



Policy Engagement

Advocacy is vital to our business. It serves the best interests of our shareholders, employees, and clients and is a necessary component of good corporate citizenship. CME Group pursues its public policy objectives with integrity, responsibility and in full compliance with all laws.

Lobbying Activities

CME Group participates in the public policy arena on a wide range of issues that are important to CME Group shareholders, clients, and employees, including issues relating to the financial regulatory environment worldwide, the growth and stability of the global economy, and healthy capital markets. This participation, as well as expenditures related thereto, is managed by the Government Relations Department.

CME Group discloses publicly all U.S. federal lobbying costs, including internal lobbying expenses, payments to lobbying firms, and portions of industry association dues attributable to lobbying activities, as well as the issues to which they relate pursuant to the Lobbying Disclosure Act of 1995 as amended by the Honest Leadership and Open Government Act of 2007 (Lobbying Disclosure Act), which requires that we file reports with the U.S. House and Senate on a quarterly basis. CME Group also discloses its costs for state-level lobbying on these reports, in addition to any separate state lobbying reports filed with state authorities.

CME Group does not engage in any direct grassroots lobbying activities.

Industry Associations

As part of our engagement in the public policy process, CME Group participates in a number of trade associations and industry groups representing the interests of both the financial services industry and the broader business community.

Our principal trade association memberships and expenditures relating to such memberships are overseen by the Government Relations Department.

Listed below are the trade associations to which we pay annual membership fees in excess of \$25,000 and which notify us that a portion of those fees are nondeductible lobbying or political expenses for U.S. income tax purposes.

Trade Associations
Chamber of Commerce of the U.S.A.
Commodity Markets Council
Futures Industry Association Inc.
International Swaps and Derivatives Association, Inc.
Securities Industry and Financial Markets Association
Software & Information Industry Assoc

Management and Board Oversight

The Government Relations Department supports CME Group's policy objectives, developing and executing CME Group's policy strategy at the Federal, state, and international levels. The Government Relations Department is part of CME Group's Executive Division and reports directly to our Chairman and Chief Executive Officer. Policy matters are overseen at the Board of Directors level. The Chairman and Chief Executive Officer, in addition to the Government Relations Department, regularly report on these matters to the Executive Committee and Board of Directors.