

Member Update

June 2013 Volume 6

SCHEDULE ANNOUNCEMENTS	A
ACTIONS/RULE CHANGES	
ALL MEMBERS	B
CME MEMBERS	C
CBOT MEMBERS	D
NYMEX AND COMEX MEMBERS	E
MARKET REGULATION ADVISORY NOTICES	
ALL MEMBERS	F
CME MEMBERS	G
CBOT MEMBERS	H
NYMEX AND COMEX MEMBERS	I
CME MEMBER TRADE PROCEDURES	J
PERFORMANCE BOND REQUIREMENTS	K
NEWS RELEASES	L
DISCIPLINARY ACTIONS	
CME MEMBERS	M
CBOT MEMBERS	N
NYMEX AND COMEX MEMBERS	O
MEMBERSHIP SALES/TRANSFER INFORMATION	
CME MEMBERSHIPS	P
CBOT MEMBERSHIPS	Q

Section A:

Schedule Announcements

For the CME Group 2013 holiday schedules, including Independence Day, please click below on the home page for the CME Group Holiday Calendar:

<http://www.cmegroup.com/tools-information/holiday-calendar/index.html>

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Section B:
Actions/Rule Changes
All Member Actions

None for June

CME Group Update

Section C:
Actions/Rule Changes
CME Members

None for June

CME Group Update

Section D: **Actions/Rule Changes** **CBOT Members**

None for June

Section E:

Actions/Rule Changes

NYMEX and COMEX Member Actions

None for June

CME Group Update

Section F:

Market Regulation Advisory Notices

All Members

None for June

Section G:

Market Regulation Advisory Notices

CME Members

- 1 Block Trades – Rule 526

I. MARKET REGULATION ADVISORY NOTICE – Block Trades

Exchange	CME & CBOT
Subject	Block Trades
Rule References	Rule 526
Advisory Date	May 17, 2013
Advisory Number	CME & CBOT RA1304-3
Effective Date	June 3, 2013

This Advisory Notice supersedes CME & CBOT Market Regulation Advisory Notice RA1303-3RR from April 26, 2013. It is being issued to notify the marketplace that pending all relevant regulatory review periods, CME will permit block trading pursuant to Rule 526 (“Block Trades”) in the following four FX Realized Variance futures contracts at a block trade minimum threshold of 10 contracts concurrent with the launch of trading in those contracts on Sunday, June 2, 2013, for trade date Monday, June 3, 2013.

- Australian Dollar/U.S. Dollar (“AUD/USD”) Realized Variance futures
- Great British Pound/U.S. Dollar (“GBP/USD”) Realized Variance futures
- Euro/U.S. Dollar (“EUR/USD”) Realized Variance futures
- Japanese Yen/U.S. Dollar (“JPY/USD”) Realized Variance futures

CME and CBOT products in which block trading is permitted, and the relevant quantity thresholds, appear in Section 12, and the text of CME and CBOT Rule 526 appears in Section 13 of this Advisory Notice.

1. Definition of Block Trades

Block trades are privately negotiated futures, options or combination transactions that meet certain quantity thresholds which are permitted to be executed apart from the public auction market.

It shall not be permissible to facilitate the execution of block trades in Exchange-traded products on a system or facility accessible to multiple parties that allows for the electronic matching of or the electronic acceptance of bids and offers. Parties may use communication technologies to bilaterally request block quotes from one or more participants and to conduct privately negotiated block trades. Parties may also utilize technologies supported by third parties which allow for the electronic posting of indicative block markets displayed to multiple market participants. However, block trades executed between parties on the basis of such electronically displayed indicative markets may be transacted only through direct bilateral communications involving the broker, where applicable, and the parties to the trade.

All block trades are subject to the conditions set forth in CME and CBOT Rule 526 and in this Advisory Notice. Additionally, BTIC (“Basis Trade at Index Close”) block trades in Select Sector futures and DJUSRE futures are also subject to the requirements set forth in CME Rule 36906.A. (“BTIC Block Trade Requirements”) or CBOT Rule 30107.A. (“BTIC Block Trade Requirements”), as applicable. Additional information on BTIC block trades appears in Section 9 of this Advisory Notice.

2. Participation in Block Trades

Each party to a block trade must be an Eligible Contract Participant as that term is defined in Section 1a of the Commodity Exchange Act. Eligible Contract Participants generally include exchange members and member firms, broker/dealers, government entities, pension funds, commodity pools, corporations, investment companies, insurance companies, depository institutions and high net-worth individuals. Commodity trading advisors and investment advisors who are registered or exempt from registration, and foreign persons performing a similar role and subject as such to foreign regulation, may participate in block transactions provided they have total assets under management exceeding \$25 million and the block trade is suitable for their customers.

A customer order may be executed by means of a block trade only if the customer has specified that the order be executed as a block trade.

Orders may not be bunched to meet the minimum block quantity thresholds.

3. Time and Prices of Block Trades

Block trades may be executed at any time, including times during which the public auction market is closed, except that BTIC block trades may not take place on the last day of trading in an expiring contract month.

Block trades must be transacted at prices that are “**fair and reasonable**” in light of (i) the size of the transaction, (ii) the prices and sizes of other transactions in the same contract at the relevant time, (iii) the prices and sizes of transactions in other relevant markets, including, without limitation, the underlying cash market or related futures markets, at the relevant time, and (iv) the circumstances of the markets or the parties to the block trade.

The Basis applied to a BTIC block trade must also be fair and reasonable taking into account financing rates, expected dividend income and the time remaining until the applicable futures contract expires pursuant to the requirements of CME Rule 36906 (“Basis Trade at Index Close (“BTIC”) Transactions”) or CBOT Rule 30207 (“Basis Trade at Index Close (“BTIC”) Transactions”), as applicable.

The trade price must be consistent with the minimum tick increment for the market in question. Additionally, each outright transaction and each leg of any block eligible spread or combination trade must be executed at a single price.

Block trade prices are reported independently of transaction prices in the regular market and are not included as part of the daily trading range. Block trade prices do not elect conditional orders (e.g. stop orders) or otherwise affect orders in the regular market.

4. Block Trade Minimum Quantities for Outrights, Spreads and Combinations

The block trade minimum quantity requirements for outright futures and options are set forth in the list of block trade eligible products in Section 12 of this Advisory Notice. Additional information with respect to spreads and combinations executed as blocks appears below:

a) Intra-Commodity Futures Spreads and Futures Combinations

- i) All Products Excluding U.S. Treasury, OTR Treasury Yield, 5-, 7-, 10- and 30-Year Interest Rate Swap and GSCI Futures

Intra-commodity futures spreads and futures combinations may be executed as block trades provided that the **sum** of the quantities of the legs meets the minimum block quantity threshold. For example, 2,000

Eurodollar future calendar spreads executed during RTH hours would meet the Eurodollar futures minimum quantity requirement of 4,000 contracts.

ii) U.S. Treasury, OTR Treasury Yield and 5-, 7-, 10- and 30-Year Interest Rate Swap Futures

Intra-commodity calendar spread block trades are prohibited in CBOT U.S. Treasury futures, OTR Treasury Yield and 5-, 7-, 10- and 30-Year Interest Rate Swap futures. Parties may not execute contingent block trades in outright contracts to circumvent the prohibition on the execution of block trades in intra-commodity calendar spreads. Additionally, Tandem spreads (a trade combining calendar spreads in two different Treasury futures) may not be executed as block trades.

iii) GSCI Futures

In GSCI futures and GSCI Excess Return Index futures the block trade minimum is 300 contracts for each leg of the spread or combination. For example, the minimum quantity for a GSCI calendar spread would require 300 contracts in each leg of the spread for a total of 600 contracts while a GSCI futures butterfly would require a minimum volume of 1,200 contracts.

b) Inter-Commodity Futures Spreads

i) Short Term Interest Rate Futures

In Short Term Interest Rate futures (Eurodollars, Eurodollar E-minis, Euribor, T-Bills, OIS, One-Month Eurodollar, Euroyen and 30-Day Fed Funds), inter-commodity futures spreads may be executed as block trades provided that the ***sum of the legs of the spread*** meets the ***larger*** of the threshold requirements for the underlying products. For example, the minimum quantity thresholds for One-Month Eurodollar and Eurodollars during ETH are 200 and 2,000 contracts, respectively. Therefore, a block trade in the One-Month Eurodollar/Eurodollar spread can be executed provided that the sum of the legs is at least 2,000 contracts.

ii) U.S. Treasury, OTR Treasury Yield, 5-, 7-, 10- and 30-Year Interest Rate Swap and 10-Year Sovereign Yield Spread Futures

In U.S. Treasury, OTR Treasury Yield, 5-, 7-, 10- and 30-Year Interest Rate Swap and 10-Year Sovereign Yield Spread futures, inter-commodity futures spreads may be executed as block trades provided that ***each leg of the spread*** meets the minimum threshold requirement for the respective underlying products. For example, the minimum quantity thresholds for 10-Year Notes and U.S. Treasury Bonds during RTH are 5,000 and 3,000 contracts, respectively. Therefore, a block trade in the NOB spread (10-Year Note/Treasury Bond spread) can be executed only if the minimum quantity of the 10-Year Note leg of the spread is at least 5,000 contracts and the minimum quantity of the Treasury Bond leg of the spread is at least 3,000 contracts.

iii) Deliverable Interest Rate Swap Futures ("DSF")

Inter-commodity futures spreads or combinations composed only of DSF (e.g., 2-Year DSF v. 10-Year DSF) may be executed as block trades provided that the ***sum of the legs of the spread*** meets the ***larger*** of the threshold requirements for the underlying products. For example, the minimum block trade thresholds for 2-Year DSF and 10-Year DSF are 3,000 and 1,000 contracts, respectively. Thus, a spread between these two contracts may be executed as a block trade if the sum of the quantities of the legs is at least 3,000 contracts.

Inter-commodity futures spreads or combinations excluding those composed only of DSF (e.g., 10-Year Treasury Notes vs. 10-Year DSF) may be executed as block trades provided that ***each leg of the spread*** meets the minimum threshold requirement for the respective underlying products. For example, the minimum block trade thresholds for 10-Year Treasury Note futures and 10-Year DSF during RTH are

5,000 contracts and 1,000 contracts, respectively. A spread between these two contracts can be executed as a block trade, therefore, only if the quantity of the 10-Year Treasury Note leg is at least 5,000 contracts and the quantity of the 10-Year DSF leg is at least 1,000 contracts.

iv) All Other Products

In all other block-eligible products, inter-commodity futures spreads may be executed as block trades provided that the quantity of **each leg of the spread** meets the **larger** of the threshold requirements for the underlying products.

c) Intra-Commodity Option Spreads and Combinations

Intra-commodity option spreads and combinations may be executed as block trades provided that the quantity of **each leg of the spread** meets the designated minimum quantity threshold.

d) Inter-Commodity Option Spreads and Combinations

Inter-commodity option spreads and combinations may be executed as block trades provided that the quantity of **each leg of the spread** meets the **larger** of the threshold requirements for the underlying products.

e) Options/Futures Spreads

In general, options/futures spreads may be executed as block trades provided that the options component of the spread meets the minimum quantity threshold for the outright option or option combination and the quantity of futures executed is consistent with the delta of the options component of the spread. An exception applies to Weather and Housing options/futures spreads, where the legs of the spread may be summed to meet the 20-contract minimum threshold.

5. Block Trade Price Reporting Requirements

a) Time Requirements

With the exceptions noted below, all block trades must be price reported to the Exchange within **five minutes** of the transaction.

i) Block Trades during European or Asian hours (ETH or ATH) in Interest Rate Products **Excluding** DSF

Interest rate block trades executed during ETH or ATH must be price reported to the Exchange within **15 minutes** of the transaction. With the exception of DSF, interest rate block trades executed during RTH must be reported within five minutes.

ETH: 12:00 a.m. – 7:00 a.m. CT, Monday through Friday on regular business days
RTH: 7:00 a.m. – 4:00 p.m. CT, Monday through Friday on regular business days
ATH: 4:00 p.m. – 12:00 a.m. CT, Monday through Friday on regular business days and at all times on weekends

ii) Weather, Housing, and DSF Block Trades

Block trades in Weather, Housing and DSF contracts must be price reported to the Exchange within **15 minutes** of the transaction.

Notwithstanding the foregoing, the execution of any inter-commodity block spread or combination trade that includes a DSF leg(s) and a leg(s) subject to a 5 minute price reporting requirement requires that the block spread or combination trade be reported within 5 minutes of execution.

b) Reporting Obligation

Price reporting obligations are the responsibility of the seller, unless otherwise agreed to by the principal counterparties to the block trade; however, in the case of a brokered transaction, the price reporting obligation is the responsibility of the broker handling the block trade, unless otherwise agreed to by the principal counterparties to the block trade. For purposes of the foregoing, the term “seller” refers to the principal counterparty acting as the seller of the block trade.

The failure to submit timely, accurate and complete block trade reports may subject the party responsible for the reporting obligation to disciplinary action. Parties shall not be sanctioned for block reporting infractions deemed to arise from factors beyond the reporting party’s control (e.g. the block trade fails the CME ClearPort automated credit check).

c) Reporting Methods

Block trades must be price reported to the Exchange via one of the following methods.

i) Global Command Center (“GCC”)

All block trades (except for block trades in Weather futures and options executed between 8:00 a.m. and 3:30 p.m. Chicago time, Monday – Friday on regular business days) may be price reported to the GCC. The seller or broker reports the trade by calling the GCC at **312.456.2391**. When the GCC is closed – for example, during the weekend – the block trade must be reported no later than five minutes prior to the opening of the next electronic trading session for that product.

ii) CME ClearPort

Block trades in all products with the exception of CME Weather may be electronically submitted directly to CME Clearing via CME ClearPort.

Block trades may also be price reported to the CME ClearPort Facilitation Desk by calling **1.866.246.9639** or via email at FacDesk@cmegroup.com. In either case, all of the data and information elements required in d) below must be submitted.

For information on reporting block trades through CME ClearPort, please contact customer service at 1.800.438.8616 or via email at ClearPort@cmegroup.com.

iii) Weather Blocks on CME Clearing360 via Pivot Instant Markets

Block trades in Weather futures and options may be price reported electronically to CME Clearing360 via Pivot Instant Markets by eligible inter-dealer brokers. For additional information on price reporting block trades via Pivot Instant Markets, please contact Angie DiCarlo, Senior Director, Client Development & Sales, at 312.930.4515 or via email at Angie.DiCarlo@cmegroup.com.

iv) Weather Block Trades Executed Between 8:00 a.m. and 3:30 p.m.

Block trades in Weather futures and options executed between 8:00 a.m. and 3:30 p.m. Chicago time, Monday – Friday on regular business days should be price reported to Exchange staff by calling 312.648.3935 or 312.648.3936.

d) Information Required When Reporting Block Trades

When price reporting a block trade, the following information will be required:

- Contract, contract month and contract year for futures, and, additionally for options, strike price and put or call designation for standard options, as well as the expiration date and exercise style for flex options;
- Quantity of the trade or, for spreads and combinations, the quantity of each leg of the trade;
- Price of the trade or, for spreads and combinations, the price of each leg of the trade; and
- Buyer's clearing firm and seller's clearing firm;
- Name and phone number of the party reporting the trade (for block trades reported to the GCC or reported via telephone or email to the CME ClearPort Facilitation Desk);
- For block trades reported via phone or email to the CME ClearPort Facilitation Desk, counterparty name and contact information must also be provided for trade verification purposes; and
- Execution time (to the nearest minute in Central Time) of the trade. The execution time is the time at which the trade was consummated.

Market participants must exercise diligence in accurately reporting the execution time of the block trade. The reporting of inaccurate execution times may result in disciplinary action.

6. Block Trade Submission Requirements to CME Clearing

Block trades price reported to the GCC or Exchange staff as described in numbers i) or iv) above must be submitted to CME Clearing through the FEC User Interface via the portal under BLOCK entry. For block trades executed between 6:00 a.m. and 6:00 p.m. **Central Time**, firms must submit the trade within one hour. For block trades executed between 6:00 p.m. and 6:00 a.m. **Central Time**, firms must submit the trade no later than 7:00 a.m. **Central Time**.

Block trades are entered by both the buyer's and seller's clearing firm and go through a two-sided match process. Upon entry, confirmation records will be routed back to the firms for bookkeeping purposes. When reporting spread or combination transactions, each leg must be entered individually. The execution time is required to be entered and must be the actual time at which the transaction was consummated by the two parties, not the time at which the trade is reported by the parties to their respective firms. Thus, if the clearing member has not acted as either principal or agent in the transaction, it must ensure that its customer provides an accurate execution time.

A block trade in a block-eligible option may be executed up to and including the day on which an option contract expires for purposes of offsetting an open option position. The offsetting block trade must be price reported to the GCC pursuant to the requirements of Section 5 above, and the offset must be submitted to CME Clearing no later than the Position Change Submission ("PCS") deadline on the day on which the option contract expires. The PCS deadline for CME and CBOT products is 7:30 p.m. Central Time.

7. Block Trade Recordkeeping

Complete order records for block trades must be created and maintained pursuant to Rule 536 and CFTC Regulations. Additionally, the time of execution of the block trade must also be recorded for all block trades.

8. Dissemination of Block Trade Information

The date, execution time, contract details, price and quantity of block trades are reported upon receipt of the block information by GCC. Block trade information is reported on the MerQuote system and may be accessed by entering the code "BLK". The information will also be displayed on cmegroup.com at the

following link: <http://www.cmegroup.com/tools-information/blocktrades.html>. Block trade information is also displayed on the trading floor.

Block trade prices are published separately from transactions in the regular market.
Block trade volume is also identified in the daily volume reports published by the exchange.

9. BTIC Block Trades

A BTIC Transaction is a futures transaction that is priced with reference to the applicable cash index close price. BTIC Transactions in Select Sector futures and BTIC Transactions in DJUSRE futures may be executed as block trades pursuant to the requirements of Rule 526 ("Block Trades"), this Advisory Notice and the applicable provisions in the corresponding product chapter (CME Chapter 369 for Select Sector futures and CBOT Chapter 30 for DJUSRE futures).

The current minimum threshold of 50 contracts applicable to each product will also apply to BTIC block trades in the products. BTIC block trades will not be permitted on the last day of trading in an expiring contract month.

BTIC block trades **may not** be executed as a spread transaction pursuant to the requirements in Section 4 of this Advisory Notice. Parties wishing to effectuate a block spread transaction in either product will be required to negotiate the transaction as separate outright BTIC block trades, and each leg must meet the minimum threshold of 50 contracts.

The futures price assigned to a Select Sector futures BTIC block trade will be based on the current day's Select Sector cash index close price, or the current day's Select Sector cash index close price adjusted by any valid price increment (the "Basis") higher or lower than the Select Sector cash index close price. The Basis must be stated in full tick increments as set forth in CME Rule 36901 ("Commodity Specifications").

The futures price assigned to a DJUSRE futures BTIC block trade will be based on the current day's Dow Jones US Real Estate cash index close price, or the current day's Dow Jones US Real Estate cash index close price adjusted by any valid price increment higher or lower than the Dow Jones US Real Estate cash index close price. The Basis must be stated in full tick increments as set forth in CBOT Rule 30102 ("Trading Specifications").

BTIC block trades must be reported to the Exchange at least 10 minutes prior to the scheduled close of the underlying primary securities market to establish the BTIC futures price on the current trading day. A BTIC block trade that is not reported at least 10 minutes prior to the scheduled close of the underlying primary securities market will be priced based on the index close price for the next available trading day for the primary securities market.

The futures price of a BTIC block trade will be determined by the Exchange at 3:45 p.m. Central Time and the Exchange-determined price will be final at that time. In the event of an early scheduled close of the primary securities market, the futures price of a BTIC block trade will be determined by the Exchange 45 minutes after the early scheduled close time for the primary securities market, and the Exchange-determined price will be final at that time. In the event of an equity market disruption in the primary securities market, all BTIC block trades will be cancelled for that trade date.

10. Use of Nonpublic Information Regarding Block Trades

Parties involved in the solicitation or negotiation of a block trade may not disclose the details of those communications to any other party for any purpose other than to facilitate the execution of the block trade. Parties privy to nonpublic information regarding a consummated block trade may not disclose such information to any other party prior to the public report of the block trade by the Exchange. A broker negotiating a block trade on behalf of a customer may disclose the identity of the customer to potential

counterparties, including the counterparty with which the block trade is consummated, only with the permission of the customer.

Pre-hedging or anticipatory hedging of any portion of a block trade in the same product or a closely-related product based upon a solicitation to participate in a block trade is not permitted. A closely related product is a product that is highly correlated to, serves as a substitute for, or is the functional economic equivalent of the product being traded as a block.

Counterparties to a block trade are permitted to initiate trades to hedge or offset the risk associated with the block trade following the consummation of the block trade, including during the period preceding the public report of the block trade by the Exchange.

Except as provided above, parties privy to nonpublic information attendant to a block trade are prohibited from trading in the same product or a closely-related product for the purpose of taking advantage of such information prior to the public report of the block trade by the Exchange. This prohibition is not intended to preclude such parties from continuing to transact in the marketplace in the context of their normal business; rather, it precludes parties in possession of actionable nonpublic information regarding an imminent block trade or report of a block trade from specifically using such information to their advantage. Information regarding a block trade is considered to be nonpublic until such time that the block trade details have been disseminated to the marketplace by the Exchange or the information can otherwise be demonstrated to have become stale or obsolete.

Parties solicited to provide a two-sided block market are not deemed to be in possession of nonpublic information provided that side of market interest is not disclosed in the context of the solicitation.

11. Block Trades between Affiliated Parties

Block trades between the accounts of affiliated parties are permitted provided that 1) the block trade is executed at a fair and reasonable price; 2) each party has a legal and independent bona fide business purpose for engaging in the trade; and 3) each party's decision to enter into the block trade is made by an independent decision-maker. In the absence of satisfying the requirements above, the transaction may constitute an illegal wash trade prohibited by Rule 534 ("Wash Trades Prohibited").

12. CME & CBOT Block Trade-Eligible Products

For purposes of the interest rate products in the tables, the following times apply:

ETH: 12:00 a.m. – 7:00 a.m. CT, Monday through Friday on regular business days

RTH: 7:00 a.m. – 4:00 p.m. CT, Monday through Friday on regular business days

ATH: 4:00 p.m. – 12:00 a.m. CT, Monday through Friday on regular business days and at all times on weekends

CME Products	Futures	Options	Flex Options
Eurodollars (RTH)	4,000 contracts - or 1,000 contracts provided that a minimum of 1,000 contracts are transacted in yrs 6-10	10,000 contracts	Not Available
Eurodollars (ETH)	2,000 contracts – or 500 contracts provided that a minimum of 500 contracts are transacted in yrs 6-10	5,000 contracts	Not Available

CME Products	Futures	Options	Flex Options
Eurodollars (ATH)	1,000 contracts – or 250 contracts provided that a minimum of 250 contracts are transacted in yrs 6-10	2,500 contracts	Not Available
Eurodollar E-mini futures (RTH)	40,000 contracts	Not available	Not available
Eurodollar E-mini futures (ETH)	20,000 contracts	Not available	Not available
Eurodollar E-mini futures (ATH)	10,000 contracts	Not available	Not available
3-Month Overnight Index Swaps (OIS)(RTH)	2,000 contracts	Not available	Not available
3-Month Overnight Index Swaps (OIS)(ETH)	1,000 contracts	Not available	Not available
3-Month Overnight Index Swaps (OIS)(ATH)	500 contracts	Not available	Not available
3-Month OIS/Eurodollar spread (RTH)	4,000 contracts (all legs combined)	Not available	Not available
3-Month OIS/Eurodollar spread (ETH)	2,000 contracts (all legs combined)	Not available	Not available
3-Month OIS/Eurodollar spread (ATH)	1,000 contracts (all legs combined)	Not available	Not available
T-Bills (RTH)	100 contracts	Not available	Not available
T-Bills (ETH)	50 contracts	Not available	Not available
T-Bills (ATH)	25 contracts	Not available	Not available
Euroyen (RTH)	200 contracts	200 contracts	Not available
Euroyen (ETH)	100 contracts	100 contracts	Not available
Euroyen (ATH)	50 contracts	50 contracts	Not available
One-Month Eurodollar (RTH)	400 contracts	400 contracts	Not available
One-Month Eurodollar (ETH)	200 contracts	200 contracts	Not available
One-Month Eurodollar (ATH)	100 contracts	100 contracts	Not available
10-Year Sovereign Yield Spread	250 contracts	Not available	Not available
Three-Month Euribor	2,000 contracts (nearest 20 March quarterly cycle delivery months and non-March quarterly cycle delivery months) 500 contracts (farthest 20 March quarterly cycle delivery months)	Not available	Not available
Barclays Capital U.S. Aggregate Bond Index	50 contracts	Not available	Not available
Eurozone Harmonized Index of Consumer Prices (HICP)	50 contracts	Not available	Not available
S&P 500*	Not available	250 contracts	250 contracts
NASDAQ-100*	200 contracts - outright only	100 contracts	100 contracts
S&P SmallCap 600, E-mini S&P SmallCap 600, E-mini NASDAQ Composite Index, E-mini S&P Select Sector Stock Index	50 contracts	Not available	Not available
E-mini Yen Denominated Nikkei Stock Average Index	250 contracts	Not available	Not available

CME Products	Futures	Options	Flex Options
E-mini S&P CNX Nifty Index	50 contracts	Not available	Not available
E-micro S&P CNX Nifty Index	250 contracts	Not available	Not available
S&P MidCap 400*, S&P 500/Citigroup Growth, S&P 500/Citigroup Value, Nikkei 225	50 contracts	250 contracts	250 contracts
Custom Stock Index/SGI Wise US	50 contracts	Not Available	Not available
Goldman Sachs Commodity Index (GSCI), GSCI Excess Return Index	50 contracts for outright – 300 contracts for each leg of a spread transaction	Not available	Not available
EUR/USD 1-Month and 3-Month Realized Volatility	50 contracts	Not available	Not available
EUR/USD*, JPY/USD*	150 contracts	250 contracts	Not available
AUD/USD*, CAD/USD*, CHF/USD*, GBP/USD*, MXN/USD	100 contracts	250 contracts	Not available
EUR/GBP, EUR/CHF, EUR/JPY, NZD/USD, BRL/USD, CZK/USD, CZK/EUR, HUF/EUR, HUF/USD, ILS/USD, KRW/USD, PLN/USD, PLN/EUR, RMB/USD, RMB/EUR, RMB/JPY, RUB/USD, ZAR/USD, USD/TRY, EUR/TRY	50 contracts	250 contracts	Not available
AUD/CAD, AUD/JPY, AUD/NZD, CAD/JPY, CHF/JPY, EUR/AUD, EUR/CAD, EUR/NOK, EUR/SEK, GBP/JPY, GBP/CHF, INR/USD, NOK/USD, SEK/USD, USD/RMB (STD)	50 contracts	Not available	Not available
Realized Variance: AUD/USD, GBP/USD, EUR/USD, JPY/USD	10 contracts	Not available	Not available
Dow Jones CME FX\$INDEX	50 contracts	Not available	Not available
Weather	20 contracts	20 contracts	Not available
Housing	20 contracts	20 contracts	Not available
U.S. Dollar Cash Settled Crude Palm Oil	10 contracts	Not available	Not available
Cheese	20 contracts	Not available	Not available

* NOT applicable to E-mini or E-micro FX or E-mini equity indexes except those listed above.

CBOT Products	Futures	Options	Flex Options
2-Year Treasury Notes (RTH)	5,000 contracts**	2,000 contracts	Same as Non-Flex Options
2-Year Treasury Notes (ETH)	2,500 contracts**	1,000 contracts	Same as Non-Flex Options
2-Year Treasury Notes (ATH)	1,250 contracts**	500 contracts	Same as Non-Flex Options
3-Year Treasury Notes (RTH)	5,000 contracts**	Not available	Not available
3-Year Treasury Notes (ETH)	2,500 contracts**	Not available	Not available

CBOT Products	Futures	Options	Flex Options
3-Year Treasury Notes (ATH)	1,250 contracts**	Not available	Not available
5- and 10-Year Treasury Notes (RTH)	5,000 contracts**	7,500 contracts	Same as Non-Flex Options
5- and 10-Year Treasury Notes (ETH)	2,500 contracts**	3,750 contracts	Same as Non-Flex Options
5- and 10-Year Treasury Notes (ATH)	1,250 contracts**	1,875 contracts	Same as Non-Flex Options
U.S. Treasury Bonds (RTH)	3,000 contracts**	7,500 contracts	Same as Non-Flex Options
U.S. Treasury Bonds (ETH)	1,500 contracts**	3,750 contracts	Same as Non-Flex Options
U.S. Treasury Bonds (ATH)	750 contracts**	1,875 contracts	Same as Non-Flex Options
Long-Term (Ultra) Treasury Bonds (RTH)	2,000 contracts**	Not available	Not available
Long-Term (Ultra) Treasury Bonds (ETH)	1,500 contracts**	Not available	Not available
Long-Term (Ultra) Treasury Bonds (ATH)	750 contracts**	Not available	Not available
2-, 5- and 10-Year OTR Treasury Yield (RTH)	2,000 contracts**	Not available	Not available
2-, 5- and 10-Year OTR Treasury Yield (ETH)	1,000 contracts**	Not available	Not available
2-, 5- and 10-Year OTR Treasury Yield (ATH)	500 contracts**	Not available	Not available
30-Day Fed Funds (RTH)	2,000 contracts	1,500 contracts	Same as Non-Flex Options
30-Day Fed Funds (ETH)	1,000 contracts	750 contracts	Same as Non-Flex Options
30-Day Fed Funds (ATH)	500 contracts	375 contracts	Same as Non-Flex Options
5-, 7-, 10- and 30-Year Interest Rate Swaps (RTH)	2,000 contracts	500 contracts	Not available
5-, 7-, 10- and 30-Year Interest Rate Swaps (ETH)	1,000 contracts	500 contracts	Not available
5-, 7-, 10- and 30-Year Interest Rate Swaps (ATH)	500 contracts	500 contracts	Not available
2-Year DSF	3,000 contracts	Not available	Not available
5-Year DSF	1,500 contracts	Not available	Not available
10-Year DSF	1,000 contracts	Not available	Not available
30-Year DSF	500 contracts	Not available	Not available
Dow Jones-UBS Commodity Index Excess Return	300 contracts	Not available	Not available
Dow Jones U.S. Real Estate Index	50 contracts	Not available	Not available

CBOT Products	Futures	Options	Flex Options
Ethanol	10 contracts	10 contracts	Not available
Denatured Ethanol Forward Month	10 contracts	Not available	Not available
Distillers' Dried Grain	10 contracts	Not available	Not available
Black Sea Wheat	10 contracts	Not available	Not available

** Block trading of intra-commodity Treasury, OTR Treasury Yield and 5-, 7-, 10- and 30-Year Interest Rate Swap futures calendar spreads is prohibited.

13. Text of CME & CBOT Rule 526

Rule 526 BLOCK TRADES

The Exchange shall designate the products in which block trades shall be permitted and determine the minimum quantity thresholds for such transactions. The following shall govern block trades:

- A. A block trade must be for a quantity that is at or in excess of the applicable minimum threshold. Orders may not be aggregated in order to achieve the minimum transaction size, except by those entities described in Sections I. and J.
- B. Each party to a block trade must be an Eligible Contract Participant as that term is defined in Section 1a(18) of the Commodity Exchange Act.
- C. A member shall not execute any order by means of a block trade for a customer unless such customer has specified that the order be executed as a block trade.
- D. The price at which a block trade is executed must be fair and reasonable in light of (i) the size of the block trade, (ii) the prices and sizes of other transactions in the same contract at the relevant time, (iii) the prices and sizes of transactions in other relevant markets, including without limitation the underlying cash market or related futures markets, at the relevant time, and (iv) the circumstances of the markets or the parties to the block trade.
- E. Block trades shall not set off conditional orders (e.g., Stop Orders and MIT Orders) or otherwise affect orders in the regular market.
- F. **CME** –Unless otherwise agreed to by the principal counterparties to the block trade, the seller, or, in the case of a brokered transaction, the broker handling the block trade, must ensure that each block trade is reported to the Exchange within five minutes of the time of execution; except that block trades in interest rate futures and options executed outside of Regular Trading Hours (7:00 a.m. – 4:00 p.m. Central Time, Monday – Friday on regular business days) and Housing and Weather futures and options must be reported within fifteen minutes of the time of execution. The report must include the contract, contract month, price, quantity of the transaction, the respective clearing members, the time of execution, and, for options, strike price, put or call and expiration month. The Exchange shall promptly publish such information separately from the reports of transactions in the regular market.
- F. **CBOT** - Unless otherwise agreed to by the principal counterparties to the block trade, the seller, or, in the case of a brokered transaction, the broker handling the block trade, must ensure that each block trade is reported to the Exchange within five minutes of the time of execution; except that block trades in interest rate futures and options executed outside of Regular Trading Hours (7:00 a.m. – 4:00 p.m. Central Time, Monday – Friday on regular business days) must be reported within fifteen minutes of the time of execution. The report must include the contract, contract month, price, quantity of the transaction, the respective clearing members, the time of execution, and, for options, strike price, put or call and expiration month. The Exchange shall promptly publish such information separately from the reports of transactions in the regular market.
- G. Block trades must be reported to the Clearing House in accordance with an approved reporting method.
- H. Clearing members and members involved in the execution of block trades must maintain a record of the transaction in accordance with Rule 536.
- I. A commodity trading advisor ("CTA") registered or exempt from registration under the Act, including, without limitation, any investment advisor registered or exempt from registration under the Investment Advisors Act of 1940, shall be the applicable entity for purposes of Sections A., B., C., and D., provided such advisors have total assets under management exceeding \$25 million and the block trade is suitable for the customers of such advisors.
- J. A foreign Person performing a similar role or function to a CTA or investment advisor as described in Section I, and subject as such to foreign regulation, shall be the applicable entity for purposes of Sections A., B., C., and

D., provided such Persons have total assets under management exceeding \$25 million and the block trade is suitable for the customers of such Persons.

