href="#">16-180R</a>                              |
| 05/16/16 | NYMEX | SUPPLEMENTAL INFORMATION UNDER FOIA  | DCM | <a href="#">16-180SR</a>                             |
| 05/16/16 | NYMEX | Regarding modifications to the NYMEX Deferred Natural Gas Market Maker Program. The modifications to the Program will be effective on June 1, 2016.  | DCM | <a href="#">16-181</a>                               |
| 05/16/16 | NYMEX | SUPPLEMENTAL INFORMATION UNDER FOIA  | DCM | <a href="#">16-181S</a>                              |
| 05/16/16 | CME   | Regarding implementation of the OTC Platform Distribution Incentive Plan. The Program will be effective on June 1, 2016.   | DCM | <a href="#">16-185</a>                               |
| 05/16/16 | CME   | SUPPLEMENTAL INFORMATION UNDER FOIA  | DCM | <a href="#">16-185S</a>                              |
| 05/16/16 | NYMEX | Regarding the expansion of the listing schedule for the Mont Belvieu Spot Ethylene In-Well (PCW) Futures and Mont Belvieu Ethylene (PCW) Financial Futures contracts available for trading on CME Globex and for submission for clearing through CME ClearPort, effective on Sunday, June 5, 2016 for trade date Monday, June 6, 2016. | DCM | <a href="#">16-188</a>                               |
| 05/16/16 | CME   | Regarding the delisting of the September 2016 contract month for the E-Micro US Dollar/Japanese Yen (USD/JPY) and E-Micro US Dollar/Swiss Franc (USD/CHF) Futures contracts, effective immediately.  | DCM | <a href="#">16-173</a>                               |
| 05/16/16 | CME   | Regarding the delisting of the US Dollar/Chinese Renminbi (USD/RMB or CNY) Futures with US Dollar Banking, the E-Micro US Dollar/Canadian Dollar (USD/CAD) Futures, and the E-Micro US Dollar/Chinese Renminbi (USD/RMB or CNY) Futures with US Dollar Banking, effective immediately.   | DCM | <a href="#">16-172</a><br><a href="#">APPENDIX B</a> |

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| 05/16/16  | NYMEX        | Regarding modifications to the Natural Gas Basis Futures Market Maker Program. The modifications to the Program will be effective on June 1, 2016.  | DCM | <a href="#">16-182R</a>                              |
| 05/16/16  | NYMEX        | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCM | <a href="#">16-182SR</a>                             |
| 05/16/16  | CME          | Regarding implementation of the E-mini Futures Volume Incentive Program. The Program will be effective on June 1, 2016.   | DCM | <a href="#">16-184</a>                               |
| 05/16/16  | CME          | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCM | <a href="#">16-184S</a>                              |
| 5/12/2016 | CBOT         | Regarding notification of amendments related to product terms and conditions made effective during the week of May 2, 2016.   | DCM | <a href="#">16-179</a>                               |
| 5/12/2016 | CME Clearing | Regarding notification of rule amendments made effective during the week of May 2, 2016.  | DCO | <a href="#">16-178</a>                               |
| 05/9/2016 | CME          | Regarding the delisting of the Washington/Reagan International CME US Seasonal HDD Futures contract, effective immediately.   | DCM | <a href="#">16-166</a><br><a href="#">APPENDIX A</a> |
| 5/3/2016  | CME          | Regarding amendments to CME Rule 204A04. ("Daily Settlement Price") and an expansion of the listing schedule of the Malaysian Palm Oil Calendar Swap (Cleared Only) contract, effective Tuesday, May 17, 2016 for trade date Wednesday, May 18, 2016. | DCO | <a href="#">16-153R</a>                              |
| 5/3/2016  | CME          | Regarding amendments to terms and conditions of options on E-mini NASDAQ 100 Index® futures, effective on Sunday, May 22, 2016 for trade date Monday, May 23, 2016. Please note that Appendix C is attached as a separate document.                   | DCM | <a href="#">16-127</a><br><a href="#">Appendix C</a> |
| 5/3/2016  | CBOT         | Regarding amendments to Options on CBOT® E-mini Dow Jones Industrial Average Index (\$5 Multiplier) futures, effective on Sunday, May 22, 2016 for trade date Monday, May 23, 2016. Please note that Appendix C is attached as a separate document.   | DCM | <a href="#">16-128</a><br><a href="#">Appendix C</a> |
| 5/3/2016  | NYMEX        | Please find attached the clearing house division of Chicago Mercantile Exchange Inc.'s ("CME Clearing") Submission No. 16-168 regarding notification of rule amendments made  | DCM | <a href="#">16-161</a><br><a href="#">Appendix B</a> |

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|          |                      | effective during the week of April 25, 2016.  |     |   |
| 5/3/2016 | CME Clearing         | Please find attached the clearing house division of Chicago Mercantile Exchange Inc.'s ("CME Clearing") Submission No. 16-168 regarding notification of rule amendments made effective during the week of April 25, 2016.   | DCO | <a href="#">16-168</a>  |
| 5/3/2016 | CME                  | Regarding the availability of the S&P GSCI™ Commodity Index Futures contract for submission for clearing via CME ClearPort, effective on Sunday, May 22, 2016 for trade date Monday, May 23, 2016.  | DCM | <a href="#">16-156</a>  |
| 5/3/2016 | CME                  | Regarding amendments to position limits applicable to Standard and Poor's 500 Stock Price Index™ futures and underlying futures and options, S&P 500 Growth Index futures, S&P 500 Value Index futures, and E-mini Standard and Poor's Select Sector™ Stock Index futures, effective Sunday, May 22, 2016 for trade date Monday, May 23, 2016. Please note that Appendix A is attached as a separate document.  | DCM | <a href="#">16-148</a><br><a href="#">Appendix A</a>  |
| 5/3/2016 | CME                  | Regarding notification of amendments related to product terms and conditions made effective during the week of April 25, 2016. Please note that Exhibit D is attached as a separate document.   | DCM | <a href="#">16-167</a><br><a href="#">Exhibit D</a>   |
| 5/2/2016 | CME/CBOT/NYMEX/COMEX | Regarding the adoption of new CME/CBOT/NYMEX/COMEX Rules 826, 828, 829, 8G829 and 8H829 and amendments to existing CME Rules 8G07 and 8H07 (collectively, the "Rule Amendments") which are required to effect the conditions outlined in the European Commission's implementing decision of equivalence in accordance with Article 25(6) of the European Markets Infrastructure Regulation (EU) No 648/2012 and dated February 24, 2016. The Rule Amendments will become effective on May 25, 2016. |     | <a href="#">16-083 (1 of 5)</a><br><a href="#">16-083 (2 of 5)</a><br><a href="#">16-083 (3 of 5)</a><br><a href="#">16-083 (4 of 5)</a><br><a href="#">16-083 (5 of 5)</a> |
| 4/29/16  | CBOT                 | Regarding amendments to certain agricultural rulebook chapters, effective Monday, May 16, 2016.   | DCM | <a href="#">16-162</a>  |
| 4/28/16  | CME Clearing         | Regarding notification of rule amendments made effective during the week of April 18, 2016.   | DCO | <a href="#">16-164</a>  |

