

FIXML Party Roles and Subs Master

(Updated November 16, 2006)

The **Pty** element contains two attributes:

ID	the value
R	the integer defining the role

And it may contain an element **Sub**, which itself has two attributes:

ID	the value
Typ	the code representing the type of sub-role.

The most common Party roles are:

21	Clearing Organization
4	Clearing Member Firm
38	Position Account
22	Trading Member Firm Exchange
1	Trading Member Firm ID

Position Account and Trading Member Firm have **Sub** element of type **26**, indicating the origin, with allowable values of **1** (customer) and **2** (house).

12	Executing Broker
24	Customer Account
17	Opposite Trading Member Firm
37	Opposite Broker

The complete list of Roles and Subs may be found within fixml-fields-base-**X-X**.dtd (where **X-X** is the FIXML version) of the FIXML schema. The Roles and Subs currently defined are enumerated on the following pages.

Roles (FIXML 5.0)

1	ExecutingFirm	43	Internal Carry Account
2	BrokerofCredit	44	Order Entry Operator ID
3	ClientID	45	Secondary Account Number
4	ClearingFirm	46	Foriegn Firm
5	InvestorID	47	Third Party Allocation Firm
6	IntroducingFirm	48	Claiming Account
7	EnteringFirm	49	Asset Manager
8	LocateLendingFirm	50	Pledgor Account
9	FundManager	51	Pledgee Account
10	SettlementLocation	52	Large Trader Reportable Account
11	InitiatingTrader	53	Trader Pneumonic
12	ExecutingTrader	54	Sender Location
13	OrderOriginator	55	Session ID
14	GiveupClearingFirm	56	Acceptable Counterparty
15	CorrespondantClearingFirm	57	Unacceptable Counterparty
16	ExecutingSystem	58	Entering Unit
17	ContraFirm	59	Executing Unit
18	ContraClearingFirm	60	IntroducingBroker
19	SponsoringFirm	61	Quote originator
20	UndrContraFirm	62	Report originator
21	ClearingOrganization	63	Systematic internaliser (SI)
22	Exchange	64	Multilateral Trading Facility (MTF)
24	CustomerAccount	65	Regulated Market (RM)
25	CorrespondentClearingOrganization	66	Market Maker
26	CorrespondentBroker	67	Investment Firm
27	BuyerSellerReceiverDeliverer	68	Host Competent Authority (Host CA)
28	Custodian	69	Home Competent Authority (Home CA)
29	Intermediary	70	Competent Authority of the most relevant market in terms of liquidity (CAL)
30	Agent		
31	SubCustodian		
32	Beneficiary	71	Competent Authority of the Transaction (Execution) Venue (CATV)
33	InterestedParty		
34	RegulatoryBody	72	Reporting intermediary (medium/vendor via which report has been published)
35	LiquidityProvider		
36	EnteringTrader		
37	ContraTrader	73	Execution Venue
38	PositionAccount	74	Market data entry originator
39	AllocEntity	75	Location ID
41	Contra Position Account	76	Desk ID
42	Contra Exchange	77	Market data market

Sub Types (FIXML 5.0)

1	Firm
2	Person
3	System
4	Application
5	FullLegalNameOfFirm
6	PostalAddress
7	PhoneNumber
8	EmailAddress
9	ContactName
10	SecuritiesAccountNumber
11	RegistrationNumber
12	RegisteredAddress
13	RegulatoryStatus
14	RegistrationName
15	CashAccount
16	BIC
17	CSDParticipantmemberCode
18	RegisteredAddress
19	FundaccountName
20	TelexNumber
21	FaxNumber
22	SecuritiesAccountName
23	CashAccountName
24	Department
25	LocationDesk
26	PositionAccountType
27	SecurityLocateID
28	Market Maker
29	Eligible counterparty
30	Professional client
31	Location
32	Execution venue
33	Designated Currency
4000	ReservedAndAvailableForBilaterallyAgreedUponUserDefinedValues