

## Transfer To Joint Tenants With Right of Survivorship Rule 106M

Exchange				Division	Membership Number
CME	CBOT	NYMEX	COMEX		

I understand the terms and conditions of Rule 106.M. - Transfer to Joint Tenants with Right of Survivorship, and agree to abide by its provisions. The joint tenants acknowledge and agree that the designee shall have the exclusive right to sell or transfer the membership or execute a Rule 106.A.B and that the Exchange shall have no liability to the non-designee(s) in the event of such sale, transfer or mishandling of the membership by the designee. The designee will be recognized as the holder of the membership.

### Designee Signature *(Holder of Membership)*

Name (printed)

Date

### Non Designee Signature

Name (printed)

Date

Notary Stamp

### Notary Signature

Name (printed)

Date

### Designee

Street Address

City

State

Zip

Social Security Number

Email address

Phone Number (1)

Phone 1 Type

Phone Number (2)

Phone 2 Type

The following questions are to be answered by the **non-designee**:

1. Have you ever been refused admission to (including the denial, withdrawal, revocation or termination of an application for membership or registration) or rejected for membership on this or any other Exchange?
2. Have you ever been expelled or suspended from this or any other Exchange?
3. Have you ever been denied trading privileges from this or any other Exchange?
4. Have you ever been found guilty of a rule violation (including a censure, fine, or any form of disciplinary action, even if such a finding was uncontested) from this or any other Exchange?
5. Have you any pending rule violations, arbitration's or disciplinary actions from this or any other Exchange?
6. Have you ever failed in business?
7. Have you ever filed for personal bankruptcy?
8. Have you ever been an employee of a firm or an officer or director of a corporation that failed in business or filed for bankruptcy?
9. Have you ever had any judgments entered against you, excluding minor traffic violations?
10. Have you ever had any complaints or litigation against you or any firm which you are an officer or director?
11. Have you ever been charged in a criminal action, indicted for a violation of any federal or state law, been refused admission to (including the denial, withdrawal, revocation or termination of an application for membership or registration), rejected, expelled, suspended or fined, censured or otherwise disciplined by the Commodity Futures Trading Commission, the Securities and Exchange Commission, the NASD, or the NFA?
12. Have you ever been refused bond by a surety or indemnity company?
13. Have you ever been disqualified from trading?
14. Have you or your services ever been subject to a disciplinary action or termination by an employer?

**\*\*If the answer to any of the above questions is YES, please attach a statement explaining all details.**

**I hereby apply for membership at the Exchange and warrant the truthfulness of my answers to all questions on this application and to any other questions that may be asked by the staff of the Exchange.**

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*Signature of Non-Designee*

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*Name of Non-Designee (Please Print)*