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| 04/22/16 | CME/CBOT/NYMEX /COMEX | Regarding amendments to Rule 561. ("Reports of Large Positions"), effective on Thursday, September 29, 2016. Additionally, the Exchanges are self-certifying Market Regulation Advisory Notice RA1606-5 which provides additional guidance on the amendments and supersedes RA1502-5RRR dated September 29, 2015. | DCM | <a href="#">16-160 (1 of 4)</a><br><a href="#">16-160 (2 of 4)</a><br><a href="#">16-160 (3 of 4)</a><br><a href="#">16-160 (4 of 4)</a> |
| 04/21/16 | COMEX                 | Regarding notification of amendments related to product terms and conditions made effective during the week of April 11, 2016.  | DCM | <a href="#">16-155R EXHIBIT B</a>  |
| 04/21/16 | CBOT                  | Regarding notification of amendments related to product terms and conditions made effective during the week of April 11, 2016.  | DCM | <a href="#">16-154</a>   |
| 04/21/16 | CME                   | Regarding amendments to CME By-law Section 8.7 ("Transparency of Governance Arrangements") effective on May 6, 2016.  | DCM | <a href="#">16-159 Appendix A</a>  |
| 04/20/16 | CME CLEARING          | Regarding notification of rule amendments made effective during the week of April 11, 2016.   | DCO | <a href="#">16-158</a>   |
| 04/15/16 | CBOT                  | Regarding modifications to the CBOT Fee Schedule for E-mini Dow (\$5) Futures Basis Trade at Index Close ("BTIC"), effective on May 1, 2016.  | DCM | <a href="#">16-147</a>   |
| 04/14/16 | COMEX                 | Regarding implementation of the COMEX Options Volume Incentive Program. The proposed Program will become effective on May 1, 2016.  | DCM | <a href="#">16-145</a>   |
| 04/14/16 | COMEX                 | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCM | <a href="#">16-145S</a>  |
| 04/14/16 | NYMEX                 | Regarding amendments to four (4) futures and option contracts related to the IHS McCloskey Indonesian Sub-Bituminous FOB Marker, effective on Sunday, May 1, 2016 for trade date Monday, May 2, 2016.   | DCM | <a href="#">16-144</a>   |
| 04/14/16 | NYMEX                 | Regarding modifications to the Energy Options Market Maker Program. The modifications to the Program will be effective on May 1, 2016.  | DCM | <a href="#">16-146</a>   |
| 04/14/6  | NYMEX                 | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCM | <a href="#">16-146S</a>  |

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| 04/14/16 | CME/CBOT/NYMEX /COMEX | Regarding amendments to Rule 536.C. ("Bunched Orders and Orders Eligible for Post Execution Allocation") and the issuance of CME Group Market Regulation Advisory Notice RA1605-5, effective on Tuesday, September 6, 2016. | DCM | <a href="#">16-059 (1 of 4)</a><br><a href="#">16-059 (2 of 4)</a><br><a href="#">16-059 (3 of 4)</a><br><a href="#">16-059 (4 of 4)</a>                              |
| 04/14/16 | COMEX                 | Regarding notification of amendments related to product terms and conditions made effective during the week of April 4, 2016.   | DCM | <a href="#">16-152 Exhibit C</a>  |
| 04/14/16 | CME                   | Regarding temporary Delay in Listing of October 2017 Contract Month and Subsequent Contract Months of the Live Cattle Futures & Options Contracts   | DCM | <a href="#">16-141</a>  |
| 04/14/16 | CME CLEARING          | Regarding notification of rule amendments made effective during the week of April 4, 2016.  | DCO | <a href="#">16-149</a>  |
| 04/14/16 | CBOT                  | Regarding notification of amendments related to product terms and conditions made effective during the week of April 4, 2016.   | DCM | <a href="#">16-150</a>  |
| 04/13/16 | NYMEX                 | Regarding notification of amendments related to product terms and conditions made effective during the week of April 4, 2016.   | DCM | <a href="#">16-151</a>  |
| 04/11/16 | CME/CBOT/NYMEX /COMEX | Regarding amendments to Rule 579. ("Global Command Center") and Rule 589. ("Special Price Fluctuation Limits"), effective on trade date Tuesday, April 26, 2016.  | DCM | <a href="#">16-140 (1 of 4)</a><br><a href="#">16-140 (2 of 4)</a><br><a href="#">16-140 (3 of 4)</a><br><a href="#">16-140 (4 of 4)</a>                              |
| 04/11/16 | CME/CBOT/NYMEX /COMEX | Regarding amendments to Rule 588. ("Trade Cancellations and Price Adjustments"), effective on trade date Tuesday, April 26, 2016.   | DCM | <a href="#">16-133 (1 of 4)</a><br><a href="#">16-133 (2 of 4)</a><br><a href="#">16-133 (3 of 4)</a><br><a href="#">16-133 (4 of 4)</a><br><a href="#">EXHIBIT B</a> |
| 04/11/16 | CBOT                  | Regarding amendments to the Black Sea Wheat Futures contract, effective on Tuesday, April 26, 2016 for trade date Wednesday, April 27, 2016.  | DCM | <a href="#">16-143</a>  |
| 4/08/16  | NYMEX                 | Regarding amendments to the Brent Futures-Style Margin Option Contract, effective Sunday April 24, 2016 for trade date Monday, April 25, 2016.  | DMO | <a href="#">16-130</a>  |
| 4/06/16  | CME                   | Regarding the acceptance of Swaptions for Clearing, beginning April 11, 2016.   | DCO | <a href="#">16-132</a>  |

