

## Rule 106P. Transfer to a Family Limited Partnership

### Transferor's Certificate and Acknowledgment

| Exchange |      |       |       | Division | Membership Number |
|----------|------|-------|-------|----------|-------------------|
| CME      | CBOT | NYMEX | COMEX |          |                   |

A member may transfer his membership interest to a Family Limited Partnership ("FLP") with respect to which all partners are members of the transferor's family who would be eligible for a family transfer from the transferor pursuant to Rule 106.C. The document(s) establishing the FLP shall at all times include the restrictions as to permissible partners and state that (a) the FLP takes the membership interest subject to all of the rules of the the Exchange, as may be amended, including, but not limited to, Rule 110, and, if the membership interest is assigned to a clearing member for clearing qualification, Rule 902; (b) the interest in the membership interests that inure to the partners of the FLP shall be subject to all of the rules of the Exchange, as may be amended; (c) the Exchange's rights with respect to the membership interest are superior to those of the partners, and (d) the the Exchange shall have no liability to the partners of the FLP in the event of the mishandling of the FLP assets by the Managing General Partner. The transferor and the Managing General Partner (and any successor) shall each provide in the form provided by the Exchange an acknowledgment that the FLP takes the membership interest subject to all of the rules of the Exchange and that the FLP is in compliance with the requirements of this Rule.

The transferor's liability to the Exchange under Rule 439 shall continue with respect to any claim arising out of an act or omission occurring prior to such transfer, and the membership interest will continue to be treated as the asset of the transferor for the purpose of Rule 439 and for otherwise meeting any obligations to the Exchange arising out of the transferor's use of the membership interest prior to the transfer to the FLP, including fines imposed with respect to conduct occurring prior to the transfer.

A membership interest held pursuant to a transfer under this section may be assigned for clearing privileges or reinstated to the transferor pursuant to this section.

Pursuant to Rule 106.P., I, the transferor, hereby transfer ownership of the Division to the Managing General Partner, as Managing General Partner for the Family Partnership.

Pursuant to the rules of the Exchange, it is understood that no collateral arrangement between the transferor and the Managing General Partner shall be recognized nor affect the rights of the Exchange or its members.

Transferor Signature(Authorized Signer)

Managing General Partner Signature (Authorized Signer)

Print Name

Print Name

Date Signed

Date Signed

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In connection with the transfer of a membership in the Exchange by the undersigned, to transferor, as managing General Partner of the Family Limited Partnership (the "FLP"), the undersigned hereby certifies as follows:

1. He/she is the Transferor of the FLP or membership.
2. The FLP is in compliance with Rule 106.P., which requires, among other things, that the documents establishing and controlling the operation of the FLP (the "FLP Documents") state the following: (a) all partners of the FLP must be members of Transferor's family who would be eligible for a family transfer from Transferor pursuant to Exchange Rule 106.C.; (b) the FLP takes the membership interest subject to all of the rules of the Exchange, as may be amended, including, but not limited to, Rule 110, and, if the membership interest is assigned to a clearing member for clearing qualification, Rule 902; (c) the interests in the membership interest that inure to the partners of the FLP shall be subject to all of the rules of the Exchange, as may be amended; (d) the Exchange's rights with respect to the membership interest are superior to those of the partners, and (e) the Exchange shall have no liability to the partners of the FLP in the event of the mishandling of the FLP assets by Managing General Partner.
3. In addition, the undersigned acknowledges the following:
  - a) The FLP takes the membership interest subject to all of the rules of the Exchange including Rules 110 and 439, as they may be amended from time to time.
  - b) The Membership Services Department shall have the right to act pursuant to the directions of the Managing General Partner (or his successor) with respect to the sale or transfer of the membership interest and the disposition of the proceeds thereof.

Transferor Signature(Authorized Signer)

Print Name

Date Signed



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In connection with the change in Managing General Partner of the Family Limited Partnership (the "FLP"), which owns a membership interest in the Exchange, pursuant to Rule 106.p, from the former Managing General Partner, to the undersigned hereby certifies as follows:

1. Notwithstanding anything contained in the documents establishing and controlling the operation of the FLP (the "FLP Documents") or any amendments thereto to the contrary: he/she is a duly appointed Managing General Partner of the FLP with full power and authority to legally bind and act for and on behalf of the FLP in all its dealings with the Exchange with respect to the membership interest.
2. The FLP is in compliance with Rule 106.P., which requires, among other things, that the FLP Documents state the following: (a) all partners of the FLP must be members of Transferor's family who would be eligible for a family transfer from Transferor pursuant to Rule 106.C.; (b) the FLP takes the membership interest subject to all of the rules of the Exchange as may be amended, including, but not limited to, Rule 110, and, if the membership interest is assigned to a clearing member for clearing qualification, Rule 902; (c) the interests in the membership interest that inure to the partners of the FLP shall be subject to all of the rules of the Exchange, as may be amended; (d) the Exchange's rights with respect to the membership interest are superior to those of the partners, and (e) the Exchange shall have no liability to the partners of the FLP in the event of the mishandling of the FLP assets by the Successor Managing General Partner.
3. In addition, the undersigned acknowledges the following:
  - c) The FLP takes the membership interest subject to all of the rules of the Exchange including Rules 110 and 439, as they may be amended from time to time.
  - d) The Membership Services Department shall have the right to act pursuant to the directions of the Managing General Partner (or his successor) with respect to the sale or transfer of the membership interest and the disposition of the proceeds thereof.

Signature of former Managing General Partner

Signature of successor Managing Partner

Name of former Managing General Partner (please print)

Name of successor Managing General Partner (please print)

Date Signed

Date Signed