

Type	Account Owner	Trading Rights of Individual Executing Trade	Venue/ Transaction Type	Agricultural	Mini-sized	Soybean Crush	ICSO Calendar Spread	Fertilizer
				Futures & Options	Futures	Options	Options	Swaps
1	Individual Members	Member Account Owner	Open Outcry	\$0.18	\$0.12	\$0.60	\$0.24	\$4.00
			Globex	\$0.20	\$0.20	N/A	\$0.34	
			EFPP	\$1.10	\$1.07	\$1.55	\$1.19	
			EFR Block	\$1.40	\$1.37	\$1.85	\$1.49	
	Individual Delegates	Delegate Account Owner	Open Outcry	\$0.33	\$0.27	\$0.75	\$0.54	\$4.50
			Globex	\$0.35	\$0.30	N/A	\$0.64	
			EFPP	\$1.25	\$1.22	\$1.70	\$1.49	
			EFR Block	\$1.55	\$1.52	\$2.00	\$1.79	
EQUITY MEMBERS (Individual Equity Members, Clearing Equity Member Firms and Equity Member Firms)								
2	Rule 106.J Equity Member Firms Rule 106.I Affiliate Equity Member Firms Individual Equity Members (Other Member/Delegate executing trade) Clearing Equity Member Firms Rule 106.I Affiliate Membership Umbrella - Qualified Affiliate	Member or Delegate	Open Outcry	\$0.18	\$0.12	\$0.60	\$0.24	\$4.00
			Globex	\$0.20	\$0.20	N/A	\$0.34	
			EFPP	\$1.10	\$1.07	\$1.55	\$1.19	
			EFR Block	\$1.40	\$1.37	\$1.85	\$1.49	
		Non-Member	Globex	\$0.51	\$0.46	N/A	\$0.96	\$4.00
			EFPP	\$1.10	\$1.07	\$1.55	\$1.19	
			EFR Block	\$1.40	\$1.37	\$1.85	\$1.49	
	Rule 106.S. Family of Funds Equity Member Firms	Member, Delegate or Non-Member	Open Outcry	\$0.33	\$0.12	\$0.60	\$0.24	\$4.00
			Globex	\$0.51	\$0.46	N/A	\$0.96	
			EFPP	\$1.10	\$1.07	\$1.55	\$1.19	
			EFR Block	\$1.40	\$1.37	\$1.85	\$1.49	
TRADING MEMBERS (Individual Non-Equity Members, Clearing Non-Equity Member Firms and Non-Equity Member Firms)								
3	Individual Non-Equity Members (Other Member/Delegate executing trade) Clearing Non-Equity Member Firms Rule 106.H Member Firms Rule 106.I Affiliate Trading Member Firms (w/ an owned seat)	Member, Delegate or Non-Member	Open Outcry	\$0.53	\$0.26	\$0.66	\$0.46	\$4.50
			Globex	\$0.81	\$0.66	N/A	\$1.56	
			EFPP	\$1.21	\$1.21	\$1.61	\$1.41	
			EFR Block	\$1.51	\$1.51	\$1.91	\$1.71	
	Individual Delegates (Other Member/Delegate executing trade) Rule 106.I Affiliate Trading Member Firms (w/ a leased seat)	Member, Delegate or Non-Member	Open Outcry	\$0.68	\$0.41	\$0.81	\$0.76	\$4.50
			Globex	\$0.96	\$0.81	N/A	\$1.86	
			EFPP	\$1.36	\$1.36	\$1.76	\$1.71	
			EFR Block	\$1.66	\$1.66	\$2.06	\$2.01	
	Rule 106.S. Family of Funds Trading Member Firms	Member, Delegate or Non-Member	Open Outcry	\$0.53	\$0.26	\$0.66	\$0.46	\$4.50
			Globex	\$0.81	\$0.66	N/A	\$1.56	
			EFPP	\$1.21	\$1.21	\$1.61	\$1.41	
			EFR Block	\$1.51	\$1.51	\$1.91	\$1.71	
4	Rule 106.R Electronic Corporate Member Firms (For other than Globex - See Non-Members)	Member, Delegate or Non-Member	Globex	\$0.96	\$0.81	N/A	\$1.86	\$5.00
5	International Incentive Program (IIP) International Volume Incentive Program (IVIP) (For other than Globex - See Non-Members)	Member, Delegate or Non-Member	Globex	\$1.06	\$0.90	N/A	\$2.06	\$5.00
	Central Bank Incentive Program (CBIP) Emerging Markets Bank Incentive Program (EMBIP) Latin American Fund Manager Incentive Program (FMIP) Latin American Proprietary Trading Incentive Program (LAPTIP) Latin American Commercial Incentive Program (LACIP) (For other than Globex - See Non-Members)	Member, Delegate or Non-Member	Globex	\$1.45	\$0.90	N/A	\$2.06	\$5.00
	Non-Members	N/A	Open Outcry	\$0.97	\$0.49	\$6.06	\$1.36	\$5.00
			Globex	\$1.95	\$1.03	N/A	\$3.84	
			EFPP	\$1.66	\$1.44	\$7.01	\$2.31	
			EFR Block	\$1.96	\$1.74	\$7.31	\$2.61	
6	CMACE Permit Holders (For Rough Rice & Mini-Sized Products Only; For All Other Products - See Non-Members)	Member, Delegate or Non-Member	Open Outcry	\$0.30	\$0.20	N/A	N/A	N/A
			Globex	\$0.41	\$0.66			
			EFPP	\$1.25	\$1.15			
			EFR Block	\$1.55	\$1.45			