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| 4/06/16  | CME          | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCO | <a href="#">16-132S</a>                              |
| 04/04/16 | CBOT         | Regarding notification of amendments related to product terms and conditions made effective during the week of March 28, 2016.  | DCM | <a href="#">16-137</a>                               |
| 04/04/16 | CME          | Regarding notification of amendments related to product terms and conditions made effective during the week of March 28, 2016.  | DCM | <a href="#">16-136</a>                               |
| 04/04/16 | NYMEX        | Regarding notification of amendments related to product terms and conditions made effective during the week of March 28, 2016.  | DCM | <a href="#">16-138</a>                               |
| 04/04/16 | CME CLEARING | Regarding notification of rule amendments made effective during the week of March 28, 2016.   | DCO | <a href="#">16-139</a>                               |
| 04/01/16 | CME          | Regarding amendments to CME Rule 204A04 (“Daily Settlement Price”) of the USD Malaysian Crude Palm Oil Calendar Swap (Cleared Only) contract, effective on Monday, April 25, 2016 for trade date Tuesday, April 26, 2016 commencing with the July 2017 contract month and beyond. | DCO | <a href="#">16-006</a>                               |
| 04/01/16 | CME CLEARING | Regarding notification of rule amendments made effective during the week of March 21, 2016.   | DCO | <a href="#">16-135</a>                               |
| 03/31/16 | NYMEX        | Regarding notification of amendments related to product terms and conditions made effective during the week of March 21, 2016.  | DCM | <a href="#">16-134</a>                               |
| 3/30/16  | CME          | Regarding amendments to CME Rules 8G930.C. (“Acceptable Performance Bond Deposits for IRS Products”) and 8H930.C. (“Acceptable Performance Bond Deposits for CDS Products”), effective on Thursday, April 14, 2016.   | DCO | <a href="#">16-123</a>                               |
| 03/28/16 | CME          | Regarding the delisting of the Dow Jones CME FX\$Index futures contract, effective immediately.   | DCM | <a href="#">16-094</a><br><a href="#">APPENDIX B</a> |
| 03/24/16 | CME          | Regarding amendments to CME Rule 900 (“Interest Rate Swaps”) and the adoption of new CME Chapter 902 (“Interest Rate Swaptions Contract Terms”) in connection with the acceptance of physically-settled European-style interest rate swaptions for clearing, effective on Monday, | DCO | <a href="#">16-131</a>                               |

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|          |                       | April 11, 2016.  |     |  |
| 03/24/16 | COMEX                 | Regarding amendments to Rule 588.H. (“Globex Non-Reviewable Trading Ranges”) for the Aluminum Futures contract, effective on Sunday, April 10, 2016 for trade date Monday, April 11, 2016.   | DCM | <a href="#">16-112</a>   |
| 03/24/16 | NYMEX                 | Regarding amendments to NYMEX Rule 588.H. (“Globex Non-Reviewable Trading Ranges”) for Palladium Futures as well as amendments to NYMEX Rule 589. (“Special Price Fluctuation Limits”) for the Henry Hub Natural Gas Futures and Palladium Futures, effective on Sunday, April 10, 2016 for trade date Monday, April 11, 2016.   | DCM | <a href="#">16-111R (1 of 2)</a><br><a href="#">16-111R (2 of 2)</a>   |
| 03/24/16 | CME                   | Regarding amendments to Rule 588.H. (“Globex Non-Reviewable Trading Ranges”) for Options on Class III Milk Futures, Midsize Options on Class III Milk Futures and Options on Class IV Milk Futures, E-mini FTSE China 50 Index Futures, and Onshore and Offshore Chinese Renminbi Futures as well as amendments to Rule 589. (“Special Price Fluctuation Limits”) for the Onshore and Offshore Chinese Renminbi Futures, effective Sunday, April 10, 2016 for trade date Monday, April 11, 2016. | DCM | <a href="#">16-110 (1of 2)</a><br><a href="#">16-110 (2 of 2)</a><br><a href="#">APPENDIX A</a><br><a href="#">APPENDIX B</a>                |
| 03/24/16 | CME/CBOT/NYMEX /COMEX | Regarding amendments to the reporting time for foreign exchange futures and options on futures block trades during European Trading Hours (00:00- 07:00 CT) and Asian Trading Hours (17:00- 00:00 CT) and the Exchanges’ issuance of Market Regulation Advisory Notice RA1604-5, effective Monday, April 11, 2016.   | DCM | <a href="#">16-102 (1 of 4)</a><br><a href="#">16-102 (2of 4)</a><br><a href="#">16-102 (3 of 4)</a><br><a href="#">16-102 (4 of 4)</a>      |
| 03/24/16 | CME CLEARING          | Regarding notification of rule amendments made effective during the week of March 14, 2016.  | DCO | <a href="#">16-129</a>   |
| 03/18/16 | CME/NYMEX/COMEX /COBT | Regarding amendments to Rule 559.E. (“Limited Exceptions to Aggregation for Independently Controlled Accounts”) and the issuance of Market Regulation Advisory Notice RA1603-5 (“RA1603-5”), effective Monday, April 4, 2016.  | DCM | <a href="#">16-121R (1 OF 4)</a><br><a href="#">16-121R (2 OF 4)</a><br><a href="#">16-121R (3 OF 4)</a><br><a href="#">16-121R (4 OF 4)</a> |



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| 03/18/16 | CME          | Regarding amendments to the Midsize Options on Class III Futures, Options on Cash-Settled Butter Futures, and Options on Cheese Futures contracts, effective on Sunday, April 3, 2016 for trade date Monday, April 4, 2016.   | DCM | <a href="#">16-093</a>                                |
| 03/18/16 | CME          | Regarding amendments to CME Rule 8G27 (IRS Risk Committee), effective on Monday, April 4, 2016.   | DCM | <a href="#">16-126</a>                                |
| 03/18/16 | CME          | Regarding the initial listing of the CME Bloomberg Dollar Spot Index Futures contract for trading on CME Globex and for submission for clearing on CME ClearPort, effective Sunday, April 3, 2016 for trade date Monday, April 4, 2016.                                   | DCM | <a href="#">16-025R</a><br><a href="#">APPENDIX C</a> |
| 03/18/16 | CBOT         | Regarding notification of amendments related to product terms and conditions made effective during the week of March 7, 2016.   | DCM | <a href="#">16-125</a>                                |
| 03/17/16 | CME CLEARING | Regarding notification of rule amendments made effective during the week of March 7, 2016.  | DCO | <a href="#">16-124</a>                                |
| 03/17/16 | NYMEX        | Regarding implementation of the CME Group Energy Portfolio Program. The proposed Program will be effective on April 1, 2016.  | DCM | <a href="#">16-122</a>                                |
| 03/17/16 | NYMEX        | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCM | <a href="#">16-122S</a>                               |
| 03/17/16 | NYMEX        | Regarding implementation of the NYMEX Deferred Natural Gas Market Maker Program. The proposed Program will be effective on April 1, 2016.   | DCM | <a href="#">16-119R</a>                               |
| 03/17/16 | NYMEX        | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCM | <a href="#">16-119SR</a>                              |
| 03/17/16 | CME          | Regarding implementation of the CME Bloomberg Dollar Spot Index Futures Market Maker Program. The Program will be effective on April 4, 2016.   | DCM | <a href="#">16-117</a>                                |
| 03/17/16 | CME          | SUPPLEMENTAL INFORMATION UNDER FOIA   | DCM | <a href="#">16-117S</a>                               |
| 03/17/16 | CME          | Regarding the elimination of CME Rule 194 (“New Product Access Program”) and amendments to CME Rules 120 (“Membership Categories”), 135 (“GEM Division”), and the Interpretations & Special Notices Relating to Chapter 1 (collectively, the “Rule Amendments”). The Rule | DCM | <a href="#">16-120</a>                                |

