



# Corporate Member Firm – 106.D. Futures Industry Transfer

The undersigned transferor and transferee have read and understand the provisions of Rule 106 regarding transfers in general and agree to abide by the provisions contained in these rules. Please note that for purposes of Rule 110, the lessee of the membership interest will be considered the owner, and any valid claim filed against the lessee will be considered filed against the membership interest. Pursuant to Rule 133, if a member firm is in default, the CEO may order the sale of any memberships leased on behalf of the member firm and the proceeds will be applied as provided in Rule 110.

## 1. Corporate Member Firm Name

## 2. Lessee Name (“Transferee”) (please select one)

Corporate Member Firm

For CBOT only, employee or principal of Corporate Member Firm (please add individual name)

## 3. Seat Owner Name (“Transferor”)

## 4. Corporate Member Firm - Individual Holder (if applicable)

## 5. Clearing Member Firm Name

## 6. Please indicate Exchange, Division and Membership Number of the lease(s) that will be assigned for corporate membership status.

Exchange	Division	Membership Number

Rule 106.D. Trading Authorization - Pursuant to Rule 106.D.7., Transferor hereby grants authorization to Corporate Member Firm to clear transactions on the books of Clearing Member Firm. Pursuant to Rule 106.D.7., the Transferor must approve any change in the Corporate Member Firm’s clearing firm in order to remain qualified to trade. The authorization will transfer to any successor of the clearing firm.

\_\_\_\_\_  
**Signed** (on behalf of the Transferor – Seat Owner)

Name (printed)

Date

\_\_\_\_\_  
**Signed** (on behalf of Corporate Member Firm)

Name (printed)

Date

\_\_\_\_\_  
**Signed** (on behalf of Employee or Principal of Corporate Member Firm (as applicable))

Name (printed)

Date