



SHAREHOLDER RELATIONS AND MEMBERSHIP SERVICES

Member Update

January 2012 Volume 1

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Section A:

Schedule Announcements

For the CME Group Dr. Martin Luther King, Jr. Day 2012 holiday schedules, please click on the links below to view the CME Globex[®], open outcry trading floors and CME ClearPort[®] schedules for “Dr. Martin Luther King, Jr. Day.”

Home Page for the CME Group Holiday Calendar:

See - <http://www.cmegroup.com/tools-information/holiday-calendar/index.html>

CME Globex: See - <http://www.cmegroup.com/tools-information/holiday-calendar/files/2012-martin-luther-king.pdf>

Open Outcry Trading Floors:

Chicago: See - <http://www.cmegroup.com/tools-information/holiday-calendar/files/2012floorholidaycard.pdf>

New York: See - http://www.cmegroup.com/tools-information/holiday-calendar/files/New_York_Floor_Holiday_Calendar_2012.pdf

CME ClearPort[®]: See - http://www.cmegroup.com/tools-information/holiday-calendar/files/CME_Clearport_2012_Holiday_Calendar.pdf

For further information, contact the Research & Product Development Dept. at
(312) 930-4583

Section B:

Actions/Rule Changes

CME and CBOT Member Actions

- 1 Forthcoming Revisions to Settlement Procedures for CME and CBOT Agricultural Futures

I. FORTHCOMING REVISIONS TO SETTLEMENT PROCEDURES FOR CME AND CBOT AGRICULTURAL FUTURES

In the interest of enhancing procedures for the determination of settlement prices in CBOT and CME agricultural futures, CBOT and CME will be making modifications to their respective settlement procedures in the following futures contracts:

CBOT: Corn, Soybeans, Soybean Oil, Soybean Meal, Oats, Wheat and Rough Rice

CME: Live Cattle, Feeder Cattle and Lean Hogs

Presently, settlement procedures in most of these contracts rely on Floor-based activity, while Wheat (first five contract months) and Rough Rice rely exclusively on Globex-based activity. The basic approach of the new methodologies will be to include additional information in the settlement calculation by incorporating both Floor and Globex activity in the determination of settlement prices.

Additional details regarding the specific settlement methodologies and the timelines for rollout in the various products will be communicated early in 2012. It is currently anticipated that the transition to the new settlement procedures will occur during March and April 2012, subject to relevant CFTC regulatory review periods.

Questions may be directed to Julie Holzrichter, Managing Director, Global Operations at 312.930.3208 or Julie.Holzrichter@cmegroup.com or to Dean Payton, Managing Director, Market Regulation at 312.435.3658 or Dean.Payton@cmegroup.com.

CME Group Update

Section C: Actions/Rule Changes CME Members

None for January

CME Group Update

Section D:

Actions/Rule Changes

CBOT Members

None for January

Section E:

Actions/Rule Changes

NYMEX and COMEX Member Actions

- 1 NYMEX and COMEX Adopt Revisions to Rule 984 (“Clearing Member Acceptance of Executed Customer Trades”), Effective December 13, 2011

I. NYMEX AND COMEX ADOPT REVISIONS TO RULE 984 (“CLEARING MEMBER ACCEPTANCE OF EXECUTED CUSTOMER TRADES”), EFFECTIVE DECEMBER 13, 2011

Pending all relevant regulatory review periods, NYMEX and COMEX will adopt revisions to Rule 984 (“Clearing Member Acceptance of Executed Customer Trades”) formerly titled “Give Up Trades” on December 13, 2011. Rule 984 generally governs the responsibilities and obligations of clearing members, executing brokers and the primary clearing members (PCM) of such executing brokers in connection with customer trades that are executed by an executing broker and given up to a clearing member (carry firm) for clearing.

The significant revisions and additions to Rule 984 include the following:

- Revised Rule 984 will continue to require executing brokers to receive authorization from the customer’s clearing member prior to transacting business on behalf of a new customer, but will now also require executing brokers to obtain reauthorization from a clearing member when a previously established customer has not transacted any business within the prior 90 days. Customer trades rejected by clearing members in circumstances where brokers have failed to ensure a customer’s authorized status will become the responsibility of the filling broker and his/her PCM.
- Revised Rule 984 will continue to allow a clearing member to place trading limits on the trades it will accept for a customer’s account from an executing broker if the executing broker has received prior notification from the clearing member, but will now also allow a clearing member to impose a complete cessation on accepting such trades provided that the executing broker and his primary clearing member are given prior notice. The required notice must be given to all applicable executing brokers that within the prior 90 days have executed trades on behalf of the customer and to such brokers’ primary clearing member.
- Current Rule 984 requires clearing firms to accept all broker-executed orders for authorized customers until the firm notifies the broker that a customer is no longer authorized. The revisions to Rule 984 will require executing brokers to provide current telephonic and electronic contact information to every clearing member to which he allocates trades. A clearing firm will not be obligated to accept customer trades following notification to a broker that a customer is no longer authorized provided that notification is made to the broker’s current contact information.
- Revised Rule 984 clarifies that failure by an executing broker to allocate a customer trade in the time period prescribed in Rule 536.A. (30 minutes for the seller, one hour for the buyer) will be a determining factor in the ultimate disposition of a trade that is left pending or is rejected by the customer’s carrying clearing firm. For example, the executing broker may be required to book the trade into his personal or error account (depending on the circumstances) at his qualifying clearing firm.
- Revised Rule 984 requires that a clearing member must pay all brokerage fees (including but not limited to floor brokerage fees) incurred for all transactions by an executing broker that are accepted by that clearing member through the Brokerage Payment System (BPS). This language modifies the former requirement that all floor brokerage fees be paid through the now retired ATOM System.

- Language has been added to Rule 984 that requires a clearing member allocated a customer trade to accept or reject the trade within 60 minutes of receiving the allocation; however the clearing member will then have until close of business on the next business day the ability to reject a trade if it determines that the trade is an error or exceeds limits that the clearing member has placed on the customer's account.

The revisions to NYMEX and COMEX Rule 984 are set forth below, with additions underscored and deletions overstruck.

984. GIVE UP TRADES CLEARING MEMBER ACCEPTANCE OF EXECUTED CUSTOMER TRADES

~~The following provisions define the minimum responsibilities and obligations of those parties to the clearance of a customer trade. A trade given up to another clearing member will be deemed to have been accepted by, and the financial obligations attendant to such trades will be the responsibility of, such clearing member if the trade is not rejected by the close of business on the business day following the trade date. The acceptance of a trade by a clearing member shall not relieve any member, member firm, or clearing member of the duty to act in good faith and with reasonable care and diligence.~~

~~In the absence of a give-up agreement whose terms and conditions govern the responsibilities/obligations of executing brokers, customers and Clearing Members, the following provisions shall define the respective responsibilities/obligations of those parties to an order.~~

The term "executing broker" as used in this Rule is the ~~registered billing entity~~, Member Firm or Floor Broker to whom the order is transmitted.

984.A. Responsibilities and Obligations of Clearing Members

The acceptance for clearance of a trade by a Clearing Member shall not relieve any party to the solicitation, handling, execution or clearance of such trade from the duty to act in good faith and with reasonable care and diligence.

1. Timeframe for a Carrying Clearing Member to Accept or Reject an Allocated Trade

A Clearing Member that has been allocated a customer trade must accept or reject such trade within 60 minutes of receiving the allocation. Such trades may only be rejected in accordance with the provisions of section A.3 of this Rule.

If after accepting an allocated trade a Clearing Member determines that such trade is an error or exceeds limits which have been communicated to an executing broker and his Primary Clearing Member ("PCM") in accordance with section A.3 of this Rule, the Clearing Member may reject such trade any time up to the close of business on the business day following the date of execution.

2. Limits Placed by Clearing Member

A Clearing Member may, in its discretion, place trading limits or impose a complete cessation on the trades it will accept for give-up clearance for a customer's account from an executing broker, provided, however, that both the executing broker and his respective PCM receives prior written, telephonic or electronic notice from the Clearing Member of the applicable trading limits or trading cessation imposed on that customer account. Such nNotice must be given to all applicable executing brokers that within the prior 90 days have executed trades on behalf of said customer and allocated such trades to the applicable ~~received by the executing broker in a timely manner. A copy of such notice shall be retained by the Clearing Member, and to such executing brokers' PCMs.~~

A copy of any and all correspondence evidencing any notice required by section A.2 of this Rule shall be retained as part of the books and records of the Clearing Member, the executing broker and his PCM.