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|          |                      | Amendments will be effective on Friday, April 1, 2016.   |     |  |
| 03/17/16 | NYMEX                | Regarding modifications to the NYMEX Iron Ore Market Maker Program. The modifications to the Program will be effective on April 1, 2016.   | DCM | <a href="#">16-113</a>   |
| 03/17/16 | NYMEX                | SUPPLEMENTAL INFORMATION UNDER FOIA  | DCM | <a href="#">16-113S</a>  |
| 03/17/16 | NYMEX                | Regarding the delisting of contract months beyond March 2016 for the Gulf Coast Unl 87 (Platts) Crack Spread BALMO Futures and Gulf Coast Unl 87 (Platts) Up-Down BALMO Futures contracts, effective immediately.  | DCM | <a href="#">16-068</a>   |
| 03/16/16 | NYMEX                | Regarding implementation of the NYMEX Brent Options ("BZO") Liquidity Provider Program. The proposed Program will be effective on April 1, 2016.   | DCM | <a href="#">16-115</a>   |
| 03/16/16 | NYMEX                | SUPPLEMENTAL INFORMATION UNDER FOIA  | DCM | <a href="#">16-115S</a>  |
| 03/16/16 | CME                  | Regarding implementation of the FX Futures Block Price Maker Fee Waiver Program. The Program will be effective on April 1, 2016.   | DCM | <a href="#">16-114</a>   |
| 03/16/16 | CME                  | Regarding implementation of the CME Bloomberg Dollar Spot Index Futures Fee Waiver Program. The Program will be effective on April 4, 2016.  | DCM | <a href="#">16-116</a>   |
| 03/16/16 | CME                  | Regarding modifications to the E-mini Russell 1000 Index Futures Market Making Program. The modifications will become effective on April 1, 2016.  | DCM | <a href="#">16-118</a>   |
| 03/16/16 | CME                  | SUPPLEMENTAL INFORMATION UNDER FOIA  | DCM | <a href="#">16-118S</a>  |
| 03/17/16 | NYMEX/COMEX          | Regarding amendments to NYMEX/COMEX Rulebook Chapter 7 ("Delivery Facilities and Procedures"), effective on Friday, April 1, 2016.   | DCM | <a href="#">16-103 (1 of 2)</a><br><a href="#">16-103 (2 of 2)</a>   |
| 03/15/16 | CME/CBOT/NYMEX/COMEX | Regarding amendments to CME/CBOT/NYMEX/COMEX Rule 913 ("Withdrawal from Clearing Membership") and CME Rule 8G913 ("Withdrawal from IRS Clearing Membership"), and adoption of new CME Rule 8G18.C. ("Withdrawal from IRS Swaptions Clearing Membership"). The Rule | DCO | <a href="#">16-109R (1 of 5)</a><br><a href="#">16-109R (2 of 5)</a><br><a href="#">16-109R (3 of 5)</a><br><a href="#">16-109R (4 of 5)</a><br><a href="#">16-109R (5 of 5)</a> |

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|          |                       | Amendments will be effective on Monday, April 11, 2016.   |         |   |
| 03/11/16 | CME SEF               | Regarding the listing of the U.S. Midwest #1 Busheling Ferrous Scrap (AMM) Swap contract for trading.   | SEF     | <a href="#">16-092</a>  |
| 03/11/16 | CME SEF               | SUPPLEMENTAL FILING UNDER FOIA  | SEF     | <a href="#">16-092S</a>   |
| 03/11/16 | CME SEF               | Regarding the listing of the Transco Zone 6 Natural Gas (Platts IFERC) Fixed Price Swap contract for trading.   | SEF     | <a href="#">16-091</a>  |
| 03/11/16 | CME SEF               | SUPPLEMENTAL FILING UNDER FOIA  | SEF     | <a href="#">16-091S</a>   |
| 03/11/16 | COMEX                 | Regarding notification of amendments related to product terms and conditions made effective during the week of February 29, 2016.   | DCM     | <a href="#">16-108 EXHIBIT B</a>  |
| 03/11/16 | CBOT                  | Regarding notification of amendments related to product terms and conditions made effective during the week of February 29, 2016.   | DCM     | <a href="#">16-107</a>  |
| 03/11/16 | CME                   | Regarding notification of amendments related to product terms and conditions made effective during the week of February 29, 2016.   | CME     | <a href="#">16-106 EXHIBIT B</a>  |
| 03/11/16 | CME                   | Regarding amendments to CME Rule 515.E. ("Trading Restrictions"), effective on Friday, April 1, 2016, and issuance CME Market Regulation Advisory Notice RA1602-2.  | DCM     | <a href="#">16-095</a>  |
| 03/10/16 | CME CLEARING          | Regarding notification of rule amendments made effective during the week of February 29, 2016.  | DCO     | <a href="#">16-105</a>  |
| 03/09/16 | NYMEX                 | Regarding amendments to the NYMEX Position Limit, Position Accountability and Reportable Level Table located in the Interpretations and Special Notices Section of Chapter 5 of the NYMEX Rulebook in order to correct the aggregation allocation of the European Low Sulphur Gasoil Brent Crack Spread Average Price Option contract, effective on Thursday, March 24, 2016. | DCM     | <a href="#">16-104 APPENDIX A</a>   |
| 03/09/16 | CME/CBOT/NYMEX /COMEX | Regarding amendments to CME/CBOT/NYMEX/COMEX Rules 806 ("Offset Process") and 854 ("Concurrent Long and Short Positions"), effective on Thursday, March 24, 2016.   | DCM/DCO | <a href="#">16-100 (1 of 5)</a><br><a href="#">16-100 (2 of 5)</a><br><a href="#">16-100 (3 of 5)</a><br><a href="#">16-100 (4 of 5)</a><br><a href="#">16-100 (5 of 5)</a> |

