

Non-Member Investor Application

I hereby apply for recognition as a non-member investor due to the purchase of a membership interest and warrant the accuracy of all the information to all questions on this application.

1. Membership Information

Division				Division	Membership Number
CME	CBOT	NYMEX	COMEX		
CME	CBOT	NYMEX	COMEX		
CME	CBOT	NYMEX	COMEX		

2. Seat Owner Information

Owner Name(s)¹ (If multiple owners, each owner must complete and sign Section 2)

Social Security Number or Tax Identification Number

Date of Birth

Mailing Address

Phone Number

Email Address

The Exchange will not recognize or administer any security agreement with respect to the ownership of this membership interest (and associated Class B Share where applicable) except as provided by Exchange Rule 106.A.B. Proceeds of the sale of this membership interest will be distributed as specified in Exchange Rule 110.

I agree to abide by all the rules and by-laws of the Exchange and all amendments that may be made thereto. I understand that Exchange may conduct or cause to be conducted an investigation and or credit check.

Signature

Name (printed)

Date

Notary Signature

Name (printed)

Sworn on this date

Notary Seal

Please note each owner must attach a completed IRS Form W-9. In addition, a \$500 application fee must be submitted with this form.

¹ If the owner is a legal entity, please attach appropriate corporate, partnership or legal documentation attesting to who has the authority to sell, lease, pledge, or dispose of the membership.