3. Trade Rejection

A Clearing Member may only reject ("~~DK~~") a trade that has been given up to it for clearance ~~only~~ if: (1) the trade exceeds the trading limits established in accordance with ~~under~~ section A. 2.1 of this Rule for that customer and such limits it has ~~been~~ communicated to the executing broker and his PCM in accordance with ~~as described in~~ Section A.2 of this Rule; or (2) the trade is an error for which the executing broker is responsible. If a rejected trade, or an unclaimed but pending trade, was allocated to the a Clearing Member by has a basis for and rejects a trade, it must notify the executing broker beyond the time period required under Rule 536.A, that fact shall be taken into consideration in any dispute between such Clearing Member and executing broker regarding such trade that is governed by Exchange Rules. ~~promptly.~~

4. Billing

A Clearing Member will pay all floor brokerage fees incurred for all transactions by the executing broker for the customer and subsequently accepted by the Clearing Member by means of the ATOM-Brokerage Payment sSystem

~~("BPS"). Floor-b~~ Brokerage fees will be agreed upon in advance among the Clearing Member, the customer and the executing broker.

984.B. Responsibilities and /Obligations of Executing Brokers

1. Customer Order Placement

An executing broker will be responsible for determining that all orders received on behalf of a customer's account have been placed or authorized by the customer. Once an order has been accepted, a broker or the broker's clerk must:

- (a) confirm the terms of the order with the customer;
- (b) accurately execute the order according to its terms;
- (c) confirm the execution of the order to the customer as soon as practicable; and,
- (d) transmit such executed order to the Clearing Member as soon as practicable in accordance with Exchange Rules and procedures.

2. Use of Other Persons

Unless otherwise agreed in writing, the executing broker is allowed to use the services of another broker in connection with the broker's obligations under these Rules. The executing broker remains responsible to the customer and Clearing Member under these Rules.

3. Executing Broker Responsibility for Verifying Clearing Member Authorization

Prior to ~~a broker~~ accepting and executing an initial order for any new customer account, the executing broker must confirm with ~~the~~such customer's Clearing Member by telephonic, electronic or written means; that:

- (a) the customer has a valid account with the Clearing Member;
- (b) the account number;
- (c) the brokerage rate; and
- (d) the customer is authorized by the Clearing Member to place orders with the executing broker for that specific account.

The executing broker ~~must~~shall retain as part of his books and records a copy of the authorization received from the Clearing Member, or the specifics of the telephonic confirmation, which includes the name of the Clearing Member representative, opposite party, date, time and any other relevant information. The falsification of such information shall be the basis for disciplinary action.

Each executing broker shall conduct ongoing due diligence to ensure that all customer orders received are for active accounts. If a broker has neither executed trades on behalf of a particular customer nor allocated that customer's trades to a specific Clearing Member within the past 90 days, the broker shall not execute any trade for that customer for allocation to the Clearing Member without first confirming with the Clearing Member that the customer remains active and that the broker continues to be authorized to execute trades on behalf of the customer.

Each executing broker shall ensure that every Clearing Member to which he allocates trades: (1) has received current telephonic and electronic contact information for the executing broker and his PCM; and (2) is immediately informed of any change in the broker's PCM.

For purposes of providing any notice required under this Rule, a Clearing Member may rely upon the most recent telephonic and electronic contact information it received from the executing broker.

4. Rejection of Customer Order

Where an executing broker has confirmed Clearing Member authorization to execute orders on behalf of a customer in accordance with this Rule 984, the broker may, in the broker's discretion, reject an order that the customer transmits to the broker for execution. The executing broker shall promptly notify the customer and the Clearing Member(s) of any such rejection.

Questions regarding the revisions to NYMEX Rule 984 should be directed to one of the following individuals in CME Clearing:

Anthony DiBenedetto, Associate Director, 212.299.2152

Steve Staszak, Director, 312.930.3189

Section F:

Market Regulation Advisory Notices

CME, CBOT and NYMEX Members

- 1 Temporary Waiver of Annual Application Update for Position Limit Exemptions – Rule 559
- 2 Pre-Execution Communications – Rule 539

I. **MARKET REGULATION ADVISORY NOTICE -TEMPORARY WAIVER OF ANNUAL APPLICATION UPDATE FOR POSITION LIMIT EXEMPTIONS**

Exchange	CME, CBOT, NYMEX & COMEX
Subject	Temporary Waiver of Annual Application Update for Position Limit Exemptions
Rule References	Rule 559
Advisory Date	December 20, 2011
Advisory Number	CME Group RA1107-5

Effective immediately, CME, CBOT, NYMEX and COMEX (collectively, “the Exchanges”) are granting a **temporary** waiver of the requirement under each Exchange’s Rule 559 (“Position Limit and Exemptions”) that market participants file an updated application on an annual basis to exceed speculative position limits.

