Corporate Stewardship

CME Group's approach to corporate stewardship is rooted in strong governance, ethical conduct and responsible engagement with stakeholders. Through our Board oversight, risk management, compliance and cybersecurity programs, we aim to operate with integrity and support the long-term health of global financial markets. In 2024, we advanced efforts across governance, compliance, data security and public policy, reinforcing our commitment to transparency, accountability and market resilience.

Corporate Governance

Our Corporate Governance Principles serve as the foundation for guiding our decisions, operating our business and holding ourselves and our leadership accountable. The Principles outline the role of our Board of Directors and support the development of policies and practices that evolve with our company's needs. We align our governance approach with best practices to promote long-term shareholder value and responsible corporate stewardship. In 2024, the Board focused on enhancing director education, overseeing the company's strategy and risks, strengthening governance disclosures and continuing its attention to employee experience and engagement.

RISK MANAGEMENT

CME Group tracks the impacts from climate and sustainability risk within relevant categories of our enterprise risk universe, based on potential effects to our operations, strategy and reputation. Our Enterprise Risk Management (ERM) Program is overseen by the Board, with the Risk Committee responsible for reviewing and assessing the program's framework and outputs. Senior management manages day-to-day risk and provides regular updates to the Board and its committees.

We also manage third-party risks through a dedicated Third-Party Risk Management (TPRM) Program, which sets due diligence standards to evaluate vendors, suppliers and other partners. This helps ensure critical relationships are reviewed, assessed and monitored to mitigate potential exposures and maintain operational resilience.

BUSINESS ETHICS AND INTEGRITY

At CME Group, we are committed to operating with integrity, objectivity and transparency. Our global Code of Conduct outlines our expectations of all employees, contractors and temporary personnel. The program is overseen by our Risk Committee and led by the Chief Enterprise Risk Management and Compliance Officer, with support from a cross-functional team and external experts.

We foster a strong speak-up culture through our Speak Up and Escalation Policy, which requires employees to report misconduct concerns. Reports can be made anonymously where permitted through the CME Group Helpline, which is operated by an independent third party. All allegations are taken seriously and investigated and are overseen at the senior management and Board level.

2024 RISK UNIVERSE

CLEARING HOUSE
- Clearing House Financial Resources
- Clearing House Operations
- Clearing House Regulatory Compliance

FINANCIAL
- Accounting and Financial Information
- Credit, Market and Industry

COMPLIANCE
- Corporate Compliance
- Regulatory
- Government Relations: Political, Legislative and Regulatory
- Legal

STRATEGIC AND COMMERCIAL
- Growth
- Commercial Offerings

REPUTATIONAL
- Brand

OPERATIONAL
- Sourcing and Third Party
- Information Security
- Physical Security
- Human Resources
- Operational Resilience
- Information Technology
- Transformation and Execution
- Trading Operations

Data Privacy and Security

At CME Group, protecting data and maintaining the integrity of our systems and our information, as well as the information entrusted to us, are essential to our operations and earning the trust of our clients, employees and stakeholders. Our Global Information Security (GIS) Program is designed and operated to mitigate information security risks and threats. Its intent is to safeguard the confidentiality, integrity and availability of our information and services. Led by our Chief Information Security Officer, the program employs a layered, defense-in-depth strategy aligned with the National Institute of Standards and Technology Cybersecurity Framework. We operate a 24/7 Cyber Defense Center and conduct regular security assessments, employee training and attack simulation exercises. Our Board of Directors provides cybersecurity risk oversight, with the Risk Committee receiving regular updates.

We also maintain a robust privacy program that includes compliance with global privacy regulations, such as the European General Data Protection Regulation, and transparency around data use as described in the CME Group Privacy Center.

Our cross-functional teams actively monitor third-party cybersecurity risks and conduct due diligence on critical vendors to protect data entrusted to us and in support of operational resilience across our global operations.

These programs are subject to risk-based examinations by our internal audit function.

Public Policy and Partnership

CME Group engages with policymakers and regulators at the federal, state, local and international levels to advocate for sound public policy and support the stability of global financial markets. Our approach is grounded in transparency, integrity and compliance with applicable legal and regulatory frameworks.

Recognizing our significant role as a leader in global financial markets, we prioritize collaboration and information sharing. We are an active participant in various industry organizations around the world that share our commitment to market integrity, financial services resiliency and the security of our information and systems. Through these affiliations, we contribute to the success of our industry and drive long-term value for our shareholders.

KEY 2024 GOVERNANCE HIGHLIGHTS

91% OF BOARD MEMBERS ARE INDEPENDENT

69 EMPLOYEES RECOGNIZED AS COMPLIANCE CHAMPIONS

OUR BOARD AT A GLANCE

AVERAGE AGE & TENURE *(in years)*

12 TENURE **66** AGE

GENDER

16 MALE **6** FEMALE

RACE / ETHNICITY

19 WHITE **3** BLACK

QUALIFICATIONS & EXPERIENCE

41% TECHNOLOGY AND INFORMATION SECURITY

41% FRESH PERSPECTIVE *(tenure less than five years)*

41% CORPORATE GOVERNANCE

27% GLOBAL PERSPECTIVE, INTERNATIONAL

ADDITIONAL RESOURCES

- Corporate Governance Principles
- 2025 Proxy Statement
- 2024 SASB Index Disclosure
- Confidentiality and Data Protection Policy
- 2024 Policy Engagement Statement
- Corporate Citizenship Webpage

About CME Group

As the world's leading derivatives marketplace, CME Group (www.cmegroup.com) enables clients to trade futures, options, cash and OTC markets, optimize portfolios and analyze data – empowering market participants worldwide to efficiently manage risk and capture opportunities. CME Group exchanges offer the widest range of global benchmark products across all major asset classes based on interest rates, equity indexes, foreign exchange, energy, agricultural products and metals. The company offers futures and options on futures trading through the CME Globex® platform, fixed income trading via BrokerTec and foreign exchange trading on the EBS platform. In addition, it operates one of the world's leading central counterparty clearing providers, CME Clearing.

LEGAL DISCLAIMERS