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| 3/04/16  | CME/CBOT/NYMEX<br>/COMEX | Regarding the implementation of new CME/CBOT/NYMEX/COMEX Rule 825 ("Segregation of Performance Bond") effective on Monday, March 21, 2016.  | DCM/<br>DCO | <a href="#">16-101 (1 of 5)</a><br><a href="#">16-101 (2 of 5)</a><br><a href="#">16-101 (3 of 5)</a><br><a href="#">16-101 (4 of 5)</a><br><a href="#">16-101 (5 of 5)</a> |
| 03/04/16 | CBOT                     | Regarding amendments to the CBOT Equity Index Futures and Options contracts, effective on Sunday, March 20, 2016 for trade date Monday, March 21, 2016.   | DCM         | <a href="#">16-099 (1 of 2)</a><br><a href="#">16-099 (2 of 2)</a>  |
| 03/04/16 | CME                      | Regarding amendments to the CME Equity Index Futures and Options contracts, effective on Sunday, March 20, 2016 for trade date Monday, March 21, 2016.  | DCM         | <a href="#">16-081R (1 of 2)</a><br><a href="#">16-081R (2 of 2)</a><br><a href="#">16-081R Exhibit A</a>   |
| 03/04/16 | CME                      | Regarding the addition of all currently existing sixty (60) weather futures and sixty (60) weather options contracts available for submission for clearing via CME ClearPort, effective on Sunday, March 20, 2016 for trade date Monday, March 21, 2016.                | DCM         | <a href="#">16-085</a>  |
| 03/04/16 | COMEX                    | Regarding the initial listing of the Aluminium European Premium Duty-Paid (Metal Bulletin) Futures contract for trading on CME Globex and for submission for clearing through CME ClearPort, effective on Sunday, March 20, 2016 for trade date Monday, March 21, 2016. | DCM         | <a href="#">16-086 Appendix B</a>   |
| 3/04/16  | COMEX                    | SUPPLEMENTAL FILING UNDER FOIA  | DCM         | <a href="#">16-086S</a>   |
| 3/04/16  | COMEX                    | Regarding amendments to the Aluminum Japan Premium (Platts) Futures contract, effective on Sunday, March 20, 2016 for trade date Monday, March 21, 2016.  | DCM         | <a href="#">16-075</a>  |
| 03/04/16 | NYMEX                    | Regarding notification of amendments related to product terms and conditions made effective during the week of February 22, 2016.   | DCM         | <a href="#">16-097 Exhibit A</a>  |
| 03/04/16 | COMEX                    | Regarding notification of amendments related to product terms and conditions made effective during the week of February 22, 2016.   | DCM         | <a href="#">16-098</a>  |
| 03/03/16 | CME CLEARING             | Regarding notification of rule amendments made effective during the week of February 22, 2016.  | DCO         | <a href="#">16-096</a>  |

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| 02/29/16 | CME                     | Regarding implementation of the USD/CNH FX Futures Market Maker Program. The Program will be effective on March 15, 2016.   | DCM | <a href="#">16-084</a>   |
| 02/29/16 | CME                     | SUPPLEMENTAL FILING UNDER FOIA  | DCM | <a href="#">16-084S</a>  |
| 02/29/16 | NYMEX/COMEX             | Regarding modifications to the Globex Core Metals Volume Incentive Program. The modifications to the Program will be effective on March 15, 2016.   | DCM | <a href="#">16-082 (1 of 2)</a><br><a href="#">16-082 (2 of 2)</a>   |
| 02/29/16 | NYMEX/COMEX             | SUPPLEMENTAL FILING UNDER FOIA  | DCM | <a href="#">16-082S</a>  |
| 2/26/16  | CME CLEARING            | Regarding notification of rule amendments made effective during the week of February 15, 2016.  | DCO | <a href="#">16-090</a>   |
| 2/26/16  | COMEX                   | Weekly Notification of Amendments Related to Product Terms and Conditions (Week of February 15, 2016)   | DCM | <a href="#">16-089</a>   |
| 02/26/16 | NYMEX                   | Regarding notification of amendments related to product terms and conditions made effective during the week of February 15, 2016.   | DCM | <a href="#">16-088</a>   |
| 02/26/16 | CME                     | Regarding notification of amendments related to product terms and conditions made effective during the week of February 15, 2016.   | DCM | <a href="#">16-087</a>   |
| 02/25/16 | NYMEX                   | Regarding the expansion of the listing schedule of the LOOP Crude Oil Storage Futures contract, effective on Sunday, March 13, 2016 for trade date Monday, March 14, 2016.  | DCM | <a href="#">16-066</a>   |
| 02/24/16 | CME/CBOT/NYMEX<br>COMEX | Regarding amendments to Rule 589. ("Special Price Fluctuation Limits") table, effective on trade date Thursday, March 10, 2016.   | DCM | <a href="#">16-050 (1 of 4)</a><br><a href="#">16-050 (2 of 4)</a><br><a href="#">16-050 (3 of 4)</a><br><a href="#">16-050 (4 of 4)</a><br><a href="#">APPENDIX A</a> |
| 02/23/16 | CME                     | Regarding an expansion of the performance bond collateral program to include Mexican government debt and issuance of CME Clearing Advisory Notice #16-089 regarding these amendments. The proposed amendments will become effective on Monday, April 1, 2016. | DCO | <a href="#">16-058R</a>  |
| 02/19/16 | CBOT                    | Regarding notification of the Reduction in Minimum Quantity Threshold Level for Block Trade Sizes, 10-Year U.S. Treasury Note Futures Contract, effective on Sunday, March  | DCM | <a href="#">16-060</a>   |

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|          |              | 6, 2016, for trade date Monday, March 7, 2016.  |     |  |
| 2/18/16  | CME          | Regarding initial listing of the E-mini® IPOX® 100 U.S. Index futures contract for trading on the CME Globex electronic trading platform and for submission of block trades for clearing via CME ClearPort on Sunday, March 6, 2016, for first trade date of Monday, March 7, 2016. | DCM | <a href="#">16-052RR</a><br><a href="#">APPENDIX BRR</a>           |
| 2/16/16  | CME          | Regarding notification of amendments related to product terms and conditions made effective during the week of February 8, 2016.  | DCM | <a href="#">16-077</a>   |
| 2/16/16  | CME CLEARING | Regarding notification of rule amendments made effective during the week of February 8, 2016.   | DCO | <a href="#">16-079</a>   |
| 2/16/16  | CBOT         | Regarding notification of amendments related to product terms and conditions made effective during the week of February 8, 2016.  | DCM | <a href="#">16-078</a>   |
| 2/12/16  | CME/CBOT     | Regarding implementation of the Equity Index Futures Broker Incentive Program. The proposed Program will become effective on March 1, 2016.   | DCM | <a href="#">16-076 (1 of 2)</a><br><a href="#">16-076 (2 of 2)</a> |
| 2/12/16  | CME/CBOT     | SUPPLEMENTAL FILING UNDER FOIA  | DCM | <a href="#">16-076S(1 of 2)</a><br><a href="#">16-076S(2 of 2)</a> |
| 02/12/16 | CME          | Regarding modifications to the S&P 500® Dividend Futures Market Maker Program. The modifications to the Program will become effective on March 1, 2016.   | DCM | <a href="#">16-062</a>   |
| 02/12/16 | CME          | SUPPLEMENTAL FILING UNDER FOIA  | DCM | <a href="#">16-062S</a>  |
| 02/12/16 | NYMEX        | Regarding implementation of the Natural Gas Basis Futures Market Maker Program. The proposed Program will be effective on March 1, 2016.  | DCM | <a href="#">16-074R</a>  |
| 02/12/16 | NYMEX        | SUPPLEMENTAL FILING UNDER FOIA  | DCM | <a href="#">16-074S</a>  |
| 02/12/16 | NYMEX        | Regarding modifications to the International Coal Products Volume Incentive Program. The modifications to the Program will be effective on March 1, 2016.   | DCM | <a href="#">16-067</a>   |

