

CME/CBOT – Lease Information Form

1. Lease Type

New Renewal

2. Seat Owner Name (“Transferor”)

3. Lessee Name (“Transferee”)

4. Business Relationship (please select one) Yes No

If you answered ‘Yes’ and a business relationship exists, please check one:

Broker Association Clearing Relationship Employer-Employee Family

5. Please provide lease information.

Exchange	Division	Membership Number	Term of Lease	Lease Begin Date	Lease End Date	Price

CME/CBOT Rule 106.D.12 states: “A lessor shall notify the Exchange, in a manner designated by the Exchange, of the terms of a new lease agreement or any renewal of a lease agreement within two (2) business days of the negotiation of the lease agreement, or renewal thereof. A lessor’s failure to comply with this Rule 106.D.12 may result in the issuance of a warning letter to the lessor. A lessor may then be subject to an automatic fine of \$500 and \$1000 respectively for a second and third violation of this rule within a rolling 12-month period from the issuance of the warning letter. Repeated violations of this rule may be referred to a disciplinary committee.”

Signed (on behalf of the Transferor or Authorized Representative)

Name (printed)

Date

Please fax (+1 312 341 7302) or email (seatmarket@cmegroup.com) a completed copy of this form to the CME Group (Chicago) Membership Services Department.