On November 18, 2011, the Commodity Futures Trading Commission (“CFTC”) [final rules](#) governing position limits and exemptions were published in the Federal Register. These rules, which become effective 60 days after the CFTC further defines the term “swap,” outline specific requirements for obtaining an exemption from federal position limits. As a result of the final CFTC rules, various types of exemptions authorized by Rule 559 will no longer be applicable, while additional types of exemptions will be added. Further information on the revisions to the types of exemptions from speculative position limits that will be permitted pursuant to Rule 559 will be the subject of a future Market Regulation Advisory Notice.

In light of the fact that the new CFTC rules will likely be effective in the near future and will require new applications to be filed with the Exchanges, we are temporarily waiving the annual updated application filing requirements through May 31, 2012. This temporary waiver is intended to reduce the administrative burden on both market participants operating under an exemption and the Exchanges. Should it appear that the final rules will not be in effect prior to May 31, the Exchanges will review whether an extension is warranted and will advise the marketplace accordingly.

Notwithstanding this temporary waiver, all market participants currently operating under the terms of an Exchange-granted exemption from speculative position limits remain bound by and must comply with all relevant terms and conditions of such exemptions and Exchange rules.

Questions regarding this advisory may be directed to the following individuals in Market Regulation:

William Kokontis, Director, Market Surveillance, 312.435.3665

Chris Reinhardt, Associate Director, 212.299.2882

Joe Hawrysz, Director, Market Surveillance, 312.341.7750

II. MARKET REGULATION ADVISORY NOTICE -PRE-EXECUTION COMMUNICATIONS

Exchange	CME, CBOT, NYMEX & COMEX
Subject	Pre-Execution Communications
Rule References	Rule 539
Advisory Date	December 6, 2011
Advisory Number	CME Group RA1106-5
Effective Date	December 11, 2011 (for trade date December 12, 2011)

This Advisory Notice supersedes CME Group Market Regulation Advisory Notice RA1004-5 issued on April 6, 2010. It is being reissued to reflect upcoming changes to the required waiting period between the entry of a Request for Quote ("RFQ") and the associated Request for Cross ("RFC") order on CME Globex in CME and CBOT interest rate options orders that result from pre-execution communications.

Pre-execution communications are communications between market participants for the purpose of discerning interest in the execution of a transaction prior to the exposure of the order to the market. Any communication that involves discussion of the size, side of market or price of an order, or a potentially forthcoming order, constitutes a pre-execution communication.

Effective on Sunday, December 11, for trade date Monday, December 12, 2011, the waiting period between the entry of the RFQ and the RFC in CME and CBOT interest rate options will be modified to require the RFC to be entered no earlier than **five (5) seconds** and no more than thirty (30) seconds after entry of the RFQ.

Market participants are reminded that until December 11, the RFC order in CME and CBOT interest rate options may be entered no earlier than fifteen (15) seconds and no more than thirty (30) seconds after entry of the RFQ, pursuant to the requirements of CME Group Market Regulation Advisory Notice RA1004-5 from April 6, 2010.

Information on the revised requirements for CME and CBOT interest rate options transactions executed pursuant to pre-execution communications begins on page 2 of this Advisory Notice.

As a reminder, CME, CBOT, NYMEX and COMEX rules expressly prohibit pre-execution communications in connection with pit transactions executed on the trading floor. Additionally, CBOT rules prohibit pre-execution communications in all CBOT futures contracts and all CBOT agricultural options contracts traded on CME Globex.

General Requirements for Allowable Pre-Execution Communications on CME Globex

In products where pre-execution communications are allowed, such communications may occur only when the party for whose benefit the trade is being executed has previously consented to such communications.

Additionally, parties who have been involved in a pre-execution communication may not disclose the details of that communication to other parties, nor may a party place any order to take advantage of the information conveyed in such communications except to facilitate the trade in accordance with the rule.

All transactions arising from permitted pre-execution communications must be executed in accordance with the requirements set forth in Rule 539.C.

Pre-Execution Communications in Futures on CME Globex

Pre-execution communications are permitted in all CME, NYMEX and COMEX futures products traded on CME Globex and are prohibited in all CBOT futures products traded on CME Globex.

For transactions in CME, NYMEX and COMEX futures products which involve pre-execution communications, the order of the party who initiated the pre-execution communication must be the first order entered into CME Globex. At least 5 seconds must elapse after the entry of the first order before the opposing order can be entered. No RFQ is required.

Pre-Execution Communications in Options on CME Globex

Pre-execution communications are permitted in all CME, NYMEX and COMEX options products traded on CME Globex and are permitted in CBOT Interest Rate, Ethanol and Dow options traded on CME Globex. Pre-execution communications are prohibited in all CBOT agricultural options traded on CME Globex.