14. Contact Information

Questions regarding this advisory may be directed to the following individuals:

Market Regulation:	Colin Garvey, Investigations	312.435.3656
	Robert Sniegowski, Rules & Regulatory Outreach	312.341.5991
	Erin Schwartz, Rules & Regulatory Outreach	312.341.3083
CME Clearing:	CME Clearing Support	312.207.2525

For media inquiries concerning this Advisory Notice, please contact CME Group Corporate Communications at 312.930.3434 or news@cmegroup.com.

Section H:

Market Regulation Advisory Notices

CBOT Members

- 1 Position Limits in Treasury Futures During Last Ten Trading Days – Rule 559
- 3 Trading Locations of Intermarket Spreads – Rule 521
- 6 Open Outcry Trading of Treasury and Interest Rate Swap Futures in the Treasury and Interest Rate Swap Futures Pit – Rule 521
- 8 Block Trades – Rule 526

I. MARKET REGULATION ADVISORY NOTICE – Position Limits in Treasury Futures During Last 10 Trading Days

Exchange	CBOT
Subject	Position Limits in Treasury Futures During Last 10 Trading Days
Rule References	Rule 559
Advisory Date	May 22, 2013
Advisory Number	CBOT RA1302-1

The relevant CBOT Treasury futures product chapters establish position limits in CBOT Treasury futures contracts that are applicable during the last 10 trading days of an expiring contract. The position limits for each contract and the relevant dates for the expiring June 2013 contracts are detailed in the table below:

June 2013 Contract	Position Limit During Last 10 Trading Days	Effective Date (by close of business on)
Ultra U.S. Treasury Bonds	65,000 contracts	June 5, 2013
30-Year U.S. Treasury Bonds	30,000 contracts	June 5, 2013
10-Year U.S. Treasury Notes	95,000 contracts	June 5, 2013
5-Year U.S. Treasury Notes	115,000 contracts	June 14, 2013
3-Year U.S. Treasury Notes	20,000 contracts	June 14, 2013
2-Year U.S. Treasury Notes	50,000 contracts	June 14, 2013

No exemptions from these limits are permitted.

Aggregation Standards for Treasury Futures Position Limits

Pursuant to CBOT Rule 559 ("Position Limits and Exemptions"), in determining compliance with these limits, all positions in accounts for which a person by power of attorney or otherwise directly or indirectly holds positions or controls trading, except as set forth below, shall be included with the positions held by the person. The limits upon positions also apply to positions held by two or more persons acting pursuant to an expressed or implied agreement or understanding, the same as if the positions were held by, or the trading of the positions was done by, a single person.

An eligible entity, as defined in CFTC Regulation §150.1(d), will not be considered to have violated the position limits based on positions established on its behalf by one or more independent account controllers, as defined in CFTC Regulation §150.1(e), if each such account controller does not exceed the Treasury futures position limits. If an independent account controller is affiliated with the eligible entity or another independent account controller, each of the affiliated entities must:

- 1) Have and enforce written procedures to preclude the affiliated entities from having knowledge of, gaining access to, or receiving data about, trades of the other. Such procedures must include document routing, and other procedures or security arrangements, including separate physical locations, which would maintain the independence of their activities. However, such procedures may provide for the disclosure of information which is reasonably necessary for an eligible entity to maintain the level of control consistent with its fiduciary responsibilities and necessary to fulfill its duty to supervise diligently the trading done on its behalf;
- 2) Trade such accounts pursuant to separately developed and independent trading systems;
- 3) Market such trading systems separately; and

- 4) Solicit funds for such trading by separate Disclosure Documents that meet the standards of CFTC Regulations §4.24 or §4.34, as applicable, where such documents are required.

Additionally, Treasury futures positions carried in independently controlled accounts owned by different legal entities, irrespective of whether the entities qualify as eligible entities, may exceed the position limits provided that affiliated legal entities meet the independence standards specified above and provided that the overall positions held or controlled by each such independent account controller do not exceed the limits.

CBOT Rule 560 ("Position Accountability") which establishes position accountability for Treasury futures contracts also applies to these contracts.

Questions regarding this advisory may be directed to the following individuals in Market Regulation:

Bill Kokontis, Senior Director, 312.435.3665

Michael Joubert, Lead Surveillance Analyst, 312.341.7714

Nancy Dickman, Senior Surveillance Analyst, 312.341.7596

For media inquiries concerning this Advisory Notice, please contact CME Group Corporate Communications at 312.930.3434 or news@cmegroup.com.

II. **MARKET REGULATION ADVISORY NOTICE – Trading Locations of Intermarket Spreads**

Exchange	CBOT
Subject	Trading Locations of Intermarket Spreads
Rule References	Rule 521
Advisory Date	May 28, 2013
Advisory Number	CBOT RA1303-1
Effective Date	June 10, 2013

Pending all relevant regulatory review periods, this Advisory Notice will supersede CBOT Market Regulation Advisory Notice RA1002-1 from April 1, 2010, and will become effective on June 10, 2013.

It is being reissued to provide updated information on the location for open outcry trading of intermarket spreads in CBOT products on the trading floor.

Please note that the following intermarket spreads may be executed on the trading floor only by eligible members.

FINANCIAL PRODUCTS

The following trading and reporting locations for CBOT financial futures are applicable only during the contract rollover time period as described in today's release of CBOT RA1304-1. Outside of the rollover time period, members are allowed to bid, offer and trade any Treasury or Swap futures contract from any area of the Treasury futures pit provided that bids and offers originating outside of a particular contract's trading area are vocally and openly represented in a manner that is clearly transparent to the area of the pit where the product trades.

Futures

Spread

Fed Funds/2-Year Note
2-Year Notes/Ultra T-Bond
2-Year Note/T-Bond
2-Year Note/10-Year Note
2-Year Note/5-Year Note
3-Year Notes/Ultra T-Bond
3-Year Note/T-Bond
3-Year Note/10-Year Note
3-Year Note/5-Year Note
5-Year Note/Ultra T-Bond
5-Year Note/T-Bond
5-Year Note/10-Year Note
10-Year Note/Ultra T-Bond
10-Year Note/T-Bond
10-Year Note/T-Bond Tandem
T-Bond/Ultra T-Bond
5/7/10/30-Year Swaps/any Treasury Futures*
5/7/10/30-Year Swaps/Fed Funds

Trading/Reporting Location

Fed Funds pit
2-Year Note section
2-Year Note section
2-Year Note section
2-Year Note section
2-Year Note section
2-Year Note section
2-Year Note section
2-Year Note section
2-Year Note section
5-Year Note section
5-Year Note section
5-Year Note section
5-Year Note section
10-Year Note section
10-Year Note section
10-Year Note section
10-Year Note section
T-Bond section
Swaps section
Swaps section

Options

These spreads may be traded only in the designated areas at all times and must be reported to the appropriate Trading Floor Operations staff.

Spread

Options/Underlying future
T-Bond Options/any Treasury Future*
10-Year Note Options/any Treasury Future*
10-Year Note Options/T-Bond Options
5-Year Note Options/any Treasury Future*
5-Year Note Options/10-Year Note Options
5-Year Note Options/T-Bond Options
2-Year Note Options/any Treasury Future*
2-Year Note Options/5-Year Note Options
2-Year Note Options/10-Year Note Options
2-Year Note Options/T-Bond Options
Ultra Bond Options/Any Treasury Options*

Trading/Reporting Location

Relevant options pit
T-Bond Options pit
10-Year Options pit
10-Year Options pit
5-Year Options pit
5-Year Options pit
5-Year Options pit
2-Year Options pit
2-Year Options pit
2-Year Options pit
2-Year Options pit
T-Bond Options pit

* Treasury Futures include T-Bonds, Ultra Bonds, 10-Year Notes, 5-Year Notes, 3-Year Notes and 2-Year Notes.

AGRICULTURAL PRODUCTS

These spreads may be traded only in the designated areas at all times and must be reported to the appropriate Trading Floor Operations staff.

Futures

Spread

Soybean Crush
Meal/Oil
Soybeans/Meal
Soybeans/Oil
Meal/Corn

Trading/Reporting Location

Bridge between Oil/Meal pits (report in Oil)
Bridge between Oil/Meal pits (report in Oil)
Bridge between Oil/Meal pits (report in Oil)
Bridge between Oil/Meal pits (report in Oil)
Meal pit

Spread

Corn/Soybeans
Wheat/Soybeans
Wheat/Corn
Wheat/Oats
Corn/Oats
Soybeans/Mini Soybeans
Corn/Mini Corn
Wheat/Mini Wheat

Trading/Reporting Location

Soybean pit
Wheat pit
Wheat pit
Wheat pit
Corn pit
Soybean pit
Corn pit
Wheat pit

Options

Spread

Bean Options/Meal Options/Oil Options
Meal Options/Oil Options
Soybean Options/Corn Options
Wheat Options/Corn Options
Wheat Options/Soybean Options
Oil Options/Soybean Options
Meal Options/Soybean Options
Meal Options/Corn Options
Oat Options/Corn Options
Wheat-Corn Intercommodity Spread Options
Soybean-Corn Price Ratio Options
MGEX-CBOT Wheat Spread Options

Trading/Reporting Location

Meal/Oil Options pit
Meal/Oil Options pit
Soybean Options pit
Wheat Options pit
Wheat Options pit
Oil Options pit
Meal Options pit
Meal Options pit
Oat Options pit
Wheat Options pit
Soybean Options pit
Wheat Options pit

Questions regarding this advisory may be directed to the following individuals:

Robert Boruski, Senior Director, Trading Floor Operations, 312.347.5427

Renea Burton, Senior Director, Trading Floor Operations, 312.341.3143

For media inquiries concerning this Advisory Notice, please contact CME Group Corporate Communications at 312.930.3434 or news@cmegroup.com.

III. **MARKET REGULATION ADVISORY NOTICE - Open Outcry Trading of Treasury and Interest Rate Swap Futures in the Treasury and Interest Rate Swap Futures Pit**

Exchange	CBOT
Subject	Open Outcry Trading of Treasury and Interest Rate Swap Futures in the Treasury and Interest Rate Swap Futures Pit
Rule References	Rule 521
Advisory Date	May 28, 2013
Advisory Number	CBOT RA1304-1
Effective Date	June 10, 2013

Pending all relevant regulatory review periods, this Advisory Notice will supersede CBOT Market Regulation Advisory Notice RA1203-1 from August 6, 2012, and will become effective on June 10, 2013. It is being issued in connection with today's issuance of CBOT Market Regulation Advisory Notice RA1303-1 concerning the trading locations of intermarket spreads; however no information in this Advisory Notice has been changed from the information in the 2012 Advisory Notice.

This Advisory Notice sets forth the policy applicable to open outcry trading of CBOT Treasury and Interest Rate Swap futures. This policy is applicable only during the contract rollover time period. Outside of the rollover time period, members are allowed to bid, offer and trade any Treasury or Interest Rate Swap futures contract from any area of the Treasury futures pit, provided that bids and offers originating outside of a particular contract's trading area are vocally and openly represented in a manner that is clearly transparent to the area of the pit where the product trades. Additional information on the policy is set forth below.

Failure to adhere to this policy may result in the issuance of charges for violation of Rule 514 ("Trading Infractions"), which will be heard by a Panel of the Floor Conduct Committee. A Panel may impose fines of up to \$10,000 per offense, or in the case of an egregious violation, may impose fines of up to \$20,000 per offense. Further, the Market Regulation Department may refer particularly egregious matters to the Probable Cause Committee.

Members are reminded to ensure that all trades are promptly and accurately reported to the market reporters and checked with the opposing party.

Policy During Rollover Time Period

1. For purposes of the policy, the rollover time period is defined as the last seven business days of the month preceding a quarterly contract month's expiration and the first two business days of the expiring quarterly contract month.
2. Members located outside of the designated section for trading a specific product may trade against bids and offers initiated from within the appropriate section of the pit. Members located outside of the designated section for trading a specific product may bid or offer in response to a request for quote that originates from within the appropriate section of the pit and may subsequently consummate a trade.

*For example, a bid/offer or a request for quote in the Ten-Year Note contract must originate in the Ten-Year Note section of the pit (**except as provided for in Section 3 below**); however, individuals located in any section of the pit may hit a bid, lift an offer or respond to a request for quote that originated in the Ten-Year Note section of the pit.*

3. Members located outside of the designated section for trading a specific product may request a market in products trading outside of their section. However, in order to trade opposite bids or offers received in response to the request, the member requesting the bid or offer must move to the designated section of the pit in order to execute a trade.

For example, an individual in the Ten-Year section of the pit may request a market in the Five-Year contract. In order to trade opposite any bids or offers received in response to the request for a market, the individual in the Ten-Year section of the pit must subsequently move to the Five-Year section of the pit in order to execute a trade.

Please be advised that during the rollover time period, a broker who asks another individual to request a market in a product outside of their designated section and then executes brokerage opposite bids and offers made in response to that request for a market without moving to the designated section will be charged with a violation of Rule 514. Further, any other similar attempts to circumvent this policy during the rollover time period will result in charges being issued pursuant to Rule 514.

This policy applies to outright orders, calendar spreads and intermarket spreads. The intermarket spread locations are as follows:

<u>SPREAD</u>	<u>ORIGINATING LOCATION</u>
2-Year Notes/Ultra T-Bond	2-Year Note section
2-Year Note/T-Bond	2-Year Note section
2-Year Note/10-Year Note	2-Year Note section
2-Year Note/5-Year Note	2-Year Note section
3-Year Notes/Ultra T-Bond	2-Year Note section
3-Year Note/T-Bond	2-Year Note section
3-Year Note/10-Year Note	2-Year Note section
3-Year Note/5-Year Note	2-Year Note section
5-Year Note/Ultra T-Bond	5-Year Note section
5-Year Note/T-Bond	5-Year Note section
5-Year Note/10-Year Note	5-Year Note section
10-Year Note/Ultra T-Bond	10-Year Note section
10-Year Note/T-Bond	10-Year Note section
10-Year Note/T-Bond Tandem	10-Year Note section
T-Bond/Ultra T-Bond	T-Bond section
<u>SPREAD</u>	<u>ORIGINATING LOCATION</u>
30-Year Swaps/Ultra T-Bonds	Swaps section
5/7/10/30-Year Swaps/T-Bonds	Swaps section
5/7/10/30-Year Swaps/10-Year Notes	Swaps section
5/7/10/30-Year Swaps/5-Year Notes	Swaps section
5/7/10/30-Year Swaps/2-Year Notes	Swaps section

Any multi-legged spreads involving the yield curve that are not specified above must originate from the section of the pit in which the product at the shortest end of the yield curve involved in the spread is traded.

Policy Outside Rollover Time Period

Outside of the rollover time period, members may bid, offer and trade any Treasury or Interest Rate Swap futures contract from any area of the Treasury futures pit, **provided that bids and offers originating outside of a particular contract's trading area are vocally and openly represented in a manner that**

IV. MARKET REGULATION ADVISORY NOTICE – Block Trades

Exchange	CME & CBOT
Subject	Block Trades
Rule References	Rule 526
Advisory Date	May 17, 2013
Advisory Number	CME & CBOT RA1304-3
Effective Date	June 3, 2013

This Advisory Notice supersedes CME & CBOT Market Regulation Advisory Notice RA1303-3RR from April 26, 2013. It is being issued to notify the marketplace that pending all relevant regulatory review periods, CME will permit block trading pursuant to Rule 526 (“Block Trades”) in the following four FX Realized Variance futures contracts at a block trade minimum threshold of 10 contracts concurrent with the launch of trading in those contracts on Sunday, June 2, 2013, for trade date Monday, June 3, 2013.

- Australian Dollar/U.S. Dollar (“AUD/USD”) Realized Variance futures
- Great British Pound/U.S. Dollar (“GBP/USD”) Realized Variance futures
- Euro/U.S. Dollar (“EUR/USD”) Realized Variance futures
- Japanese Yen/U.S. Dollar (“JPY/USD”) Realized Variance futures

CME and CBOT products in which block trading is permitted, and the relevant quantity thresholds, appear in Section 12, and the text of CME and CBOT Rule 526 appears in Section 13 of this Advisory Notice.

1. Definition of Block Trades

Block trades are privately negotiated futures, options or combination transactions that meet certain quantity thresholds which are permitted to be executed apart from the public auction market.

It shall not be permissible to facilitate the execution of block trades in Exchange-traded products on a system or facility accessible to multiple parties that allows for the electronic matching of or the electronic acceptance of bids and offers. Parties may use communication technologies to bilaterally request block quotes from one or more participants and to conduct privately negotiated block trades. Parties may also utilize technologies supported by third parties which allow for the electronic posting of indicative block markets displayed to multiple market participants. However, block trades executed between parties on the basis of such electronically displayed indicative markets may be transacted only through direct bilateral communications involving the broker, where applicable, and the parties to the trade.

All block trades are subject to the conditions set forth in CME and CBOT Rule 526 and in this Advisory Notice. Additionally, BTIC (“Basis Trade at Index Close”) block trades in Select Sector futures and DJUSRE futures are also subject to the requirements set forth in CME Rule 36906.A. (“BTIC Block Trade Requirements”) or CBOT Rule 30107.A. (“BTIC Block Trade Requirements”), as applicable. Additional information on BTIC block trades appears in Section 9 of this Advisory Notice.

2. Participation in Block Trades

Each party to a block trade must be an Eligible Contract Participant as that term is defined in Section 1a of the Commodity Exchange Act. Eligible Contract Participants generally include exchange members and member firms, broker/dealers, government entities, pension funds, commodity pools, corporations, investment companies, insurance companies, depository institutions and high net-worth individuals. Commodity trading advisors and investment advisors who are registered or exempt from registration, and foreign persons performing a similar role and subject as such to foreign regulation, may participate in block transactions provided they have total assets under management exceeding \$25 million and the block trade is suitable for their customers.

A customer order may be executed by means of a block trade only if the customer has specified that the order be executed as a block trade.

Orders may not be bunched to meet the minimum block quantity thresholds.

3. Time and Prices of Block Trades

Block trades may be executed at any time, including times during which the public auction market is closed, except that BTIC block trades may not take place on the last day of trading in an expiring contract month.

Block trades must be transacted at prices that are “**fair and reasonable**” in light of (i) the size of the transaction, (ii) the prices and sizes of other transactions in the same contract at the relevant time, (iii) the prices and sizes of transactions in other relevant markets, including, without limitation, the underlying cash market or related futures markets, at the relevant time, and (iv) the circumstances of the markets or the parties to the block trade.

The Basis applied to a BTIC block trade must also be fair and reasonable taking into account financing rates, expected dividend income and the time remaining until the applicable futures contract expires pursuant to the requirements of CME Rule 36906 (“Basis Trade at Index Close (“BTIC”) Transactions”) or CBOT Rule 30207 (“Basis Trade at Index Close (“BTIC”) Transactions”), as applicable.

The trade price must be consistent with the minimum tick increment for the market in question. Additionally, each outright transaction and each leg of any block eligible spread or combination trade must be executed at a single price.

Block trade prices are reported independently of transaction prices in the regular market and are not included as part of the daily trading range. Block trade prices do not elect conditional orders (e.g. stop orders) or otherwise affect orders in the regular market.

4. Block Trade Minimum Quantities for Outrights, Spreads and Combinations

The block trade minimum quantity requirements for outright futures and options are set forth in the list of block trade eligible products in Section 12 of this Advisory Notice. Additional information with respect to spreads and combinations executed as blocks appears below:

a) Intra-Commodity Futures Spreads and Futures Combinations

- i) All Products Excluding U.S. Treasury, OTR Treasury Yield, 5-, 7-, 10- and 30-Year Interest Rate Swap and GSCI Futures

Intra-commodity futures spreads and futures combinations may be executed as block trades provided that the **sum** of the quantities of the legs meets the minimum block quantity threshold. For example, 2,000

Eurodollar future calendar spreads executed during RTH hours would meet the Eurodollar futures minimum quantity requirement of 4,000 contracts.

ii) U.S. Treasury, OTR Treasury Yield and 5-, 7-, 10- and 30-Year Interest Rate Swap Futures

Intra-commodity calendar spread block trades are prohibited in CBOT U.S. Treasury futures, OTR Treasury Yield and 5-, 7-, 10- and 30-Year Interest Rate Swap futures. Parties may not execute contingent block trades in outright contracts to circumvent the prohibition on the execution of block trades in intra-commodity calendar spreads. Additionally, Tandem spreads (a trade combining calendar spreads in two different Treasury futures) may not be executed as block trades.

iii) GSCI Futures

In GSCI futures and GSCI Excess Return Index futures the block trade minimum is 300 contracts for each leg of the spread or combination. For example, the minimum quantity for a GSCI calendar spread would require 300 contracts in each leg of the spread for a total of 600 contracts while a GSCI futures butterfly would require a minimum volume of 1,200 contracts.

b) Inter-Commodity Futures Spreads

i) Short Term Interest Rate Futures

In Short Term Interest Rate futures (Eurodollars, Eurodollar E-minis, Euribor, T-Bills, OIS, One-Month Eurodollar, Euroyen and 30-Day Fed Funds), inter-commodity futures spreads may be executed as block trades provided that the ***sum of the legs of the spread*** meets the ***larger*** of the threshold requirements for the underlying products. For example, the minimum quantity thresholds for One-Month Eurodollar and Eurodollars during ETH are 200 and 2,000 contracts, respectively. Therefore, a block trade in the One-Month Eurodollar/Eurodollar spread can be executed provided that the sum of the legs is at least 2,000 contracts.

ii) U.S. Treasury, OTR Treasury Yield, 5-, 7-, 10- and 30-Year Interest Rate Swap and 10-Year Sovereign Yield Spread Futures

In U.S. Treasury, OTR Treasury Yield, 5-, 7-, 10- and 30-Year Interest Rate Swap and 10-Year Sovereign Yield Spread futures, inter-commodity futures spreads may be executed as block trades provided that ***each leg of the spread*** meets the minimum threshold requirement for the respective underlying products. For example, the minimum quantity thresholds for 10-Year Notes and U.S. Treasury Bonds during RTH are 5,000 and 3,000 contracts, respectively. Therefore, a block trade in the NOB spread (10-Year Note/Treasury Bond spread) can be executed only if the minimum quantity of the 10-Year Note leg of the spread is at least 5,000 contracts and the minimum quantity of the Treasury Bond leg of the spread is at least 3,000 contracts.

iii) Deliverable Interest Rate Swap Futures ("DSF")

Inter-commodity futures spreads or combinations composed only of DSF (e.g., 2-Year DSF v. 10-Year DSF) may be executed as block trades provided that the ***sum of the legs of the spread*** meets the ***larger*** of the threshold requirements for the underlying products. For example, the minimum block trade thresholds for 2-Year DSF and 10-Year DSF are 3,000 and 1,000 contracts, respectively. Thus, a spread between these two contracts may be executed as a block trade if the sum of the quantities of the legs is at least 3,000 contracts.

Inter-commodity futures spreads or combinations excluding those composed only of DSF (e.g., 10-Year Treasury Notes vs. 10-Year DSF) may be executed as block trades provided that ***each leg of the spread*** meets the minimum threshold requirement for the respective underlying products. For example, the minimum block trade thresholds for 10-Year Treasury Note futures and 10-Year DSF during RTH are

5,000 contracts and 1,000 contracts, respectively. A spread between these two contracts can be executed as a block trade, therefore, only if the quantity of the 10-Year Treasury Note leg is at least 5,000 contracts and the quantity of the 10-Year DSF leg is at least 1,000 contracts.

iv) All Other Products

In all other block-eligible products, inter-commodity futures spreads may be executed as block trades provided that the quantity of **each leg of the spread** meets the **larger** of the threshold requirements for the underlying products.

c) Intra-Commodity Option Spreads and Combinations

Intra-commodity option spreads and combinations may be executed as block trades provided that the quantity of **each leg of the spread** meets the designated minimum quantity threshold.

d) Inter-Commodity Option Spreads and Combinations

Inter-commodity option spreads and combinations may be executed as block trades provided that the quantity of **each leg of the spread** meets the **larger** of the threshold requirements for the underlying products.

e) Options/Futures Spreads

In general, options/futures spreads may be executed as block trades provided that the options component of the spread meets the minimum quantity threshold for the outright option or option combination and the quantity of futures executed is consistent with the delta of the options component of the spread. An exception applies to Weather and Housing options/futures spreads, where the legs of the spread may be summed to meet the 20-contract minimum threshold.

5. Block Trade Price Reporting Requirements

a) Time Requirements

With the exceptions noted below, all block trades must be price reported to the Exchange within **five minutes** of the transaction.

i) Block Trades during European or Asian hours (ETH or ATH) in Interest Rate Products **Excluding** DSF

Interest rate block trades executed during ETH or ATH must be price reported to the Exchange within **15 minutes** of the transaction. With the exception of DSF, interest rate block trades executed during RTH must be reported within five minutes.

ETH: 12:00 a.m. – 7:00 a.m. CT, Monday through Friday on regular business days
RTH: 7:00 a.m. – 4:00 p.m. CT, Monday through Friday on regular business days
ATH: 4:00 p.m. – 12:00 a.m. CT, Monday through Friday on regular business days and at all times on weekends

ii) Weather, Housing, and DSF Block Trades

Block trades in Weather, Housing and DSF contracts must be price reported to the Exchange within **15 minutes** of the transaction.

Notwithstanding the foregoing, the execution of any inter-commodity block spread or combination trade that includes a DSF leg(s) and a leg(s) subject to a 5 minute price reporting requirement requires that the block spread or combination trade be reported within 5 minutes of execution.

b) Reporting Obligation

Price reporting obligations are the responsibility of the seller, unless otherwise agreed to by the principal counterparties to the block trade; however, in the case of a brokered transaction, the price reporting obligation is the responsibility of the broker handling the block trade, unless otherwise agreed to by the principal counterparties to the block trade. For purposes of the foregoing, the term “seller” refers to the principal counterparty acting as the seller of the block trade.

The failure to submit timely, accurate and complete block trade reports may subject the party responsible for the reporting obligation to disciplinary action. Parties shall not be sanctioned for block reporting infractions deemed to arise from factors beyond the reporting party’s control (e.g. the block trade fails the CME ClearPort automated credit check).

c) Reporting Methods

Block trades must be price reported to the Exchange via one of the following methods.

i) Global Command Center (“GCC”)

All block trades (except for block trades in Weather futures and options executed between 8:00 a.m. and 3:30 p.m. Chicago time, Monday – Friday on regular business days) may be price reported to the GCC. The seller or broker reports the trade by calling the GCC at **312.456.2391**. When the GCC is closed – for example, during the weekend – the block trade must be reported no later than five minutes prior to the opening of the next electronic trading session for that product.

ii) CME ClearPort

Block trades in all products with the exception of CME Weather may be electronically submitted directly to CME Clearing via CME ClearPort.

Block trades may also be price reported to the CME ClearPort Facilitation Desk by calling **1.866.246.9639** or via email at FacDesk@cmegroup.com. In either case, all of the data and information elements required in d) below must be submitted.

For information on reporting block trades through CME ClearPort, please contact customer service at 1.800.438.8616 or via email at ClearPort@cmegroup.com.

iii) Weather Blocks on CME Clearing360 via Pivot Instant Markets

Block trades in Weather futures and options may be price reported electronically to CME Clearing360 via Pivot Instant Markets by eligible inter-dealer brokers. For additional information on price reporting block trades via Pivot Instant Markets, please contact Angie DiCarlo, Senior Director, Client Development & Sales, at 312.930.4515 or via email at Angie.DiCarlo@cmegroup.com.

iv) Weather Block Trades Executed Between 8:00 a.m. and 3:30 p.m.

Block trades in Weather futures and options executed between 8:00 a.m. and 3:30 p.m. Chicago time, Monday – Friday on regular business days should be price reported to Exchange staff by calling 312.648.3935 or 312.648.3936.

d) Information Required When Reporting Block Trades

When price reporting a block trade, the following information will be required:

- Contract, contract month and contract year for futures, and, additionally for options, strike price and put or call designation for standard options, as well as the expiration date and exercise style for flex options;
- Quantity of the trade or, for spreads and combinations, the quantity of each leg of the trade;
- Price of the trade or, for spreads and combinations, the price of each leg of the trade; and
- Buyer's clearing firm and seller's clearing firm;
- Name and phone number of the party reporting the trade (for block trades reported to the GCC or reported via telephone or email to the CME ClearPort Facilitation Desk);
- For block trades reported via phone or email to the CME ClearPort Facilitation Desk, counterparty name and contact information must also be provided for trade verification purposes; and
- Execution time (to the nearest minute in Central Time) of the trade. The execution time is the time at which the trade was consummated.

Market participants must exercise diligence in accurately reporting the execution time of the block trade. The reporting of inaccurate execution times may result in disciplinary action.

6. Block Trade Submission Requirements to CME Clearing

Block trades price reported to the GCC or Exchange staff as described in numbers i) or iv) above must be submitted to CME Clearing through the FEC User Interface via the portal under BLOCK entry. For block trades executed between 6:00 a.m. and 6:00 p.m. **Central Time**, firms must submit the trade within one hour. For block trades executed between 6:00 p.m. and 6:00 a.m. **Central Time**, firms must submit the trade no later than 7:00 a.m. **Central Time**.

Block trades are entered by both the buyer's and seller's clearing firm and go through a two-sided match process. Upon entry, confirmation records will be routed back to the firms for bookkeeping purposes. When reporting spread or combination transactions, each leg must be entered individually. The execution time is required to be entered and must be the actual time at which the transaction was consummated by the two parties, not the time at which the trade is reported by the parties to their respective firms. Thus, if the clearing member has not acted as either principal or agent in the transaction, it must ensure that its customer provides an accurate execution time.

A block trade in a block-eligible option may be executed up to and including the day on which an option contract expires for purposes of offsetting an open option position. The offsetting block trade must be price reported to the GCC pursuant to the requirements of Section 5 above, and the offset must be submitted to CME Clearing no later than the Position Change Submission ("PCS") deadline on the day on which the option contract expires. The PCS deadline for CME and CBOT products is 7:30 p.m. Central Time.

7. Block Trade Recordkeeping

Complete order records for block trades must be created and maintained pursuant to Rule 536 and CFTC Regulations. Additionally, the time of execution of the block trade must also be recorded for all block trades.

8. Dissemination of Block Trade Information

The date, execution time, contract details, price and quantity of block trades are reported upon receipt of the block information by GCC. Block trade information is reported on the MerQuote system and may be accessed by entering the code "BLK". The information will also be displayed on cmegroup.com at the

following link: <http://www.cmegroup.com/tools-information/blocktrades.html>. Block trade information is also displayed on the trading floor.

Block trade prices are published separately from transactions in the regular market.
Block trade volume is also identified in the daily volume reports published by the exchange.

9. BTIC Block Trades

A BTIC Transaction is a futures transaction that is priced with reference to the applicable cash index close price. BTIC Transactions in Select Sector futures and BTIC Transactions in DJUSRE futures may be executed as block trades pursuant to the requirements of Rule 526 ("Block Trades"), this Advisory Notice and the applicable provisions in the corresponding product chapter (CME Chapter 369 for Select Sector futures and CBOT Chapter 30 for DJUSRE futures).

The current minimum threshold of 50 contracts applicable to each product will also apply to BTIC block trades in the products. BTIC block trades will not be permitted on the last day of trading in an expiring contract month.

BTIC block trades **may not** be executed as a spread transaction pursuant to the requirements in Section 4 of this Advisory Notice. Parties wishing to effectuate a block spread transaction in either product will be required to negotiate the transaction as separate outright BTIC block trades, and each leg must meet the minimum threshold of 50 contracts.

The futures price assigned to a Select Sector futures BTIC block trade will be based on the current day's Select Sector cash index close price, or the current day's Select Sector cash index close price adjusted by any valid price increment (the "Basis") higher or lower than the Select Sector cash index close price. The Basis must be stated in full tick increments as set forth in CME Rule 36901 ("Commodity Specifications").

The futures price assigned to a DJUSRE futures BTIC block trade will be based on the current day's Dow Jones US Real Estate cash index close price, or the current day's Dow Jones US Real Estate cash index close price adjusted by any valid price increment higher or lower than the Dow Jones US Real Estate cash index close price. The Basis must be stated in full tick increments as set forth in CBOT Rule 30102 ("Trading Specifications").

BTIC block trades must be reported to the Exchange at least 10 minutes prior to the scheduled close of the underlying primary securities market to establish the BTIC futures price on the current trading day. A BTIC block trade that is not reported at least 10 minutes prior to the scheduled close of the underlying primary securities market will be priced based on the index close price for the next available trading day for the primary securities market.

The futures price of a BTIC block trade will be determined by the Exchange at 3:45 p.m. Central Time and the Exchange-determined price will be final at that time. In the event of an early scheduled close of the primary securities market, the futures price of a BTIC block trade will be determined by the Exchange 45 minutes after the early scheduled close time for the primary securities market, and the Exchange-determined price will be final at that time. In the event of an equity market disruption in the primary securities market, all BTIC block trades will be cancelled for that trade date.

10. Use of Nonpublic Information Regarding Block Trades

Parties involved in the solicitation or negotiation of a block trade may not disclose the details of those communications to any other party for any purpose other than to facilitate the execution of the block trade. Parties privy to nonpublic information regarding a consummated block trade may not disclose such information to any other party prior to the public report of the block trade by the Exchange. A broker negotiating a block trade on behalf of a customer may disclose the identity of the customer to potential

counterparties, including the counterparty with which the block trade is consummated, only with the permission of the customer.

