

MARKET REGULATION ADVISORY NOTICE

Exchange	Board of Trade of Kansas City, Missouri, Inc. (KCBT)
Subject	Customer Type Indicator (CTI) Codes
Rule References	Rule 1167.00
Advisory Date	April 8, 2013
Advisory Number	RA1301-7
Effective Date	April 15, 2013
Compliance Date	June 3, 2013

Pending all relevant regulatory review periods, effective on April 15, 2013, the Board of Trade of Kansas City, Missouri, Inc. (“KCBT”) will adopt new Rule 1167.00 (“Customer Type Indicator (CTI) Codes”). Rule 1167.00 defines the relevant CTI Code that will be required to be correctly entered by Clearing Members with respect to trades in KCBT products. KCBT Rule 1167.00 is harmonized with the corresponding definitions of CTI Codes in CME, CBOT, NYMEX and COMEX Rule 536.D. (“Customer Type Indicator (CTI) Codes”).

In order to provide KCBT Clearing Members sufficient time to make the necessary changes to implement new Rule 1167.00, the compliance date with respect to accurate submission of CTI Codes will be June 3, 2013.

KCBT Clearing Members should have the necessary processes and procedures in place to ensure the accurate submission of CTI Codes no later than June 3, 2013.

The text of new Rule 1167.00 appears below:

1167.00 Customer Type Indicator (CTI) Codes

Each clearing Permit Holder must identify each transaction executed on the trading floor or on an electronic trading or clearing platform on the record of transactions submitted to the Exchange with the correct customer type indicator (CTI) code. The CTI codes are as follows:

CTI 1: Electronic Trading and Open Outcry – Applies to transactions initiated and executed by a Permit Holder for his own account, for an account he controls, or for an account in which he has an ownership or financial interest. However, transactions initiated and executed by a Permit Holder for the proprietary account of a Permit Holder firm must be designated as CTI 2 transactions.

CTI 2: Electronic Trading and Open Outcry – Applies to orders entered or trades executed for the proprietary accounts of a Permit Holder firm.

CTI 3: Electronic Trading – Applies to orders entered by a member or a non-Permit Holder terminal operator for the account of another individual Permit Holder or an account controlled by such other individual Permit Holder.

CTI 3: Open Outcry – Applies to orders that a permit holder executes on behalf of another Permit Holder, or for an account such other Permit Holder controls or in which such other Permit Holder has an ownership or financial interest.

CTI 4: Electronic Trading and Open Outcry – Applies to all orders and transactions not included in CTI categories 1, 2 or 3. These typically are orders entered by or on behalf of non-Permit Holder entities.

Failure to comply with the requirements of Rule 1167.00 may result in disciplinary action pursuant to KCBT Rule 1001.01 ("Reporting Infractions").

Questions regarding this Advisory Notice may be directed to the following individuals in Market Regulation:

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For media inquiries concerning this Advisory Notice, please contact CME Group Corporate Communications at 312.930.3434 or news@cmegroup.com.