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Type	Account Owner	Trading Rights of Individual Executing Trade	Venue/ Transaction Type	E-mini Dow (\$5) Futures & Options	DJ U.S. Real Estate Futures	Bloomberg Roll Select CI Futures	Bloomberg Commodity Index Futures ⁽²⁾	Bloomberg Commodity Index Swaps	Bloomberg CI 2 & 3 Month Forward Swaps
1	Individual Members	Member Account Owner	Globex	\$0.11	\$0.11	\$1.00	\$0.06	\$0.50	\$1.00
			EFP EFR Block BTIC ⁽¹⁾	\$1.36	\$0.86	\$1.50	\$1.31		
	Individual Delegates	Delegate Account Owner	Globex	\$0.26	\$0.26	\$1.00	\$0.06	\$0.50	\$1.00
			EFP EFR Block BTIC ⁽¹⁾	\$1.51	\$1.01	\$1.50	\$1.31		
EQUITY MEMBERS (Individual Equity Members, Clearing Equity Member Firms and Equity Member Firms)									
2	Rule 106.J Equity Member Firms	Member or Delegate	Globex	\$0.11	\$0.11	\$1.00	\$0.06	\$0.50	\$1.00
	Rule 106.I Affiliate Equity Member Firms		EFP EFR Block BTIC ⁽¹⁾	\$1.36	\$0.86	\$1.50	\$1.31		
	Individual Equity Members (Other Member/Delegate executing the trade)	Non-Member	Globex	\$0.26	\$0.26	\$1.00	\$0.06	\$0.50	\$1.00
	Clearing Equity Member Firms		EFP EFR Block BTIC ⁽¹⁾	\$1.51	\$1.01	\$1.50	\$1.31		
	Rule 106.I Affiliate Membership Umbrella - Qualified Affiliate								
	Rule 106.S. Family of Funds Equity Member Firms	Member, Delegate or Non-Member	Globex	\$0.26	\$0.26	\$1.00	\$0.06	\$0.50	\$1.00
			EFP EFR Block BTIC ⁽¹⁾	\$1.51	\$1.01	\$1.50	\$1.31		
TRADING MEMBERS (Individual Non-Equity Members, Clearing Non-Equity Member Firms and Non-Equity Member Firms)									
3	Individual Non-Equity Members (Other Member/Delegate executing the trade)	Member, Delegate or Non-Member	Globex	\$0.30	\$0.30	\$1.00	\$0.06	\$0.50	\$1.00
	Clearing Non-Equity Member Firms		EFP EFR Block BTIC ⁽¹⁾	\$1.55	\$1.05	\$1.50	\$1.31		
	Rule 106.H Member Firms								
	Individual Delegates (Other Member or Delegate executing the trade)	Member, Delegate or Non-Member	Globex	\$0.49	\$0.49	\$1.00	\$0.06	\$0.50	\$1.00
	Rule 106.I Affiliate Trading Member Firms (w/ a leased seat)		EFP EFR Block BTIC ⁽¹⁾	\$1.74	\$1.24	\$1.50	\$1.31		
	Rule 106.S. Family of Funds Trading Member Firms	Member, Delegate or Non-Member	Globex	\$0.30	\$0.30	\$1.00	\$0.06	\$0.50	\$1.00
			EFP EFR Block BTIC ⁽¹⁾	\$1.55	\$1.05	\$1.50	\$1.31		
4	Rule 106.R Electronic Corporate Member Firms (For other than Globex - See Non-Members)	Member, Delegate or Non-Member	Globex	\$0.30	\$0.30	\$1.00	\$0.06	\$0.50	\$1.00
			Globex - BTIC ⁽¹⁾	\$2.41					
5	International Incentive Program (IIP)	Member, Delegate or Non-Member	Globex	\$0.54	\$0.54	\$1.00	\$0.06	\$0.50	\$1.00
	International Volume Incentive Program (IVIP) (For other than Globex - See Non-Members)		Globex - BTIC ⁽¹⁾	\$1.29					
	Central Bank Incentive Program (CBIP)	Member, Delegate or Non-Member	Globex	\$0.75	\$0.75	\$1.00	\$0.06	\$0.50	\$1.00
	Emerging Markets Bank Incentive Program (EMBIP)		Globex - BTIC ⁽¹⁾	\$1.50					
	Latin American Fund Manager Incentive Program (FMIP)								
	Latin American Proprietary Trading Incentive Program (LAPTIP) (For other than Globex - See Non-Members)								
	Non-Members	N/A	Globex	\$1.16	\$1.16	\$1.00	\$0.06	\$0.50	\$1.00
			EFP EFR Block BTIC ⁽¹⁾	\$2.41	\$1.41	\$1.50	\$1.31		