Pre-hedging or anticipatory hedging of any portion of a block trade in the same product or a closely-related product based upon a solicitation to participate in a block trade is not permitted. A closely related product is a product that is highly correlated to, serves as a substitute for, or is the functional economic equivalent of the product being traded as a block.

Counterparties to a block trade are permitted to initiate trades to hedge or offset the risk associated with the block trade following the consummation of the block trade, including during the period preceding the public report of the block trade by the Exchange.

Except as provided above, parties privy to nonpublic information attendant to a block trade are prohibited from trading in the same product or a closely-related product for the purpose of taking advantage of such information prior to the public report of the block trade by the Exchange. This prohibition is not intended to preclude such parties from continuing to transact in the marketplace in the context of their normal business; rather, it precludes parties in possession of actionable nonpublic information regarding an imminent block trade or report of a block trade from specifically using such information to their advantage. Information regarding a block trade is considered to be nonpublic until such time that the block trade details have been disseminated to the marketplace by the Exchange or the information can otherwise be demonstrated to have become stale or obsolete.

Parties solicited to provide a two-sided block market are not deemed to be in possession of nonpublic information provided that side of market interest is not disclosed in the context of the solicitation.

11. Block Trades between Affiliated Parties

Block trades between the accounts of affiliated parties are permitted provided that 1) the block trade is executed at a fair and reasonable price; 2) each party has a legal and independent bona fide business purpose for engaging in the trade; and 3) each party's decision to enter into the block trade is made by an independent decision-maker. In the absence of satisfying the requirements above, the transaction may constitute an illegal wash trade prohibited by Rule 534 ("Wash Trades Prohibited").

12. CME & CBOT Block Trade-Eligible Products

For purposes of the interest rate products in the tables, the following times apply:

ETH: 12:00 a.m. – 7:00 a.m. CT, Monday through Friday on regular business days

RTH: 7:00 a.m. – 4:00 p.m. CT, Monday through Friday on regular business days

ATH: 4:00 p.m. – 12:00 a.m. CT, Monday through Friday on regular business days and at all times on weekends

CME Products	Futures	Options	Flex Options
Eurodollars (RTH)	4,000 contracts - or 1,000 contracts provided that a minimum of 1,000 contracts are transacted in yrs 6-10	10,000 contracts	Not Available
Eurodollars (ETH)	2,000 contracts – or 500 contracts provided that a minimum of 500 contracts are transacted in yrs 6-10	5,000 contracts	Not Available

CME Products	Futures	Options	Flex Options
Eurodollars (ATH)	1,000 contracts – or 250 contracts provided that a minimum of 250 contracts are transacted in yrs 6-10	2,500 contracts	Not Available
Eurodollar E-mini futures (RTH)	40,000 contracts	Not available	Not available
Eurodollar E-mini futures (ETH)	20,000 contracts	Not available	Not available
Eurodollar E-mini futures (ATH)	10,000 contracts	Not available	Not available
3-Month Overnight Index Swaps (OIS)(RTH)	2,000 contracts	Not available	Not available
3-Month Overnight Index Swaps (OIS)(ETH)	1,000 contracts	Not available	Not available
3-Month Overnight Index Swaps (OIS)(ATH)	500 contracts	Not available	Not available
3-Month OIS/Eurodollar spread (RTH)	4,000 contracts (all legs combined)	Not available	Not available
3-Month OIS/Eurodollar spread (ETH)	2,000 contracts (all legs combined)	Not available	Not available
3-Month OIS/Eurodollar spread (ATH)	1,000 contracts (all legs combined)	Not available	Not available
T-Bills (RTH)	100 contracts	Not available	Not available
T-Bills (ETH)	50 contracts	Not available	Not available
T-Bills (ATH)	25 contracts	Not available	Not available
Euroyen (RTH)	200 contracts	200 contracts	Not available
Euroyen (ETH)	100 contracts	100 contracts	Not available
Euroyen (ATH)	50 contracts	50 contracts	Not available
One-Month Eurodollar (RTH)	400 contracts	400 contracts	Not available
One-Month Eurodollar (ETH)	200 contracts	200 contracts	Not available
One-Month Eurodollar (ATH)	100 contracts	100 contracts	Not available
10-Year Sovereign Yield Spread	250 contracts	Not available	Not available
Three-Month Euribor	2,000 contracts (nearest 20 March quarterly cycle delivery months and non-March quarterly cycle delivery months)	Not available	Not available
	500 contracts (farthest 20 March quarterly cycle delivery months)		
Barclays Capital U.S. Aggregate Bond Index	50 contracts	Not available	Not available
Eurozone Harmonized Index of Consumer Prices (HICP)	50 contracts	Not available	Not available
S&P 500*	Not available	250 contracts	250 contracts
NASDAQ-100*	200 contracts - outright only	100 contracts	100 contracts
S&P SmallCap 600, E-mini S&P SmallCap 600, E-mini NASDAQ Composite Index, E-mini S&P Select Sector Stock Index	50 contracts	Not available	Not available
E-mini Yen Denominated Nikkei Stock Average Index	250 contracts	Not available	Not available

CME Products	Futures	Options	Flex Options
E-mini S&P CNX Nifty Index	50 contracts	Not available	Not available
E-micro S&P CNX Nifty Index	250 contracts	Not available	Not available
S&P MidCap 400*, S&P 500/Citigroup Growth, S&P 500/Citigroup Value, Nikkei 225	50 contracts	250 contracts	250 contracts
Custom Stock Index/SGI Wise US	50 contracts	Not Available	Not available
Goldman Sachs Commodity Index (GSCI), GSCI Excess Return Index	50 contracts for outright – 300 contracts for each leg of a spread transaction	Not available	Not available
EUR/USD 1-Month and 3-Month Realized Volatility	50 contracts	Not available	Not available
EUR/USD*, JPY/USD*	150 contracts	250 contracts	Not available
AUD/USD*, CAD/USD*, CHF/USD*, GBP/USD*, MXN/USD	100 contracts	250 contracts	Not available
EUR/GBP, EUR/CHF, EUR/JPY, NZD/USD, BRL/USD, CZK/USD, CZK/EUR, HUF/EUR, HUF/USD, ILS/USD, KRW/USD, PLN/USD, PLN/EUR, RMB/USD, RMB/EUR, RMB/JPY, RUB/USD, ZAR/USD, USD/TRY, EUR/TRY	50 contracts	250 contracts	Not available
AUD/CAD, AUD/JPY, AUD/NZD, CAD/JPY, CHF/JPY, EUR/AUD, EUR/CAD, EUR/NOK, EUR/SEK, GBP/JPY, GBP/CHF, INR/USD, NOK/USD, SEK/USD, USD/RMB (STD)	50 contracts	Not available	Not available
Realized Variance: AUD/USD, GBP/USD, EUR/USD, JPY/USD	10 contracts	Not available	Not available
Dow Jones CME FX\$INDEX	50 contracts	Not available	Not available
Weather	20 contracts	20 contracts	Not available
Housing	20 contracts	20 contracts	Not available
U.S. Dollar Cash Settled Crude Palm Oil	10 contracts	Not available	Not available
Cheese	20 contracts	Not available	Not available

* NOT applicable to E-mini or E-micro FX or E-mini equity indexes except those listed above.

CBOT Products	Futures	Options	Flex Options
2-Year Treasury Notes (RTH)	5,000 contracts**	2,000 contracts	Same as Non-Flex Options
2-Year Treasury Notes (ETH)	2,500 contracts**	1,000 contracts	Same as Non-Flex Options
2-Year Treasury Notes (ATH)	1,250 contracts**	500 contracts	Same as Non-Flex Options
3-Year Treasury Notes (RTH)	5,000 contracts**	Not available	Not available
3-Year Treasury Notes (ETH)	2,500 contracts**	Not available	Not available

CBOT Products	Futures	Options	Flex Options
3-Year Treasury Notes (ATH)	1,250 contracts**	Not available	Not available
5- and 10-Year Treasury Notes (RTH)	5,000 contracts**	7,500 contracts	Same as Non-Flex Options
5- and 10-Year Treasury Notes (ETH)	2,500 contracts**	3,750 contracts	Same as Non-Flex Options
5- and 10-Year Treasury Notes (ATH)	1,250 contracts**	1,875 contracts	Same as Non-Flex Options
U.S. Treasury Bonds (RTH)	3,000 contracts**	7,500 contracts	Same as Non-Flex Options
U.S. Treasury Bonds (ETH)	1,500 contracts**	3,750 contracts	Same as Non-Flex Options
U.S. Treasury Bonds (ATH)	750 contracts**	1,875 contracts	Same as Non-Flex Options
Long-Term (Ultra) Treasury Bonds (RTH)	2,000 contracts**	Not available	Not available
Long-Term (Ultra) Treasury Bonds (ETH)	1,500 contracts**	Not available	Not available
Long-Term (Ultra) Treasury Bonds (ATH)	750 contracts**	Not available	Not available
2-, 5- and 10-Year OTR Treasury Yield (RTH)	2,000 contracts**	Not available	Not available
2-, 5- and 10-Year OTR Treasury Yield (ETH)	1,000 contracts**	Not available	Not available
2-, 5- and 10-Year OTR Treasury Yield (ATH)	500 contracts**	Not available	Not available
30-Day Fed Funds (RTH)	2,000 contracts	1,500 contracts	Same as Non-Flex Options
30-Day Fed Funds (ETH)	1,000 contracts	750 contracts	Same as Non-Flex Options
30-Day Fed Funds (ATH)	500 contracts	375 contracts	Same as Non-Flex Options
5-, 7-, 10- and 30-Year Interest Rate Swaps (RTH)	2,000 contracts	500 contracts	Not available
5-, 7-, 10- and 30-Year Interest Rate Swaps (ETH)	1,000 contracts	500 contracts	Not available
5-, 7-, 10- and 30-Year Interest Rate Swaps (ATH)	500 contracts	500 contracts	Not available
2-Year DSF	3,000 contracts	Not available	Not available
5-Year DSF	1,500 contracts	Not available	Not available
10-Year DSF	1,000 contracts	Not available	Not available
30-Year DSF	500 contracts	Not available	Not available
Dow Jones-UBS Commodity Index Excess Return	300 contracts	Not available	Not available
Dow Jones U.S. Real Estate Index	50 contracts	Not available	Not available

CBOT Products	Futures	Options	Flex Options
Ethanol	10 contracts	10 contracts	Not available
Denatured Ethanol Forward Month	10 contracts	Not available	Not available
Distillers' Dried Grain	10 contracts	Not available	Not available
Black Sea Wheat	10 contracts	Not available	Not available

** Block trading of intra-commodity Treasury, OTR Treasury Yield and 5-, 7-, 10- and 30-Year Interest Rate Swap futures calendar spreads is prohibited.

13. Text of CME & CBOT Rule 526

Rule 526 BLOCK TRADES

The Exchange shall designate the products in which block trades shall be permitted and determine the minimum quantity thresholds for such transactions. The following shall govern block trades:

- A. A block trade must be for a quantity that is at or in excess of the applicable minimum threshold. Orders may not be aggregated in order to achieve the minimum transaction size, except by those entities described in Sections I. and J.
- B. Each party to a block trade must be an Eligible Contract Participant as that term is defined in Section 1a(18) of the Commodity Exchange Act.
- C. A member shall not execute any order by means of a block trade for a customer unless such customer has specified that the order be executed as a block trade.
- D. The price at which a block trade is executed must be fair and reasonable in light of (i) the size of the block trade, (ii) the prices and sizes of other transactions in the same contract at the relevant time, (iii) the prices and sizes of transactions in other relevant markets, including without limitation the underlying cash market or related futures markets, at the relevant time, and (iv) the circumstances of the markets or the parties to the block trade.
- E. Block trades shall not set off conditional orders (e.g., Stop Orders and MIT Orders) or otherwise affect orders in the regular market.
- F. **CME** –Unless otherwise agreed to by the principal counterparties to the block trade, the seller, or, in the case of a brokered transaction, the broker handling the block trade, must ensure that each block trade is reported to the Exchange within five minutes of the time of execution; except that block trades in interest rate futures and options executed outside of Regular Trading Hours (7:00 a.m. – 4:00 p.m. Central Time, Monday – Friday on regular business days) and Housing and Weather futures and options must be reported within fifteen minutes of the time of execution. The report must include the contract, contract month, price, quantity of the transaction, the respective clearing members, the time of execution, and, for options, strike price, put or call and expiration month. The Exchange shall promptly publish such information separately from the reports of transactions in the regular market.
- F. **CBOT** - Unless otherwise agreed to by the principal counterparties to the block trade, the seller, or, in the case of a brokered transaction, the broker handling the block trade, must ensure that each block trade is reported to the Exchange within five minutes of the time of execution; except that block trades in interest rate futures and options executed outside of Regular Trading Hours (7:00 a.m. – 4:00 p.m. Central Time, Monday – Friday on regular business days) must be reported within fifteen minutes of the time of execution. The report must include the contract, contract month, price, quantity of the transaction, the respective clearing members, the time of execution, and, for options, strike price, put or call and expiration month. The Exchange shall promptly publish such information separately from the reports of transactions in the regular market.
- G. Block trades must be reported to the Clearing House in accordance with an approved reporting method.
- H. Clearing members and members involved in the execution of block trades must maintain a record of the transaction in accordance with Rule 536.
- I. A commodity trading advisor ("CTA") registered or exempt from registration under the Act, including, without limitation, any investment advisor registered or exempt from registration under the Investment Advisors Act of 1940, shall be the applicable entity for purposes of Sections A., B., C., and D., provided such advisors have total assets under management exceeding \$25 million and the block trade is suitable for the customers of such advisors.
- J. A foreign Person performing a similar role or function to a CTA or investment advisor as described in Section I, and subject as such to foreign regulation, shall be the applicable entity for purposes of Sections A., B., C., and

D., provided such Persons have total assets under management exceeding \$25 million and the block trade is suitable for the customers of such Persons.

14. Contact Information

Questions regarding this advisory may be directed to the following individuals:

Market Regulation:	Colin Garvey, Investigations	312.435.3656
	Robert Sniegowski, Rules & Regulatory Outreach	312.341.5991
	Erin Schwartz, Rules & Regulatory Outreach	312.341.3083
CME Clearing:	CME Clearing Support	312.207.2525

For media inquiries concerning this Advisory Notice, please contact CME Group Corporate Communications at 312.930.3434 or news@cmegroup.com.

Section I:

Market Regulation Advisory Notices

NYMEX and COMEX Members

- 1 Block Trades – Rule 526
- 9 Trading at Settlement (“TAS”), Trading at Marker (“TAM”) and Matched Order (“MO”) Transactions – Rule 524

I. MARKET REGULATION ADVISORY NOTICE - Block Trades

Exchange	NYMEX & COMEX
Subject	Block Trades
Rule References	Rule 526
Advisory Date	May 17, 2013
Advisory Number	NYMEX & COMEX RA1310-4
Effective Date	May 20 and June 3, 2013

This Advisory Notice supersedes NYMEX & COMEX Market Regulation Advisory Notice RA1308-4R issued on May 8, 2013. It is being issued to notify the marketplace that pending all relevant regulatory review periods, effective on Sunday, June 2, 2013, for trade date Monday, June 3, 2013, NYMEX and COMEX will begin to permit block trading in the following NYMEX Energy and COMEX Metals Realized Variance futures products concurrent with the launch of trading in the products. The block trade minimum threshold for all five products will be 10 contracts.

- NYMEX WTI Crude Oil Realized Variance futures
- NYMEX Brent Crude Oil Realized Variance futures
- NYMEX Natural Gas Realized Variance futures
- COMEX Gold Realized Variance futures
- COMEX Silver Realized Variance futures

Additionally, as previously announced, the modifications to the block trade minimum thresholds in 25 Power products originally set to become effective on Sunday, May 12, 2013, for trade date Monday, May 13, 2013, will now become effective on Sunday, May 19, 2013, for trade date Monday, May 20, 2013.

Block Trade Minimum Threshold Changes in 25 Power Products

Effective on May 19, 2013, for trade date May 20, 2013, NYMEX will adopt contract size changes and/or changes to the variable quantity unit in 25 NYMEX Power products. The revised block levels are set forth in the Table below:

Product Title & Clearing Code	Revised Block Trade Minimum Threshold (May 19, 2013)	Current Block Trade Minimum Threshold (Through May 11, 2013)
NYISO Zone A Day-Ahead Peak Calendar-Day 5 MW futures (AN)	5	10
Alberta Power Pool Calendar Day futures (AOD)	24	5
PJM AEP Dayton Hub Real-Time Off-Peak Calendar-Day 5 MW futures (AOR)	16	32
ISO New England Mass Hub Day-Ahead Peak Calendar-Day 5 MW futures (CE)	5	10
Dow Jones Mid-Columbia Electricity Price Index futures (DO)	100	10
ISO New England Mass Hub Day-Ahead Off-Peak Calendar-Day 5 MW futures (IDO)	16	32
NYISO Zone J Day-Ahead Peak Calendar-Day 5 MW futures (JN)	5	10

Product Title & Clearing Code	Revised Block Trade Minimum Threshold (May 19, 2013)	Current Block Trade Minimum Threshold (Through May 11, 2013)
Midwest ISO Minnesota Hub Off-Peak Calendar-Month LMP futures (LJ)	100	4
Midwest ISO Minnesota Hub Peak Calendar-Month LMP futures (LM)	100	10
PJM Northern Illinois Hub Real-Time Off-Peak Calendar-Day 5 MW futures (NOC)	16	32
MISO Illinois Hub Off-Peak Calendar-Month LMP futures (OJ)	100	4
MISO Illinois Hub Peak Calendar-Month LMP futures (OM)	100	10
PJM AEP Dayton Hub Peak Calendar-Month LMP option (OT)	95	10
PJM AEP Dayton Hub Day-Ahead Peak Calendar-Day 5 MW futures (PAP)	5	10
PJM AEP Dayton Hub Day-Ahead Off-Peak Calendar-Day 5 MW futures (PEO)	16	32
PJM AEP Dayton Hub Peak 50 MW Calendar-Month LMP options (PJD)	19	2
PJM Northern Illinois Hub Peak 50 MW Calendar-Month LMP options (PJN)	19	2
PJM Northern Illinois Hub Day-Ahead Off-Peak Calendar-Day 5 MW futures (PNO)	16	32
PJM Northern Illinois Hub Day-Ahead Peak Calendar-Day 5 MW futures (PNP)	5	10
PJM Western Hub Day-Ahead Off-Peak Calendar-Day 5 MW futures (PWO)	16	32
PJM Western Hub Day-Ahead Peak Calendar-Day 5 MW futures (PWP)	5	10
PJM Western Hub Real-Time Off-Peak Calendar-Day 5 MW futures (WOR)	16	32
NYISO Zone A Day-Ahead Off-Peak Calendar-Day 5 MW futures (ZAO)	16	32
NYISO Zone G Day-Ahead Off-Peak Calendar-Day 5 MW futures (ZGO)	16	32
NYISO Zone J Day-Ahead Off-Peak Calendar-Day 5 MW futures (ZJO)	16	32

A complete list of NYMEX and COMEX products in which block trading is permitted, and the relevant quantity thresholds, is available on the CME Group website via the following link:

[NYMEX & COMEX Block Trade-Eligible Products and Minimum Quantity Thresholds](#)

The text of Rule 526 appears in Section 12 of this Advisory Notice.

1. Definition of Block Trades

Block trades are privately negotiated futures, options or combination transactions that meet certain quantity thresholds which are permitted to be executed apart from the public auction market. It shall not be permissible to facilitate the execution of block trades in Exchange-traded products on a system or facility accessible to multiple parties that allows for the electronic matching of or the electronic acceptance of bids and offers; block trades transacted in such manner do not satisfy the privately negotiated standard. Parties may use communication technologies to bilaterally request block quotes from one or more participants and to conduct privately negotiated block trades. Parties may also utilize technologies supported by third parties which allow for the electronic posting of indicative block markets displayed to multiple market participants. However, block trades executed between parties on the basis of such electronically displayed indicative markets may be transacted only through direct bilateral communications involving the broker, where applicable, and the parties to the trade.

All block trades are subject to the conditions set forth in NYMEX and COMEX Rule 526 and in this Advisory Notice.

2. Participation in Block Trades

Each party to a block trade must be an Eligible Contract Participant as that term is defined in Section 1a of the Commodity Exchange Act. Eligible Contract Participants generally include exchange members and member firms, broker/dealers, government entities, pension funds, commodity pools, corporations, investment companies, insurance companies, depository institutions and high net-worth individuals. Commodity trading advisors and investment advisors who are registered or exempt from registration, and foreign persons performing a similar role and subject as such to foreign regulation, may participate in

block transactions provided they have total assets under management exceeding \$25 million and the block trade is suitable for their customers.

A customer order may be executed by means of a block trade only if the customer has specified that the order be executed as a block trade.

Orders may not be bunched to meet the minimum block quantity thresholds.

3. Time and Prices of Block Trades

Block trades may be executed at any time, including times during which the public auction market is closed.

Block trades must be transacted at prices that are “**fair and reasonable**” in light of (i) the size of the transaction, (ii) the prices and sizes of other transactions in the same contract at the relevant time, (iii) the prices and sizes of transactions in other relevant markets, including, without limitation, the underlying cash market or related futures markets, at the relevant time, and (iv) the circumstances of the markets or the parties to the block trade.

The trade price must be consistent with the minimum tick increment for the market in question. Additionally, each outright transaction and each leg of any block eligible spread or combination trade must be executed at a single price.

Block trade prices are reported independently of transaction prices in the regular market and are not included as part of the daily trading range. Block trade prices do not elect conditional orders (e.g. stop orders) or otherwise affect orders in the regular market.

4. Block Trade Minimum Quantities for Outrights, Spreads and Combinations

The block trade minimum quantity requirements for outright futures and options are set forth in the list of block trade eligible products which is available on the CME Group website via the following link: [NYMEX & COMEX Block Trade-Eligible Products and Minimum Quantity Thresholds](#)

- a) Intra-Commodity Futures Spreads and Futures Combinations and Intra-Commodity Options Spreads and Options Combinations

These spreads and combination transactions may be executed as block trades provided that the sum of the quantities of the legs of the transaction meets the minimum block quantity threshold.

- b) Inter-Commodity Futures Spreads and Futures Combinations and Inter-Commodity Options Spreads and Options Combinations

These spreads and combination transactions may be executed as block trades provided that the sum of the quantities of the legs of the transaction meets the larger of the threshold requirements for the underlying products.

- c) Options/Futures Spreads

Options/futures spreads may be executed as block trades provided that the options component of the spread meets the minimum quantity threshold for the outright option or option combination and the quantity of futures executed is consistent with the delta of the options component of the spread.

5. TAS & TAM Block Trades

Certain block-eligible futures contract months may be executed as block trades and assigned the current day's settlement price or any valid price increment ten ticks higher or lower than the settlement price

("TAS block trades"). Certain block-eligible futures contract months may also be executed as block trades and assigned the current day's marker price or any valid price increment ten ticks higher or lower than the marker price ("TAM block trades").

Additionally, intra-commodity calendar spreads in the nearby month/second month spread and the second month/third month spread may be executed as TAS or TAM block trades provided that the underlying product is eligible for TAS or TAM trading.

The pricing of the legs of a TAS or TAM calendar spread block trade will be calculated as follows:

- The nearby leg of the spread will always be priced at the settlement or marker price, as applicable, for that contract month.
- The far leg of the spread will be priced at the settlement or marker price, as applicable, for that contract **minus** the allowable TAS or TAM price increment traded (–10 through +10), except in circumstances where the traded TAS or TAM price is the actual settlement or marker price of the contract.

TAS block trades, including eligible TAS calendar spread block trades, may not be executed on the last day of trading in an expiring contract.

The products and contract months in which TAS and TAM block trades are permitted are set forth in the list of block trade eligible products which is available on the CME Group website via the following link:

[NYMEX & COMEX Block Trade-Eligible Products and Minimum Quantity Thresholds](#)

6. Block Trade Price Reporting Requirements

a) Time Requirements

Block trades in the following list of products must be price reported to the exchange within **five minutes** of the transaction.

- Brent Crude Oil Penultimate Financial futures (BB)
- Brent Crude Oil Last Day Financial futures (BZ)
- Light Sweet Crude Oil futures (CL)
- Gulf Coast Sour Crude Oil futures (MB)
- New York Harbor ULSD Heating Oil futures (HO)
- Henry Hub Natural Gas futures (NG)
- RBOB Gasoline futures (RB)
- NYMEX Cocoa, Coffee, Cotton and No. 11 Sugar futures (CJ, KT, TT and YO)
- Gold futures (GC)
- Silver futures (SI)
- Copper futures (HG)

Block trades in all other block-eligible NYMEX and COMEX products must be price reported to the exchange within **15 minutes** of the transaction. If the block transaction involves a spread or combination that includes certain legs subject to a five minute reporting requirement and other legs subject to a 15 minute reporting requirement, the reporting requirement for the transaction will be 15 minutes.

b) Reporting Obligation

Price reporting obligations are the responsibility of the seller, unless otherwise agreed to by the principal counterparties to the block trade; however, in the case of a brokered transaction, the price reporting obligation is the responsibility of the broker handling the block trade, unless otherwise agreed to by the

principal counterparties to the block trade. For purposes of the foregoing, the term “seller” refers to the principal counterparty acting as the seller of the block trade.

The failure to submit timely, accurate and complete block trade reports may subject the party responsible for the reporting obligation to disciplinary action. Parties shall not be sanctioned for block reporting infractions deemed to arise from factors beyond the reporting party’s control (e.g. the block trade fails the CME ClearPort automated credit check.)

c) Reporting Methods

Block trades must be price reported to the exchange via one of the methods described below:

i) CME ClearPort

Block trades may be electronically submitted directly to CME Clearing via CME ClearPort. Block trades may also be price reported to the CME ClearPort Facilitation Desk by calling **1.866.246.9639** or via email at FacDesk@cmegroup.com. In either case, all of the data and information elements required in d) below must be submitted.

For information on reporting block trades through CME ClearPort, please contact customer service at 1.800.438.8616 or via email at ClearPort@cmegroup.com.

ii) CME Direct

Block Trades may be electronically submitted to CME Clearing via entry on the CME Direct platform, which will satisfy the price reporting requirement. The CME Direct platform is directly connected to CME ClearPort. For information on submitting Block Trades through CME Direct, please contact CME Direct Support at CMEDirectSupport@cmegroup.com.

iii) Trading Floor

Block trades negotiated on the trading floor may either be electronically submitted directly to CME Clearing via CME ClearPort pursuant to i) above or may be price reported to exchange staff at the Master Pulpit.

d) Information Required when Reporting Block Trades

When reporting a block trade, the following information will be required:

- Contract, contract month and contract year for futures, and, additionally for options, strike price and put or call designation;
- Quantity of the trade or, for spreads and combinations, the quantity of each leg of the trade;
- Price of the trade or, for spreads and combinations, the price of each leg of the trade;
- Buyer’s clearing firm and seller’s clearing firm;
- Name and phone number of the party reporting the trade (for block trades reported on the trading floor or reported via telephone or email to the CME ClearPort Facilitation Desk);
- For block trades reported via phone or email to the CME ClearPort Facilitation Desk, counterparty name and contact information must also be provided for trade verification purposes; and
- Execution time (to the nearest minute in Eastern Time) of the trade. The execution time is the time at which the trade was consummated.

Market participants must exercise diligence in accurately reporting the execution time of the block trade. The reporting of inaccurate execution times may result in disciplinary action.

7. Block Trade Submission Requirements to CME Clearing

Block trades price reported to Exchange staff at the Master Pulpit on the trading floor must be entered by the seller or his designated representative into the CME clearing system within 30 minutes of execution and must contain the material terms of the trade, including the allocation to the correct clearing firm unless the trade will clear at the seller's qualifying clearing member firm. Within 30 minutes after entry by the seller, the buyer or his designated representative must enter into the CME clearing system a time of execution for the trade, note any disagreement with any of the terms of the trade entered by the seller, and allocate the trade to the correct clearing firm unless the trade will clear at the buyer's qualifying clearing member firm. When reporting spread or combination transactions, each leg must be entered individually. Separate submission to the CME clearing system is not required in the event that the block trade is directly entered into CME ClearPort, CME Direct or reported to the CME ClearPort Facilitation Desk.

8. Block Trade Recordkeeping

Complete order records for block trades must be created and maintained pursuant to Rule 536 and CFTC Regulations. Additionally, the time of execution of the block trade must also be recorded for all block trades.

9. Dissemination of Block Trade Information

The date, execution time, contract month, price and quantity of block trades are displayed on the CME Group website at the following link: [Block Trades](#).

Block trade prices are published separately from transactions in the regular market.

Block trade volume is also identified in the daily volume reports published by the exchange.

10. Use of Nonpublic Information Regarding Block Trades

Parties involved in the solicitation or negotiation of a block trade may not disclose the details of those communications to any other party for any purpose other than to facilitate the execution of the block trade. Parties privy to nonpublic information regarding a consummated block trade may not disclose such information to any other party prior to the public report of the block trade by the Exchange. A broker negotiating a block trade on behalf of a customer may disclose the identity of the customer to potential counterparties, including the counterparty with which the block trade is consummated, only with the permission of the customer.

Pre-hedging or anticipatory hedging of any portion of a block trade in the same product or a closely-related product based upon a solicitation to participate in a block trade is not permitted. A closely related product is a product that is highly correlated to, serves as a substitute for, or is the functional economic equivalent of the product being traded as a block.

Counterparties to a block trade are permitted to initiate trades to hedge or offset the risk associated with the block trade following the consummation of the block trade, including during the period preceding the public report of the block trade by the Exchange.

Except as provided above, parties privy to nonpublic information attendant to a block trade are prohibited from trading in the same product or a closely-related product for the purpose of taking advantage of such information prior to the public report of the block trade by the Exchange. This prohibition is not intended to preclude such parties from continuing to transact in the marketplace in the context of their normal business; rather, it precludes parties in possession of actionable nonpublic information regarding an imminent block trade or report of a block trade from specifically using such information to their advantage. Information regarding a block trade is considered to be nonpublic until such time that the block trade

details have been disseminated to the marketplace by the Exchange or the information can otherwise be demonstrated to have become stale or obsolete.

Parties solicited to provide a two-sided block market are not deemed to be in possession of nonpublic information provided that side of market interest is not disclosed in the context of the solicitation.

11. Block Trades between Affiliated Parties

Block trades between the accounts of affiliated parties are permitted provided that 1) the block trade is executed at a fair and reasonable price; 2) each party has a legal and independent bona fide business purpose for engaging in the trade; and 3) each party's decision to enter into the block trade is made by an independent decision-maker. In the absence of satisfying the requirements above, the transaction may constitute an illegal wash trade prohibited by Rule 534 ("Wash Trades Prohibited").

12. Text of NYMEX & COMEX Rule 526

Rule 526 BLOCK TRADES

The Exchange shall designate the products in which block trades shall be permitted and determine the minimum quantity thresholds for such transactions. The following shall govern block trades:

- A. A block trade must be for a quantity that is at or in excess of the applicable minimum threshold. Orders may not be aggregated in order to achieve the minimum transaction size, except by those entities described in Sections I. and J.
- B. Each party to a block trade must be an Eligible Contract Participant as that term is defined in Section 1a(18) of the Commodity Exchange Act.
- C. A member shall not execute any order by means of a block trade for a customer unless such customer has specified that the order be executed as a block trade.
- D. The price at which a block trade is executed must be fair and reasonable in light of (i) the size of the block trade, (ii) the prices and sizes of other transactions in the same contract at the relevant time, (iii) the prices and sizes of transactions in other relevant markets, including without limitation the underlying cash market or related futures markets, at the relevant time, and (iv) the circumstances of the markets or the parties to the block trade.
- E. Block trades shall not set off conditional orders (e.g., Stop Orders and MIT Orders) or otherwise affect orders in the regular market.
- F. Unless otherwise agreed to by the principal counterparties to the block trade, the seller, or, in the case of a brokered transaction, the broker handling the block trade, must ensure that each block trade is reported the Exchange within five minutes of the time of execution in the following futures products: Brent Crude Financial, Brent Crude Oil Last Day Financial, Light Sweet Crude Oil, Gulf Coast Sour Crude Oil, New York Harbor ULSD Heating Oil, Henry Hub Natural Gas, RBOB Gasoline, Cocoa, Coffee, Cotton, No. 11 Sugar, Gold, Silver and Copper. In all other block-eligible futures products and all block-eligible options products, the seller must ensure that each block trade is reported to the Exchange within fifteen minutes of the time of execution. The report must include the contract, contract month, price, quantity of the transaction, the respective clearing members, the time of execution, and, for options, strike price, put or call and expiration month. The Exchange shall promptly publish such information separately from the reports of transactions in the regular market.
- G. Block trades must be reported to the Clearing House in accordance with an approved reporting method.
- H. Clearing members and members involved in the execution of block trades must maintain a record of the transaction in accordance with Rule 536.
- I. A commodity trading advisor ("CTA") registered or exempt from registration under the Act, including, without limitation, any investment adviser registered or exempt from registration under the Investment Advisers Act of 1940, shall be the applicable entity for purposes of Sections A., B., C., and D., provided such advisors have total assets under management exceeding \$25 million and the block trade is suitable for the customers of such advisors.
- J. A foreign Person performing a similar role or function to a CTA or investment adviser as described in Section I, and subject as such to foreign regulation, shall be the applicable entity for purposes of Sections A., B., C., and D., provided such Persons have total assets under management exceeding \$25 million and the block trade is suitable for the customers of such Persons.