Opposing buy and sell orders intended for execution pursuant to a pre-execution communication in an eligible options product (including options spreads and combinations and options/futures spreads) require the entry of an RFC order, which is an order that includes both the buy and sell orders arising from the pre-execution communication. Prior to the entry of the RFC, an RFQ must be entered into CME Globex for the relevant option or options strategy. In CME and CBOT equity and interest rate options, the RFC order must be entered no less than 5 seconds and no more than 30 seconds after the entry of the RFQ. In all other eligible options, the RFC order must be entered no less than 15 seconds and no more than 30 seconds after the entry of the RFQ.

Failure to enter the RFC order within the applicable time parameters will require a new RFQ to be entered prior to the entry of the RFC order. In all cases, the entry of the RFC order must comply with the applicable time parameters set forth in Rule 539. These requirements ensure transparency and competitive execution by requiring solicitation of interest from all market participants via an RFQ prior to submission of the RFC order.

Numerous Independent Software Vendors support RFQ and RFC functionality. For market participants using the Exchange-provided CME EOS Trader application, functionality built into the application will prevent the entry of the RFC outside of the prescribed time requirements. For example, in Standard & Poor's 500 Stock Price Index options, the system will preclude the entry of the RFC until at least 5 seconds after the entry of the associated RFQ and will also prevent the entry of the RFC if more than 30 seconds have elapsed following the entry of the RFQ. This functionality was added to facilitate compliance with the relevant entry time requirements.

Market participants engaging in pre-execution communications involving options must be able to enter the required RFQ and RFC or have another party enter the required RFQ and RFC on their behalf as it is impermissible to enter the two orders via separate entries into CME Globex and remain in compliance with Rule 539.C.

Further information on the relevant rules and requirements related to pre-execution communications is included on pages 3-6.

The text of revised CME, NYMEX and COMEX Rule 539 appears on page 8 and the text of CBOT Rule 539 appears on page 9 of this Advisory Notice.

1. Requirements for Pre-Execution Communications in Eligible Option Products

- a) Pre-execution communications are permitted in all options products except for CBOT agricultural options.
- b) Prior to the entry of orders arising from pre-execution communications, the market participant **must** submit an RFQ.

- c) Subsequent to submitting the RFQ, the orders to be executed pursuant to such communications **must** be initiated by the entry of an RFC order, an order which includes both the buy and sell orders arising from the pre-execution communications.

In CME and CBOT equity options and interest rate options, the RFC order **must** be entered no less than 5 seconds and no more than 30 seconds after issuing the RFQ.

In all other eligible options, the RFC order **must** be entered no less than 15 seconds and no more than 30 seconds after issuing the RFQ.

- d) If an RFC order is not entered within 30 seconds after the RFQ, any subsequent trade to be executed pursuant to pre-execution communications must be preceded by the entry of a new RFQ and, thereafter, the RFC order must be entered in accordance with the time parameters set forth above.

2. RFC Matching Algorithm

- a) The RFC price improves both the best bid and best offer in the order book or there is no bid/offer in the order book.

If the RFC price improves both the best bid and best offer in the order book or if there is no bid/offer in the order book, 100% of the RFC quantity will match at the RFC price immediately upon submission of the RFC.

- b) The RFC price matches or is outside the best bid or best offer in the order book.

If the RFC price matches or is outside the best bid or offer in the market, the applicable side of the RFC order will immediately match against the orders in the book at a price better than or equal to the RFC price.

Immediately thereafter, 100% of the smaller quantity remaining on one side of the RFC will match against the order on the opposite side of the RFC at the RFC price.

Any unmatched balance on one side of the RFC will remain in the order book unless it is cancelled by the user.

3. Questions and Answers Regarding Pre-Execution Communication Requirements in Eligible Options Executed on CME Globex

- a) Is a client's consent to pre-execution communications necessary?

Yes.

- b) May the parties involved in pre-execution communications disclose the details of those communications to other parties?

No.

- c) If a party has participated in a pre-execution communication where non-public information has been disclosed about an order or a potential order and the party does not agree to take the other side of the trade, may the party subsequently enter an order into the market to take advantage of the non-public information?

No.

- d) Are there any options listed on CME Globex in which pre-execution communications are not permitted?

Yes, pre-execution communications are not permitted in CBOT agricultural options, but are permitted in all other options available for trading on CME Globex.

- e) Is an RFQ required to be submitted prior to engaging in pre-execution communications?

No.

- f) After a pre-execution communication has taken place, must an RFQ be submitted prior to entering a Request for Cross ("RFC") in order to proceed with the transaction?

Yes.

