

Non-Member Investor Application – Section 1

I hereby apply for recognition as a non-member investor due to the purchase of a membership interest and warrant the accuracy of all the information to all questions on this application.

1. Membership Information

Exchange	Division	Membership Number

2. Seat Owner Information

Owner Name(s)¹ (If multiple owners, each owner must complete and sign Section 2)

Social Security Number or Tax Identification Number Date of Birth

Mailing Address

Phone Number Email Address

The Exchange will not recognize or administer any security agreement with respect to the ownership of this membership interest (and associated Class B Share where applicable) except as provided by Exchange Rule 106.A.B. Proceeds of the sale of this membership interest will be distributed as specified in Exchange Rule 110.

I agree to abide by all the rules and by-laws of the Exchange and all amendments that may be made thereto. I understand that Exchange may conduct or cause to be conducted an investigation and or credit check.

Signed (on behalf of the Transferor – Seat Owner)

Name (printed)

Date

Notary Public

Subscribed and sworn to before me this day
of 20

Please note each owner must attach a completed IRS Form W-9. In addition, a \$500 application fee must be submitted with this form.

¹ If the owner is a legal entity, please attach appropriate corporate, partnership or legal documentation attesting to who has the authority to sell, lease, pledge, or dispose of the membership.



Non-Member Investor Application – Section 2

(Complete this section for seats owned by multiple owners)

1. Seat Owner Information:²

Owner Name

Social Security Number or Tax Identification Number Date of Birth

Mailing Address

Phone Number

Email Address

The Exchange will not recognize or administer any security agreement with respect to the ownership of this membership interest (and associated Class B Share where applicable) except as provided for by Exchange Rule 106.A.and B. Proceeds of the sale of this membership interest will be distributed as specified in Exchange Rule 110.

The owners of the membership referenced above (the “Owners”), all of whom have agreed,

as the sole individual who shall have the authority to sell, lease, pledge or otherwise dispose of the Membership (the “Designee”).³ In so doing, they understand that Exchange staff will only follow instructions regarding the sale, lease, pledge, or disposal of the Membership that they reasonably believe have come from the Designee. Exchange staff will not follow such instructions from any other source including, but not limited to, any of the undersigned. The Exchange will not be held liable for following instructions regarding the sale, lease, pledge or other disposal of the Membership that staff reasonably believe have come from the Designee. In the event of the death or other incapacitation of the Designee, the undersigned appoint

as his/her successor (the “Successor”).⁴ After receipt of satisfactory proof of the death or incapacitation of the individual appointed above, CMEG will treat the Successor as the Designee as described above.

I agree to abide by all the rules and by-laws of the Exchange and all amendments that may be made thereto. I understand that the Exchange may conduct or cause to be conducted an investigation and credit check.

Signed (on behalf of Seat Owner)

Name (printed)

Date

Notary Public		
Subscribed and sworn to before me this	day	
of	20	

Please note each owner must attach a completed IRS Form W-9. In addition, a \$500 application fee must be submitted with this form.

² Each additional owner must complete and sign this page, the signature must be notarized and each owner must attach a completed IRS Form W9.
³ The Designee must be the same on all forms submitted with this application.
⁴ The Successor must be the same on all forms submitted with this application.