13. Contact Information

Questions regarding this Advisory Notice may be directed to the following individuals:

Market Regulation:	Colin Garvey, Investigations	312.435.3656
	Robert Sniegowski, Rules & Regulatory Outreach	312.341.5991
	Erin Schwartz, Rules & Regulatory Outreach	312.341.3083
CME Clearing:	CME Clearing Support	312.207.2525

For media inquiries concerning this Advisory Notice, please contact CME Group Corporate Communications at 312.930.3434 or news@cmegroup.com.

II. MARKET REGULATION ADVISORY NOTICE - Trading at Settlement ("TAS"), Trading at Marker ("TAM") and Matched Order ("MO") Transactions

Exchange	NYMEX & COMEX
Subject	Trading at Settlement ("TAS"), Trading at Marker ("TAM") and Matched Order ("MO") Transactions
Rule References	Rule 524
Advisory Date	May 28, 2013
Advisory Number	NYMEX & COMEX RA1309-4R

This Revised Advisory Notice supersedes NYMEX & COMEX RA1309-4 from April 29, 2013. It is being issued based solely on NYMEX's amendment of the product title of its New York Harbor ULSD Heating Oil futures contract to NY Harbor ULSD futures. That amendment is being adopted during the week of June 3, 2013. No other information in the Advisory Notice has been changed. Additional information on the title change is available in today's release of CME Group Special Executive Report S-6687.

Important information on regulatory considerations for market participants engaging in TAS, TAM or MO transactions appears in Section 2, a list of TAS- and TAM-eligible products and contract months appears in Sections 7 and 8, and the text of Rule 524 appears in Section 9 of this Advisory Notice.

1. General Information on TAS, TAM and MO Transactions

TAS transactions may be executed at the current day's settlement price or at any valid price increment ten ticks higher or lower than the settlement price, and, depending on the particular product, may be executed in the pit, on CME Globex or as a block trade.

TAM trading is analogous to existing Trading at Settlement ("TAS") trading wherein parties are permitted to trade at a differential to a not-yet-known price. TAM trading uses a marker price, whereas TAS trading uses the Exchange-determined settlement price for the applicable contract month. As with TAS trading, parties are able to enter TAM orders at the TAM price or at a differential between one and ten ticks higher or lower than the TAM price. TAM transactions may be executed on CME Globex or as a block trade.

MO transactions in pit-traded Copper futures are transactions which are priced at that day's settlement price for the contract.

2. Regulatory Considerations

All market participants are reminded that any trading activity that is intended to disrupt orderly trading or to manipulate or attempt to manipulate a settlement or marker price to benefit a TAS, TAM or MO position, including a TAS or TAM block position, will subject the member and/or the market participant to disciplinary action for any of a number of rule violations, including, but not limited to:

- price manipulation or attempted price manipulation
- wash trading
- conduct detrimental to the interest or welfare of the Exchange or conduct which tends to impair the dignity or good name of the Exchange
- engaging in conduct inconsistent with just and equitable principles of trade

Investigation of suspected manipulative or disruptive activity related to TAS, TAM or MO, or activity in the related underlying markets will include the Market Regulation Department's ("Department") review of positions and trading activity in NYMEX markets and any related markets to determine if such activity was disruptive, collusive, and/or caused or attempted to cause aberrant price movement during these periods. Pursuant to Rule 432.L.3, market participants are reminded that it is an offense to fail to produce any books or records requested by authorized Exchange staff within 10 days after such request is made or such shorter period of time as determined by the Exchange in exigent circumstances. The Department always has the authority to request books and records as well as other relevant information regarding the nature of a participant's trading and positions in Exchange products and in any related markets as part of its regulatory program.

A party carrying an open Platts TAM or Platts TAM block position in NY Harbor ULSD futures ("HO") or RBOB Gasoline futures ("RB") is prohibited from trading on the opposite side of the market in the underlying HO or RB market during the time period from 3:14:00.000 to 3:14:59.999 p.m. ET. The Department will closely monitor activity in HO and RB futures and Platts-related markets that rely upon pricing in NYMEX HO and RB futures markets at 3:15 p.m. ET. In conducting that surveillance, Market Regulation may routinely request and review books and records related to participants' positions and trading activities in related markets that settle to or reference floating prices determined by the Platts 3:15 p.m. ET Futures Price Assessment ("FPA") of the HO and RB markets.

Market participants who engage in or plan to engage in trading any Platts-related markets that rely upon pricing in NYMEX HO and RB futures markets at 3:15 p.m. ET are strongly encouraged to review the regulatory guidance contained in NYMEX & COMEX Market Regulation Advisory Notice RA1307-4 specific to such activity.

3. Entry of TAS and TAM Orders on CME Globex

Rule 524 permits the initiation of TAS and TAM orders into CME Globex only subsequent to the beginning of each group's pre-open state and during the time period the applicable contracts are available for TAS or TAM trading on CME Globex. The initiation of any TAS or TAM order on CME Globex outside of these time periods is strictly prohibited.

Any market participant who initiates the entry of a TAS or TAM order prior to receipt of the security status message indicating that market has transitioned to the pre-open will be subject to disciplinary action by a panel of the Business Conduct Committee, notwithstanding that the order may have been rejected by the CME Globex system. Sanctions for noncompliance may include a fine, disgorgement of any profits realized as a result of any orders accepted by CME Globex which were initiated prior to receipt of the security status message and/or a suspension of access to the market. Market participants must ensure that they have appropriate protocols in place to ensure that TAS and TAM orders are not initiated prior to receipt of the security status message.

4. TAS and TAM Calendar Spreads

Intra-commodity calendar spreads in the nearby month/second month spread, the second month/third month spread and the nearby month/third month spread in Light Sweet Crude Oil, NY Harbor ULSD, Henry Hub Natural Gas and RBOB Gasoline futures may be executed on CME Globex or on the trading floor and priced at TAS.

For the London Marker, intra-commodity calendar spreads in the nearby month/second month spread, the second month/third month spread and the nearby/third month spread in Light Sweet Crude Oil, Brent Crude Oil Last Day Financial, NY Harbor ULSD and RBOB Gasoline futures may be executed on CME Globex and priced at TAM.

For the Singapore Marker, intra-commodity calendar spreads in the nearby month/second month spread, the second month/third month spread and the nearby/third month spread in Light Sweet Crude Oil and Brent Crude Oil Last Day Financial futures may be executed on CME Globex and priced at TAM.

For the Platts 3:15 p.m. ET Marker, intra-commodity calendar spreads in the nearby month/second month spread in NY Harbor ULSD and RBOB Gasoline futures may be executed on CME Globex and priced at TAM.

The pricing of the legs of a TAS or TAM calendar spread will be calculated as follows:

- The nearby leg of the spread will always be priced at the settlement or marker price, as applicable, for that contract month.
- The far leg of the spread will be priced at the settlement or marker price, as applicable, for that contract **minus** the allowable TAS or TAM price increment traded (negative 10 through positive 10), except in circumstances where the traded TAS or TAM price is the actual settlement or marker price of the contract.

Example 1:

An April 2013/May 2013 Light Sweet Crude Oil calendar spread trades at TAS -1 (minus 1). Assume the April contract settles at 92.16 and the May contract settles at 92.52.

The April leg will be priced at the April settlement price of 92.16. The May leg will be priced at 92.53, which is the May settlement price of 92.52 minus the TAS price increment of -1 ($92.52 \text{ minus } -.01 = 92.53$).

Example 2:

A May 2013/June 2013 NY Harbor ULSD calendar spread trades at TAM +/- 0. Assume the May marker price is 2.9684 and the June marker price is 2.9658.

The May leg will be priced at the May marker price of 2.9684. The June leg will be priced at 2.9658, which is the June marker price.

Example 3:

An April 2013/June 2013 Henry Hub Natural Gas calendar spread trades at TAS +3. Assume the April contract settles at 3.969 and the June contract settles at 4.031.

The April leg will be priced at the April settlement price of 3.969. The June leg will be priced at 4.028, which is the June settlement price of 4.031 minus the TAS price increment of +3 ($4.031 \text{ minus } +.003 = 4.028$).

5. TAS on Gold, Silver and Copper Futures

TAS transactions are allowed in the active contract month in Gold and Silver futures trading in the pit, on CME Globex or as block trades and in the first active contract month in Copper futures trading on CME Globex. **TAS transactions are not allowed to be executed in the Copper futures pit and Copper futures may not be executed as a TAS block trade.**

In Gold futures, TAS trades are permitted in the following active contract months: February, April, June, August, and December. Accordingly:

When the February contract becomes spot, the April contract becomes the TAS-eligible month;
When the April contract becomes spot, the June contract becomes the TAS-eligible month;
When the June contract becomes spot, the August contract becomes the TAS-eligible month;
When the August contract becomes spot, the December contract becomes the TAS-eligible month; and
When the December contract becomes spot, the February contract becomes the TAS-eligible month.

In Silver and Copper futures, TAS trades are permitted in the following active contract months: March, May, July, September and December. Accordingly:

When the March contract becomes spot, the May contract becomes the TAS-eligible month;
When the May contract becomes spot, the July contract becomes the TAS-eligible month;
When the July contract becomes spot, the September contract becomes the TAS-eligible month;
When the September contract becomes spot, the December contract becomes the TAS-eligible month; and
When the December contract becomes spot, the March contract becomes the TAS-eligible month.

6. MO Transactions in Pit-Traded Copper Futures

MO transactions in Copper futures are open outcry trades competitively executed in the Copper futures pit where the trade is priced at that day's settlement price for the contract. MO transactions in Copper futures are eligible to be executed in the spot month and the next six consecutive contract months. Additionally, with the exception of the post close session, members may execute an MO order at any time the Copper futures pit is open for trading, provided that the order is placed as an MO order. Regular trading hours for open outcry trading in the Copper futures pit are from 8:10 a.m. until 1:00 p.m. Eastern Time ("ET").

Instructions to execute the order as an MO must be denoted on the order and members must identify an order executed as an MO on their trading record.

An order entered as an MO will be executed by the floor broker as a Market on Close order if the order is not previously executed as an MO or specifically designated to be executed only as an MO. An instruction to execute an order only as an MO must be communicated at the time the order is placed.

7. TAS-Eligible Products and Contract Months

Pit-Traded Contracts

Light Sweet Crude Oil (CL)

spot (except on the last trading day), 2nd, 3rd and 7th months
nearby/second month, second/third month and nearby/third month calendar spreads

Brent Crude Oil Last Day Financial (BZ)

spot (except on the last trading day), 2nd and 3rd months

NY Harbor ULSD (HO)

spot (except on the last trading day), 2nd and 3rd months
nearby/second month, second/third month and nearby/third month calendar spreads

Pit-Traded Contracts - continued

Henry Hub Natural Gas (NG)

spot (except on the last trading day), 2nd and 3rd months
nearby/second month, second/third month and nearby/third month calendar spreads

Henry Hub Natural Gas Last Day Financial (NN)

spot (except on the last trading day), 2nd and 3rd months

Henry Hub Natural Gas Look-Alike Last Day Financial (HH)

Spot (except on the last trading day), 2nd and 3rd months

RBOB Gasoline (RB)

spot (except on the last trading day), 2nd and 3rd months
nearby/second month, second/third month and nearby/third month calendar spreads

European Gasoil (100mt) Bullet (7F)

spot (except on the last trading day), 2nd and 3rd months

COMEX Gold (GC)

February, April, June, August and December contract months (first active month only)

COMEX Silver (SI)

March, May, July, September and December contract months (first active month only)

CME Globex Contracts

For compliance and enforcement purposes, the start of a TAS pre-open period is defined by receipt of the security status message indicating that the group has transitioned to the pre-open state and the end of a TAS trading session is defined by receipt of the security status message indicating that group is closed.

TAS orders may not be entered into CME Globex from the end of a TAS trading session until receipt of the security status message indicating that the group has transitioned to the pre-open state.

Commodity Code on CME Globex	Product Name and Contract Months	Cleared Product
CLT	Light Sweet Crude Oil spot (except on the last trading day), 2 nd , 3 rd and 7 th months and nearby/second month, second/third month and nearby/third month calendar spreads	CL
BZT	Brent Crude Oil Last Day Financial spot (except on the last trading day) 2 nd and 3 rd months	BZ
BBT	Brent Crude Oil Penultimate Financial Spot, 2 nd and 3 rd months	BB
HOT	NY Harbor ULSD HO spot (except on the last trading day), 2 nd and 3 rd months and nearby/second month, second/third month and nearby/third month calendar spreads	

Commodity Code on CME Globex	Product Name and Contract Months	Cleared Product
NGT	Henry Hub Natural Gas spot (except on the last trading day), 2 nd and 3 rd months and nearby/second month, second/third month and nearby/third month calendar spreads	NG
NNT	Henry Hub Natural Gas Last Day Financial spot (except on the last trading day), 2 nd and 3 rd months	NN
HHT	Henry Hub Natural Gas Look-Alike Last Day Financial spot (except on the last trading day), 2 nd and 3 rd months	HH
RBT	RBOB Gasoline spot (except on the last trading day), 2 nd and 3 rd months and nearby/second month, second/third month and nearby/third month calendar spreads	RB
7FT	European Gasoil (100mt) Bullet spot (except on the last trading day), 2 nd and 3 rd months	7F
KTT	NYMEX Coffee spot (except on the last trading day)	KT
CJT	NYMEX Cocoa spot (except on the last trading day)	CJ
TTT	NYMEX Cotton Spot (except on the last trading day)	TT
YOT	NYMEX No. 11 Sugar spot (except on the last trading day)	YO
RET	REBCO spot (except on the last trading day), 2 nd and 3 rd months	RE
GCT	COMEX Gold February, April, June, August and December contract months (first active month only)	GC
SIT	COMEX Silver March, May, July, September and December contract months (first active month only)	SI
HGT	COMEX Copper March, May, July, September and December contract months (first active month only)	HG

7. TAM-Eligible Products and Contract Months on CME Globex

For compliance and enforcement purposes, the start of a TAM pre-open period is defined by receipt of the security status message indicating that the group has transitioned to the pre-open state and the end of a TAM trading session is defined by receipt of the security status message indicating that group is closed.

TAM orders may not be entered into CME Globex from the end of a TAM trading session until receipt of the security status message indicating that the group has transitioned to the pre-open state.

Commodity Code on CME Globex	Product Name and Contract Months	Cleared Product
London Markers		
CLL	Light Sweet Crude Oil spot, 2 nd and 3 rd months and nearby/second month, second/third month and nearby/third month calendar spreads	CL
BZL	Brent Crude Oil Last Day Financial spot, 2 nd and 3 rd months and nearby/second month, second/third month and nearby/third month calendar spreads	BZ
HOL	NY Harbor ULSD HO spot, 2 nd and 3 rd months and nearby/second month, second/third month and nearby/third month calendar spreads	
RBL	RBOB Gasoline spot, 2 nd and 3 rd months and nearby/second month, second/third month and nearby/third month calendar spreads	RB
Singapore Markers		
CLS	Light Sweet Crude Oil spot, 2 nd and 3 rd months and nearby/second month, second/third month and nearby/third month calendar spreads	CL
BZS	Brent Crude Oil Last Day Financial spot, 2 nd and 3 rd months and nearby/second month, second/third month and nearby/third month calendar spreads	BZ
Platts 3:15 p.m. Markers		
HOP	NY Harbor ULSD spot and 2nd months and nearby/second month calendar spreads	HO
RBP	RBOB Gasoline Spot and 2nd months and nearby/second month calendar spreads	RB

For TAM trading on the FPA in NYMEX HO and RB futures, the marker price is the price established and published by Platts. Additional information on the methodology employed by Platts in establishing the FPA is set forth in its [Platts Methodology Statement](http://www.platts.com) which is available on the Platts website (www.platts.com).

In connection with Platts TAM, NYMEX publishes several non-tradable volume-weighted average price ("VWAP") calculations. There is a one- and two-minute VWAP for each of the first two contract months of HO and RB, for a total of eight VWAPs. The one-minute VWAP is based on outright trades on CME

Globex in the first and second contract months of HO and RB during the one-minute period from 3:14:00 to 3:15:00 p.m. ET and the two-minute VWAP is based on outright trades on CME Globex in the first and second contract months of HO and RB during the two-minute time period from 3:13:00 to 3:15:00 p.m. ET. These VWAPs are intended to be additional price references for NYMEX HO and RB at the close of the trading day.

The eight VWAPs are displayed on the same page as the daily energy TAM prices, but are uniquely identified as non-tradable. They are displayed at the following location on the CME Group website: <http://www.cmegroup.com/trading/energy/daily-energy-trading-at-marker-prices.html>

Questions regarding this Advisory Notice may be directed to the following individuals in Market Regulation:

Nancy Minett, Executive Director, Investigations, 212.299.2940

Neil Mazer, Lead Investigator, Investigations, 212.299.2874

Robert Sniegowski, Senior Director, Rules & Regulatory Outreach, 312.341.5991

For media inquiries concerning this Advisory Notice, please contact CME Group Corporate Communications at 312.930.3434 or news@cmegroup.com.

9. Text of Rule 524

Trading at Settlement ("TAS"), Trading at Marker ("TAM") and Matched Order ("MO") Transactions

The Exchange shall determine the commodities, contract months and time periods during which TAS, TAM and MO transactions shall be permitted.

524.A. Trading at Settlement ("TAS") Transactions

The following shall govern TAS transactions:

1. TAS transactions executed in the pit must be made open and competitively pursuant to the requirements of Rule 521 during the hours designated for pit trading in the particular contract and must be identified as such on the member's trading records.
2. TAS orders may be entered on Globex at any time the applicable contracts are available for TAS trading on Globex and during each TAS contract's prescribed pre-open time period. The initiation of any TAS order on Globex outside these time periods is prohibited.
3. TAS-eligible commodities and contract months may be executed as block trades pursuant to the requirements of Rule 526 except that TAS block trades may not be executed on the last day of trading in an expiring contract.
4. TAS transactions may be executed at the current day's settlement price or at any valid price increment ten ticks higher or lower than the settlement price.

524.B. Trading at Marker ("TAM") Transactions

The following shall govern TAM transactions:

1. TAM orders may be entered on Globex at any time the applicable contracts are available for TAM trading on Globex and during each TAM contract's prescribed pre-open time period. The initiation of any TAM order on Globex outside these time periods is prohibited.
2. TAM-eligible commodities and contract months may be executed as block trades pursuant to the requirements of Rule 526.
3. TAM transactions may be executed at the current day's applicable marker price or at any valid price increment ten ticks higher or lower than the applicable marker price.
4. A Party carrying an open long (short) Platts TAM or Platts TAM block position in RBOB Gasoline ("RB") or NY Harbor ULSD ("HO") futures may not sell (buy) in the underlying RB or HO market during the time period from 3:14:00.000 to 3:14:59.999 p.m. ET.

524.C. Matched Order (“MO”) Transactions

MO transactions are open outcry trades competitively executed pursuant to Rule 521 where the price of the trade is that day’s settlement price for the contract.

The following shall govern MO transactions:

1. Members may execute an MO at any time during pit trading hours except during the post close session. Members may execute an order as an MO provided the order is placed as an MO and such instructions are denoted on the order. An order entered as an MO will be executed during the closing period as a Market on Close order if not previously executed as an MO or specifically designated to be executed only as an MO. An order specifically designated for execution only as an MO must be designated as such at the time the order is placed.
2. Members must identify an order executed as an MO on their trading record.

CME Group Update

Section J:

CME Member Trade Procedures

None for June

Section K:

Performance Bond Requirements

For a complete listing of all CME Exchange-minimum performance bond requirements, please consult the SPAN[®] Minimum Performance Bond Requirements document, which is available on the Web at this address:

<http://www.cmegroup.com/clearing/margins/>

For further information, contact the Risk Management Department at
(312) 648-3888

CME Group Update

Section L: News Releases

CME Group news releases can be viewed at

<http://cmegroup.mediaroom.com/>

May 30, 2013

[CME Group Volume Reaches All-Time High on May 29](#)

Record Highs in Globex Volume and Interest Rate Complex

May 29, 2013

[CME Group Announces Treasury Futures Trading Volume Record](#)

Interest Rate Complex Experienced Second Highest Volume Day Overall

May 29, 2013

[CME Group Hires Newmark Grubb Knight Frank and HDREP to Market NYMEX Headquarters](#)

CME Group explores sale, lease-back options for iconic Manhattan tower

May 23, 2013

[CME Group Inc. Declares Quarterly Dividend](#)

May 23, 2013

[CBOE Begins Disseminating New Volatility Index On CME Group's 10-Year U.S. Treasury Note Options Contract](#)

May 22, 2013

[CME Group Inc. Announces Preliminary Results from its 2013 Annual Meeting of Shareholders](#)

May 19, 2013

[CME Group Expands OTC Agricultural Offering with New USD-Denominated Palm Oil Swap](#)

May 17, 2013

[CME Group Announces First Trade of Ethanol RINs Futures](#)

May 15, 2013

[CME Group Announces Open Interest for WTI-Brent Crude Oil Spread Options Contract Exceeds 125,000 for First Time](#)

May 13, 2013

[PUMA Trading System: BM&FBOVESPA celebrates a month of BOVESPA segment transactions at the new platform](#)

Period has two message and trade records for a one-minute peak in the BOVESPA segment and 20,708,540 total trades at the platform

CME Group Update

Section M:

CME Disciplinary Actions

- 1 Louis Dreyfus Energy Services L.P.
- 2 Michael Salus (MYK)

FILE NO.: CME 11-8377-BC

NON-MEMBER

FIRM: LOUIS DREYFUS ENERGY SERVICES L.P.

CME RULE

VIOLATIONS: (Legacy) Rule 526. Block Trades (in part)

F. The seller must ensure that each block trade is reported to the Exchange within five minutes of the time of execution; except that block trades in interest rate futures and options executed outside of Regular Trading Hours (7:00 a.m. – 4:00 p.m. Central Time, Monday – Friday on regular business days) and Housing and Weather futures and options must be reported within fifteen minutes of the time of execution. The report must include the contract, contract month, price, quantity of the transaction, the respective clearing members, the time of execution, and, for options, strike price, put or call and expiration month. The Exchange shall promptly publish such information separately from the reports of transactions in the regular market.

FINDINGS: Pursuant to an offer of settlement in which Louis Dreyfus Energy Services L.P., now doing business as Castleton Commodities Merchant Trading L.P. ("LDES"), neither admitted nor denied the Rule violation upon which the penalty is based, and after LDES voluntarily submitted itself to the jurisdiction of the Chicago Mercantile Exchange ("CME") Business Conduct Committee ("BCC") for purposes of settling this matter, on May 7, 2013, a Panel of the CME BCC ("Panel") found that on May 16, 2011, LDES executed a block trade in a CME Cooling-Degree-Days Index Futures contract, and did not report the trade to the Exchange within the applicable time limit following execution. The Panel concluded that LDES thereby violated CME Rule 526.F.

PENALTY: In accordance with the settlement offer, the Panel fined LDES \$15,000.

EFFECTIVE

DATE: May 9, 2013

FILE NO.: CME 10-07143-BC

MEMBER: MICHAEL SALUS (MYK)

CME RULE

VIOLATIONS: (Legacy) CME Rule 432. General Offenses (in part)

B. It shall be an offense to engage in fraud, bad faith or in conduct or proceedings inconsistent with just and equitable principles of trade.

CME Rule 530, Priority of Customers' Orders

A member shall not buy (sell) a futures contract, buy (sell) a call option or sell (buy) a put option for his own account, an account in which he has a direct or indirect financial interest, or an account over which he has discretionary trading authority when he is in possession of an executable order for another person to buy (sell) a futures contract, buy (sell) a call option or sell (buy) a put option in the same product, regardless of the venue of execution.

CME Rule 531, Trading Against Customers' Orders Prohibited

No person in possession of a customer order shall knowingly take, directly or indirectly, the opposite side of such order for his own account, an account in which he has a direct or indirect financial interest, or an account over which he has discretionary trading authority.

FINDINGS: Pursuant to an offer of settlement in which Michael Salus ("Salus") neither admitted nor denied the rule violations upon which the penalty is based, on May 7, 2013, a Panel of the CME Business Conduct Committee ("Panel") found that on 15 occasions from January through May 2010, Salus placed orders on the CME Globex electronic trading platform and executed trades in Random Length Lumber futures for his own account prior to, and at better prices than, executable customer orders Salus had in his possession that he subsequently executed in the trading pit. Further, on 11 of these occasions Salus indirectly traded against his customer orders. The Panel concluded that Salus thereby violated CME Rules 432.B., 530, and 531.

PENALTY: In accordance with the settlement offer, the Panel ordered Salus to disgorge profits in the amount of \$3,982, and barred Salus for a period of three months from: (a) reapplying for membership at any exchange owned, controlled, or operated by CME Group Inc.; (b) affiliating with any Exchange Member as defined in Exchange Rule 400 related to business conducted on or subject to the Rules of the Exchange; and (c) directly or indirectly accessing, placing orders or executing trades on any trading or clearing platform owned, controlled, or operated by CME Group Inc., including, but not limited to, CME Globex. The bar shall begin running on the Effective Date below, and run through and including August 9, 2013.

**EFFECTIVE
DATE:**

May 9, 2013

Section N:

CBOT Disciplinary Actions

- 1 Joseph Bedore (MEB)
- 1 James Carson (AGC)
- 2 Ben Farley
- 2 Joseph Fasano (FAS)
- 3 Matthew J. Maloney (MJ)

FILE NO.: CBOT 10-04973-BC

MEMBER: JOSEPH BEDORE (MEB)

CBOT RULE

VIOLATION: Rule 521. Requirements for Open Outcry Trades (in part)

In open outcry trading, bidding and offering practices must at all times be conducive to the competitive execution of transactions. All open outcry transactions, including spread and combination transactions, shall be made openly and competitively in the pit designated for the trading of the particular transaction. No bid or offer shall be specified for acceptance by particular trader....

FINDINGS: Pursuant to an offer of settlement in which Joseph Bedore neither admitted nor denied the rule violation upon which the penalty is based, on May 2, 2013, a Panel of the CBOT Business Conduct Committee found that on November 11, 2010, Bedore did not openly and competitively offer for an order traded in the Corn futures pit. The Panel concluded that in so doing, Bedore violated CBOT Rule 521.

PENALTY: In accordance with the settlement offer, the Panel fined Bedore \$5,000 and suspended his membership privileges, direct access to any trading floor, and electronic trading or clearing platform owned or controlled by CME Group for 5 business days beginning on May 6, 2013, through and including May 10, 2013.

EFFECTIVE

DATE: May 6, 2013

FILE NO.: 13-9386-FC

MEMBER: JAMES CARSON (AGC)

RULE

VIOLATIONS: 514. TRADING INFRACTIONS.

A. The following shall constitute trading infractions:

514. A. 7 use of undue force while on, entering or leaving the trading floor;

FINDINGS: On April 18, 2013, a panel of the Floor Conduct Committee found that on April 8, 2013, Carson engaged in a physical altercation with another member in the Corn futures pit. The Panel found that in so doing Carson violated CBOT Rule 514.A.7.

PENALTY: The Panel imposed a fine of \$2,500.

EFFECTIVE

DATE: May 2, 2013

FILE NO.: **CBOT 11-8055-BC**

**NON-
MEMBER:** **BEN FARLEY**

**CBOT RULE
VIOLATIONS:** **Rule 432. General Offenses (in part)**

It shall be an offense:

- I. To make a verbal or written material misstatement to the Board, a committee, or Exchange employees.

FINDINGS: Pursuant to an offer of settlement in which Ben Farley ("Farley") neither admitted nor denied the rule violations upon which the penalty is based, on May 7, 2013, a Panel of the CBOT Business Conduct Committee ("Panel") found that Farley is subject to the Panel's jurisdiction because the conduct at issue occurred while he was employed by a member firm. The Panel also found that on October 25, 2010, Farley knowingly misreported a block trade's true and accurate time of execution in order to give the appearance that the transaction was reported to the Exchange within the applicable time limit following execution. In so doing, the Panel concluded, Farley violated CBOT Rule 432.I.

PENALTY: In accordance with the settlement offer, the Panel fined Farley \$10,000.

**EFFECTIVE
DATE:** May 9, 2013

FILE NO.: **13-9386-FC**

MEMBER: **JOSEPH FASANO (FAS)**

**RULE
VIOLATIONS:** **514. TRADING INFRACTIONS.**

A. The following shall constitute trading infractions:

514. A. 7. use of undue force while on, entering or leaving the trading floor;

FINDINGS: On April 18, 2013, a panel of the Floor Conduct Committee found that on April 8, 2013, Fasano initiated a physical altercation in the Corn futures pit. The Panel found that in so doing Fasano violated CBOT Rule 514.A.7.

PENALTY: The Panel imposed a fine of \$5,000.

**EFFECTIVE
DATE:** May 2, 2013

FILE NO.: CBOT 10-04868-BC

MEMBER: MATTHEW J. MALONEY (MJ)

CBOT RULE

VIOLATIONS: Rule 532. Disclosing Orders Prohibited

No person shall disclose another person's order to buy or sell except to a designated Exchange official or the CFTC . . . An order for pit execution is not considered public until it has been bid or offered by open outcry. No person shall take action or direct another to take action based on non-public order information, however acquired.

RULE 539.A Prearranged, Pre-Negotiated And Noncompetitive Trades Prohibited (in part)

A. General Prohibition

No person shall prearrange or pre-negotiate any purchase or sale or noncompetitively execute any transaction.

FINDINGS: Pursuant to an offer of settlement in which Matthew J. Maloney ("Maloney") neither admitted nor denied the rule violations upon which the penalty is based, on May 2, 2013, a Panel of the CBOT Business Conduct Committee found that between April and August of 2010, Maloney engaged in Corn futures trades for customer orders opposite a local that had not been executed by open outcry. In several of these instances, Maloney disclosed his non-public orders to said local. The Panel concluded that in so doing, Maloney violated CBOT Rules 532 and 539.A.

PENALTY: In accordance with the settlement offer, the Panel ordered Maloney to pay a fine of \$30,000. The Panel also barred Maloney for a period beginning on the effective date and lasting fifteen business days from accessing all CME Group Inc. trading floors, and indirect and direct access to all electronic trading and clearing platforms owned or controlled by CME Group Inc.

**EFFECTIVE
DATE:**

May 6, 2013

CME Group Update

Section O:

NYMEX and COMEX Disciplinary Actions

None for June

Section P:

CME Weekly Member Sales and Transfers

- 1 Weekly Postings and Reported Lease Information

WEEKLY POSTING MEMBERSHIP/SHARE SALES AND TRANSFERS

Members having any information regarding the transferees listed below are requested to communicate the same to the Shareholder Relations and Membership Services Department ("the Department") on or before **May 27, 2013**.

In accordance with CME Rule 110, "At the conclusion of the 20 day claim filing period, the Market Regulation Department and the Department shall conduct an investigation of all claims properly filed against the seller's membership or its proceeds."

All claims against the seller of a membership shall be submitted in writing to the Department on or before **May 27, 2013**.

<u>TRANSFeree</u>	<u>DIVISION</u>	<u>TRANSFEROR</u>	<u>RULE/ AMOUNT</u>	<u>DATE</u>
Geno J. Del Boccio HTG CAPITAL PARTNERS, LLC	CME	Neil Hebb	106F Transfer	04/29/2013
#George O. Papa	IMM + B2	Richard W. Kaspar Revocable Trust Agreement, Margaret M. Kaspar, Trustee	\$185,000	04/29/2013
#Robert J. Tierney Jr	IOM + B3	The Kenneth Weitzman Separate Property Trust, Kenneth E. Weitzman, Trustee	\$61,000	04/29/2013
Neil Hebb HTG CAPITAL PARTNERS, LLC	IOM	Geno J. Del Boccio	106F Transfer	04/29/2013
Stephen C. Kaczmar	IOM	PAL Properties II, L.P.	106D Transfer	04/30/2013
Jerry A. Lebovitz	GEM	John P. Attwood	106D Reinstatement	04/30/2013
Irwin and Suzanne Rosen Trust Dated 8/15/96, Irwin Rosen, Trustee	IOM	Archelon LLC (106H holder: John A. Koltes III)	106D Reinstatement	04/30/2013
Robert O. Kabat Sr. Trust Dated 2/25/85, Laurene Kabat, Trustee	IOM	Nanhua Futures (Hong Kong) Company Limited	106D Reinstatement	04/30/2013
Norma L. Newberger	IOM	Eric A. Amonson	106D Reinstatement	04/30/2013
**ISES	IOM	Matthew A. Kumzi	106D Reinstatement	04/30/2013
Steven R Nickison	IOM	**ISES	106D Transfer	04/30/2013
Harvey C. Paffenroth Jr.	CME	Stephen C. Kaczmar	106D Reinstatement	04/30/2013
Christopher S. Marks	CME	Michael T. Kennedy	106D Reinstatement	04/30/2013

Sheldon Langer Trust Agreement Dated July 16, 2008, Goldie T. Langer and Sheldon Langer, Co-Trustees	IMM	Donald Kooperman	106D Reinstatement	04/30/2013
David L. Sezonov	IOM	Archelon LLC (106H holder: John A. Koltes III)	106D Reinstatement	04/30/2013
Maury A. Kravitz	IOM	Matthew L. Peterson	106D Reinstatement	04/30/2013
Betty A. Gram Trust Agreement No. 2433, Betty Gram, Trustee	IOM	Steven R Nickison	106D Reinstatement	04/30/2013
Donald Kooperman	IOM	Elliot B. Mesirow Revocable Trust Dated 7/6/92, Elliot B. Mesirow, Trustee	106D Transfer	04/30/2013
Namtron Family Trust, Michael E. Nortman, Trustee	IOM	Nanhua Futures (Hong Kong) Company Limited	106D Reinstatement	04/30/2013
Terry Steven Hospodar Revocable Trust, Terry S. Hospodar, Trustee	IOM	Marc C. Michelotti	106D Reinstatement	04/30/2013
**Keystone Trading Corporation	IOM	Douglas R. Harvey	106D Reinstatement	05/01/2013
Alan L. Freeman	CME	Steven I. Freeman	106C Reinstatement	05/01/2013
Quiet Light Securities LLC	CME	Daniel R. Fitzgibbon	106F Transfer	05/01/2013
David N. Young	IOM	David E. Zaslavsky	106D Reinstatement	05/01/2013
Turning Wheel Partners LP	IOM	David N. Young	106H/D Transfer	05/01/2013
Turning Wheel Partners LP	IOM	Michael R. Levine	106H/D Transfer	05/01/2013
J.P. Morgan Securities, LLC	IOM	Peter G. Johnson	106F Transfer	05/02/2013
J.P. Morgan Chase & Co	IMM	Peter G. Johnson	106I Transfer	05/02/2013
Adelle R. Oliff Living Trust Dated 8/12/91, Northern Trust Company, Trustee	IMM	Ross T. Hall	106D Reinstatement	05/03/2013

*New Applicant

**Shareholder

New Applicant Previously Posted

TRADING MEMBER FIRM APPROVAL

Turning Wheel Partners, L.P. has been approved as a CME Rule 106.H. Trading Member Firm – IOM Division, effective May 1, 2013.