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| 02/12/16 | NYMEX                | SUPPLEMENTAL FILING UNDER FOIA  | DCM     | <a href="#">16-067S</a>   |
| 02/12/16 | COMEX                | Regarding an extension of the Copper Options Broker Rebate Program. The extension of the Program will become effective on March 1, 2016.  | DCM     | <a href="#">16-061</a>  |
| 02/12/16 | COMEX                | SUPPLEMENTAL FILING UNDER FOIA  | DCM     | <a href="#">16-061S</a>   |
| 02/12/16 | NYMEX                | Regarding modifications to the NYMEX Crude and Refined Core Commercial Growth Incentive Program. The modifications to the Program will be effective on March 1, 2016.   | DCM     | <a href="#">16-073</a>  |
| 02/12/16 | NYMEX                | SUPPLEMENTAL FILING UNDER FOIA  | DCM     | <a href="#">16-073S</a>   |
| 02/11/16 | CBOT                 | Regarding modifications to the Agricultural Options Market Maker Program. The modifications to the Program will become effective on March 1, 2016.  | DCM     | <a href="#">16-065 (1 of 2)</a><br><a href="#">16-065 (2 of 2)</a>  |
| 02/11/16 | CME                  | Regarding the implementation of the S&P Floor Calendar Spread Market Maker Discount Program. The proposed Program will become effective on March 1, 2016.   | DCM     | <a href="#">16-063</a>  |
| 02/11/16 | CBOT                 | Regarding amendments to the implementation of the minimum tick size for the nearest expiring 30-Day Federal Funds Futures contract, effective on Sunday, February 28, 2016 for trade date Monday, February 29, 2016.                    | DCM     | <a href="#">16-070</a>  |
| 02/11/16 | CME CLEARING         | Regarding notification of rule amendments made effective during the week of February 01, 2016.  | DCO     | <a href="#">16-072</a>  |
| 02/11/16 | NYMEX                | Regarding amendments to the East-West Gasoline Spread (Platts-Argus) Futures contract, effective on Sunday, February 28, 2016 for trade date Monday, February 29, 2016. Please note that Appendix B is attached as a separate document. | DCM     | <a href="#">16-041</a><br><a href="#">APPENDIX B</a>  |
| 02/11/16 | CBOT                 | Amendments to Daily and Final Settlement Procedures in CBOT Treasury Options and 30-Day Federal Fund Options.   | DCM     | <a href="#">16-047</a>  |
| 02/11/16 | CME/CBOT/NYMEX/COMEX | Regarding amendments to CME/CBOT/NYMEX/COMEX Rule 980.F. ("Required Records and Reports") effective on Tuesday, March 1, 2016.  | DCO/DCM | <a href="#">16-071 (1 of 5)</a><br><a href="#">16-071 (2 of 5)</a><br><a href="#">16-071 (3 of 5)</a><br><a href="#">16-071 (4 of 5)</a><br><a href="#">16-071 (5 of 5)</a> |

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| 02/11/16 | CME          | Regarding amendments to the requirements attendant to the execution of Large Order Execution transactions (“LOX Orders”) in open outcry trading of options on Standard and Poor’s 500 Stock Price Index futures and the issuance of Market Regulation Advisory Notice RA1601-2 (“RA1601-2”). The amendment and RA1601-2 will be effective on Monday, February 29, 2016. | DCM | <a href="#">16-053</a>   |
| 02/10/16 | CME          | Regarding notification of amendments related to product terms and conditions made effective during the week of February 1, 2016.  | DCM | <a href="#">16-069</a>   |
| 02/10/16 | NYMEX        | Regarding amendments to the hours of trading on the CME Globex electronic trading platform and via open outcry on the CME trading floor for the CME Livestock futures and options contracts, effective on Monday, February 29, 2016.  | DCM | <a href="#">16-064</a>   |
| 2/08/16  | CBOT         | Regarding the delisting of three Soybean Meal futures contract expiration months: the July 2019, October 2019, and December 2019 contract months, effective immediately.  | DCM | <a href="#">16-054</a>   |
| 02/05/16 | COMEX        | Regarding notification of amendments related to product terms and conditions made effective during the week of January 25, 2016.  | DCM | <a href="#">16-057</a><br><a href="#">EXHIBIT H</a>  |
| 02/05/16 | CME          | Regarding changes to the categorization of and percentage limits imposed on performance bond assets, effective on Friday, March 4, 2016.  | DCO | <a href="#">16-056</a>   |
| 02/04/16 | CME          | Regarding amendments to terms and conditions of Options on Standard and Poor’s 500 Stock Price Index futures and Options on E-mini® Standard and Poor’s 500 Stock Price Index futures, effective on Sunday, February 21, 2016 for trade date Monday, February 22, 2016.   | DCM | <a href="#">16-002</a><br><a href="#">APPENDIX E</a>   |
| 02/04/16 | NYMEX        | Regarding the initial listing of four (4) Chicago Ethanol (Platts) Calendar Spread Option contracts for trading on CME Globex and the NYMEX trading floor, and for submission for clearing via CME ClearPort, effective on Sunday, February 21, 2016 for trade date Monday, February 22, 2016.  | DCM | <a href="#">16-026R (1 of 4)</a><br><a href="#">16-026R (2 of 4)</a><br><a href="#">16-026R (3 of 4)</a><br><a href="#">16-026R (4 of 4)</a><br><a href="#">APPENDIX B</a> |
| 02/04/16 | NYMEX        | SUPPLEMENTAL FILING UNDER FOIA  | DCM | <a href="#">16-026SR</a>   |
| 02/04/16 | NYMEX        | Regarding the initial listing of the LNG DES Japan (RIM) Futures contract for trading on CME Globex and for submission for clearing via CME ClearPort, effective on Sunday, February 21, 2016 for trade date Monday, February 22, 2016.   | DCM | <a href="#">16-035</a><br><a href="#">APPENDIX B</a>   |
| 02/04/16 | NYMEX        | SUPPLEMENTAL FILING UNDER FOIA  | DCM | <a href="#">16-035S</a>  |
| 02/04/16 | CME Clearing | Regarding notification of rule amendments made effective during the week of January 25, 2016.   | DCO | <a href="#">16-055</a>   |