- g) In CME and CBOT equity options and interest rate options, must the RFC be entered no less than 5 seconds and no more than 30 seconds after issuing the RFQ?
Yes.
- h) In all other eligible options, must the RFC be entered no less than 15 seconds and no more than 30 seconds after issuing the RFQ?
Yes.
- i) Is the price or quantity of the orders on the RFC displayed to the marketplace prior to the execution of the RFC?
No. Market participants will observe an RFQ prior to the submission of the buy and sell orders corresponding to the RFC; however the RFQ will not reflect a price or quantity.
- j) Is there any information in the RFQ that identifies that a RFC may be forthcoming?
No. The RFQ is displayed in the same manner as any other RFQ.
- k) If the RFC is not entered within the required time parameters after issuing the RFQ, is a new RFQ required to be issued and active for the required time parameter prior to entering the RFC?
Yes.
- l) May an RFC be entered outside the time parameters set forth in g) and h) above after entry of the required RFQ?
No.
- m) Are there any alternative methods of complying with Rule 539.C. other than through the entry of an RFQ followed by the entry of an RFC as described above?
No.

4. Questions and Answers Regarding Pre-Execution Communication Requirements in Eligible Futures Products Executed on CME Globex

- a) Is a client's consent to pre-execution communications necessary?
Yes.
- b) May the parties involved in pre-execution communications disclose the details of those communications to other parties?
No.
- c) If a party has participated in a pre-execution communication where non-public information has been disclosed about an order or a potential order and the party does not agree to take the other side of the trade, may the party subsequently enter an order into the market to take advantage of the non-public information?
No.
- d) Are there any futures listed on CME Globex in which pre-execution communications are not permitted?
Yes, pre-execution communications are not permitted in CBOT futures products, but are permitted in all other futures available for trading on CME Globex.
- e) Is an RFQ required to be issued prior to engaging in pre-execution communications involving futures?
No.

- f) If pre-execution communications have occurred in an eligible futures contract, must the order of the initiator of the pre-execution communication be entered prior to the entry of the opposing order?
Yes.
- g) Must a minimum of 5 seconds elapse after the entry of the first order before the entry of the second order?
Yes.
- h) Can an RFC be used to cross futures orders?
No.

5. Questions and Answers Regarding Products in Which Pre-Execution Communications on CME Globex are Prohibited

- a) In which products are pre-execution communications prohibited?
Pre-execution communications are prohibited in all CBOT futures products and all CBOT agricultural options products.
- b) If a customer has an interest in a particular transaction in these products and requests a market, how can the salesperson obtain a market for the customer?
In the open outcry venue, a market would be requested from the trading pit. In the electronic venue, the salesperson identifies the bid/offer and depth of market posted on CME Globex. If the posted bid/offer is deemed too wide or insufficiently deep, it is recommended that a Request for Quote ("RFQ") be submitted. This action will typically generate additional interest and, in the case of products supported by a market-maker program, market makers are obliged to respond to a specified percentage of RFQs.
- c) What if an RFQ is submitted and there is no response or an inadequate response in terms of the tightness or depth of the market?
In this circumstance, another RFQ should be submitted. With an active RFQ, it is also permissible to contact potential counterparties (i.e. market makers), alert them to the RFQ and ask them to submit a market or to tighten/deepen the existing market. An RFQ is considered active for 60 seconds following submission. To ensure that such communications do not become prohibited pre-execution communications, only the information disclosed via the RFQ may be disclosed in such communications.
- d) Is it permissible to contact other market participants to obtain general market color without violating the prohibition on pre-execution communications?
Communications to obtain general market color are permissible provided there is no express or obviously implied arrangement to execute a specified trade and no non-public information is communicated regarding an order.
- e) If an order has been submitted on CME Globex, are there any restrictions on communicating with potential counterparties?
With a resting order exposed on CME Globex, it is permissible to contact potential counterparties to solicit interest in trading against the order. In any such communications, no non-public information (i.e. information not represented in the terms of the order exposed to the market) may be disclosed. For example, if the represented offer is for 250 contracts, it would be a violation of the rules to disclose that there are an additional 500 contracts to sell because that information has not been disclosed to the market.

6. Crossing of Simultaneous Buy and Sell Orders That Do Not Involve Pre-Execution Communications and Trading Against Customer Orders on CME Globex

- a) What are the requirements for handling simultaneous buy and sell orders for different beneficial owners that did not involve pre-execution communications?

Independently initiated orders on opposite sides of the market for different beneficial account owners that are immediately executable against each other may be entered without delay provided that the orders did not involve pre-execution communications and that each of the orders is entered immediately upon receipt.