FAMILY OF FUNDS QUALIFIED FUND NAME CHANGE

D. E. Shaw Heliant Portfolios, L.L.C., a qualified fund under D. E. Shaw Composite Portfolios, L.L.C.'s CME Rule 106.S. Family of Funds Member Firm (CME Division) membership, has changed its name to D. E. Shaw Kalon Portfolios, L.L.C., effective May 6, 2013.

As such, effective May 6, 2013, the following funds are qualified to receive member fees under the CME Rule 106.S. Family of Funds membership of D. E. Shaw Composite Portfolios, L.L.C.:

CME Division

- **D.E. Shaw Kalon Portfolios, L.L.C.**
- **D.E. Shaw Oculus Portfolios, L.L.C.**
- **D.E. Shaw Asymptote Portfolios L.L.C.**
- **D.E. Shaw Heliant Capital, L.L.C.**
- **D.E. Shaw Stibnite Portfolios, L.L.C.**

IMM Division

- **D.E. Shaw Valence Portfolios, L.L.C.**
- **D.E. Shaw Plasma Portfolios L.L.C.**
- **D.E. Galvanic Portfolios, L.L.C.**

NEW APPLICANTS FOR MEMBERSHIP

The applicants listed below are applying for membership and will be transferred membership at a later date. Any member having any information regarding these applicants is requested to communicate the same to the Shareholder Relations and Membership Services Department on or before **May 27, 2013**. Photographs of new applicants will be displayed on the bulletin boards located on the trading floor.

APPLICANT	DIVISION
Andrew M. Bartholomew II Philadelphia, PA	IOM
Igor Leonidovich Gourinovitch Waban, MA	CME
John D. Kaulentis Lake Forest, IL	IOM

BID, OFFER AND LAST SALE FOR MEMBERSHIP INTERESTS WITH ASSOCIATED CLASS B SHARES AS OF FRIDAY, **May 3, 2013 at 4:00 P.M.**

	CME	IMM	IOM	GEM
Date	04/03/2013	04/29/2013	04/29/2013	03/27/2013
Last	\$399,000	\$185,000	\$61,000	\$20,000
Bid	\$388,500	\$170,000	\$56,000	\$8,000
Offer	\$397,500	\$185,000	\$66,000	\$18,500

BID, OFFER AND LAST SALE FOR MEMBERSHIP INTEREST SWAP MARKET
AS OF FRIDAY, May 3, 2013 at 4:00 P.M.

	<u>CME FOR IMM</u>	<u>CME FOR IOM</u>	<u>IMM FOR IOM</u>
Date	10/02/2012	10/01/2004	04/29/2009
Last	\$250,000	\$175,000	\$230,000
Bid	\$100,000	NONE	\$25,000
Offer	\$250,000	NONE	\$290,000

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WEEKLY POSTING MEMBERSHIP/SHARE SALES AND TRANSFERS

Members having any information regarding the transferees listed below are requested to communicate the same to the Shareholder Relations and Membership Services Department ("the Department") on or before **June 3, 2013**.

In accordance with CME Rule 110, "At the conclusion of the 20 day claim filing period, the Market Regulation Department and the Department shall conduct an investigation of all claims properly filed against the seller's membership or its proceeds."

All claims against the seller of a membership shall be submitted in writing to the Department on or before **June 3, 2013**.

<u>TRANSFeree</u>	<u>DIVISION</u>	<u>TRANSFEROR</u>	<u>RULE/ AMOUNT</u>	<u>DATE</u>
#Jonathan W. Urban ROSENTHAL COLLINS GROUP L.L.C.	CME	Vincent J. Cozzo	106F Transfer	05/06/2013
Vincent J. Cozzo	CME	Harvey C. Paffenroth Jr.	106D Transfer	05/06/2013
#Christopher L. Shelton	IOM	Bryan C. Brath	106D Transfer	05/06/2013
**Donald Roose	CME + B1	W. Bradley Werner (106C holder: Lennart C. Werner)	\$388,500	05/07/2013
Glenn S. Bromagen Trust Dated 3/18/81, Glenn S. Bromagen, Trustee	IOM	CTC Master Fund, Ltd	106D Reinstatement	05/07/2013
Mary Anne Kamrowski Revocable Living Trust Dated 2/29/2000, Mary Anne Kamrowski, Trustee	IOM	CTC Master Fund, Ltd	106D Reinstatement	05/07/2013
Harvey C. Paffenroth Jr.	CME	Vincent J. Cozzo	106D Reinstatement	05/08/2013
Frank R. Kubicki	CME	Harvey C. Paffenroth Jr.	106D Transfer	05/08/2013
Vincent J. Cozzo ROSENTHAL COLLINS GROUP LLC	CME	Frank R. Kubicki	106I Transfer	05/08/2013
Xiao D. He	IMM + B2	Steven C. Firestone	\$170,000	05/09/2013
Crossland L.L.C.	CME	Brogan M. Hardy	106F Transfer	05/09/2013
William V. Coogan	GEM	Walter A. Broussardi	106D Transfer	05/10/2013
Morton Zwick and Marla Zwick JTWROS	CME	Morton Zwick (106D holder: William N. Tomlin)	106M Transfer	05/10/2013
William N. Tomlin	CME	Morton Zwick and Marla Zwick JTWROS	106D Transfer	05/10/2013
Morton Zwick and Marla Zwick JTWROS	CME	Morton Zwick (106H/D holder: Invenio Holdings Pte. Ltd.)	106M Transfer	05/10/2013

Invenio Holdings Pte. Ltd.	CME	Morton Zwick and Marla Zwick JTWROS	106H/D Transfer	05/10/2013
Laurie Fantus Laser Trust Dated 4/29/08, Laurie F. Laser, Trustee	IMM	Xiao D. He	106D Reinstatement	05/10/2013
Morton Zwick and Marla Zwick JTWROS	IOM	Morton Zwick (106D holder: Dominick J. Dziurgot)	106M Transfer	05/10/2013
Dominick J. Dziurgot	IOM	Morton Zwick and Marla Zwick JTWROS	106D Transfer	05/10/2013
Glenn S. Bromagen Trust Dated 3/18/81, Glenn S. Bromagen, Trustee	CME	Kevin Thomas Joyce	106D Reinstatement	05/10/2013
James D. Dailydis	IOM	Pauline Jesser Family Trust Dated 10/22/84, Pauline M. Jesser, Trustee	106D Transfer	05/10/2013

*New Applicant

**Shareholder

New Applicant Previously Posted

TRADING MEMBER FIRM APPROVAL

AlphaMetrix Crabel Multi-Product Fund – MT0130 has been approved as a CME Rule 106.H. Trading Member Firm – IMM Division, effective May 13, 2013.

TRADING MEMBER FIRM WITHDRAWAL

WestLB AG has withdrawn as a CME Rule 106.H. Trading Member Firm - IMM Division, effective May 8, 2013.

NEW APPLICANTS FOR MEMBERSHIP

The applicants listed below are applying for membership and will be transferred membership at a later date. Any member having any information regarding these applicants is requested to communicate the same to the Shareholder Relations and Membership Services Department on or before **June 3, 2013**. Photographs of new applicants will be displayed on the bulletin boards located on the trading floor.

APPLICANT

DIVISION

Ian V. Scott
Chicago, IL

IOM

BID, OFFER AND LAST SALE FOR MEMBERSHIP INTERESTS WITH ASSOCIATED CLASS B SHARES AS OF FRIDAY, May 10, 2013 at 4:00 P.M.

	<u>CME</u>	<u>IMM</u>	<u>IOM</u>	<u>GEM</u>
Date	05/07/2013	05/09/2013	04/29/2013	03/27/2013
Last	\$388,500	\$170,000	\$61,000	\$20,000
Bid	\$350,000	\$155,000	\$51,000	\$8,000
Offer	\$399,500	\$182,500	\$62,000	\$18,500

BID, OFFER AND LAST SALE FOR MEMBERSHIP INTEREST SWAP MARKET
AS OF FRIDAY, May 10, 2013 at 4:00 P.M.

	<u>CME FOR IMM</u>	<u>CME FOR IOM</u>	<u>IMM FOR IOM</u>
Date	10/02/2012	10/01/2004	04/29/2009
Last	\$250,000	\$175,000	\$230,000
Bid	\$100,000	NONE	\$25,000
Offer	\$250,000	NONE	\$290,000

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WEEKLY POSTING MEMBERSHIP/SHARE SALES AND TRANSFERS

Members having any information regarding the transferees listed below are requested to communicate the same to the Shareholder Relations and Membership Services Department ("the Department") on or before **June 10, 2013**.

In accordance with CME Rule 110, "At the conclusion of the 20 day claim filing period, the Market Regulation Department and the Department shall conduct an investigation of all claims properly filed against the seller's membership or its proceeds."

All claims against the seller of a membership shall be submitted in writing to the Department on or before **June 10, 2013**.

<u>TRANSFeree</u>	<u>DIVISION</u>	<u>TRANSFEROR</u>	<u>RULE/ AMOUNT</u>	<u>DATE</u>
Alphamatrix Crabel Multi-Product Fund - MT0130	IMM	The Marjorie Susan Bennett Trust Dated May 15, 2007, Marjorie S. Bennett, Trustee	106H/D Transfer	05/13/2013
Walter A. Broussardi	GEM	William V. Coogan	106D Reinstatement	05/13/2013
#John D. Kaulentis	IOM	**Keystone Trading Corporation	106D Transfer	05/13/2013
Peter A. Mulmat	IMM	Thomas J. Lane	106D Transfer	05/13/2013
Alphamatrix Crabel Multi-Product Fund - MT0130	IMM	Donald L. Minucciani Trust Dated 12/12/84, Donald L. Minucciani, Trustee	106H/D Transfer	05/13/2013
Douglas R. Harvey	IMM	Laurie Fantus Laser Trust Dated 4/29/08, Laurie F. Laser, Trustee	106D Transfer	05/13/2013
Michael J. Ambrosino	IOM	Xuhui Zhou	106D Reinstatement	05/13/2013
#Igor Leonidovich Gourinovitch	IOM	Mary J. O'Connor	106D Transfer	05/14/2013
Eileen G. Rosengard	CME	Paul T. Illuzzi	106D Reinstatement	05/14/2013
Ronin Trading, LLC	CME + B1	Eileen G. Rosengard JLN Trust, Eileen G. Rosengard, Trustee	\$399,500	05/14/2013
Ronin Trading, LLC	IMM + B2	Thomas R. Corbett (106D holder: Volant Liquidity, LLC)	\$182,500	05/14/2013
Ronin Trading, LLC	GEM + B4	Brian M. Fisher	\$18,500	05/14/2013
Ronin Trading, LLC	IOM + B3	Michael L. Goldfarb Trust U/A/D 1-25-07, Michael L. Goldfarb, Trustee	\$60,000	05/14/2013
Teri Vecchio Self-Declaration of Trust Dated 10/13/93, Theresa P. Vecchio, Trustee	CME	Alan L. Freeman	106D Reinstatement	05/15/2013

Paul T. Illuzzi	CME	Teri Vecchio Self-Declaration of Trust Dated 10/13/93, Theresa P. Vecchio, Trustee	106D Transfer	05/15/2013
Volant Liquidity, LLC	IMM	Michael R. Denten	106H/D Transfer	05/15/2013
Omid M. Farr	GEM + B4	MF Global Inc.^^	\$8,000	05/16/2013
Michael J. Ambrosino	CME + B1	MF Global Inc.^^	\$350,000	05/16/2013
*Michael J. Donahue	IMM + B2	MF Global Inc.^^	\$160,000	05/16/2013
John H. Pak	IOM + B3	MF Global Inc.^^	\$52,000	05/16/2013
Joseph F. Carava Jr.	CME	Robert S. Zwit	106D Reinstatement	05/17/2013
L T Maxim Family Trust, Lorraine T. Maxim, Trustee	IOM	Benjamin T. Kalal	106D Reinstatement	05/17/2013
Neil Hebb	IOM	L T Maxim Family Trust, Lorraine T. Maxim, Trustee	106D Transfer	05/17/2013
#Richard W. Stevenson Jr.	IMM	Richard W. Stevenson Revocable Trust, Richard W. Stevenson, Trustee	106C Transfer	05/17/2013
Benjamin T. Kalal HTG CAPITAL PARTNERS, LLC	IOM	Neil Hebb	106F Transfer	05/17/2013

*New Applicant

**Shareholder

New Applicant Previously Posted

^^ Rule 110 Claims against MF Global Inc.'s memberships were required to be filed no later than October 5, 2012, in accordance with CME Group's agreement with the Bankruptcy Trustee, approved by the Bankruptcy Court. As such, no new claims will be allowed.

EQUITY MEMBER FIRM APPROVAL

Ronin Trading, LLC has been approved as a CME Rule 106.J. Equity Member Firm, effective May 20, 2013.

NEW APPLICANTS FOR MEMBERSHIP

The applicants listed below are applying for membership and will be transferred membership at a later date. Any member having any information regarding these applicants is requested to communicate the same to the Shareholder Relations and Membership Services Department on or before **June 10, 2013**. Photographs of new applicants will be displayed on the bulletin boards located on the trading floor.

APPLICANT	DIVISION
Michael J. Donahue Chicago, IL	IMM
Richard Durra Glencoe, IL	IMM

BID, OFFER AND LAST SALE FOR MEMBERSHIP INTERESTS WITH ASSOCIATED CLASS B SHARES
AS OF FRIDAY, May 17, 2013 at 4:00 P.M.

	<u>CME</u>	<u>IMM</u>	<u>IOM</u>	<u>GEM</u>
Date	05/16/2013	05/16/2013	05/16/2013	05/16/2013
Last	\$350,000	\$160,000	\$52,000	\$8,000
Bid	\$325,000	\$157,000	\$51,500	\$5,000
Offer	\$405,000	\$185,000	\$62,000	\$19,000

BID, OFFER AND LAST SALE FOR MEMBERSHIP INTEREST SWAP MARKET
AS OF FRIDAY, May 17, 2013 at 4:00 P.M.

	<u>CME FOR IMM</u>	<u>CME FOR IOM</u>	<u>IMM FOR IOM</u>
Date	10/02/2012	10/01/2004	04/29/2009
Last	\$250,000	\$175,000	\$230,000
Bid	\$100,000	NONE	\$25,000
Offer	\$250,000	NONE	\$290,000

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WEEKLY POSTING MEMBERSHIP/SHARE SALES AND TRANSFERS

Members having any information regarding the transferees listed below are requested to communicate the same to the Shareholder Relations and Membership Services Department ("the Department") on or before **June 17, 2013**.

In accordance with CME Rule 110, "At the conclusion of the 20 day claim filing period, the Market Regulation Department and the Department shall conduct an investigation of all claims properly filed against the seller's membership or its proceeds."

All claims against the seller of a membership shall be submitted in writing to the Department on or before **June 17, 2013**.

<u>TRANSFeree</u>	<u>DIVISION</u>	<u>TRANSFEROR</u>	<u>RULE/ AMOUNT</u>	<u>DATE</u>
#Laurence R. Benedict	IMM	Terry Steven Hospodar Revocable Trust, Terry S. Hospodar, Trustee	106D Transfer	05/20/2013
Milton Rich Trust Dated October 5, 1987, Susan L. Shatz, Trustee	CME	Eric Ochel	106D Reinstatement	05/21/2013
Michael T. Kennedy	CME	Milton Rich Trust Dated October 5, 1987, Susan L. Shatz, Trustee	106D Transfer	05/21/2013
Jeffrey S. Pierce DORMAN TRADING LLC	IMM	Dorman Trading LLC	106F Transfer	05/21/2013
Alphabit Trading, LLC	IMM	Dolores M. Crilly Living Trust Dated 9/14/92, Dolores M. Crilly, Trustee	106H/D Transfer	05/21/2013
John F. Fyfe	IMM	Sandra R. Bromagen Trust Dated 1/13/97, Sandra R. Bromagen, Trustee	106D Transfer	05/21/2013
Alphabit Trading, LLC	IMM	Trust Agreement of Thomas A. Cicardo and Lori L. Cicardo, Thomas A. Cicardo, Trustee	106H/D Transfer	05/21/2013
#Kevin L. Nied	IMM	Glenn S. Bromagen Trust Dated 3/18/81, Glenn S. Bromagen, Trustee	106D Transfer	05/22/2013
Seymour I. Hersh	IOM	Jeffrey S. Pierce	106D Reinstatement	05/22/2013

*New Applicant

**Shareholder

New Applicant Previously Posted

ELECTRONIC CORPORATE MEMBER APPROVAL

Lion Cave Management, LLC has been approved as a CME Rule 106.R. Electronic Corporate Member Firm – Volume Incentive Program, effective May 22, 2013.

TRADING MEMBER FIRM APPROVAL

AlphaBit Trading, LLC has been approved as a CME Rule 106.H. Trading Member Firm – IMM Division, effective May 21, 2013.

NEW APPLICANTS FOR MEMBERSHIP

The applicants listed below are applying for membership and will be transferred membership at a later date. Any member having any information regarding these applicants is requested to communicate the same to the Shareholder Relations and Membership Services Department on or before **June 17, 2013**. Photographs of new applicants will be displayed on the bulletin boards located on the trading floor.

APPLICANT	DIVISION
James R. Fregeau Frankfort, IL	IOM
Bryan C. Smith Chicago, IL	IOM

BID, OFFER AND LAST SALE FOR MEMBERSHIP INTERESTS WITH ASSOCIATED CLASS B SHARES AS OF FRIDAY, May 24, 2013 at 4:00 P.M.

	<u>CME</u>	<u>IMM</u>	<u>IOM</u>	<u>GEM</u>
Date	05/16/2013	05/16/2013	05/16/2013	05/16/2013
Last	\$350,000	\$160,000	\$52,000	\$8,000
Bid	\$350,000	\$165,500	\$55,000	\$5,000
Offer	\$405,000	\$185,000	\$60,000	\$18,750

BID, OFFER AND LAST SALE FOR MEMBERSHIP INTEREST SWAP MARKET AS OF FRIDAY, May 24, 2013 at 4:00 P.M.

	<u>CME FOR IMM</u>	<u>CME FOR IOM</u>	<u>IMM FOR IOM</u>
Date	10/02/2012	10/01/2004	04/29/2009
Last	\$250,000	\$175,000	\$230,000
Bid	\$100,000	NONE	\$25,000
Offer	\$250,000	NONE	\$290,000

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Chicago Mercantile Exchange Inc.

Reported Lease Prices and Membership Interests for Lease

Thursday, May 30, 2013 10:00 AM

Lease Information Line (312) 930-3414

CURRENT LEASE INFORMATION IS AVAILABLE AT WWW.CMEGROUP.COM

I. REPORTED LEASE PRICE INFORMATION

II. MEMBERSHIP INTERESTS AVAILABLE FOR LEASE

I. REPORTED LEASE PRICE INFORMATION

1. **Lease prices** are updated as received and are listed in the Membership Services Department. Lease prices can be accessed on the CME Group Website (www.cmegroup.com).
2. To request a fax of this document, please call the LIL at (312) 930-3414.

Rule 106.D.12 NOW REQUIRES ALL LESSORS TO NOTIFY THE EXCHANGE OF ANY NEW OR RENEWED LEASE PRICES WITHIN TWO BUSINESS DAYS OF THE NEGOTIATED LEASE AGREEMENT. Lease Reporting Forms are available by contacting the Membership Services Department at (312) 435-3480. The Exchange will report all information submitted and makes no claim as to the completeness or accuracy of this information.

CME DIVISION - REPORTED LEASE INFORMATION - NEW LEASES

Effective Date	Term	Lease Price	Negotiated Date
06/01/2013	2 mo.	\$1,900	05/28/2013
05/22/2013	3 mo. + 10 days	\$1,900	05/21/2013
05/17/2013	3 mo. + 15 days	\$1,950	05/15/2013
05/16/2013	3 mo. + 16 days	\$1,950	05/15/2013
05/01/2013	3 mo.	\$1,950	04/30/2013
06/01/2013	6 mo.	\$1,950	05/02/2013
04/24/2013	3 mo. + 7 days	\$1,900	04/22/2013
04/22/2013	6 mo. + 9 days	\$1,950	04/18/2013
04/08/2013	3 mo. + 23 days	\$2,000	04/05/2013
04/08/2013	1 mo.	\$1,900	04/05/2013

CME DIVISION - REPORTED LEASE INFORMATION - LEASE RENEWALS

Effective Date	Term	Lease Price	Negotiated Date
06/01/2013	3 mo.	\$1,950	05/24/2013
06/01/2013	2 mo.	\$1,900	05/28/2013
06/01/2013	3 mo.	\$1,900	05/24/2013
06/01/2013	2 mo.	\$1,900	05/23/2013
06/01/2013	6 mo.	\$1,950	05/21/2013
06/01/2013	6 mo.	\$1,950	05/21/2013
06/01/2013	3 mo.	\$1,950	05/22/2013
06/01/2013	3 mo.	\$1,900	05/22/2013
06/01/2013	3 mo.	\$1,900	05/22/2013
06/01/2013	3 mo.	\$1,900	05/22/2013

IMM DIVISION - REPORTED LEASE INFORMATION - NEW LEASES

Effective Date	Term	Lease Price	Negotiated Date
06/01/2013	3 mo.	\$850	05/28/2013
05/22/2013	6 mo. + 10 days	\$800	05/21/2013
05/21/2013	1 mo. + 11 days	\$850	05/20/2013
05/21/2013	1 mo. + 11 days	\$850	05/20/2013
05/20/2013	2 mo. + 12 days	\$850	05/20/2013
05/15/2013	1 mo. + 15 days	\$850	05/14/2013
05/14/2013	2 mo. + 18 days	\$850	05/13/2013
05/14/2013	1 mo. + 15 days	\$850	05/13/2013
05/13/2013	6 mo. + 15 days	\$850	05/10/2013
05/13/2013	6 mo. + 15 days	\$850	05/10/2013

IMM DIVISION - REPORTED LEASE INFORMATION - LEASE RENEWALS

Effective Date	Term	Lease Price	Negotiated Date
06/01/2013	1 mo.	\$850	05/29/2013
07/01/2013	6 mo.	\$850	05/29/2013
07/01/2013	6 mo.	\$850	05/29/2013
06/01/2013	1 mo.	\$850	05/28/2013
06/01/2013	1 mo.	\$850	05/28/2013
06/01/2013	1 mo.	\$850	05/28/2013
06/01/2013	6 mo.	\$825	05/27/2013
06/01/2013	6 mo.	\$825	05/27/2013
06/01/2013	3 mo.	\$850	05/22/2013
06/01/2013	3 mo.	\$850	05/22/2013

IOM DIVISION - REPORTED LEASE INFORMATION - NEW LEASES

Effective Date	Term	Lease Price	Negotiated Date
06/01/2013	1 mo.	\$350	05/28/2013
05/29/2013	6 mo.	\$325	05/28/2013
05/15/2013	5 mo. + 17 days	\$350	05/14/2013
06/01/2013	3 mo.	\$325	05/10/2013
06/01/2013	3 mo.	\$350	05/10/2013
06/01/2013	3 mo.	\$325	05/10/2013
05/13/2013	1 mo. + 15 days	\$350	05/09/2013
05/01/2013	6 mo.	\$350	04/30/2013
05/01/2013	3 mo.	\$350	04/29/2013
04/29/2013	3 mo.	\$350	04/23/2013

IOM DIVISION - REPORTED LEASE INFORMATION - LEASE RENEWALS

Effective Date	Term	Lease Price	Negotiated Date
06/01/2013	6 mo.	\$350	05/29/2013
06/01/2013	6 mo.	\$350	05/28/2013
06/01/2013	3 mo.	\$350	05/24/2013
06/01/2013	1 mo. + 1 day	\$350	05/28/2013
06/01/2013	3 mo. + 15 days	\$350	05/28/2013
06/01/2013	1 mo.	\$350	05/24/2013
06/01/2013	3 mo.	\$350	05/24/2013
06/01/2013	3 mo.	\$350	05/23/2013
06/01/2013	3 mo.	\$350	05/22/2013
06/01/2013	1 mo.	\$350	05/22/2013

GEM DIVISION - REPORTED LEASE INFORMATION - NEW LEASES

Effective Date	Term	Lease Price	Negotiated Date
04/26/2013	3 mo.	\$125	04/25/2013
01/11/2013	21 days	\$125	01/10/2013
01/07/2013	25 days	\$125	01/07/2013
01/01/2013	3 mo.	\$150	12/31/2012
10/05/2012	5 mo. + 27 days	\$125	10/04/2012
09/21/2012	6 mo. + 10 days	\$150	09/21/2012
09/01/2012	3 mo.	\$125	08/30/2012
08/01/2012	3 mo.	\$125	07/31/2012
05/23/2012	1 mo. + 9 days	\$150	05/22/2012
05/01/2012	6 mo.	\$125	04/16/2012

GEM DIVISION - REPORTED LEASE INFORMATION - LEASE RENEWALS

Effective Date	Term	Lease Price	Negotiated Date
06/01/2013	1 mo. + 6 days	\$125	05/27/2013
06/01/2013	6 mo.	\$125	05/22/2013
06/01/2013	3 mo.	\$125	05/21/2013
06/01/2013	3 mo.	\$125	05/20/2013
06/01/2013	1 mo.	\$125	05/10/2013
06/01/2013	3 mo.	\$125	05/10/2013
05/01/2013	1 mo.	\$100	04/30/2013
05/01/2013	1 mo.	\$100	04/30/2013
05/01/2013	3 mo.	\$125	05/01/2013
05/01/2013	6 mo.	\$100	04/24/2013

II. MEMBERSHIP INTERESTS AVAILABLE FOR LEASE

1. Lessors are requested to contact the Leasing Information Line "LIL" at (312) 930-3414 or the Membership Services Department at (312) 435-3480 to initially list a membership available for lease. Listings must be received by 6 p.m. to be included on the next days list. This list is updated once per day by 10 a.m. each morning.
2. Names will be posted on this list for five (5) business days. To renew your listing, please call the LIL voice mail renewal at (312) 930-3414.
3. All names will be listed on www.cmegroup.com under the "Memberships For Lease" section in date order as they are received by the Membership Services Department.

CME Memberships for Lease

Lessor	Date Available	Contact	Tel. Number
Kenneth J. Ganch	Immed.	Margie DeLorme	(312) 800-7012
Christopher S. Marks	Immed.	Paula McKinney	(847) 730-3463
Michael J. Ambrosino	Immed.	Becky Christie	(312) 604-6116
James Christopher Living Trust Dated May 1, 2004	Immed.	Margie Gralla	(312) 341-7755
Susan M. Serota Trust	06/01/2013	Karen Matrenec	(708) 757-6630
Sam Judlo	06/01/2013	Bill Levinske	(312) 408-9422
Gerald Ordman Declaration Of Trust DTD 8/2/99	Immed.	Paula McKinney	(847) 730-3463
Keith R. Fohrman	Immed.	Margie DeLorme	(312) 800-7012

IMM Memberships for Lease

Lessor	Date Available	Contact	Tel. Number
Richard W. Kaspar Revocable Trust Agreement	Immed.	Paula McKinney	(847) 730-3463
Estate Of Richard J. Appel	Immed.	Margie Gralla	(312) 341-7755
Donna Shannon Mulchrone Living Trust	Immed.	Karen Matrenec	(708) 757-6630
Helen Ann King Revocable Trust U/A/D 6/23/95	Immed.	Karen Matrenec	(708) 757-6630
Phillip T. Karafotas	Immed.	Karen Matrenec	(708) 757-6630
Clifford Kabumoto Trust	Immed.	Margie Gralla	(312) 341-7755
Donald L. Minucciani Trust Dated 12/12/84	Immed.	Karen Matrenec	(708) 757-6630
Susan C. Gruebnaue Declaration Of Trust U/A DTD. 11/21/2006	Immed.	Bernard Doyle	(312) 782-5600
Jean L Geurkink Trust	Immed.	Karen Matrenec	(708) 757-6630
Donald W.B. Drapeau	Immed.	George Klahn	(312) 341-7725
The Ernest Nissen Revocable Trust	Immed.	Tracy Christie	(773) 616-3069
Kevin T. Duffy	Immed.	Kevin Duffy	(920) 854-9852
Lorrayne Ltd	Immed.	Karen Matrenec	(708) 757-6630
K.G. Birks Marital Trust	Immed.	Karen Matrenec	(708) 757-6630
Stephen D. Friend	Immed.	Margie DeLorme	(312) 800-7012
Mark J. Muller	Immed.	Mark Muller	(312) 259-6663
Keith R. Fohrman	Immed.	Margie DeLorme	(312) 800-7012
Agnieszka Jankuc	Immed.	Margie DeLorme	(312) 800-7012
Glenn S. Bromagen Trust Dated 3/18/81	Immed.	Margie DeLorme	(312) 800-7012
Terry A. Pasquale	Immed.	Milly Limas-Dutton	(312) 208-0229
Philip L. Glass	Immed.	Milly Limas-Dutton	(312) 208-0229
Lonny J. Siegel Revocable Trust	Immed.	Milly Limas-Dutton	(312) 208-0229
Joel S. Geier	Immed.	Milly Limas-Dutton	(312) 208-0229
Steven R. Sukenik Revocable Living Trust	Immed.	Milly Limas-Dutton	(312) 208-0229
Martin J. Furlong	Immed.	Margie DeLorme	(312) 800-7012
Terry Steven Hospodar Revocable Trust	Immed.	Paula McKinney	(847) 730-3463
Helen Carbajal-Gaule	Immed.	Paula McKinney	(847) 730-3463
John And Darice Eastman Trust Dated 7/25/00	Immed.	Margie DeLorme	(312) 800-7012
Richard C. Cohn	Immed.	Milly Limas-Dutton	(312) 208-0229
Sheldon Langer Trust Agreement Dated July 16, 2008	Immed.	Milly Limas-Dutton	(312) 208-0229
John E. Anderson	Immed.	Laura McGuire	(312) 795-7661

Trust Agreement Of Thomas A. Cicardo And Lori L. Cicardo	06/01/2013	Karen Matrenec	(708) 757-6630
Dolores M. Crilly Living Trust Dated 9/14/92	06/01/2013	Karen Matrenec	(708) 757-6630
Louis A. Pagano Revocable Trust U/D/T January 6, 1997	Immed.	Becky Christie	(312) 604-6116

IOM Memberships for Lease

Lessor	Date Available	Contact	Tel. Number
Anthony Carl Cirro Living Trust Dated July 24, 2006	Immed.	Christine White	(847) 550-1901
George Segal Revocable Trust	Immed.	Theresa Quinlan	(312) 559-8812
Susan C. Gruebnaue Declaration Of Trust U/A DTD. 11/21/2006	Immed.	Bernard Doyle	(312) 782-5600
Todd T. Stewart	Immed.	Paula McKinney	(847) 730-3463
Glenn S. Crane	Immed.	Margie Gralla	(312) 341-7755
Marvin Steinberg Amended And Restated Revocable Trust Dated	Immed.	Milly Limas-Dutton	(312) 208-0229
George Hase Living Trust 6/30/94	Immed.	Milly Limas-Dutton	(312) 208-0229
Pauline Jesser Family Trust Dated 10/22/84	Immed.	Becky Christie	(312) 604-6116
Douglas D. Boys Declaration Of Trust Dated May 5, 2004	Immed.	Doug Boys	(630) 665-1205
Nicholas D. Gabrione	Immed.	Margie DeLorme	(312) 800-7012
Sy Nagorsky	Immed.	Paula McKinney	(847) 730-3463
Nick A. Guarino	Immed.	Paula McKinney	(847) 730-3463
The James T. Napolitan Living Trust Dated 8/4/09	Immed.	Becky Christie	(312) 604-6116
Savinothree, LLC	Immed.	Becky Christie	(312) 604-6116
Gerald M. Bear	Immed.	Becky Christie	(312) 604-6116
Robert G. Caire III	Immed.	Becky Christie	(312) 604-6116
Mark R. Kellner	Immed.	Margie DeLorme	(312) 800-7012
Helen Ann King Revocable Trust U/A/D 6/23/95	Immed.	Karen Matrenec	(708) 757-6630
Michael D. Okun	Immed.	Karen Matrenec	(708) 757-6630
Maury A. Kravitz	Immed.	Becky Christie	(312) 604-6116
Jeffrey S. Worley	Immed.	Becky Christie	(312) 604-6116
Carl W. Peterson Jr. Trust Under Declaration Dated April 5,	Immed.	Karen Matrenec	(708) 757-6630
Agnieszka Jankuc	Immed.	Margie DeLorme	(312) 800-7012
Keith R. Fohrman	Immed.	Margie DeLorme	(312) 800-7012
Neal B. Schneider Family Trust	Immed.	Karen Ellison	(312) 604-6117