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| 02/02/16 | CME                   | Regarding the listing of the June 2017 Live Cattle futures and options contracts, effective on Thursday, February 18, 2016. Also at that time, the Exchange will resume the normal listing schedule of subsequent Live Cattle futures and options contract months. | DCM | <a href="#">16-048</a>  |
| 02/01/16 | NYMEX                 | Regarding modifications to the NYMEX Financial Natural Gas Market Development Program. The modifications to the Program will be effective on February 17, 2016.  | DCM | <a href="#">16-051</a>  |
| 02/01/16 | MYMEX                 | SUPPLEMENTAL FILING UNDER FOIA   | DCM | <a href="#">16-051S</a>   |
| 01/28/16 | CME CLEARING          | Regarding notification of rule amendments made effective during the week of January 18, 2016.  | DCO | <a href="#">16-045</a>  |
| 01/28/16 | COMEX                 | Regarding notification of amendments related to product terms and conditions made effective during the week of January 18, 2016.   | DCM | <a href="#">16-044</a><br><a href="#">EXHIBIT B</a>   |
| 01/28/16 | NYMEX                 | Regarding notification of amendments related to product terms and conditions made effective during the week of January 18, 2016.   | DCM | <a href="#">16-043</a>  |
| 01/28/16 | CME                   | Regarding notification of amendments related to product terms and conditions made effective during the week of January 18, 2016.   | DCM | <a href="#">16-042</a><br><a href="#">EXHIBIT G</a>   |
| 01/27/16 | CBOT                  | Regarding the issuance of CBOT Market Regulation Advisory Notice RA1601-1 concerning the trading locations of intermarket spreads. This submission shall be effective on February 11, 2016.  | DCM | <a href="#">16-036</a>  |
| 01/27/16 | CME/CBOT/NYMEX /COMEX | Regarding amendments to Rule 539.C., Adoption of a Crossing Protocols Table, and Issuance of CME Group Market Regulation Advisory Notice RA1602-5.   | DCM | <a href="#">16-034 (1 of 4)</a><br><a href="#">16-034 (2 of 4)</a><br><a href="#">16-034 (3 of 4)</a><br><a href="#">16-034 (4 of 4)</a><br><a href="#">Exhibit B</a> |
| 01/22/16 | CBOT                  | Regarding the application of CBOT Rule 589 (“Special Price Fluctuation Limits”) to CBOT’s short-term interest rate (“STIR”) futures contract and STIR options contract, effective on Sunday, February 7, 2016 for trade date of Monday, February 8, 2016.          | DCM | <a href="#">16-007 (1 of 2)</a><br><a href="#">16-007 (2 of 2)</a><br><a href="#">APPENDIX B</a>  |
| 01/22/16 | CME                   | Regarding the application of CME Rule 589 (“Special Price Fluctuation Limits”) to CME’s short-term interest rate (“STIR”) futures contract and STIR options contract, effective on Sunday, February 7, 2016 for trade date of Monday, February 8, 2016.            | DCM | <a href="#">16-008 (1 of 2)</a><br><a href="#">16-008 (2 of 2)</a><br><a href="#">APPENDIX B</a>  |
| 01/22/16 | NYMEX                 | Regarding the addition of the 4-month spread for the Henry Hub Natural Gas Financial Calendar Spread Option contract,  | DCM | <a href="#">16-001</a><br><a href="#">APPENDIX A</a>  |

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|          |              | effective on Sunday, February 7, 2016 for trade date Monday, February 8, 2016.  |     |  |
| 01/22/16 | CBOT         | Regarding modifications to the Ultra 10-Year Futures Market Maker Program. The modifications to the Program will become effective on February 8, 2016.  | DCM | <a href="#">16-040</a>   |
| 01/22/16 | CME CLEARING | Regarding notification of rule amendments made effective during the week of January 11, 2016.   | DCO | <a href="#">16-037</a>   |
| 01/21/16 | COMEX        | Regarding notification of amendments related to product terms and conditions made effective during the week of January 11, 2016.  | DCM | <a href="#">16-039</a><br><a href="#">EXHIBIT E</a>  |
| 01/21/16 | NYMEX        | Regarding notification of amendments related to product terms and conditions made effective during the week of January 11, 2016.  | DCM | <a href="#">16-038</a>   |
| 01/21/16 | NYMEX        | Regarding the initial listing of six (6) WTI Houston (Argus) Crude Oil Futures contracts for trading on CME Globex and for submission for clearing via CME ClearPort, effective on Sunday, February 7, 2016 for trade date Monday, February 8, 2016.                          | DCM | <a href="#">16-033 (1 of 6)</a><br><a href="#">16-033 (2 of 6)</a><br><a href="#">16-033 (3 of 6)</a><br><a href="#">16-033 (4 of 6)</a><br><a href="#">16-033 (5 of 6)</a><br><a href="#">16-033 (6 of 6)</a><br><a href="#">APPENDIX B</a> |
| 01/21/16 | NYMEX        | SUPPLEMENTAL FILING UNDER FOIA  | DCM | <a href="#">16-033S</a>  |
| 01/21/16 | NYMEX        | Regarding initial listing of the Mini Argus Gasoline Eurobob Oxy Barges NWE Crack Spread (100mt) Futures contract for trading on CME Globex and for submission for clearing via CME ClearPort, effective on Sunday, February 7, 2016 for trade date Monday, February 8, 2016. | DCM | <a href="#">16-004</a><br><a href="#">APPENDIX B</a>   |
| 01/21/16 | NYMEX        | SUPPLEMENTAL FILING UNDER FOIA  | DCM | <a href="#">16-004S</a>  |
| 01/14/16 | NYMEX        | Regarding implementation of the NYMEX International Petroleum Volume Incentive Program. The proposed Program will be effective on February 1, 2016.   | DCM | <a href="#">16-032</a>   |
| 01/14/16 | NYMEX        | SUPPLEMENTAL FILING UNDER FOIA  | DCM | <a href="#">16-032S</a>  |
| 01/14/16 | NYMEX        | Regarding modifications to the NYMEX Power Volume Incentive Program. The modifications to the Program will be   | DCM | <a href="#">16-019</a>   |