In accordance with Rule 533 (“Simultaneous Buy and Sell Orders for Different Beneficial Owners”), opposite orders for different beneficial accounts that are simultaneously placed by a party with discretion over both accounts may be entered provided that one order is exposed on CME Globex for a minimum of 5 seconds in the case of futures orders and a minimum of 15 seconds in the case of orders involving options.

An order allowing for price and/or time discretion, if not entered immediately upon receipt, may be knowingly entered opposite a second order entered by the same firm only if the second order has been entered immediately upon receipt and has been exposed on CME Globex for a minimum of 5 seconds for futures orders and a minimum of 15 seconds for orders involving options.

- b) Assuming there have been no pre-execution communications, is it permissible for a firm to knowingly trade for its proprietary account against a customer order entered by the firm?

Yes, provided that in accordance with Rule 531 (“Trading Against Customers’ Orders Prohibited”) the customer order has been entered immediately upon receipt and has first been exposed on CME Globex for a minimum of 5 seconds for futures orders and a minimum of 15 seconds for orders involving options.

Questions regarding this advisory may be directed to the following individuals in Market Regulation:

Nancy Minett, Director, 212.299.2940

Robert Sniagowski, Associate Director, 312.341.5991

Erin Schwartz, Senior Rules & Regulatory Outreach Specialist, 312.341.3083

CME & NYMEX Rule 539
PREARRANGED, PRE-NEGOTIATED AND NONCOMPETITIVE TRADES PROHIBITED

539.A. General Prohibition

No person shall prearrange or pre-negotiate any purchase or sale or noncompetitively execute any transaction, except in accordance with Sections B. and C. below.

539.B. Exceptions

The foregoing restriction shall not apply to block trades pursuant to Rule 526 or Exchange for Related Positions transactions pursuant to Rule 538.

539.C. Pre-Execution Communications Regarding Globex Trades

Parties may engage in pre-execution communications with regard to transactions executed on the Globex platform where one party (the first party) wishes to be assured that a contra party (the second party) will take the opposite side of the order under the following circumstances:

1. A party may not engage in pre-execution communications with other market participants on behalf of another party unless the party for whose benefit the trade is being made has previously consented to permit such communications.
2. Parties to pre-execution communications shall not (i) disclose to a non-party the details of such communications or (ii) enter an order to take advantage of information conveyed during such communications except in accordance with this rule.
3. In the case of futures orders, the first party's order must be entered into the Globex platform first and the second party's order may not be entered into the Globex platform until a period of 5 seconds has elapsed from the time of entry of the first order.
4. In the case of options orders, subsequent to the pre-execution communication, a Request for Quote ("RFQ") for the particular option or option spread or combination must be entered into Globex. Thereafter, in equity and interest rate options, a Request for Cross ("RFC") order which contains both the buy and the sell orders must be entered into Globex no less than five (5) seconds and no more than thirty (30) seconds after the entry of the RFQ in order to proceed with the trade. In all other options, the RFC order must be entered no less than fifteen (15) seconds and no more than thirty (30) seconds after the entry of the RFQ in order to proceed with the trade. The RFQ and the RFC order must be entered within the same trading session. Failure to enter the RFC order within 30 seconds after the entry of the RFQ will require a new RFQ to be entered prior to the entry of the RFC order, which must be entered in accordance with the time parameters described above in order to proceed with the trade.

CBOT Rule 539
PREARRANGED, PRE-NEGOTIATED AND NONCOMPETITIVE TRADES PROHIBITED

539.A. General Prohibition

No person shall prearrange or pre-negotiate any purchase or sale or noncompetitively execute any transaction, except in accordance with Sections B. and C. below.

539.B. Exceptions

The foregoing restrictions shall not apply to block trades pursuant to Rule 526 or Exchange for Related Positions transactions pursuant to Rule 538.

539.C. Pre-Execution Communications Regarding Globex Trades in Interest Rate, Ethanol and Dow Options

Parties may engage in pre-execution communications with regard to Interest Rate, Ethanol and Dow options transactions executed on the Globex platform where one party wishes to be assured that a contra party will take the opposite side of the order under the following circumstances:

1. A party may not engage in pre-execution communications with other market participants on behalf of another party unless the party for whose benefit the trade is being made has previously consented to permit such communications.
2. Parties to pre-execution communications shall not (i) disclose to a non-party the details of such communications or (ii) enter an order to take advantage of information conveyed during such communications except in accordance with this rule.
3. Reserved.
4. Subsequent to the pre-execution communication, a Request for Quote ("RFQ") for the particular option or option spread or combination must be entered into Globex. Thereafter, in equity and interest rate options, a Request for Cross ("RFC") order which contains both the buy and the sell orders must be entered into Globex no less than five (5) seconds and no more than thirty (30) seconds after the entry of the RFQ in order to proceed with the trade. In Ethanol options, the RFC order must be entered no less than fifteen (15) and no more than thirty (30) seconds after the RFQ in order to proceed with the trade. The RFQ and the RFC order must be entered within the same trading session. Failure to enter the RFC order within 30 seconds after the entry of the RFQ will require a new RFQ to be entered prior to the entry of the RFC order, which must be entered in accordance with the time parameters described above in order to proceed with the trade.