David S. Gassel Children'S Trust	Immed.	Kathy Holloway	(312) 886-2003
John E. Diversey Jr.	Immed.	Margie DeLorme	(312) 800-7012
Harry G. Karkazis	Immed.	Becky Christie	(312) 604-6116
Michael J. Levin	Immed.	Milly Limas-Dutton	(312) 208-0229
Robert L. Hohenadel	Immed.	Becky Christie	(312) 604-6116
Kenneth L. Gaspar Trust Agreement Dated 10/1/93 Or His Succe	Immed.	Milly Limas-Dutton	(312) 208-0229
Sherwin Z. Landerman	Immed.	Tracy Christie	(773) 616-3069
J. Paul Hartsell	Immed.	Karen Matrenec	(708) 757-6630
Sam Richter	Immed.	Becky Christie	(312) 604-6116
James Carey McDonald	Immed.	Tracy Christie	(773) 616-3069
Ronald Heftman	Immed.	Karen Matrenec	(708) 757-6630
Joan R. Weinberg Revocable Trust	Immed.	Paula McKinney	(847) 730-3463
Keith R. Fehser	Immed.	Paula McKinney	(847) 730-3463
Burton L. Shender Trust	Immed.	Paula McKinney	(847) 730-3463
Charles Ponoroff Trust Dated 11/2/88	Immed.	Paula McKinney	(847) 730-3463
Pal Properties Ii, L.P.	Immed.	Paula McKinney	(847) 730-3463
David S. Gassel Children'S Trust	Immed.	Kathy Holloway	(312) 886-2003
Gilbert R. Valentine	Immed.	Milly Limas-Dutton	(312) 208-0229
Steven E. Wollack	Immed.	Becky Christie	(312) 604-6116
Mark Fox Profit Sharing Trust	Immed.	Milly Limas-Dutton	(312) 208-0229
Suzann E. Kogen	Immed.	Karen Matrenec	(708) 757-6630
John R. Forester	Immed.	Margie DeLorme	(312) 800-7012
Eddy H. Lamar	Immed.	Margie DeLorme	(312) 800-7012
William M. Phelan	Immed.	Karen Matrenec	(708) 757-6630
John M. Fife	Immed.	Margie DeLorme	(312) 800-7012
Michael J. Ambrosino	Immed.	Milly Limas-Dutton	(312) 208-0229
Michelle M. Scheffel	Immed.	Val Visus	(312) 953-6329
Irv L. Slosberg	Immed.	Tracy Christie	(773) 616-3069
Gary A. Middlemiss	Immed.	Margie DeLorme	(312) 800-7012
Betty A. Gram Trust Agreement No. 2433	Immed.	Karen Matrenec	(708) 757-6630

GEM Memberships for Lease

Lessor	Date Available	Contact	Tel. Number
Harold C. Stein Revocable Trust	Immed.	Milly Limas-Dutton	(312) 208-0229
Frederick & Barbara J. Hoekstra Trust U/T/A Dated 10/30/97	Immed.	Paula McKinney	(847) 730-3463
Barnett Bakal Revocable Trust U/A/D 12/28/88	Immed.	Theresa	(312) 559-8812
Barry S. Cohn Revocable Trust	Immed.	Karen Ellison	(312) 604-6117
Robert G. Mulchrone	Immed.	Margie DeLorme	(312) 800-7012
Gerald Ordman	Immed.	Paula McKinney	(847) 730-3463
Kraig L. Dippold	Immed.	Karen Matrenec	(708) 757-6630
K.G. Birks Marital Trust	Immed.	Karen Matrenec	(708) 757-6630
Alan V Mitchell 1998 Trust	Immed.	Paula McKinney	(847) 730-3463
Burton S. Steck	Immed.	Paula McKinney	(847) 730-3463
Anthony Carl Cirro Living Trust Dated July 24, 2006	Immed.	Christine White	(847) 550-1901
Charles J. Riley	Immed.	Becky Christie	(312) 604-6116
Lee J. Kanefield	Immed.	Karen Ellison	(312) 604-6117
Arthur G. Lyle Revocable Trust	Immed.	Karen Matrenec	(708) 757-6630
Woodrow H. Levin	Immed.	Becky Christie	(312) 604-6116
Walter W. Hautamaki	Immed.	Tracy Christie	(773) 616-3069
Alan V. Bush	Immed.	Same	(630) 234-1420
Trust Agreement Of Thomas A. Cicardo And Lori L. Cicardo	Immed.	Karen Matrenec	(708) 757-6630
Marsha A. Browdy	Immed.	Karen Matrenec	(708) 757-6630
Seymour H. Persky Revocable Trust Dated September 27, 2000	Immed.	Karen Matrenec	(708) 757-6630
Marc B. Hirsch	Immed.	Paula McKinney	(847) 730-3463
Richard D. Kohn	Immed.	Becky Christie	(312) 604-6116
John M. Baker Jr.	Immed.	Milly Limas-Dutton	(312) 208-0229
Paul S. Doppelt	Immed.	Becky Christie	(312) 604-6116
Anthony Carl Cirro Living Trust Dated July 24, 2006	Immed.	Christine White	(847) 550-1901
Todd S. Newberger	Immed.	Milly Limas-Dutton	(312) 208-0229
Thomas F. Pace Trust Dated 8-28-08	Immed.	Margie DeLorme	(312) 800-7012
James R. Levinson	Immed.	Karen Matrenec	(708) 757-6630
Megan M. Vanhazebroek	Immed.	Milly Limas-Dutton	(312) 208-0229
Susan M. Serota Trust	Immed.	Karen Matrenec	(708) 757-6630
Juliana Raduenzel Revocable Living Trust Dated 5/13/08	Immed.	Karen Matrenec	(708) 757-6630

J. Timothy Kelley Trust Dated 9/17/05	Immed.	Karen Matrenec	(708) 757-6630
Hershel H. Herrendorf Revocable Trust	Immed.	Margie DeLorme	(312) 800-7012
Stephen R.J. Adie	Immed.	Becky Christie	(312) 604-6116
Edward J. Verdino	Immed.	Becky Christie	(312) 604-6116
Michael D. Montgomery	Immed.	Michael Montgomery	(219) 787-8300
Stanley D. Kubacki	Immed.	Margie DeLorme	(312) 800-7012
Teresa F. Colella	Immed.	Laura McGuire	(312) 795-7661
Robert C. Benecke	Immed.	Laura McGuire	(312) 795-7661

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Section Q:

CBOT Member Weekly Sales and Transfers

- 1 Weekly Postings and Reported Lease Information



Special Executive Report

S-6660

May 06, 2013

WEEKLY POSTING MEMBERSHIP/SHARE SALES AND TRANSFERS

Members having any information regarding the transferees listed below are requested to communicate the same to the Shareholder Relations and Membership Services Department ("the Department") on or before **May 27, 2013**.

In accordance with CBT Rule 110, "At the conclusion of the 20 day claim filing period, the Market Regulation Department and the Department shall conduct an investigation of all claims properly filed against the seller's membership or its proceeds".

All claims against the seller of a membership shall be submitted in writing to the Department on or before **May 27, 2013**.

<u>TRANSFeree</u>	<u>DIVISION</u>	<u>TRANSFEROR</u>	<u>RULE/AMOUNT</u>	<u>DATE</u>
Patrick F. Feerick	IDEM	Terence M. Corby	106D Transfer	04/29/2013
Joanne C. Kelly Revocable Living Trust Dated 5/1/92, Brian R. Kelly, Trustee	FULL	James L. Lund	106D Reinstatement	04/29/2013
**B&K Investment Partners Family General Partnership	FULL	Joanne C. Kelly Revocable Living Trust Dated 5/1/92, Brian R. Kelly, Trustee	106E Transfer	04/29/2013
James L. Lund	FULL	**B&K Investment Partners Family General Partnership	106D Transfer	04/29/2013
Penny W Anixter Trust U.A.D 7/3/91, Penny W. Anixter, Trustee	FULL	Timothy T.H. Chia	106D Reinstatement	04/30/2013
#Ophir Marom	FULL	Penny W. Anixter Trust U.A.D 7/3/91, Penny W. Anixter, Trustee	106D Transfer	04/30/2013
Robert Zinn Living Trust U/T/A DTD 4/9/04, Robert G. Zinn, Trustee, Monica Zinn, Co-Trustees	COM	Theo Stor	106D Reinstatement	04/30/2013
Brian J. Solberg	AM	Alfred C. Tam	106D Reinstatement	04/30/2013
Mary J. O'Connor	COM	Daniel H. Rubenstein	106D Reinstatement	04/30/2013
James A. Layton	COM	Darryl A. Behm	106D Reinstatement	04/30/2013
Lucas D. Johnson	FULL	James E. Cashman	106D Transfer	04/30/2013
#Brandon D. Elsasser	GIM	Kirby G. Pearson	106D Transfer	04/30/2013
Jerrold M. Duzenman	AM	Lucas D. Johnson	106D Reinstatement	04/30/2013

Robert P. Perkaus NICO HOLDINGS, LLC	FULL	William H. Beckhardt Jr.	106F Transfer	04/30/2013
#Omri Loochi	FULL	Joseph Malfeo	106D Transfer	04/30/2013
**James B. Scoville	IDEM	Kathleen L. Patrick	106D Reinstatement	04/30/2013
Toro Commodity Trading LLC	FULL	Heath H. Gerdes	106D Reinstatement	04/30/2013
#Moran Agamy	FULL	Toro Commodity Trading LLC	106D Transfer	04/30/2013
Kevin Chun	FULL	Joseph F. Primm	106D Transfer	04/30/2013
Gregory F. Mathis	FULL	Kevin Chun	106D Reinstatement	04/30/2013
#Ryan A. Joseph	FULL	Gregory F. Mathis	106D Transfer	04/30/2013
Gregory C. Rothman	FULL	Harry E. Yessayan Jr.	106D Reinstatement	04/30/2013
#Robert K. Graifman	FULL	Gregory C. Rothman	106D Transfer	04/30/2013
#Yair Ravet	FULL	Neal B. Schneider	106D Transfer	04/30/2013
Declaration Of Trust Gordon W. Gladstone Trust, Gordon W. Gladstone, Trustee	FULL	Christian J. Randle	106D Reinstatement	04/30/2013
Timothy J. McLaughlin	IDEM	Marc J. Ford	106D Reinstatement	05/01/2013
Erskine S. Adam Jr.	FULL	Mickey D. Kappeler	106D Reinstatement	05/01/2013
Bruce A. Williams	FULL	Erskine S. Adam Jr.	106D Transfer	05/01/2013
Larry A. Pogofsky Family Trust, Lynda Pogofsky, Trustee	FULL	Donato A. Bataglia	106D Reinstatement	05/01/2013
Peter J. Roche	FULL	Larry A. Pogofsky Family Trust, Lynda Pogofsky, Trustee	106D Transfer	05/01/2013
Gregory J. Ellis	AM	Robert A. Fabrizio	106D Reinstatement	05/02/2013
Asfandiyar Khan	AM	Gregory J. Ellis	106D Transfer	05/02/2013
Brian C. Behan	AM	Jerrold M. Duzenman	106D Transfer	05/02/2013
J.P. Morgan Securities, LLC	COM	Peter G. Johnson	106F Transfer	05/02/2013
Nidera US, LLC	FULL	Bald R. J. Sinke	106F Transfer	05/02/2013
*Sarit Dayan	FULL	Joel J. Fetchenhier	106D Transfer	05/02/2013
Gregory C. Rothman	IDEM	Curtis J. Conover	106D Reinstatement	05/02/2013
William H. Beckhardt Jr.	COM	Jonathan D. Gerbie	106D Transfer	05/03/2013
Michael A. Williams	FULL	Robert P. Perkaus	106D Reinstatement	05/03/2013
Spencer P. Linn	FULL	Michael A. Williams	106D Transfer	05/03/2013
Wes Weissman	FULL	Kyle B. Daniels	106D Reinstatement	05/03/2013

* New Applicant

** Shareholder

New Applicant Previously Posted

FAMILY OF FUNDS QUALIFIED FUND NAME CHANGE

D. E. Shaw Heliant Portfolios, L.L.C., a qualified fund under D. E. Shaw Composite Portfolios, L.L.C.'s CBOT Rule 106.S. Family of Funds Equity Member membership, has changed its name to D. E. Shaw Kalon Portfolios, L.L.C., effective May 6, 2013.

As such, effective May 6, 2013, the following funds are qualified to receive member fees under the CBOT Rule 106.S. Family of Funds Equity Member membership of D. E. Shaw Composite Portfolios, L.L.C.:

- D.E. Shaw Kalon Portfolios L.L.C.
- D.E. Shaw Composite Portfolios L.L.C.
- D.E. Shaw Oculus Portfolios L.L.C.
- D.E. Shaw Asymptote Portfolios, L.L.C.
- D.E. Shaw Heliant Capital, L.L.C.

NEW APPLICANTS FOR MEMBERSHIP

The applicants listed below are applying for membership and will be transferred membership at a later date. Any member having any information regarding these applicants is requested to communicate the same to the Shareholder Relations and Membership Services Department on or before **May 27, 2013**. Photographs of new applicants will be displayed on the bulletin boards located on the trading floor.

APPLICANT	DIVISION
Sarit Dayan Herzliya, Israel	FULL

BID, OFFER AND LAST SALE FOR MEMBERSHIPS

AS OF Friday, May 03, 2013 at 4:00 P.M.

	<u>FULL</u>	<u>AM</u>	<u>GIM</u>	<u>IDEM</u>	<u>COM</u>
Date	04/24/2013	04/25/2013	06/16/2011	04/03/2013	04/04/2013
Last	\$300,000	\$100,000	\$60,000	\$5,000	\$24,000
Bid	\$295,000	\$93,500	\$24,000	\$4,000	\$17,500
Offer	\$315,000	\$102,500	\$85,000	\$5,600	\$24,000

BID, OFFER AND LAST SALE FOR MEMBERSHIPS SWAP MARKET

AS OF Friday, May 03, 2013 at 4:00 P.M.

	<u>FULL FOR AM</u>	<u>FULL FOR COM</u>	<u>FULL FOR IDEM</u>	<u>AM FOR COM</u>	<u>AM FOR IDEM</u>	<u>COM FOR IDEM</u>
Date						
Last						
Bid	\$140,000	NONE	NONE	NONE	NONE	NONE
Offer	NONE	NONE	NONE	NONE	NONE	NONE

Chicago Board of Trade Inc.
Reported Lease Prices and Membership Interests for Lease
Monday, May 06, 2013 10:00 AM
Lease Information Line (312) 930-3414
CURRENT LEASE INFORMATION IS AVAILABLE AT WWW.CMEGROUP.COM

I. REPORTED LEASE PRICE INFORMATION

II. MEMBERSHIP INTERESTS AVAILABLE FOR LEASE

I. REPORTED LEASE PRICE INFORMATION

1. **Lease prices** are updated as received and are listed in the Membership Services Department. Lease prices can be accessed on the CME Group Website (www.cmegroup.com).
2. To request a fax of this document, please call the LIL at (312) 930-3414.

Rule 106.D.12 NOW REQUIRES ALL LESSORS TO NOTIFY THE EXCHANGE OF ANY NEW OR RENEWED LEASE PRICES WITHIN TWO BUSINESS DAYS OF THE NEGOTIATED LEASE AGREEMENT. Lease Reporting Forms are available by contacting the Membership Services Department at (312) 435-3480. The Exchange will report all information submitted and makes no claim as to the completeness or accuracy of this information.

FULL	AM	GIM	IDEM	COM
1 @ \$1400.00	2 @ \$733.80	1 @ \$550.00	3 @ \$52.16	
1 @ \$1483.49				
4 @ \$1561.57				
1 @ \$1574.73				
3 @ \$1600.00				
Month-end Rental Dollar amount (as of 4/30/2013)				
\$1561.57	\$733.80	\$0.00	\$52.16	\$260.00

II. MEMBERSHIP INTERESTS AVAILABLE FOR LEASE

1. Lessors are requested to contact the Leasing Information Line "LIL" at (312) 930-3414 or the Membership Services Department at (312) 435-3480 to initially list a membership available for lease. Listings must be received by 6 p.m. to be included on the next day's list. This list is updated once per day by 10 a.m. each morning.
2. Names will be posted on this list for five (5) business days. To renew your listing, please call the LIL voice mail renewal at (312) 930-3414.
3. All names will be listed on www.cmegroup.com under the "Memberships For Lease" section in date order as they are received by the Membership Services Department.

FULL Memberships for Lease

Lessor	Date Available	Contact	Tel. Number
Joel J. Fetchenhier	Immed.	Paula McKinney	(847) 730-3463
Wes Weissman	Immed.	Kathy Holloway	(312) 886-2003
John R. Kinsella	Immed.	Kathy Holloway	(312) 886-2003
Christian Revocable Family Trust Ua 3/2/2000	Immed.	Karen Matrenec	(708) 757-6630
Henry P. Baby Jr.	Immed.	Kathy Holloway	(312) 886-2003
Joseph J. Dwyer	06/01/2013	Penny Sheinfeld	(312) 347-1727
Stephen H. Kaufman	06/01/2013	Penny Sheinfeld	(312) 347-1727
Richard B. Pfeil	Immed.	Chris Askew	(312) 604-6026

AM Memberships for Lease

Lessor	Date Available	Contact	Tel. Number
Michael A. Williams	Immed.	Paula McKinney	(847) 730-3463
Christopher J. Dynek	Immed.	Penny Sheinfeld	(312) 347-1727
Keystone Trading Corporation	Immed.	Gregg Williams	(312) 377-3508
Charles R. Frank	Immed.	Kathy Holloway	(312) 886-2003
Stephen Perraud	Immed.	Kathy Holloway	(312) 886-2003
Stephen K. Ratner	Immed.	Kathy Holloway	(312) 886-2003
Joseph A. Koehler	Immed.	Kathy Holloway	(312) 886-2003
John A. Vercillo	Immed.	Penny Sheinfeld	(312) 347-1727
William R. Macdonald Jr.	Immed.	Kathy Holloway	(312) 886-2003
Terrence P. Madden	Immed.	Kathy Holloway	(312) 886-2003

David C. Ziperski	Immed.	Kathy Holloway	(312) 886-2003
Henry Shatkin	Immed.	Penny Sheinfeld	(312) 347-1727
Grace L. Neville	Immed.	Kathy Holloway	(312) 886-2003
Glenn P. Hollander	Immed.	Kathy Holloway	(312) 886-2003
William M. Carley	Immed.	Kathy Holloway	(312) 886-2003
Daniel J. Nicholson Trust Dated November 27, 1996	Immed.	Daniel Nicholson	(630) 455-6799
John A. Marzinelli	Immed.	Paula McKinney	(847) 730-3463
Yra G. Harris	Immed.	Paula McKinney	(847) 730-3463
Joseph F. Schatz	Immed.	Gregg Williams	(312) 377-3508
Gregory J. Ellis	Immed.	Kevin Globe	(312) 784-0423
George Segal Revocable Trust	Immed.	Theresa Quinlan	(312) 559-8812
The Brian I. Connelly Revocable Trust	Immed.	Penny Sheinfeld	(312) 347-1727
Sharon K. Murray	Immed.	Sharon Murray Sharon Murray	(214) 514-1143 (972) 618-4149
Robert T. Bernat Trust	Immed.	Kathy Holloway	(312) 886-2003
Louis P. Bournakis Revocable Trust U/A/D 10/19/2000	Immed.	Kathy Holloway	(312) 886-2003
Robert W. Montgomery	Immed.	Robert Montgomery	(312) 859-2545
John Kotsiopoulos	Immed.	Gina Davis	(312) 347-5482
Mildred Lidov	Immed.	Paula McKinney	(847) 730-3463
William F. McMahon	Immed.	Paula McKinney	(847) 730-3463
Nabil Aadel Eldib	Immed.	Margie DeLorme	(312) 800-7012
James R. Stewart	Immed.	Kathy Holloway	(312) 886-2003
Brian J. Solberg	Immed.	Margie DeLorme	(312) 800-7012
Richmond W. Kenyon II	Immed.	Richmond	(847) 682-9773
Luke R. Kline	Immed.	Margie DeLorme	(312) 800-7012
Lawrence Malato	Immed.	Kathy Holloway	(312) 886-2003
Jerrold M. Duzenman	Immed.	Chris Askew	(312) 604-6026
Martin T. Conroy	Immed.	Chris Askew	(312) 604-6026
Robert Andrew Sarvis	Immed.	Dawn Andersen	(312) 789-8225
Jeffrey Horwich	Immed.	Jeffrey Horwich	(312) 952-7451

GIM Memberships for Lease

Lessor	Date Available	Contact	Tel. Number
Alma R. Duncan	Immed.	Penny Sheinfeld	(312) 347-1727
David P. Hartman	Immed.	Gregg Williams	(312) 377-3508
Stewart H. Newman	Immed.	Chris Askew	(312) 604-6026
Thomas E. Neal	Immed.	John Mussar	(312) 705-1908

IDEM Memberships for Lease

Lessor	Date Available	Contact	Tel. Number
George I. Segal	Immed.	Theresa Quinlan	(312) 559-8812
Robert T. Bernat Trust	Immed.	Kathy Holloway	(312) 886-2003
Richard A. Kirsliis	Immed.	Paula McKinney	(847) 730-3463
James M. Sheridan	Immed.	Paula McKinney	(847) 730-3463
Thomas Cupelis	Immed.	Paula McKinney	(847) 730-3463
Terence M. Corby	Immed.	Nancy Webber Gina Davis	(312) 604-6501 (312) 347-5482
Candice A. Sunderlin	Immed.	Gregg Williams	(312) 377-3508
Martin Kogan	Immed.	Penny Sheinfeld	(312) 347-1727
Mary J. O'Connor	Immed.	Mary J. O'Connor	(312) 337-2499
Dale S. Scheck	Immed.	Kathy Holloway	(312) 886-2003
Mahyar Amirsaleh	Immed.	Chris Askew	(312) 604-6026
Stuart D. Reif	Immed.	Stuart Reif	(847) 800-5979
John Nels Sunderlin	Immed.	Gregg Williams	(312) 377-3508
Glenn P. Hollander	Immed.	Kathy Holloway	(312) 886-2003
Richard A. Loehr	Immed.	Bill Levinske	(312) 408-9422
James Barrowman	Immed.	Kathy Holloway	(312) 886-2003
Daniel J. Derose Jr.	Immed.	Gregg Williams	(312) 377-3508
John Nels Sunderlin	Immed.	Gregg Williams	(312) 377-3508
Jonathon Stone	Immed.	Jonathon Stone	(847) 948-5356
Michael C. Rothman	Immed.	Kathy Holloway	(312) 886-2003
Andrew Kern	Immed.	Paula McKinney	(847) 730-3463
Daniel J. Devito	Immed.	Paula McKinney	(847) 730-3463
Steven V. Kuhlmann	Immed.	Chris Askew	(312) 604-6026

Michael Otter	Immed.	Michael Otter	(312) 498-0721
Mark F. Peterson	Immed.	Mark Peterson	(847) 452-0778
Raymond S. Cahnman	Immed.	Jon Watson	(312) 284-5678
Transmarket Group LLC	Immed.	Jon Watson	(312) 284-5678
Mario D'Agostino	Immed.	Mario D'Agostino	(630) 254-7583
Thomas E. Neal	Immed.	John Mussar	(312) 705-1908

COM Memberships for Lease

Lessor	Date Available	Contact	Tel. Number
Larry C. Gulotta	Immed.	Kathy Holloway	(312) 886-2003
Mahyar Amirsaleh	Immed.	Chris Askew	(312) 604-6026
Daniel J. Devito	Immed.	Paula McKinney	(847) 730-3463
Debra Rodkin	Immed.	Debra Rodkin	(310) 779-5985
Henry P. Baby Jr.	Immed.	Kathy Holloway	(312) 886-2003
David Glancy	Immed.	Kathy Holloway	(312) 886-2003
Merton L. Feldstein	Immed.	Penny Sheinfeld	(312) 347-1727
Kenneth M. Groover	Immed.	Nancy Webber	(312) 604-6501
James A. Layton	Immed.	Kathy Holloway	(312) 886-2003
Chris Pappas	Immed.	Chris Askew	(312) 604-6026
J. Brian Schaer	Immed.	Bill LeVinske	(312) 408-9422
Marilyn M. Morelli	Immed.	Kathy Holloway	(312) 886-2003
Linda L. Buggy	Immed.	Chris Askew	(312) 604-6026
Nance Pticek Zartman	Immed.	Bill Levinske	(312) 408-9422
Earle M. Combs IV	Immed.	Kathy Holloway	(312) 886-2003
Mike Koress	Immed.	Ruth Koress	(847) 674-7689
Susan E. Griffin	Immed.	Kathy Holloway	(312) 886-2003
Ross J. Greenspan	Immed.	Ross Greenspan	(847) 922-2200
Joseph W. Hance	Immed.	Kathy Holloway	(312) 886-2003
Robert E. Otter Trust	Immed.	Mark Kirshner	(312) 461-8218
Kathryn Leonard Otter	Immed.	Mark Kirshner	(312) 461-8218
Thomas R. Hardy	Immed.	Bill Levinske	(312) 408-9422
Jonathon Stone	Immed.	Jonathon Stone	(847) 948-5356
Mark C. Lato	Immed.	Penny Sheinfeld	(312) 347-1727

Michael A. Williams	Immed.	Paula McKinney	(847) 730-3463
George William McTague III	Immed.	Paula McKinney	(847) 730-3463
Robert B. Rusin	Immed.	Paula McKinney	(847) 730-3463
Mark Ishikawa	Immed.	Bill Levinske	(312) 408-9422
Daniel N. Ambrosino	Immed.	Chris Askew	(312) 604-6026
Kenneth D. Miely	Immed.	Bill Levinske	(312) 408-9422
Marsha Newlander	Immed.	Bill Levinske	(312) 408-9422
Mary J. O'Connor	Immed.	Mary J. O'Connor	(312) 337-2499
Group One Futures Trading L.L.C.	Immed.	Chad Grosam	(312) 294-2345
Transmarket Group LLC	Immed.	Jon Watson	(312) 284-5678
FT Trading LLC	Immed.	Margie DeLorme	(312) 800-7012
Joseph M. Goerd	Immed.	Margie DeLorme	(312) 800-7012



Special Executive Report

S-6666

May 13, 2013

WEEKLY POSTING MEMBERSHIP/SHARE SALES AND TRANSFERS

Members having any information regarding the transferees listed below are requested to communicate the same to the Shareholder Relations and Membership Services Department ("the Department") on or before **June 03, 2013**.

In accordance with CBT Rule 110, "At the conclusion of the 20 day claim filing period, the Market Regulation Department and the Department shall conduct an investigation of all claims properly filed against the seller's membership or its proceeds".

All claims against the seller of a membership shall be submitted in writing to the Department on or before **June 03, 2013**.

<u>TRANSFEE</u>	<u>DIVISION</u>	<u>TRANSFEROR</u>	<u>RULE/AMOUNT</u>	<u>DATE</u>
Peter V. Gustafson WESTRADE LLC	COM	Martin S. Bugarin	106F Transfer	05/06/2013
Charles S. Wasserman	FULL	Gregory I. Wasserman	106C Reinstatement	05/06/2013
Edward V. Ficht	AM	David G. Lee	\$96,000	05/07/2013
#Paul A. Nork	COM	Susan E. Griffin	106D Transfer	05/08/2013
Gregory I. Wasserman	FULL	Henry P. Baby Jr.	106D Transfer	05/09/2013
**Transmarket Group LLC	FULL	Brian D. Green	106D Reinstatement	05/09/2013
**Transmarket Group LLC	GIM	Edward V. Ficht	106D Reinstatement	05/09/2013
Aseem Chataut	GIM	**Transmarket Group LLC	106D Transfer	05/09/2013
Brian D. Green AARDVARK TRADING LLC	FULL	Aseem Chataut	106F Transfer	05/09/2013
CHS Hedging, Inc.	FULL	Charles S. Wasserman	\$303,000	05/09/2013
Nabil Adel Eldib	AM	Michael W. Barry	106D Reinstatement	05/10/2013
Gregory K. Geuther	FULL	Noah S. Doran	106D Reinstatement	05/10/2013
Sean S. Roberts	FULL	Gregory K. Geuther	106D Transfer	05/10/2013
Sheldon Gaffen	FULL	Benjamin K. Hanisch	106D Reinstatement	05/10/2013
Bradley A Meeks	FULL	Sheldon Gaffen	106D Transfer	05/10/2013
Michael J. Ambrosino	AM	Raymond P. Renehan (106D holder: Andrew J. O'Connell)	\$100,000	05/10/2013
*Neil D. Patel	AM	James R. Stewart	106D Transfer	05/10/2013
Richard J. Meade	AM	Bradley A. Meeks	106D Reinstatement	05/10/2013
Joseph M. Goerd	COM	Raymond H. Seijs	106D Reinstatement	05/10/2013

Florian Lars Burkart	FULL	Kelly A. Caloia (106D holder: Michael P. Brady)	\$296,000	05/10/2013
Michael P. Brady	FULL	Richard B. Pfeil	106D Transfer	05/10/2013
#Robert J. Esposito	FULL	Declaration Of Trust Gordon W. Gladstone Trust, Gordon W. Gladstone, Trustee	106D Transfer	05/10/2013

* New Applicant

** Shareholder

New Applicant Previously Posted

TRADING MEMBER FIRM WITHDRAWAL

WestLB AG has withdrawn as a CBOT Rule 106.H. Trading Member Firm - AM Level, effective May 8, 2013.

NEW APPLICANTS FOR MEMBERSHIP

The applicants listed below are applying for membership and will be transferred membership at a later date. Any member having any information regarding these applicants is requested to communicate the same to the Shareholder Relations and Membership Services Department on or before **June 03, 2013**. Photographs of new applicants will be displayed on the bulletin boards located on the trading floor.

APPLICANT	DIVISION
Neil D. Patel Chicago, IL	AM

BID, OFFER AND LAST SALE FOR MEMBERSHIPS

AS OF Friday, May 10, 2013 at 4:00 P.M.

	<u>FULL</u>	<u>AM</u>	<u>GIM</u>	<u>IDEM</u>	<u>COM</u>
Date	05/10/2013	05/10/2013	06/16/2011	04/03/2013	04/04/2013
Last	\$296,000	\$100,000	\$60,000	\$5,000	\$24,000
Bid	\$290,000	\$95,000	\$24,000	\$4,000	\$17,500
Offer	\$305,000	\$102,500	\$85,000	\$5,600	\$20,000

BID, OFFER AND LAST SALE FOR MEMBERSHIPS SWAP MARKET

AS OF Friday, May 10, 2013 at 4:00 P.M.

	<u>FULL FOR AM</u>	<u>FULL FOR COM</u>	<u>FULL FOR IDEM</u>	<u>AM FOR COM</u>	<u>AM FOR IDEM</u>	<u>COM FOR IDEM</u>
Date						
Last						
Bid	\$140,000	NONE	NONE	NONE	NONE	NONE
Offer	NONE	NONE	NONE	NONE	NONE	NONE

Chicago Board of Trade Inc.
Reported Lease Prices and Membership Interests for Lease
Monday, May 13, 2013 10:00 AM
Lease Information Line (312) 930-3414
CURRENT LEASE INFORMATION IS AVAILABLE AT WWW.CMEGROUP.COM

I. REPORTED LEASE PRICE INFORMATION

II. MEMBERSHIP INTERESTS AVAILABLE FOR LEASE

I. REPORTED LEASE PRICE INFORMATION

1. **Lease prices** are updated as received and are listed in the Membership Services Department. Lease prices can be accessed on the CME Group Website (www.cmegroup.com).
2. To request a fax of this document, please call the LIL at (312) 930-3414.

Rule 106.D.12 NOW REQUIRES ALL LESSORS TO NOTIFY THE EXCHANGE OF ANY NEW OR RENEWED LEASE PRICES WITHIN TWO BUSINESS DAYS OF THE NEGOTIATED LEASE AGREEMENT. Lease Reporting Forms are available by contacting the Membership Services Department at (312) 435-3480. The Exchange will report all information submitted and makes no claim as to the completeness or accuracy of this information.

FULL	AM	GIM	IDEM	COM
2@ \$1561.57 1@ \$1584.00	2@ \$733.80	1@ \$639.00		1@ \$1.00 1@ \$260.00

Month-end Rental Dollar amount (as of 4/30/2013)

\$1561.57	\$733.80	\$0.00	\$52.16	\$260.00
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II. MEMBERSHIP INTERESTS AVAILABLE FOR LEASE

1. Lessors are requested to contact the Leasing Information Line "LIL" at (312) 930-3414 or the Membership Services Department at (312) 435-3480 to initially list a membership available for lease. Listings must be received by 6 p.m. to be included on the next day's list. This list is updated once per day by 10 a.m. each morning.
2. Names will be posted on this list for five (5) business days. To renew your listing, please call the LIL voice mail renewal at (312) 930-3414.
3. All names will be listed on www.cmegroup.com under the "Memberships For Lease" section in date order as they are received by the Membership Services Department.

