

CME ClearPort

GREATER SECURITY. MORE CERTAINTY.

CME ClearPort delivers flexible OTC clearing services across Energy, Agriculture, Equities, Foreign Exchange, Interest Rates, and Metals Markets that allow market participants to continue to conduct business off-exchange while maintaining the advantages of security, efficiency, and certainty.

How Clearing Serves You

CME Clearing serves as the counterparty to every cleared transaction, becoming the buyer to each seller and the seller to each buyer, and limiting credit risk by guaranteeing financial performance of both parties. Each clearing member assumes performance and financial responsibility for all transactions it clears, including transactions cleared on behalf of its customers and on behalf of the clearing member and its affiliates.

CME Clearing's integrated clearing function is designed to ensure the safety and soundness of our markets and serve the risk management needs of our customers. CME Clearing structures its services, safeguards, and registration status to best serve OTC transactions cleared through CME ClearPort.

Why CME ClearPort?

Mitigate Your Risk

In a central clearing model, counterparty credit risk is shared among clearing members.

Enhance Your Efficiency

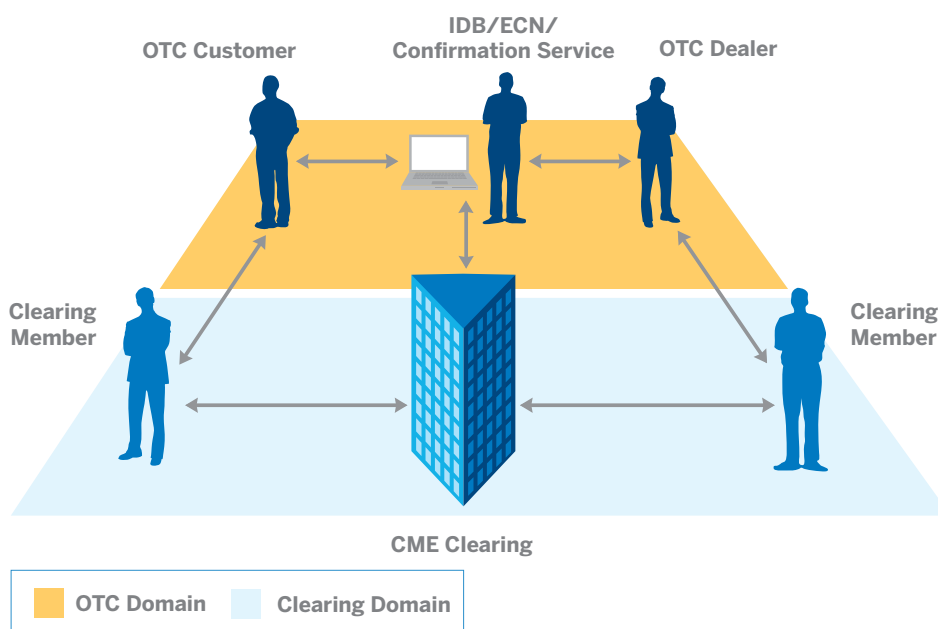
Replace the time and expense of documentation with real-time trade confirmations and straight-through processing once a contract is cleared.

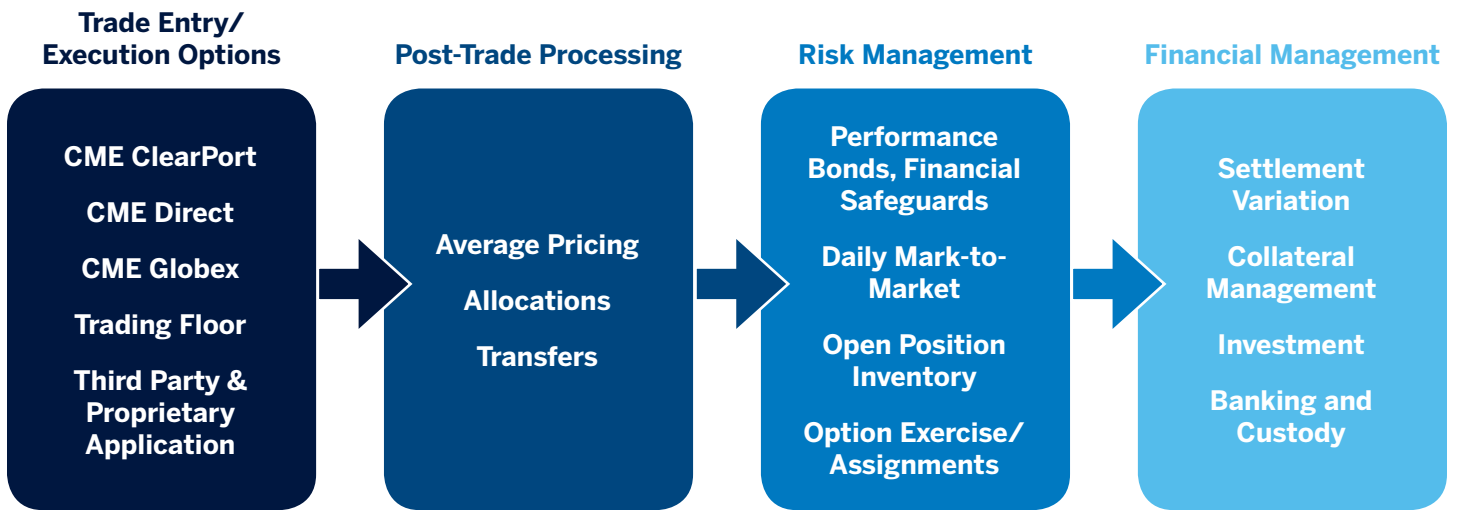
Know Your Costs

Offsets margin costs by reducing the expenses from performing credit assessments and having to manage the flow of funds among counterparties.