CBOT Equity Product Notes

(1) BTIC rates apply to Basis Trade at Index Close transactions of E-mini Dow (\$5) Futures via CME Globex.

(2) The Bloomberg CI Future incorporates an "embedded fee". Every calendar day, the long position holder will be charged an embedded fee calculated using the following formula:

$$[\text{Number of Contracts}] \times \$100 \times [\text{Daily Settlement Price}] \times [0.0040/365] \times \text{Days}$$

Days are calculated as the number of calendar days from the current Bloomberg CISM trading date to the next Bloomberg CISM trading date.

There is no embedded fee charged to the short position holder, however, short position holders receive a pass through payment from the fee collected from the long position holder equal to:

$$[\text{Number of Contracts}] \times \$100 \times [\text{Daily Settlement Price}] \times [0.0010/365] \times \text{Days}$$

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Type	Account Owner	Trading Rights of Individual Executing Trade	Venue/ Transaction Type	Treasury Futures					Treasury Options	Fed Fund		MAC Swap											
				2YR 3YR	5YR	10YR Ultra 10YR	30YR	Ultra Bond		Futures	Options	Futures											
1	Individual Members	Member Account Owner	Open Outcry	\$0.12					\$0.12	\$0.11		\$0.16											
			Globex							\$0.16													
			EFP EFR Block										\$0.86										
	Individual Delegates	Delegate Account Owner	Open Outcry	\$0.26					\$0.26	\$0.26		\$0.31											
			Globex							\$0.31													
			EFP EFR Block										\$1.01										
EQUITY MEMBERS (Individual Equity Members, Clearing Equity Member Firms and Equity Member Firms)																							
2	Rule 106.J Equity Member Firms Rule 106.I Affiliate Equity Member Firms Individual Equity Members (Other Member/Delegate executing trade) Clearing Equity Member Firms Rule 106.I Affiliate Membership Umbrella - Qualified Affiliate	Member or Delegate	Open Outcry	\$0.12					\$0.12	\$0.11		\$0.16											
			Globex							\$0.16													
			EFP EFR Block										\$0.86										
		Non-Member	Globex	\$0.21					\$0.21	\$0.36													
			EFP EFR Block							\$0.86													
	Rule 106.S Family of Funds Equity Member Firms	Member, Delegate or Non-Member	Open Outcry	\$0.17					\$0.17			\$0.11		\$0.16									
			Globex							\$0.36													
			EFP EFR Block									\$0.86											
TRADING MEMBERS (Individual Non-Equity Members, Clearing Non-Equity Member Firms and Non-Equity Member Firms)																							
3	Individual Non-Equity Members (Other Member/Delegate executing trade) Clearing Non-Equity Member Firms Rule 106.H Member Firms Rule 106.I Affiliate Trading Member Firms (w/ an owned seat)	Member, Delegate or Non-Member	Open Outcry	\$0.29					\$0.29	\$0.31		\$0.31											
			Globex							\$0.41													
			EFP EFR Block										\$1.06										