FULL Memberships for Lease

Lessor	Date Available	Contact	Tel. Number
Joel J. Fetchenhier	Immed.	Paula McKinney	(847) 730-3463
Wes Weissman	Immed.	Kathy Holloway	(312) 886-2003
John R. Kinsella	Immed.	Kathy Holloway	(312) 886-2003
Christian Revocable Family Trust Ua 3/2/2000	Immed.	Karen Matrenec	(708) 757-6630
Joseph J. Dwyer	06/01/2013	Penny Sheinfeld	(312) 347-1727
Stephen H. Kaufman	06/01/2013	Penny Sheinfeld	(312) 347-1727
Richard B. Pfeil	Immed.	Chris Askew	(312) 604-6026
LSG Holdings L.L.C	Immed.	Chris Askew	(312) 604-6026
Alma R. Duncan	06/10/2013	Penny Sheinfeld	(312) 347-1727
George Bornstein	06/06/2013	Kathy Holloway	(312) 886-2003

AM Memberships for Lease

Lessor	Date Available	Contact	Tel. Number
Michael A. Williams	Immed.	Paula McKinney	(847) 730-3463
Keystone Trading Corporation	Immed.	Gregg Williams	(312) 377-3508
Charles R. Frank	Immed.	Kathy Holloway	(312) 886-2003
Stephen Perraud	Immed.	Kathy Holloway	(312) 886-2003
Stephen K. Ratner	Immed.	Kathy Holloway	(312) 886-2003
Joseph A. Koehler	Immed.	Kathy Holloway	(312) 886-2003
William R. Macdonald Jr.	Immed.	Kathy Holloway	(312) 886-2003
Terrence P. Madden	Immed.	Kathy Holloway	(312) 886-2003

David C. Ziperski	Immed.	Kathy Holloway	(312) 886-2003
Grace L. Neville	Immed.	Kathy Holloway	(312) 886-2003
Glenn P. Hollander	Immed.	Kathy Holloway	(312) 886-2003
William M. Carley	Immed.	Kathy Holloway	(312) 886-2003
Daniel J. Nicholson Trust Dated November 27, 1996	Immed.	Daniel Nicholson	(630) 455-6799
John A. Marzinelli	Immed.	Paula McKinney	(847) 730-3463
Yra G. Harris	Immed.	Paula McKinney	(847) 730-3463
Joseph F. Schatz	Immed.	Gregg Williams	(312) 377-3508
George Segal Revocable Trust	Immed.	Theresa Quinlan	(312) 559-8812
Sharon K. Murray	Immed.	Sharon Murray Sharon Murray	(214) 514-1143 (972) 618-4149
Louis P. Bournakis Revocable Trust U/A/D 10/19/2000	Immed.	Kathy Holloway	(312) 886-2003
Robert W. Montgomery	Immed.	Robert Montgomery	(312) 859-2545
Robert T. Bernat Trust	Immed.	Kathy Holloway	(312) 886-2003
John Kotsiopoulos	Immed.	Gina Davis	(312) 347-5482
Mildred Lidov	Immed.	Paula McKinney	(847) 730-3463
William F. McMahon	Immed.	Paula McKinney	(847) 730-3463
Nabil Aadel Eldib	Immed.	Margie DeLorme	(312) 800-7012
James R. Stewart	Immed.	Kathy Holloway	(312) 886-2003
Brian J. Solberg	Immed.	Margie DeLorme	(312) 800-7012
Richmond W. Kenyon II	Immed.	Richmond	(847) 682-9773
Luke R. Kline	Immed.	Margie DeLorme	(312) 800-7012
Lawrence Malato	Immed.	Kathy Holloway	(312) 886-2003
Martin T. Conroy	Immed.	Chris Askew	(312) 604-6026
Robert Andrew Sarvis	Immed.	Dawn Andersen	(312) 789-8225
Jeffrey Horwich	Immed.	Jeffrey Horwich	(312) 952-7451
Eric A. Geschke	Immed.	Eric Geschke	(847) 436-1330

GIM Memberships for Lease

Lessor	Date Available	Contact	Tel. Number
David P. Hartman	Immed.	Gregg Williams	(312) 377-3508
Thomas E. Neal	Immed.	John Mussar	(312) 705-1908
Michael C. Rothman	Immed.	Kathy Holloway	(312) 886-2003

IDEM Memberships for Lease

Lessor	Date Available	Contact	Tel. Number
George I. Segal	Immed.	Theresa Quinlan	(312) 559-8812
Robert T. Bernat Trust	Immed.	Kathy Holloway	(312) 886-2003
Richard A. Kirsliis	Immed.	Paula McKinney	(847) 730-3463
James M. Sheridan	Immed.	Paula McKinney	(847) 730-3463
Thomas Cupelis	Immed.	Paula McKinney	(847) 730-3463
Terence M. Corby	Immed.	Gina Davis Nancy Webber	(312) 347-5482 (312) 604-6501
Candice A. Sunderlin	Immed.	Gregg Williams	(312) 377-3508
Mary J. O'Connor	Immed.	Mary J. O'Connor	(312) 337-2499
Dale S. Scheck	Immed.	Kathy Holloway	(312) 886-2003
Mahyar Amirsaleh	Immed.	Chris Askew	(312) 604-6026
Stuart D. Reif	Immed.	Stuart Reif	(847) 800-5979
John Nels Sunderlin	Immed.	Gregg Williams	(312) 377-3508
Glenn P. Hollander	Immed.	Kathy Holloway	(312) 886-2003
Richard A. Loehr	Immed.	Bill Levinske	(312) 408-9422
James Barrowman	Immed.	Kathy Holloway	(312) 886-2003
Daniel J. Derosé Jr.	Immed.	Gregg Williams	(312) 377-3508
John Nels Sunderlin	Immed.	Gregg Williams	(312) 377-3508
Jonathon Stone	Immed.	Jonathon Stone	(847) 948-5356
Michael C. Rothman	Immed.	Kathy Holloway	(312) 886-2003
Andrew Kern	Immed.	Paula McKinney	(847) 730-3463
Daniel J. Devito	Immed.	Paula McKinney	(847) 730-3463
Steven V. Kuhlmann	Immed.	Chris Askew	(312) 604-6026
Raymond S. Cahnman	Immed.	Jon Watson	(312) 284-5678
Transmarket Group LLC	Immed.	Jon Watson	(312) 284-5678
Thomas E. Neal	Immed.	John Mussar	(312) 705-1908
Angelo J. Guzzo	Immed.	Angelo Guzzo	(708) 601-6354

COM Memberships for Lease

Lessor	Date Available	Contact	Tel. Number
Larry C. Gulotta	Immed.	Kathy Holloway	(312) 886-2003
Mahyar Amirsaleh	Immed.	Chris Askew	(312) 604-6026
Daniel J. Devito	Immed.	Paula McKinney	(847) 730-3463
Debra Rodkin	Immed.	Debra Rodkin	(310) 779-5985
David Glancy	Immed.	Kathy Holloway	(312) 886-2003
Henry P. Baby Jr.	Immed.	Kathy Holloway	(312) 886-2003
James A. Layton	Immed.	Kathy Holloway	(312) 886-2003
Chris Pappas	Immed.	Chris Askew	(312) 604-6026
J. Brian Schaer	Immed.	Bill LeVinske	(312) 408-9422
Marilyn M. Morelli	Immed.	Kathy Holloway	(312) 886-2003
Linda L. Buggy	Immed.	Chris Askew	(312) 604-6026
Nance Pticek Zartman	Immed.	Bill Levinske	(312) 408-9422
Mike Koress	Immed.	Ruth Koress	(847) 674-7689
Earle M. Combs IV	Immed.	Kathy Holloway	(312) 886-2003
Susan E. Griffin	Immed.	Kathy Holloway	(312) 886-2003
Ross J. Greenspan	Immed.	Ross Greenspan	(847) 922-2200
Joseph W. Hance	Immed.	Kathy Holloway	(312) 886-2003
Robert E. Otter Trust	Immed.	Mark Kirshner	(312) 461-8218
Kathryn Leonar Otter	Immed.	Mark Kirshner	(312) 461-8218
Thomas R. Hardy	Immed.	Bill Levinske	(312) 408-9422
Jonathon Stone	Immed.	Jonathon Stone	(847) 948-5356
Robert B. Rusin	Immed.	Paula McKinney	(847) 730-3463
George Willia McTague III	Immed.	Paula McKinney	(847) 730-3463
Michael A. Williams	Immed.	Paula McKinney	(847) 730-3463
Mark Ishikawa	Immed.	Bill Levinske	(312) 408-9422
Daniel N. Ambrosino	Immed.	Chris Askew	(312) 604-6026
Mary J. O'Connor	Immed.	Mary J. O'Connor	(312) 337-2499
Kenneth D. Miely	Immed.	Bill Levinske	(312) 408-9422
Marsha Newlander	Immed.	Bill Levinske	(312) 408-9422
Group One Futures Trading L.L.C.	Immed.	Chad Grosam	(312) 294-2345
Transmarket Group LLC	Immed.	Jon Watson	(312) 284-5678

FT Trading LLC	Immed.	Margie DeLorme	(312) 800-7012
Joseph M. Goerdts	Immed.	Margie DeLorme	(312) 800-7012
Paul J. Moravek	Immed.	Paul Moravek	(708) 269-7455
Rock Capital Markets L.L.C.	06/01/2013	Verda Shah	(312) 441-4612
Timothy J. Kelly	Immed.	Chris Askew	(312) 604-6026
Charles Binder	Immed.	Chris Askew	(312) 604-6026
Michael J. Ambrosino	Immed.	Chris Askew	(312) 604-6026



Special Executive Report

S-6674

May 20, 2013

WEEKLY POSTING MEMBERSHIP/SHARE SALES AND TRANSFERS

Members having any information regarding the transferees listed below are requested to communicate the same to the Shareholder Relations and Membership Services Department ("the Department") on or before **June 10, 2013**.

In accordance with CBT Rule 110, "At the conclusion of the 20 day claim filing period, the Market Regulation Department and the Department shall conduct an investigation of all claims properly filed against the seller's membership or its proceeds".

All claims against the seller of a membership shall be submitted in writing to the Department on or before **June 10, 2013**.

<u>TRANSFEE</u>	<u>DIVISION</u>	<u>TRANSFEROR</u>	<u>RULE/AMOUNT</u>	<u>DATE</u>
Patrick M. Zimmer	AM	Martin T. Conroy	106D Transfer	05/13/2013
Prime International Trading, Ltd.	COM	Matthew John Connelly	\$19,000	05/13/2013
David J. Riordan	COM	Prime International Trading, Ltd.	106F Transfer	05/13/2013
#Adam M. Hoffman IMC CHICAGO, LLC	AM	Justin Stepek	106F Transfer	05/13/2013
Martin T. Conroy	AM	Kevin J. Hennessy	106D Reinstatement	05/14/2013
Zhenchao Jiang	AM	Martin T. Conroy	106D Transfer	05/14/2013
*Michael J. Donahue	AM	Timothy Feldheim (106D holder: Zhenchao Jiang)	\$97,000	05/14/2013
Jump Trading, LLC	FULL	Edward W. Gorman	\$305,000	05/14/2013
Brian T. McPartlin	FULL	Jump Trading, LLC	106F Transfer	05/14/2013
David P. Hartman	GIM	John R. Christian	106D Reinstatement	05/15/2013
Belvedere Trading LLC	AM	Thomas J. Hale (106D holder: Igor Terekhov)	\$97,500	05/15/2013
#Jeffrey W. Arnold	AM	Belvedere Trading LLC	106F Transfer	05/15/2013
Richard W. Dunlap	AM	Robert Likus	106D Reinstatement	05/15/2013
Edward W. Gorman	IDEM	**SMW Trading Company, Inc. (106D holder: Gary L. Steinberg)	\$5,600	05/15/2013
Robert Andrew Sarvis	AM	Patrick A. Walsh	106D Reinstatement	05/15/2013
Robert Likus	AM	Robert Andrew Sarvis	106D Transfer	05/15/2013
John Paul Paterson	FULL	Jump Trading, LLC	106F Transfer	05/15/2013
Jump Trading, LLC	FULL	UBS Securities LLC	\$300,000	05/15/2013

Eugene R. Beale Jr.	FULL	Joshua D. Holton	106D Reinstatement	05/15/2013
Jonathan D. Gerbie	FULL	Eugene R. Beale Jr.	106D Transfer	05/15/2013
Michael Thomas Fox	FULL	MF Global Inc. ^^	\$290,000	05/16/2013
Traditum Group LLC	IDEM	MF Global Inc. ^^	\$4,000	05/16/2013
James P. Aull	FULL	Jerome J. Sullivan III	106D Reinstatement	05/16/2013
John P. Holding	FULL	James P. Aull	106D Transfer	05/16/2013
Marvin H. Wenger	1/2 AM/GIM	MF Global Inc. ^^	\$24,000	05/16/2013
Marc Nagel	COM	MF Global Inc. ^^	\$17,500	05/16/2013
Brian J. Welsh	COM	David P. Glynn	\$18,000 (Match bid/offer)	05/16/2013
Eric D. Schwartz	GIM	**Alma R. Duncan	106D Transfer	05/16/2013
Laszlo B. Pinter BUNGE CHICAGO, INC	FULL	Truitt E. Trogon	106F Transfer	05/16/2013
Truitt E. Trogon BUNGE CHICAGO, INC	FULL	Lawrence Greenhall	106F Transfer	05/16/2013
Gary L. Steinberg	IDEM	Martin Kogan	106D Transfer	05/16/2013
Daniel M. Heller	AM	Belvedere Trading LLC	106F Transfer	05/16/2013
Belvedere Trading LLC	AM	MF Global Inc. ^^	\$75,000	05/16/2013
H. Owen Williams III	FULL	Ralph M. Kurzydlo	106D Transfer	05/17/2013

* New Applicant

** Shareholder

New Applicant Previously Posted

^^ Rule 110 Claims against MF Global Inc.'s memberships were required to be filed no later than October 5, 2012, in accordance with CME Group's agreement with the Bankruptcy Trustee, approved by the Bankruptcy Court. As such, no new claims will be allowed.

No member firms to be posted this week.

NEW APPLICANTS FOR MEMBERSHIP

The applicants listed below are applying for membership and will be transferred membership at a later date. Any member having any information regarding these applicants is requested to communicate the same to the Shareholder Relations and Membership Services Department on or before **June 10, 2013**. Photographs of new applicants will be displayed on the bulletin boards located on the trading floor.

APPLICANT	DIVISION
Jeffrey C. Colon Chicago, IL	FULL
Clarence T. Corbett Chicago, IL	FULL
Austin J. Damiani Minneapolis, MN	FULL
Michael J. Donahue Chicago, IL	AM
Peter A. Lalewicz Chicago, IL	AM

BID, OFFER AND LAST SALE FOR MEMBERSHIPS

AS OF Friday, May 17, 2013 at 4:00 P.M.

	<u>FULL</u>	<u>AM</u>	<u>GIM</u>	<u>IDEM</u>	<u>COM</u>
Date	05/16/2013	05/16/2013	05/16/2013	05/16/2013	05/16/2013
Last	\$290,000	\$75,000	\$24,000	\$4,000	\$17,500
Bid	\$280,000	\$75,000	\$10,500	\$3,500	\$17,500
Offer	\$300,000	\$102,500	\$85,000	\$7,000	\$24,000

BID, OFFER AND LAST SALE FOR MEMBERSHIPS SWAP MARKET

AS OF Friday, May 17, 2013 at 4:00 P.M.

	<u>FULL FOR AM</u>	<u>FULL FOR COM</u>	<u>FULL FOR IDEM</u>	<u>AM FOR COM</u>	<u>AM FOR IDEM</u>	<u>COM FOR IDEM</u>
Date						
Last						
Bid	\$140,000	NONE	NONE	NONE	NONE	NONE
Offer	NONE	NONE	NONE	NONE	NONE	NONE

Chicago Board of Trade Inc.
Reported Lease Prices and Membership Interests for Lease
Monday, May 20, 2013 10:00 AM
Lease Information Line (312) 930-3414
CURRENT LEASE INFORMATION IS AVAILABLE AT WWW.CMEGROUP.COM

I. REPORTED LEASE PRICE INFORMATION

II. MEMBERSHIP INTERESTS AVAILABLE FOR LEASE

I. REPORTED LEASE PRICE INFORMATION

1. **Lease prices** are updated as received and are listed in the Membership Services Department. Lease prices can be accessed on the CME Group Website (www.cmegroup.com).
2. To request a fax of this document, please call the LIL at (312) 930-3414.

Rule 106.D.12 NOW REQUIRES ALL LESSORS TO NOTIFY THE EXCHANGE OF ANY NEW OR RENEWED LEASE PRICES WITHIN TWO BUSINESS DAYS OF THE NEGOTIATED LEASE AGREEMENT. Lease Reporting Forms are available by contacting the Membership Services Department at (312) 435-3480. The Exchange will report all information submitted and makes no claim as to the completeness or accuracy of this information.

FULL	AM	GIM	IDEM	COM
4@ \$1561.57 1@ \$1584.00	3@ \$733.80 1@ \$550.00		1@ \$52.16	
Month-end Rental Dollar amount (as of 4/30/2013)				
\$1561.57	\$733.80	\$0.00	\$52.16	\$260.00

II. MEMBERSHIP INTERESTS AVAILABLE FOR LEASE

1. Lessors are requested to contact the Leasing Information Line "LIL" at (312) 930-3414 or the Membership Services Department at (312) 435-3480 to initially list a membership available for lease. Listings must be received by 6 p.m. to be included on the next day's list. This list is updated once per day by 10 a.m. each morning.
2. Names will be posted on this list for five (5) business days. To renew your listing, please call the LIL voice mail renewal at (312) 930-3414.
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FULL Memberships for Lease

Lessor	Date Available	Contact	Tel. Number
Wes Weissman	Immed.	Kathy Holloway	(312) 886-2003
Joel J. Fetchenhier	Immed.	Paula McKinney	(847) 730-3463
John R. Kinsella	Immed.	Kathy Holloway	(312) 886-2003
Stephen H. Kaufman	06/01/2013	Penny Sheinfeld	(312) 347-1727
Joseph J. Dwyer	06/01/2013	Penny Sheinfeld	(312) 347-1727
Richard B. Pfeil	Immed.	Chris Askew	(312) 604-6026
LSG Holdings L.L.C	Immed.	Chris Askew	(312) 604-6026
Alma R. Duncan	06/09/2013	Penny Sheinfeld	(312) 347-1727
George Bornstein	06/06/2013	Kathy Holloway	(312) 886-2003
Martin Kogan	06/08/2013	Penny Sheinfeld	(312) 347-1727
Transmarket Group LLC	Immed.	Brian Smith Jon Watson	(312) 284-5530 (312) 284-5678
Richard Friedman	Immed.	Kathy Holloway	(312) 886-2003
James H. Warning	06/15/2013	Kathy Holloway	(312) 886-2003

AM Memberships for Lease

Lessor	Date Available	Contact	Tel. Number
Michael A. Williams	Immed.	Paula McKinney	(847) 730-3463
Christopher J. Dynek	Immed.	Penny Sheinfeld	(312) 347-1727
Keystone Trading Corporation	Immed.	Gregg Williams	(312) 377-3508
Charles R. Frank	Immed.	Kathy Holloway	(312) 886-2003
Stephen Perraud	Immed.	Kathy Holloway	(312) 886-2003
Stephen K. Ratner	Immed.	Kathy Holloway	(312) 886-2003

Joseph A. Koehler	Immed.	Kathy Holloway	(312) 886-2003
William R. Macdonald Jr.	Immed.	Kathy Holloway	(312) 886-2003
John A. Vercillo	Immed.	Penny Sheinfeld	(312) 347-1727
Terrence P. Madden	Immed.	Kathy Holloway	(312) 886-2003
David C. Ziperski	Immed.	Kathy Holloway	(312) 886-2003
Henry Shatkin	Immed.	Penny Sheinfeld	(312) 347-1727
Grace L. Neville	Immed.	Kathy Holloway	(312) 886-2003
Glenn P. Hollander	Immed.	Kathy Holloway	(312) 886-2003
William M. Carley	Immed.	Kathy Holloway	(312) 886-2003
Daniel J. Nicholson Trust Dated November 27, 1996	Immed.	Daniel Nicholson	(630) 455-6799
John A. Marzinelli	Immed.	Paula McKinney	(847) 730-3463
Yra G. Harris	Immed.	Paula McKinney	(847) 730-3463
Joseph F. Schatz	Immed.	Gregg Williams	(312) 377-3508
George Segal Revocable Trust	Immed.	Theresa Quinlan	(312) 559-8812
The Brian I. Connelly Revocable Trust	Immed.	Penny Sheinfeld	(312) 347-1727
Sharon K. Murray	Immed.	Sharon Murray Sharon Murray	(214) 514-1143 (972) 618-4149
Louis P. Bournakis Revocable Trust U/A/D 10/19/2000	Immed.	Kathy Holloway	(312) 886-2003
Robert T. Bernat Trust	Immed.	Kathy Holloway	(312) 886-2003
Robert W. Montgomery	Immed.	Robert Montgomery	(312) 859-2545
William F. McMahon	Immed.	Paula McKinney	(847) 730-3463
Mildred Lidov	Immed.	Paula McKinney	(847) 730-3463
Nabil Aadel Eldib	Immed.	Margie DeLorme	(312) 800-7012
James R. Stewart	Immed.	Kathy Holloway	(312) 886-2003
Brian J. Solberg	Immed.	Margie DeLorme	(312) 800-7012
Richmond W. Kenyon II	Immed.	Richmond	(847) 682-9773
Luke R. Kline	Immed.	Margie DeLorme	(312) 800-7012
Lawrence Malato	Immed.	Kathy Holloway	(312) 886-2003
Martin T. Conroy	Immed.	Chris Askew	(312) 604-6026
Jeffrey Horwich	Immed.	Jeffrey Horwich	(312) 952-7451
Eric A. Geschke	Immed.	Eric Geschke	(847) 436-1330

GIM Memberships for Lease

Lessor	Date Available	Contact	Tel. Number
David P. Hartman	Immed.	Gregg Williams	(312) 377-3508
Michael C. Rothman	Immed.	Kathy Holloway	(312) 886-2003

IDEM Memberships for Lease

Lessor	Date Available	Contact	Tel. Number
George I. Segal	Immed.	Theresa Quinlan	(312) 559-8812
Robert T. Bernat Trust	Immed.	Kathy Holloway	(312) 886-2003
Richard A. Kirsliis	Immed.	Paula McKinney	(847) 730-3463
James M. Sheridan	Immed.	Paula McKinney	(847) 730-3463
Thomas Cupelis	Immed.	Paula McKinney	(847) 730-3463
Candice A. Sunderlin	Immed.	Gregg Williams	(312) 377-3508
Martin Kogan	Immed.	Penny Sheinfeld	(312) 347-1727
Mary J. O'Connor	Immed.	Mary J. O'Connor	(312) 337-2499
Dale S. Scheck	Immed.	Kathy Holloway	(312) 886-2003
Mahyar Amirsaleh	Immed.	Chris Askew	(312) 604-6026
Stuart D. Reif	Immed.	Stuart Reif	(847) 800-5979
John Nels Sunderlin	Immed.	Gregg Williams	(312) 377-3508
Glenn P. Hollander	Immed.	Kathy Holloway	(312) 886-2003
Richard A. Loehr	Immed.	Bill Levinske	(312) 408-9422
James Barrowman	Immed.	Kathy Holloway	(312) 886-2003
Daniel J. Derosé Jr.	Immed.	Gregg Williams	(312) 377-3508
John Nels Sunderlin	Immed.	Gregg Williams	(312) 377-3508
Jonathon Stone	Immed.	Jonathon Stone	(847) 948-5356
Michael C. Rothman	Immed.	Kathy Holloway	(312) 886-2003
Daniel J. Devito	Immed.	Paula McKinney	(847) 730-3463
Andrew Kern	Immed.	Paula McKinney	(847) 730-3463
Steven V. Kuhlmann	Immed.	Chris Askew	(312) 604-6026
Michael Otter	Immed.	Michael Otter	(312) 498-0721
Transmarket Group LLC	Immed.	Jon Watson	(312) 284-5678
Raymond S. Cahnman	Immed.	Jon Watson	(312) 284-5678
Angelo J. Guzzo	Immed.	Angelo Guzzo	(708) 601-6354
Benjamin T. Wolke	Immed.	Ben Wolke	(651) 210-6220

COM Memberships for Lease

Lessor	Date Available	Contact	Tel. Number
Larry C. Gulotta	Immed.	Kathy Holloway	(312) 886-2003
Mahyar Amirsaleh	Immed.	Chris Askew	(312) 604-6026
Daniel J. Devito	Immed.	Paula McKinney	(847) 730-3463
Debra Rodkin	Immed.	Debra Rodkin	(310) 779-5985
Henry P. Baby Jr.	Immed.	Kathy Holloway	(312) 886-2003
David Glancy	Immed.	Kathy Holloway	(312) 886-2003
Merton L. Feldstein	Immed.	Penny Sheinfeld	(312) 347-1727
James A. Layton	Immed.	Kathy Holloway	(312) 886-2003
Chris Pappas	Immed.	Chris Askew	(312) 604-6026
J. Brian Schaer	Immed.	Bill LeVinske	(312) 408-9422
Marilyn M. Morelli	Immed.	Kathy Holloway	(312) 886-2003
Linda L. Buggy	Immed.	Chris Askew	(312) 604-6026
Nance Pticek Zartman	Immed.	Bill Levinske	(312) 408-9422
Earle M. Combs IV	Immed.	Kathy Holloway	(312) 886-2003
Mike Koress	Immed.	Ruth Koress	(847) 674-7689
Susan E. Griffin	Immed.	Kathy Holloway	(312) 886-2003
Ross J. Greenspan	Immed.	Ross Greenspan	(847) 922-2200
Joseph W. Hance	Immed.	Kathy Holloway	(312) 886-2003
Kathryn Leonar Otter	Immed.	Mark Kirshner	(312) 461-8218
Robert E. Otter Trust	Immed.	Mark Kirshner	(312) 461-8218
Thomas R. Hardy	Immed.	Bill Levinske	(312) 408-9422
Jonathon Stone	Immed.	Jonathon Stone	(847) 948-5356
Mark C. Lato	Immed.	Penny Sheinfeld	(312) 347-1727
Michael A. Williams	Immed.	Paula McKinney	(847) 730-3463
George William McTague III	Immed.	Paula McKinney	(847) 730-3463
Robert B. Rusin	Immed.	Paula McKinney	(847) 730-3463
Mark Ishikawa	Immed.	Bill Levinske	(312) 408-9422
Daniel N. Ambrosino	Immed.	Chris Askew	(312) 604-6026
Marsha Newlander	Immed.	Bill Levinske	(312) 408-9422
Mary J. O'Connor	Immed.	Mary J. O'Connor	(312) 337-2499
Kenneth D. Miely	Immed.	Bill Levinske	(312) 408-9422
Group One Futures Trading L.L.C.	Immed.	Chad Grosam	(312) 294-2345

Transmarket Group LLC	Immed.	Jon Watson	(312) 284-5678
FT Trading LLC	Immed.	Margie DeLorme	(312) 800-7012
Joseph M. Goerd	Immed.	Margie DeLorme	(312) 800-7012
Paul J. Moravek	Immed.	Paul Moravek	(708) 269-7455
Rock Capital Markets L.L.C.	06/01/2013	Verda Shah	(312) 441-4612
Timothy J. Kelly	Immed.	Chris Askew	(312) 604-6026
Michael J. Ambrosino	Immed.	Chris Askew	(312) 604-6026
Charles Binder	Immed.	Chris Askew	(312) 604-6026
George Michael Forrester Revocable Trust Dated April 1, 1996	Immed.	Kathy Holloway Mike Forrester	(312) 886-2003 (231) 838-4865
Jerome J. Israelov	Immed.	Bill Levinske	(312) 408-9422
Marc Nagel	Immed.	Diane Lemoncello	(312) 341-7627
Marc Nagel	Immed.	Diane Lemoncello	(312) 341-7627



Special Executive Report

S-6690

May 28, 2013

WEEKLY POSTING MEMBERSHIP/SHARE SALES AND TRANSFERS

Members having any information regarding the transferees listed below are requested to communicate the same to the Shareholder Relations and Membership Services Department ("the Department") on or before **June 17, 2013**.

In accordance with CBT Rule 110, "At the conclusion of the 20 day claim filing period, the Market Regulation Department and the Department shall conduct an investigation of all claims properly filed against the seller's membership or its proceeds".

All claims against the seller of a membership shall be submitted in writing to the Department on or before **June 17, 2013**.

<u>TRANSFEE</u>	<u>DIVISION</u>	<u>TRANSFEROR</u>	<u>RULE/AMOUNT</u>	<u>DATE</u>
Michael A. Williams	FULL	John Paul Paterson	106D Reinstatement	05/20/2013
Daniel J. Guarnieri	FULL	Brian T. McPartlin	106D Reinstatement	05/21/2013
Christopher B. Laden	AM	John P. Holding	106D Reinstatement	05/21/2013
Gregory J. Ellis	FULL	Carter S. Glass	106D Reinstatement	05/21/2013
Richard Friedman	FULL	Patrick K. Boyle	106D Reinstatement	05/21/2013
Daniel M. Ambrosino	FULL	Danny L. Scheck	\$300,000	05/22/2013
Christian Revocable Family Trust Ua 3/2/2000, Albert C. Christian, Trustee	FULL	Jay Michael Rosenberg	106D Reinstatement	05/23/2013
Hiroyuki Kobayashi	FULL	Christian Revocable Family Trust Ua 3/2/2000, Albert C. Christian, Trustee	106D Transfer	05/23/2013
Jordan Glassman Revocable Trust U/A/D 1/17/77, Gregory Glassman, Trustee	FULL	Hiroyuki Kobayashi	106D Reinstatement	05/23/2013
#Kimberly A. DeCarlo J.P. MORGAN CLEARING CORP.	FULL	John O. Tuohy	106F Transfer	05/23/2013
Andrew Rubino DEUTSCHE BANK SECURITIES INC	FULL	Sergei Satchkov	106F Transfer	05/23/2013
UBS Securities LLC	AM	Dominic A. Torres	106D Reinstatement	05/24/2013
Michael R. Wippman	AM	William R. MacDonald Jr.	106D Transfer	05/24/2013
UBS Securities LLC	AM	Stefano Durdic	106D Reinstatement	05/24/2013

*Tajinder Singh M&N TRADING, LLC	AM	Chad A. Simon	106F Transfer	05/24/2013
#Peter A. Lalewicz	AM	**Mildred Lidov	106D Transfer	05/24/2013
*Brian A. Carson VOLANT LIQUIDITY, LLC	FULL	Brett T. Boscarino	106F Transfer	05/24/2013
Selwyn S. Unell	FULL	Brendan Malia	106D Reinstatement	05/24/2013
#Austin J. Damiani	FULL	Selwyn S. Unell	106D Transfer	05/24/2013

* New Applicant

** Shareholder

New Applicant Previously Posted

ELECTRONIC CORPORATE MEMBER FIRM WITHDRAWAL

Speed Trading Partners LLC has withdrawn as a CBOT Rule 106.R. Electronic Corporate Member Firm – AM Level, effective May 28, 2013.

NEW APPLICANTS FOR MEMBERSHIP

The applicants listed below are applying for membership and will be transferred membership at a later date. Any member having any information regarding these applicants is requested to communicate the same to the Shareholder Relations and Membership Services Department on or before **June 17, 2013**. Photographs of new applicants will be displayed on the bulletin boards located on the trading floor.

APPLICANT	DIVISION
Brian A. Carson New York, NY	FULL
Nagaprakash Gopal Pendle Hill, Australia	AM
Steven C. Hyde Blue Springs, MO	COM
Michael A. Kurzydlo Chicago, IL	FULL
Tajinder Singh Chicago, IL	AM
Juan-Emilio Vercher Madrid, Spain	AM

BID, OFFER AND LAST SALE FOR MEMBERSHIPS

AS OF Friday, May 24, 2013 at 4:00 P.M.

	<u>FULL</u>	<u>AM</u>	<u>GIM</u>	<u>IDEM</u>	<u>COM</u>
Date	05/22/2013	05/16/2013	05/16/2013	05/16/2013	05/16/2013
Last	\$300,000	\$75,000	\$24,000	\$4,000	\$17,500
Bid	\$280,000	\$83,000	\$24,500	\$3,500	\$17,500
Offer	\$305,000	\$102,500	\$85,000	\$4,000	\$24,000

BID, OFFER AND LAST SALE FOR MEMBERSHIPS SWAP MARKET

AS OF Friday, May 24, 2013 at 4:00 P.M.

	<u>FULL FOR AM</u>	<u>FULL FOR COM</u>	<u>FULL FOR IDEM</u>	<u>AM FOR COM</u>	<u>AM FOR IDEM</u>	<u>COM FOR IDEM</u>
Date						
Last						
Bid	\$140,000	NONE	NONE	NONE	NONE	NONE
Offer	NONE	NONE	NONE	NONE	NONE	NONE

Chicago Board of Trade Inc.
Reported Lease Prices and Membership Interests for Lease
Tuesday, May 28, 2013 10:00 AM
Lease Information Line (312) 930-3414
CURRENT LEASE INFORMATION IS AVAILABLE AT WWW.CMEGROUP.COM

I. REPORTED LEASE PRICE INFORMATION

II. MEMBERSHIP INTERESTS AVAILABLE FOR LEASE

I. REPORTED LEASE PRICE INFORMATION

1. **Lease prices** are updated as received and are listed in the Membership Services Department. Lease prices can be accessed on the CME Group Website (www.cmegroup.com).
2. To request a fax of this document, please call the LIL at (312) 930-3414.

Rule 106.D.12 NOW REQUIRES ALL LESSORS TO NOTIFY THE EXCHANGE OF ANY NEW OR RENEWED LEASE PRICES WITHIN TWO BUSINESS DAYS OF THE NEGOTIATED LEASE AGREEMENT. Lease Reporting Forms are available by contacting the Membership Services Department at (312) 435-3480. The Exchange will report all information submitted and makes no claim as to the completeness or accuracy of this information.