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|          |       | effective on February 1, 2016.  |     |                         |
| 01/14/16 | NYMEX | SUPPLEMENTAL FILING UNDER FOIA  | DCM | <a href="#">16-019S</a> |
| 01/14/16 | NYMEX | Regarding modifications to the NYMEX Financial Natural Gas Market Development Program. The modifications to the Program will be effective on February 1, 2016.  | DCM | <a href="#">16-021</a>  |
| 01/14/16 | NYMEX | SUPPLEMENTAL FILING UNDER FOIA  | DCM | <a href="#">16-021S</a> |
| 01/14/16 | NYMEX | Regarding modifications to the NYMEX Crude and Refined Options Incentive Program. The modifications to the Program will be effective on February 1, 2016.   | DCM | <a href="#">16-024</a>  |
| 01/14/16 | NYMEX | SUPPLEMENTAL FILING UNDER FOIA  | DCM | <a href="#">16-024S</a> |
| 01/14/16 | NYMEX | Regarding modifications to the NYMEX Natural Gas Options Incentive Program. The modifications to the Program will be effective on February 1, 2016.   | DCM | <a href="#">16-023</a>  |
| 01/14/16 | NYMEX | SUPPLEMENTAL FILING UNDER FOIA  | DCM | <a href="#">16-023S</a> |
| 01/14/16 | COMEX | Regarding modifications to the COMEX Gold Kilo Futures Market Maker Program. The modifications to the Program will become effective on February 1, 2016.  | DCM | <a href="#">16-018</a>  |
| 01/14/16 | COMEX | SUPPLEMENTAL FILING UNDER FOIA  | DCM | <a href="#">16-018S</a> |
| 01/14/16 | NYMEX | Regarding modifications to the Energy Options Market Maker Program. The modifications to the Program will be effective on February 1, 2016.   | DCM | <a href="#">16-020</a>  |
| 01/14/16 | NYMEX | SUPPLEMENTAL FILING UNDER FOIA  | DCM | <a href="#">16-020S</a> |
| 01/14/16 | CBOT  | Regarding an amendment to the listing schedule of the Options on Soybean futures contract in connection with the November 2016 contract month, effective on Sunday, January 31, 2016 for trade date Monday, February 1, 2016. | DCM | <a href="#">16-017</a>  |

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| 01/14/16 | CME CLEARING            | Regarding notification of amendments related to product terms and conditions made effective during the week of January 4, 2016.  | DCO | <a href="#">16-031</a>  |
| 01/13/16 | CBOT                    | Regarding notification of amendments related to product terms and conditions made effective during the week of January 4, 2016.  | DCM | <a href="#">16-028 EXHIBIT A</a>  |
| 01/13/16 | COMEX                   | Regarding notification of amendments related to product terms and conditions made effective during the week of January 4, 2016.  | DCM | <a href="#">16-030 EXHIBIT B</a>  |
| 01/13/16 | NYMEX                   | Regarding notification of amendments related to product terms and conditions made effective during the week of January 4, 2016.  | DCM | <a href="#">16-029 EXHIBIT B</a>  |
| 01/13/16 | CME                     | Regarding notification of amendments related to product terms and conditions made effective during the week of January 4, 2016.  | DCM | <a href="#">16-027</a>  |
| 1/12/16  | CBOT                    | Regarding the removal of majority condition of the Force Majeure declaration that CBOT made pursuant to Rule 701 ("Declarations of Force Majeure") with respect to all Soybean shipping stations, via CBOT Submission No. 16-010 dated January 5, 2016. This removal is effective immediately. | DCM | <a href="#">16-022</a>  |
| 01/11/16 | CME/CBOT/NYMEX<br>COMEX | Amendments to CME and CBOT Rule 524, Amendments to Certain CME and CBOT Equity Index Futures Product Chapters and Issuance of CME Group Market Regulation Advisory Notice RA1601-5.  | DCM | <a href="#">16-012 (1 of 4)</a><br><a href="#">16-012 (2 of 4)</a><br><a href="#">16-012 (3 of 4)</a><br><a href="#">16-012 (4 of 4)</a><br><a href="#">EXHIBIT D</a> |
| 01/07/16 | CME Clearing            | Regarding notification of rule amendments made effective during the week of December 28, 2015.   | DCO | <a href="#">16-014</a>  |
| 01/07/16 | CME                     | Regarding modifications to the E-mini FTSE Index Futures Market Maker Program. The modifications will become effective on January 25, 2016.  | DCM | <a href="#">16-011</a>  |
| 01/07/16 | CME                     | SUPPLEMENTAL FILING UNDER FOIA   | DCM | <a href="#">16-011S</a>   |
| 01/07/16 | CME                     | Regarding the initial listing of E-mini® FTSE® Developed Europe Index futures and E-mini® FTSE® Emerging Index   | DCM | <a href="#">16-005 (1)</a><br><a href="#">16-005 (2)</a><br><a href="#">Appendix C</a>  |

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|          |                      | futures for trading on the CME Globex electronic trading platform and for submission of block trades for clearing via CME ClearPort, effective on Sunday, January 24, 2016, for first trade date of Monday, January 25, 2016. Please note that Appendix C is attached as a separate document.  |         |  |
| 01/07/16 | CME                  | Regarding the removal of the \$5 million membership equivalent deposit requirement (the "Membership Equivalent Deposit") for OTC, IRS and CDS Clearing Members that are not otherwise a CME, CBOT, NYMEX or COMEX Clearing Member. This submission shall become effective on Monday, January 25, 2016.   | DCO     | <a href="#">16-013</a>   |
| 01/07/16 | CBOT                 | Regarding notification of rule amendments made effective during the week of December 28, 2015.   | DCM     | <a href="#">16-016</a>   |
| 01/07/16 | CME                  | Regarding notification of amendments related to product terms and conditions made effective during the week of December 28, 2015.  | DCM     | <a href="#">16-015</a>   |
| 01/05/16 | CME/CBOT/NYMEX/COMEX | Regarding the adoption of new CME/CBOT/NYMEX/COMEX Rule 543 ("Restrictions on Access for Sanctioned Parties and Jurisdictions") and amendments to CME/CBOT/NYMEX/COMEX Rules 930.C. ("Acceptable Performance Bond Deposits") and 960 ("Omnibus and Carrying Broker Accounts"). This submission shall become effective on Thursday, January 21, 2016. The new Rule and the amendments to existing Rules will be made effective by the Exchanges on Monday, February 29, 2016. | DCO/DCM | <a href="#">16-009 1</a><br><a href="#">16-009 2</a><br><a href="#">16-009 3</a><br><a href="#">16-009 4</a><br><a href="#">16-009 5</a> |
| 01/05/16 | CBOT                 | Regarding a declaration of Force Majeure with respect to all Soybean shipping stations as a result of the majority of shipping stations being unable to perform load-out due to high water levels and/or flooding on the Illinois River, effective immediately and until further notice.   | DCM     | <a href="#">16-010</a>   |
|          |                      |  |         |  |