	Individual Delegates (Other Member/Delegate executing trade) Rule 106.I Affiliate Trading Member Firms (w/ a leased seat)	Member, Delegate or Non-Member	Open Outcry	\$0.43					\$0.43	\$0.34		\$0.31											
			Globex							\$0.44													
			EFP EFR Block										\$1.09										
	Rule 106.S Family of Funds Trading Member Firms	Member, Delegate or Non-Member	Open Outcry	\$0.29					\$0.29	\$0.31		\$0.31											
			Globex							\$0.41													
			EFP EFR Block										\$1.06										
4	Rule 106.R Electronic Corporate Member Firms (For Other than Globex - See Non-Members)	Member, Delegate or Non-Member	Globex	\$0.44					\$0.44	\$0.44		\$0.44											
5	International Incentive Program (IIP) International Volume Incentive Program (IVIP)	Member, Delegate or Non-Member	Open Outcry	\$0.49					\$0.49	\$0.36	\$0.49	\$0.49											
			Globex							\$0.49													
			EFP EFR Block										\$1.24										
	Central Bank Incentive Program (CBIP) Emerging Markets Bank Incentive Program (EMBIP) Latin American Fund Manager Incentive Program (FMIP) Latin American Proprietary Trading Incentive Program (LAPTIP) (For Other than Globex - See Non-Members)	Member, Delegate or Non-Member	Globex	\$0.49					\$0.49	\$0.49		\$0.49											
	Non-Members												N/A	Open Outcry	\$0.53	\$0.56	\$0.61	\$0.63	\$0.66	\$0.75	\$0.36	\$0.56	\$0.56
														Globex	\$0.60	\$0.65	\$0.75	\$0.80	\$0.85	\$0.96			
		EFP EFR Block	\$1.21					\$1.40	\$1.31														

CBOT Financial Product Notes									
(1) Ultra 10 Year Options (TN) Exchange fees for EFP,EFR, and Block will be reduced to have the same rate as Pit Fees from 10/01/2016 - 03/31/2017									

CBOT Financial Product Volume Discounts									
On a tiered basis, the Exchange Fee for electronically traded U.S. Treasury Futures and Options (Non-Member Execution Only) will be reduced for trading volumes exceeding designated monthly average daily volume thresholds. Futures and Options are accumulated separately when determining threshold levels.									
U.S Treasury (Non-Member Execution)									
Type	Account Owner	Platform	Trading Rights of Individual Executing Trade	Futures			Options		
				Monthly Average Daily Threshold (sides)	Exchange Fee Rate	Exchange Fee Discount	Monthly Average Daily Threshold (sides)	Exchange Fee Rate	Exchange Fee Discount
2	Equity Members/Firms	Electronic	Non-Member	0 - 15,000	\$0.21	none	0 - 3,000	\$0.21	none
	Family of Funds	Electronic	Member, Delegate or Non-Member	15,001 - 30,000	\$0.18	\$0.03	3,001 - 6,000	\$0.18	\$0.03
				30,001 - 45,000	\$0.16	\$0.05	6,001 - 9,000	\$0.16	\$0.05
				> 45,000	\$0.14	\$0.07	> 9,000	\$0.14	\$0.07
Volume is aggregated by account owner across accounts, within a single filling firm. Clearing Members and their related 106.I Member(s) accounts will be treated as one firm for purposes of the volume aggregation. For Rule 106.S Member Approved Funds, their associated volume will be aggregated with their related Equity Corporate Member Firm.									