Access Independent, Third-Party Valuations

Neutral, third-party valuations and a mark-to-market process that enable you to track positions and assess risk.





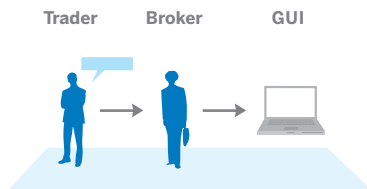
CME ClearPort

CME ClearPort allows for the transaction and clearing of trades on OTC terms by either clearing products as OTC swaps or as, pursuant to applicable rules.

CME ClearPort – Entering Trades for Clearing

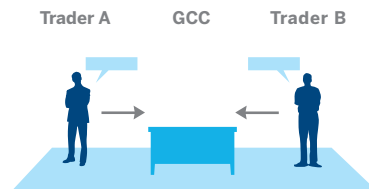
Broker Entry

Once a deal is reached, the broker enters the trade into the CME ClearPort web-based user interface (GUI) or CME ClearPort API.



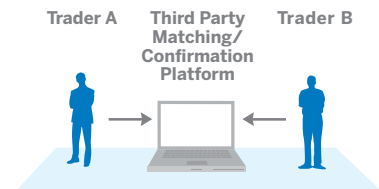
Global Command Center

Once a deal is reached, one of the traders calls the GCC. The facilitation desk contacts the other trader to confirm. When the desk has confirmation from both parties, it processes the trade.



Third-Party Matching

In this scenario, two traders are matched by a third-party matching/confirmation platform. The matching platform writes the trade directly into the CME ClearPort API.



CME Direct: Enter CME ClearPort trades with speed and efficiency

CME Direct is a powerful trading front-end that provides access to CME Group futures, options and OTC markets – all on one screen.

CME Direct provides:

- **Fast booking:** easily book OTC trades through CME ClearPort to meet block reporting timelines.
- **Trade entry validation:** reduce trade entry errors.
- **Block ticker:** view block trades and futures prices in real-time.
- **Broker whiteboard:** track and share prices across desks and regions.

For additional information or to schedule a demo, contact CMEDirectSales@cmegroup.com

CME STP – Receiving a real-time trade flow

Experience the efficiency of our enhanced straight through processing solution. With CME STP, you have real-time trade flow from the CME to your risk system. STP provides:

- Trade confirmations direct from the exchange, including broker trades.
- Streamline post-trade flows.
- Eliminate front-office dual-keying errors through front-line error-checking tools.
- Reduced dependency on end-of-day trade reconciliation and T+1 error correction with Clearing Members and Brokers.
- Ensure real-time regulatory compliance.
- Easily managed web-based portal.

For more information contact STP@cmegroup.com. Or visit cmegroup.com/stp

CME Account Manager – Monitoring CME ClearPort Accounts

CME ClearPort Account Manager enables trader administrators to monitor CME ClearPort accounts and credit details. Subject to the discretion of the clearing firm, Account Manager will allow trader administrators to:

Monitor Limits and Usage

Add or Remove CME ClearPort Broker firms

Search for active and inactive accounts, as well as view specific account details

Search and View specific account credit control details

Search and View account audit trail for all accounts including those pending activation

Getting Started

CME ClearPort registration consists of two distinct processes: firm registration and individual registration.

FIRM REGISTRATION

Step 1: Register and activate a SMART Click ID through the [SMART Click Registration Process](#) and instruct a backup verification officer to do the same and to provide the primary verification officer with their newly created SMART Click ID.

Step 2: Read and agree to required legal documents including, without limitation, the Exchange User License Agreement (and all relevant documents, schedules and appendices referenced therein).

Step 3 Submit the following required information:

- Company Information
- Primary and backup Verification Officer names and SMART Click IDs

After completing the forms, please click “submit” to send the registration form online.

[Register a firm for CME ClearPort](#)

INDIVIDUAL REGISTRATION

Step 1: Have each individual requiring application access create and activate a SMART Click ID through the [SMART Click Registration Process](#) and provide the verification officer with their newly created SMART Click IDs

Step 2: Download the CME ClearPort Individual Registration Form below, enter following required information, and email to CPCRegistrationRecipients@cmegroup.com:

- Verification Officer Name and SMART Click ID
- Company Name
- Name and SMART Click ID of application user being registered, plus designated role(s)
- First Name, Last Name, and Email Address for individuals who do not require application access (i.e., brokers, traders, and asset managers to be specified on trade submissions)

[Download the CME ClearPort Individual Registration Form](#)

For assistance with registration, please contact CME Enterprise Application & System Entitlements (EASE) on our 24-hour desk:

North America +1 312 456 1560

Europe +44 20 3379 3802

Asia Pacific +65 6593 5536

EASE_OTC_Request@cmegroup.com

To learn more about CME ClearPort visit cmegroup.com/clearport.

CME ClearPort Hours

5:00 p.m. Sunday – 4:15 p.m. Friday, Chicago Time (CT)
45-minute break from 4:15 p.m. – 5:00 p.m., Mondays through
Thursdays CT

Global Command Center

Call: +1 800 438 8616
E-mail: gcc@cmegroup.com

Futures trading is not suitable for all investors, and involves the risk of loss. Futures are a leveraged investment, and because only a percentage of a contract's value is required to trade, it is possible to lose more than the amount of money deposited for a futures position. Therefore, traders should only use funds that they can afford to lose without affecting their lifestyles. And only a portion of those funds should be devoted to any one trade because they cannot expect to profit on every trade. All references to options refer to options on futures.

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