FULL	AM	GIM	IDEM	COM
1 @ \$1561.57	1 @ \$733.80 1 @ \$550.00			
Month-end Rental Dollar amount (as of 4/30/2013)				
\$1561.57	\$733.80	\$0.00	\$52.16	\$260.00

II. MEMBERSHIP INTERESTS AVAILABLE FOR LEASE

1. Lessors are requested to contact the Leasing Information Line "LIL" at (312) 930-3414 or the Membership Services Department at (312) 435-3480 to initially list a membership available for lease. Listings must be received by 6 p.m. to be included on the next day's list. This list is updated once per day by 10 a.m. each morning.
2. Names will be posted on this list for five (5) business days. To renew your listing, please call the LIL voice mail renewal at (312) 930-3414.
3. All names will be listed on www.cmegroup.com under the "Memberships For Lease" section in date order as they are received by the Membership Services Department.

FULL Memberships for Lease

Lessor	Date Available	Contact	Tel. Number
Wes Weissman	Immed.	Kathy Holloway	(312) 886-2003
Joel J. Fetchenhier	Immed.	Paula McKinney	(847) 730-3463
John R. Kinsella	Immed.	Kathy Holloway	(312) 886-2003
Stephen H. Kaufman	06/01/2013	Penny Sheinfeld	(312) 347-1727
Joseph J. Dwyer	06/01/2013	Penny Sheinfeld	(312) 347-1727
Alma R. Duncan	06/09/2013	Penny Sheinfeld	(312) 347-1727
George Bornstein	06/06/2013	Kathy Holloway	(312) 886-2003
Richard Friedman	Immed.	Kathy Holloway	(312) 886-2003
Daniel J. Guarnieri	Immed.	Penny Sheinfeld	(312) 347-1727
Gregory J. Ellis	Immed.	Kathy Holloway	(312) 886-2003
John Joseph Connelly	Immed.	Penny Sheinfeld	(312) 347-1727
Geoffrey J. Georgas	Immed.	Dawn Andersen	(312) 789-8225
Stanley L. Komparda Jr.	Immed.	Dawn Andersen	(312) 789-8225

AM Memberships for Lease

Lessor	Date Available	Contact	Tel. Number
Michael A. Williams	Immed.	Paula McKinney	(847) 730-3463
Keystone Trading Corporation	Immed.	Gregg Williams	(312) 377-3508
Charles R. Frank	Immed.	Kathy Holloway	(312) 886-2003
Stephen Perraud	Immed.	Kathy Holloway	(312) 886-2003
Stephen K. Ratner	Immed.	Kathy Holloway	(312) 886-2003

Joseph A. Koehler	Immed.	Kathy Holloway	(312) 886-2003
William R. Macdonald Jr.	Immed.	Kathy Holloway	(312) 886-2003
Terrence P. Madden	Immed.	Kathy Holloway	(312) 886-2003
David C. Ziperski	Immed.	Kathy Holloway	(312) 886-2003
Grace L. Neville	Immed.	Kathy Holloway	(312) 886-2003
Glenn P. Hollander	Immed.	Kathy Holloway	(312) 886-2003
William M. Carley	Immed.	Kathy Holloway	(312) 886-2003
Daniel J. Nicholson Trust Dated November 27, 1996	Immed.	Daniel Nicholson	(630) 455-6799
John A. Marzinelli	Immed.	Paula McKinney	(847) 730-3463
Yra G. Harris	Immed.	Paula McKinney	(847) 730-3463
Joseph F. Schatz	Immed.	Gregg Williams	(312) 377-3508
George Segal Revocable Trust	Immed.	Theresa Quinlan	(312) 559-8812
Sharon K. Murray	Immed.	Sharon Murray Sharon Murray	(214) 514-1143 (972) 618-4149
Robert T. Bernat Trust	Immed.	Kathy Holloway	(312) 886-2003
Robert W. Montgomery	Immed.	Robert Montgomery	(312) 859-2545
Louis P. Bournakis Revocable Trust U/A/D 10/19/2000	Immed.	Kathy Holloway	(312) 886-2003
Mildred Lidov	Immed.	Paula McKinney	(847) 730-3463
William F. McMahon	Immed.	Paula McKinney	(847) 730-3463
James R. Stewart	Immed.	Kathy Holloway	(312) 886-2003
Richmond W. Kenyon II	Immed.	Richmond	(847) 682-9773
Lawrence Malato	Immed.	Kathy Holloway	(312) 886-2003
Jeffrey Horwich	Immed.	Jeffrey Horwich	(312) 952-7451
Eric A. Geschke	Immed.	Eric Geschke	(847) 436-1330
John Kotsiopoulos	Immed.	Gina Davis	(312) 347-5482

GIM Memberships for Lease

Lessor	Date Available	Contact	Tel. Number
David P. Hartman	Immed.	Gregg Williams	(312) 377-3508
Michael C. Rothman	Immed.	Kathy Holloway	(312) 886-2003
Richard A. Stoken	Immed.	Dick Stoken	(847) 266-8738

IDEM Memberships for Lease

Lessor	Date Available	Contact	Tel. Number
George I. Segal	Immed.	Theresa Quinlan	(312) 559-8812
Robert T. Bernat Trust	Immed.	Kathy Holloway	(312) 886-2003
Richard A. Kirsliis	Immed.	Paula McKinney	(847) 730-3463
James M. Sheridan	Immed.	Paula McKinney	(847) 730-3463
Thomas Cupelis	Immed.	Paula McKinney	(847) 730-3463
Candice A. Sunderlin	Immed.	Gregg Williams	(312) 377-3508
Mary J. O'Connor	Immed.	Mary J. O'Connor	(312) 337-2499
Stuart D. Reif	Immed.	Stuart Reif	(847) 800-5979
John Nels Sunderlin	Immed.	Gregg Williams	(312) 377-3508
Glenn P. Hollander	Immed.	Kathy Holloway	(312) 886-2003
James Barrowman	Immed.	Kathy Holloway	(312) 886-2003
Daniel J. Derosé Jr.	Immed.	Gregg Williams	(312) 377-3508
John Nels Sunderlin	Immed.	Gregg Williams	(312) 377-3508
Michael C. Rothman	Immed.	Kathy Holloway	(312) 886-2003
Andrew Kern	Immed.	Paula McKinney	(847) 730-3463
Daniel J. Devito	Immed.	Paula McKinney	(847) 730-3463
Michael Otter	Immed.	Michael Otter	(312) 498-0721
Benjamin T. Wolke	Immed.	Ben Wolke	(651) 210-6220
Richard A. Loehr	Immed.	Bill Levinske	(312) 408-9422

COM Memberships for Lease

Lessor	Date Available	Contact	Tel. Number
Larry C. Gulotta	Immed.	Kathy Holloway	(312) 886-2003
Daniel J. Devito	Immed.	Paula McKinney	(847) 730-3463
Debra Rodkin	Immed.	Debra Rodkin	(310) 779-5985
Henry P. Baby Jr.	Immed.	Kathy Holloway	(312) 886-2003
David Glancy	Immed.	Kathy Holloway	(312) 886-2003
James A. Layton	Immed.	Kathy Holloway	(312) 886-2003
Marilyn M. Morelli	Immed.	Kathy Holloway	(312) 886-2003
Mike Koress	Immed.	Ruth Koress	(847) 674-7689
Earle M. Combs IV	Immed.	Kathy Holloway	(312) 886-2003

Susan E. Griffin	Immed.	Kathy Holloway	(312) 886-2003
Ross J. Greenspan	Immed.	Ross Greenspan	(847) 922-2200
Joseph W. Hance	Immed.	Kathy Holloway	(312) 886-2003
Robert E. Otter Trust	Immed.	Mark Kirshner	(312) 461-8218
Kathryn Leonar Otter	Immed.	Mark Kirshner	(312) 461-8218
George Willia McTague III	Immed.	Paula McKinney	(847) 730-3463
Robert B. Rusin	Immed.	Paula McKinney	(847) 730-3463
Michael A. Williams	Immed.	Paula McKinney	(847) 730-3463
Mary J. O'Connor	Immed.	Mary J. O'Connor	(312) 337-2499
Marsha Newlander	Immed.	Bill Levinske	(312) 408-9422
Group One Futures Trading L.L.C.	Immed.	Chad Grosam	(312) 294-2345
Paul J. Moravek	Immed.	Paul Moravek	(708) 269-7455
Rock Capital Markets L.L.C.	06/01/2013	Verda Shah	(312) 441-4612
George Michael Forrester Revocable Trust Dated April 1, 1996	Immed.	Mike Forrester Kathy Holloway	(231) 838-4865 (312) 886-2003
Jerome J. Israelov	Immed.	Bill Levinske	(312) 408-9422
Marc Nagel	Immed.	Diane Lemoncello	(312) 341-7627
Marc Nagel	Immed.	Diane Lemoncello	(312) 341-7627
Nance Pticek Zartman	Immed.	Bill Levinske	(312) 408-9422
Thomas R. Hardy	Immed.	Bill Levinske	(312) 408-9422
Mark Ishikawa	Immed.	Bill Levinske	(312) 408-9422
Kenneth D. Miely	Immed.	Bill Levinske	(312) 408-9422
J. Brian Schaer	Immed.	Bill Levinske	(312) 408-9422

MEMBERS/POTENTIAL LESSEES SHOULD BE AWARE THAT THIS LISTING SERVICE IS NO GUARANTEE THAT EITHER A MEMBERSHIP WILL BE AVAILABLE OR THAT AN INDIVIDUAL WILL TAKE A MEMBERSHIP OFFERED. THE TERMS OF A LEASE AGREEMENT, I.E., LENGTH, PRICE, ETC. ARE NEGOTIABLE BETWEEN THE TWO PARTIES. THIS DOCUMENT WILL BE UPDATED DAILY BY THE MEMBER SERVICES DEPARTMENT IF APPLICABLE.

AM MEMBERSHIPS AVAILABLE FOR LEASING

<u>MEMBER</u>	<u>DATE POSTED</u>	<u>AVAILABLE FOR USE</u>	<u>CONTACT PERSON</u>	<u>TELEPHONE NUMBER</u>
WILLIAMS, MICHAEL A.	1/12/2012	1/12/2012	Paula McKinney	(847) 730-3463
DYNEK, CHRISTOPHER J.	2/1/2012	3/2/2012	Penny Sheinfeld	(312) 347-1727
FRANK, CHARLES R.	2/15/2012	2/15/2012	Kathy Holloway	(312) 886-2003
PERRAUD, STEPHEN	4/12/2012	5/5/2012		(312) 886-2003
RATNER, STEPHEN K.	4/16/2012	4/16/2012		(312) 886-2003
KOEHLER, JOSEPH A.	5/2/2012	5/2/2012		(312) 886-2003
MACDONALD JR., WILLIAM R.	6/13/2012	6/13/2012		(312) 886-2003
VERCILLO, JOHN A.	6/13/2012	6/13/2012	Penny Sheinfeld	(312) 347-1727
MADDEN, TERRENCE P.	6/14/2012	7/1/2012	Kathy Holloway	(312) 886-2003
ZIPERSKI, DAVID C.	8/3/2012	9/1/2012		(312) 886-2003
SHATKIN, HENRY	9/14/2012	10/1/2012	Penny Sheinfeld	(312) 347-1727
NEVILLE, GRACE L.	10/23/2012	10/23/2012	Kathy Holloway	(312) 886-2003
HOLLANDER, GLENN P.	11/8/2012	11/8/2012		(312) 886-2003
CARLEY, WILLIAM M.	12/4/2012	12/4/2012		(312) 886-2003
DANIEL J. NICHOLSON TRUST DATED NOVEMBER 27, 1996	12/5/2012	12/5/2012	Daniel Nicholson	(630) 455-6799
HARRIS, YRA G.	12/24/2012	12/24/2012	Paula McKinney	(847) 730-3463
MARZINELLI, JOHN A.	12/24/2012	12/24/2012		(847) 730-3463
GEORGE SEGAL REVOCABLE TRUST	1/24/2013	2/8/2013	Theresa Quinlan	(312) 559-8812
THE BRIAN I. CONNELLY REVOCABLE TRUST	1/29/2013	1/29/2013	Penny Sheinfeld	(312) 347-1727
MURRAY, SHARON K.	2/8/2013	3/5/2013	Sharon Murray	(972) 618-4149
	2/8/2013	3/5/2013		(214) 514-1143
LOUIS P. BOURNAKIS REVOCABLE TRUST U/A/D 10/19/2000	2/28/2013	2/28/2013	Kathy Holloway	(312) 886-2003
MONTGOMERY, ROBERT W.	2/28/2013	2/28/2013	Robert Montgomery	(312) 859-2545
ROBERT T. BERNAT TRUST	2/28/2013	2/28/2013	Kathy Holloway	(312) 886-2003
LIDOV, MILDRED	3/18/2013	3/18/2013	Paula McKinney	(847) 730-3463
MCMAHON, WILLIAM F.	3/18/2013	3/18/2013		(847) 730-3463
ELDIB, NABIL AADEL	3/26/2013	3/26/2013	Margie DeLorme	(312) 800-7012
STEWART, JAMES R.	3/28/2013	3/28/2013	Kathy Holloway	(312) 886-2003
SOLBERG, BRIAN J.	4/9/2013	5/1/2013	Margie DeLorme	(312) 800-7012
KENYON II, RICHMOND W.	4/16/2013	4/16/2013	Richmond	(847) 682-9773
KLINE, LUKE R.	4/18/2013	4/18/2013	Margie DeLorme	(312) 800-7012
MALATO, LAWRENCE	4/19/2013	4/19/2013	Kathy Holloway	(312) 886-2003
HORWICH, JEFFREY	5/1/2013	5/1/2013	Jeffrey Horwich	(312) 952-7451
GESCHKE, ERIC A.	5/9/2013	5/9/2013	Eric Geschke	(847) 436-1330
KOTSIPOULOS, JOHN	5/24/2013	5/24/2013	Gina Davis	(312) 347-5482
AMBROSINO, MICHAEL J.	5/29/2013	5/29/2013	Becky Christie	(312) 604-6116
FANIZZO, WILLIAM	5/29/2013	5/29/2013	William Fanizzo	(708) 870-6945

COM MEMBERSHIPS AVAILABLE FOR LEASING

<u>MEMBER</u>	<u>DATE POSTED</u>	<u>AVAILABLE FOR USE</u>	<u>CONTACT PERSON</u>	<u>TELEPHONE NUMBER</u>
GULOTTA, LARRY C.	5/24/2012	5/24/2012	Kathy Holloway	(312) 886-2003

MEMBERS/POTENTIAL LESSEES SHOULD BE AWARE THAT THIS LISTING SERVICE IS NO GUARANTEE THAT EITHER A MEMBERSHIP WILL BE AVAILABLE OR THAT AN INDIVIDUAL WILL TAKE A MEMBERSHIP OFFERED. THE TERMS OF A LEASE AGREEMENT, I.E., LENGTH, PRICE, ETC. ARE NEGOTIABLE BETWEEN THE TWO PARTIES. THIS DOCUMENT WILL BE UPDATED DAILY BY THE MEMBER SERVICES DEPARTMENT IF APPLICABLE.

COM MEMBERSHIPS AVAILABLE FOR LEASING

<u>MEMBER</u>	<u>DATE POSTED</u>	<u>AVAILABLE FOR USE</u>	<u>CONTACT PERSON</u>	<u>TELEPHONE NUMBER</u>
AMIRSALEH, MAHYAR	6/7/2012	6/7/2012	Chris Askew	(312) 604-6026
DEVITO, DANIEL J	7/24/2012	7/24/2012	Paula McKinney	(847) 730-3463
RODKIN, DEBRA	7/26/2012	7/26/2012	Debra Rodkin	(310) 779-5985
BABY JR., HENRY P.	8/24/2012	8/24/2012	Kathy Holloway	(312) 886-2003
GLANCY, DAVID	8/24/2012	8/24/2012		(312) 886-2003
FELDSTEIN, MERTON L.	8/29/2012	8/29/2012	Penny Sheinfeld	(312) 347-1727
LAYTON, JAMES A.	10/3/2012	10/3/2012	Kathy Holloway	(312) 886-2003
PAPPAS, CHRIS	11/1/2012	11/1/2012	Chris Askew	(312) 604-6026
MORELLI, MARILYN M.	11/12/2012	11/12/2012	Kathy Holloway	(312) 886-2003
BUGGY, LINDA L.	11/15/2012	11/15/2012	Chris Askew	(312) 604-6026
COMBS IV, EARLE M.	12/28/2012	12/28/2012	Kathy Holloway	(312) 886-2003
KORESS, MIKE	12/28/2012	1/28/2013	Ruth Koress	(847) 674-7689
GRIFFIN, SUSAN E.	1/10/2013	1/10/2013	Kathy Holloway	(312) 886-2003
GREENSPAN, ROSS J.	1/17/2013	1/17/2013	Ross Greenspan	(847) 922-2200
HANCE, JOSEPH W.	2/1/2013	2/1/2013	Kathy Holloway	(312) 886-2003
OTTER, KATHRYN LEONARD	2/7/2013	2/7/2013	Mark Kirshner	(312) 461-8218
ROBERT E. OTTER TRUST	2/7/2013	2/7/2013		(312) 461-8218
STONE, JONATHON	3/5/2013	3/27/2013	Jonathon Stone	(847) 948-5356
LATO, MARK C.	3/14/2013	3/14/2013	Penny Sheinfeld	(312) 347-1727
MCTAGUE III, GEORGE WILLIA	3/18/2013	3/18/2013	Paula McKinney	(847) 730-3463
RUSIN, ROBERT B.	3/18/2013	3/18/2013		(847) 730-3463
WILLIAMS, MICHAEL A.	3/18/2013	3/18/2013		(847) 730-3463
AMBROSINO, DANIEL N.	3/26/2013	3/26/2013	Chris Askew	(312) 604-6026
NEWLANDER, MARSHA	4/2/2013	4/2/2013	Bill Levinske	(312) 408-9422
O'CONNOR, MARY J.	4/2/2013	4/2/2013	Mary J. O'Connor	(312) 337-2499
GROUP ONE FUTURES TRADING L.L.C.	4/11/2013	4/11/2013	Chad Grosam	(312) 294-2345
FT TRADING LLC	4/18/2013	4/18/2013	Margie DeLorme	(312) 800-7012
GOERDT, JOSEPH M.	4/29/2013	4/29/2013		(312) 800-7012
MORAVEK, PAUL J.	5/6/2013	5/6/2013	Kathy Holloway	(312) 886-2003
ROCK CAPITAL MARKETS L.L.C.	5/8/2013	6/1/2013	Verda Shah	(312) 441-4612
AMBROSINO, MICHAEL J.	5/10/2013	5/10/2013	Chris Askew	(312) 604-6026
BINDER, CHARLES	5/10/2013	5/10/2013		(312) 604-6026
KELLY, TIMOTHY J.	5/10/2013	5/10/2013		(312) 604-6026
GEORGE MICHAEL FORRESTER REVOCABLE TRUST DATED APRIL 1, 1996	5/13/2013	5/13/2013	Mike Forrester	(231) 838-4865
	5/13/2013	5/13/2013	Kathy Holloway	(312) 886-2003
ISRAELOV, JEROME J.	5/14/2013	5/14/2013	Bill Levinske	(312) 408-9422
HARDY, THOMAS R.	5/23/2013	5/23/2013		(312) 408-9422
ISHIKAWA, MARK	5/23/2013	5/23/2013		(312) 408-9422
MIELY, KENNETH D.	5/23/2013	5/23/2013		(312) 408-9422
SCHAER, J. BRIAN	5/23/2013	5/23/2013		(312) 408-9422
ZARTMAN, NANCE PTICEK	5/23/2013	5/23/2013		(312) 408-9422

MEMBERS/POTENTIAL LESSEES SHOULD BE AWARE THAT THIS LISTING SERVICE IS NO GUARANTEE THAT EITHER A MEMBERSHIP WILL BE AVAILABLE OR THAT AN INDIVIDUAL WILL TAKE A MEMBERSHIP OFFERED. THE TERMS OF A LEASE AGREEMENT, I.E., LENGTH, PRICE, ETC. ARE NEGOTIABLE BETWEEN THE TWO PARTIES. THIS DOCUMENT WILL BE UPDATED DAILY BY THE MEMBER SERVICES DEPARTMENT IF APPLICABLE.

FULL MEMBERSHIPS AVAILABLE FOR LEASING

<u>MEMBER</u>	<u>DATE POSTED</u>	<u>AVAILABLE FOR USE</u>	<u>CONTACT PERSON</u>	<u>TELEPHONE NUMBER</u>
FETCHENHIER, JOEL J.	4/8/2013	4/8/2013	Paula McKinney	(847) 730-3463
WEISSMAN, WES	4/8/2013	4/8/2013	Kathy Holloway	(312) 886-2003
KINSELLA, JOHN R.	4/24/2013	4/24/2013		(312) 886-2003
DWYER, JOSEPH J.	5/1/2013	6/1/2013	Penny Sheinfeld	(312) 347-1727
KAUFMAN, STEPHEN H.	5/1/2013	6/1/2013		(312) 347-1727
DUNCAN, ALMA R.	5/9/2013	6/9/2013		(312) 347-1727
BORNSTEIN, GEORGE	5/13/2013	6/6/2013	Kathy Holloway	(312) 886-2003
FRIEDMAN, RICHARD	5/14/2013	5/14/2013		(312) 886-2003
ELLIS, GREGORY J.	5/21/2013	5/21/2013		(312) 886-2003
GUARNIERI, DANIEL J.	5/21/2013	5/21/2013	Penny Sheinfeld	(312) 347-1727
CONNELLY, JOHN JOSEPH	5/22/2013	7/1/2013		(312) 347-1727
GEORGAS, GEOFFREY J.	5/23/2013	5/23/2013	Dawn Andersen	(312) 789-8225
KOMPARDA JR., STANLEY L.	5/23/2013	5/23/2013		(312) 789-8225
FOHRMAN, KEITH R.	5/28/2013	5/28/2013	Margie DeLorme	(312) 800-7012
JAMES H. SCHWARTZ REVOCABLE TRUST U/A/D FEBRUARY 16, 2007	5/28/2013	6/27/2013	Penny Sheinfeld	(312) 347-1727
OTTER, ROBERT E.	5/28/2013	5/28/2013	Mark Kirshner	(312) 461-8218
WILLIAMS, MICHAEL A.	5/28/2013	5/28/2013	Paula McKinney	(847) 730-3463
SHUTE, ROBERT E.	5/30/2013	7/1/2013	Robert Shute	(773) 281-7132

GIM MEMBERSHIPS AVAILABLE FOR LEASING

<u>MEMBER</u>	<u>DATE POSTED</u>	<u>AVAILABLE FOR USE</u>	<u>CONTACT PERSON</u>	<u>TELEPHONE NUMBER</u>
ROTHMAN, MICHAEL C.	5/9/2013	5/9/2013	Kathy Holloway	(312) 886-2003
STOKEN, RICHARD A.	5/21/2013	5/21/2013	Dick Stoken	(847) 266-8738

IDEM MEMBERSHIPS AVAILABLE FOR LEASING

<u>MEMBER</u>	<u>DATE POSTED</u>	<u>AVAILABLE FOR USE</u>	<u>CONTACT PERSON</u>	<u>TELEPHONE NUMBER</u>
SEGAL, GEORGE I.	12/6/2010	12/6/2010	Theresa Quinlan	(312) 559-8812
ROBERT T. BERNAT TRUST	12/15/2010	12/15/2010	Kathy Holloway	(312) 886-2003
KIRSLIS, RICHARD A.	4/4/2011	4/4/2011	Paula McKinney	(847) 730-3463
SHERIDAN, JAMES M.	4/21/2011	4/21/2011		(847) 730-3463
CUPELIS, THOMAS	6/13/2011	6/13/2011		(847) 730-3463
CORBY, TERENCE M.	10/3/2011	10/3/2011	Nancy Webber	(312) 604-6501
	10/3/2011	10/3/2011	Gina Davis	(312) 347-5482
O'CONNOR, MARY J.	7/24/2012	7/24/2012	Mary J. O'Connor	(312) 337-2499
AMIRSALEH, MAHYAR	8/31/2012	8/31/2012	Chris Askew	(312) 604-6026
REIF, STUART D.	10/2/2012	10/2/2012	Stuart Reif	(847) 800-5979
HOLLANDER, GLENN P.	11/8/2012	11/8/2012	Kathy Holloway	(312) 886-2003
BARROWMAN, JAMES	11/21/2012	11/21/2012		(312) 886-2003
STONE, JONATHON	2/14/2013	2/14/2013	Jonathon Stone	(847) 948-5356
ROTHMAN, MICHAEL C.	3/7/2013	3/7/2013	Kathy Holloway	(312) 886-2003
DEVITO, DANIEL J	3/18/2013	3/18/2013	Paula McKinney	(847) 730-3463

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IDEM MEMBERSHIPS AVAILABLE FOR LEASING

<u>MEMBER</u>	<u>DATE POSTED</u>	<u>AVAILABLE FOR USE</u>	<u>CONTACT PERSON</u>	<u>TELEPHONE NUMBER</u>
KERN, ANDREW	3/18/2013	3/18/2013	Paula McKinney	(847) 730-3463
KUHLMANN, STEVEN V.	3/26/2013	3/26/2013	Chris Askew	(312) 604-6026
WOLKE, BENJAMIN T.	5/17/2013	5/17/2013	Ben Wolke	(651) 210-6220
LOEHR, RICHARD A.	5/23/2013	5/23/2013	Bill Levinske	(312) 408-9422
HEINZ, DAVID M.	5/29/2013	5/29/2013	David Heinz	(312) 953-2873

Total: 106

NOTICE

In accordance with Rule 221.00 (f), the following member(s), and their respective delegates, have filed delegation agreements with the Exchange.

Member (Membership Type)-Session	Delegate	Commence	Terminate
GERBIE, JONATHAN D. ~ COM	BECKHARDT JR., WILLIAM H.	05/07/2013	05/31/2018
DUZENMAN, JERROLD M. ~ AM	BEHAN, BRIAN C.	05/02/2013	05/01/2018
PFEIL, RICHARD B. FULL	BRADY, MICHAEL P.	05/10/2013	05/31/2018
TRANSMARKET GROUP LLC GIM	CHATAUT, ASEEM	05/09/2013	05/31/2018
PRIMM, JOSEPH F. ~ FULL	CHUN, KEVIN	05/01/2013	05/01/2018
UNELL, SELWYN S. FULL	DAMIANI, AUSTIN J.	05/28/2013	05/31/2018
PEARSON, KIRBY G. ~ GIM	ELSASSER, BRANDON D.	05/01/2013	04/30/2018
BEALE JR., EUGENE R. ~ FULL	GERBIE, JONATHAN D.	05/16/2013	05/31/2018
NEWMAN, STEWART H. ~ GIM	GOMBERG, JUSTIN A.	05/28/2013	05/31/2018
CONROY, MARTIN T. AM	JIANG, ZHENCHAO	05/14/2013	04/30/2018
MATHIS, GREGORY F. FULL	JOSEPH, RYAN A.	05/01/2013	04/30/2018
ELLIS, GREGORY J. AM	KHAN, ASFANDYAR	05/02/2013	05/01/2018
CHRISTIAN REVOCABLE FAMILY TRUST UA 3/2/2000 ~ FULL	KOBAYASHI, HIROYUKI	05/23/2013	05/31/2018
ROTHMAN, MICHAEL C. IDEM	KOLB, MARK A.	05/01/2013	05/31/2023
LIDOV, MILDRED ~ AM	LALEWICZ, PETER A	05/24/2013	05/31/2018
SARVIS, ROBERT ANDREW ~ AM	LIKUS, ROBERT	05/16/2013	04/30/2018
GAFFEN, SHELDON ~ FULL	MEEKS, BRADLEY A	05/10/2013	05/08/2018
GRIFFIN, SUSAN E. ~ COM	NORK, PAUL A	05/08/2013	04/30/2018
STEWART, JAMES R. ~ AM	PATEL, NEIL D.	05/10/2013	05/31/2018
FELDMAN, VICTOR D. IDEM	PELLER, CARL M.	05/01/2013	10/31/2013
GEUTHER, GREGORY K. FULL	ROBERTS, SEAN S.	05/13/2013	04/30/2018
LARRY A. POGOFSKY FAMILY TRUST ~ FULL	ROCHE, PETER J.	05/02/2013	04/30/2018

& - with stock

~ - only available membership leased out

* - CBOE Exerciser only

NOTICE

In accordance with Rule 221.00 (f), the following member(s), and their respective delegates, have filed delegation agreements with the Exchange.

<u>Member (Membership Type)-Session</u>	<u>Delegate</u>	<u>Commence</u>	<u>Terminate</u>
GOLDSTEIN, MARY LOU O' ~ FULL	SHOW, DAVID F.	05/01/2013	04/30/2014
KOGAN, MARTIN IDEM	STEINBERG, GARY L.	05/16/2013	05/31/2018
BABY JR., HENRY P. FULL	WASSERMAN, GREGORY I.	05/09/2013	05/31/2018
WOLF, DANIEL P. ~ AM	WELSH, SEAN P.	05/04/2013	04/30/2015
KURZYDLO, RALPH M. FULL	WILLIAMS III, H. OWEN	05/16/2013	05/31/2014
ADAM JR., ERSKINE S. ~ FULL	WILLIAMS, BRUCE A.	05/01/2013	04/30/2018
MACDONALD JR., WILLIAM R. ~ AM	WIPPMAN, MICHAEL R.	05/24/2013	05/23/2018
CONROY, MARTIN T. AM	ZIMMER, PATRICK M.	05/13/2013	05/12/2018

& - with stock

~ - only available membership leased out

* - CBOE Exerciser only

**RENEWAL
NOTICE**

**In accordance with Rule 221.00 (f), the following member(s), and
their respective delegates, have filed delegation agreements with
the Exchange.**

<u>Member (Membership Type)-Session</u>	<u>Delegate</u>	<u>Commence</u>	<u>Terminate</u>
ROTHMAN, MICHAEL C. IDEM	KOLB, MARK A.	05/01/2013	05/31/2023
FELDMAN, VICTOR D. IDEM	PELLER, CARL M.	05/01/2013	10/31/2013
GOLDSTEIN, MARY LOU O' ~ FULL	SHOW, DAVID F.	05/01/2013	04/30/2014
WOLF, DANIEL P. ~ AM	WELSH, SEAN P.	05/04/2013	04/30/2015

& - with stock
* - CBOE Exerciser

AM MEMBERSHIP - REPORTED LEASE INFORMATION - NEW LEASE

<u>Effective Date</u>	<u>Term</u>	<u>Lease Price or Percentage</u>
05/02/2013	5 yr. 0 mo. 0 days	733.80
05/02/2013	5 yr. 0 mo. 0 days	733.80
05/04/2013	2 yr. 0 mo. 0 days	733.80
05/10/2013	5 yr. 0 mo. 0 days	733.80
05/13/2013	5 yr. 0 mo. 0 days	550.00
05/14/2013	5 yr. 0 mo. 0 days	733.80
05/16/2013	5 yr. 0 mo. 0 days	733.80
05/24/2013	5 yr. 0 mo. 0 days	550.00
05/24/2013	5 yr. 0 mo. 8 days	733.80

COM MEMBERSHIP - REPORTED LEASE INFORMATION - NEW LEASE

<u>Effective Date</u>	<u>Term</u>	<u>Lease Price or Percentage</u>
05/07/2013	5 yr. 0 mo. 0 days	1.00
05/08/2013	5 yr. 0 mo. 0 days	260.00

FULL MEMBERSHIP - REPORTED LEASE INFORMATION - NEW LEASE

<u>Effective Date</u>	<u>Term</u>	<u>Lease Price or Percentage</u>
05/01/2013	5 yr. 0 mo. 0 days	1561.57
05/01/2013	5 yr. 0 mo. 0 days	1400.00
05/01/2013	5 yr. 0 mo. 0 days	1483.49
05/01/2013	1 yr. 0 mo. 0 days	1561.57
05/02/2013	5 yr. 0 mo. 0 days	1561.57
05/09/2013	5 yr. 0 mo. 23 days	1561.57
05/10/2013	5 yr. 0 mo. 0 days	1584.00
05/10/2013	5 yr. 0 mo. 0 days	1561.57
05/13/2013	5 yr. 0 mo. 0 days	1561.57
05/16/2013	1 yr. 0 mo. 0 days	1561.57
05/16/2013	5 yr. 0 mo. 0 days	1561.57
05/23/2013	5 yr. 0 mo. 0 days	1561.57
05/28/2013	5 yr. 0 mo. 0 days	1561.57

GIM MEMBERSHIP - REPORTED LEASE INFORMATION - NEW LEASE

<u>Effective Date</u>	<u>Term</u>	<u>Lease Price or Percentage</u>
05/01/2013	5 yr. 0 mo. 30 days	550.00
05/09/2013	5 yr. 0 mo. 0 days	639.00
05/28/2013	5 yr. 0 mo. 0 days	639.00

IDEM MEMBERSHIP - REPORTED LEASE INFORMATION - NEW LEASE

<u>Effective Date</u>	<u>Term</u>	<u>Lease Price or Percentage</u>
05/01/2013	10 yr. 0 mo. 0 days	52.16
05/01/2013	0 yr. 6 mo. 0 days	52.16
05/16/2013	5 yr. 0 mo. 0 days	52.16



CME GROUP HEADQUARTERS

20 South Wacker Drive
Chicago, IL 60606
cmegroup.com

CME GROUP GLOBAL OFFICES

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Houston	São Paulo	Seoul
Tokyo	Washington D.C.	