On a tiered basis, the Exchange Fee for electronically traded Fed Fund Futures and Options (Non-Member Execution Only) will be reduced for trading volumes exceeding designated monthly average daily volume thresholds. Futures and Options are accumulated separately when determining threshold levels.									
Fed Fund (Non-Member Execution)									
Type	Account Owner	Platform	Trading Rights of Individual Executing Trade	Futures			Options		
				Monthly Average Daily Threshold (sides)	Exchange Fee Rate	Exchange Fee Discount	Monthly Average Daily Threshold (sides)	Exchange Fee Rate	Exchange Fee Discount
2	Equity Members/Firms	Electronic	Non-Member	0 - 3,000	\$0.36	none	0 - 3,000	\$0.36	none
	Family of Funds	Electronic	Member, Delegate or Non-Member	3,001 - 6,000	\$0.31	\$0.05	3,001 - 6,000	\$0.31	\$0.05
				6,001 - 9,000	\$0.26	\$0.10	6,001 - 9,000	\$0.26	\$0.10
				> 9,000	\$0.21	\$0.15	> 9,000	\$0.21	\$0.15
Volume is aggregated by account owner across accounts, within a single filling firm. Clearing Members and their related 106.I Member(s) accounts will be treated as one firm for purposes of the volume aggregation. For Rule 106.S Member Approved Funds, their associated volume will be aggregated with their related Equity Corporate Member Firm.									

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CBOT Non-Trades & Other Fee Schedules as of January 1, 2017

Exchange Fees for Non-Trades			
	Member	Delegate	Non-Member
Exercise, Assignment and Futures from Exercise or Assignment	\$0.10	\$0.25	\$0.55
Cash Settlement/Delivery ⁽¹⁾	\$0.10	\$0.25	\$0.55
Expired Options		\$0.10	
Fertilizer Swaps		\$4.00	
Bloomberg Commodity Index Swaps		\$0.50	
Bloomberg Roll Select & Bloomberg CI 2&3 Month Forward Swaps		\$1.00	
<i>(1) Non-trade fees do not apply for Deliverable IR Swap Futures</i>			

Other Fees		
Description	All Products	Applied to:
Position Transfers	\$0.10	Both sides of the transfer; Capped at \$2,500 per firm per transfer.
Position Adjustments	\$0.10	Not charged if incurred next business day as a result of exercise/assignment.
Give-up Surcharge	\$0.06	Executing firm for all give-up transactions, except when both sides of the give-up have the same guaranteeing firm.
Facilitation Fee	\$0.40	Trade executed using the CME Group facilitation services of the Global Command Center (GCC).

Fee Waivers			
Product	Effective Date	Expiration Date	Waiver Description
Eu Wheat Future and Options	9/12/2016	3/10/2017	All Exchange Fees - Members 100% Waiver - Non-Members rate of \$0.01 (Non-Members = Type 5 plus Type 4 for Pit and EFRP/Block Activity)

Brokerage Fees	
Order filling (Trading without discretion)	
Members	\$0.04
Lessees (106D)	\$0.17
Firm Employee (106F) Within the Family of Firms	\$0.04
Firm Employee (106F) Outside the Family of Firms	\$0.17
CMACE Permit Holders	\$0.17
Trading with discretion	
Lessees (106D)	\$0.15
Firm Employee (106F) Outside the Family of Firms	\$0.15
Firm Employee (106F) Within the Family of Firms	N/A

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