CME Group Update

Section G: **Market Regulation Advisory Notices** **CME Members**

None for January

Section H:

Market Regulation Advisory Notices

CBOT Members

- 1 Settlement Procedures in CBOT Wheat, Rough Rice and Oats – Rule 813

I. MARKET REGULATION ADVISORY NOTICE - SETTLEMENT PROCEDURES IN CBOT WHEAT, ROUGH RICE AND OATS FUTURES

Exchange	CBOT
Subject	Settlement Procedures in CBOT Wheat, Rough Rice and Oats Futures
Rule References	Rule 813
Advisory Date	December 19, 2011
Advisory Number	CBOT RA1106-1
Effective Date	December 29, 2011

Pending the relevant CFTC regulatory review period, on December 29, 2011, CBOT will begin to settle all Oats futures contract months exclusively based on CME Globex activity, following the same procedures that are currently in effect in all Rough Rice futures contract months and the first five contract months in Wheat futures.

There will be no changes made to the current settlement procedures for Wheat or Rough Rice futures at this time; however, please see CME Group Special Executive Report [S-6048](#) from December 12, 2011, for additional information on forthcoming revisions to settlement procedures in **all** CBOT agricultural futures and select CME agricultural futures that are scheduled to be implemented in March and April 2012.

Settlement Procedures in Wheat, Rough Rice and Oats Futures

Exchange staff in the Globex Control Center ("GCC") will settle the first five CBOT Wheat futures contracts and all Rough Rice and all Oats futures contracts based upon CME Globex activity.

The GCC will settle the lead month in each futures contract at the volume weighted average price ("VWAP") of the outright trades executed in the lead month contract on CME Globex from 1:14:00 to 1:15:00 p.m. in Wheat, Rough Rice and Oats, rounded to the nearest tick.

The expiring contract (when it is not the lead month) or the first deferred contract (when the lead month is the expiring contract) will be settled by GCC based upon the spread relationship with the lead month. GCC will calculate the VWAP of the relevant spread traded on CME Globex during the period from 1:14:00 to 1:15:00 p.m. and, provided a minimum of 50 spreads trade in Wheat, 5 spreads trade in Rough Rice or 5 spreads trade in Oats, will imply the settlement price from the lead month settlement and the spread's VWAP. If fewer than the designated minimum quantity of spreads trade during the relevant period, GCC will use the midpoint of the bid/offer in the spread at 1:15:00 p.m. to imply the settlement price.

The next three contract months in Wheat and all subsequent contract months in Rough Rice and Oats will be settled in chronological order based upon spread relationships with the contracts already settled. For each contract, GCC will calculate the VWAP of the traded spreads involving that contract and any previously settled contracts to determine implied prices and, provided that the minimum quantity of spreads trade, will calculate the VWAP of those implied prices to establish the settlement price for that contract month. If fewer than the minimum quantity of spreads trade, GCC will use the midpoint of the bid/offer in the relevant spreads at 1:15:00 p.m. to imply prices for the contract and will settle the contract at the median implied price.

Contract months beyond the fifth listed contract month in Wheat will be settled by the Pit Committee, in consultation with Exchange staff, based upon spread relationships. In the absence of material spread trading activity in the pit during the close, the Exchange will rely on other available market information to determine an appropriate settlement price.

Notwithstanding the foregoing, in the event the aforementioned calculations cannot be made or if the GCC, in its sole discretion, determines that anomalous activity yields results that are not representative of the fair value of the contract, the GCC may determine an alternative settlement price.

Settlement on Last Trading Day

On the expiring contract's last trading day, the expiring contract will settle based on the VWAP of the outright CME Globex trades in the expiring contract and the implied values from the expiring month/lead month spreads executed on the CME Globex platform between 12:00:00 and 12:01:00 p.m.

In the absence of outright or spread trades during this period, the settlement price will be the best bid or best offer in the expiring contract at 12:00:50 p.m., whichever is closer to the last trade price. If there is not a bid/offer pair in the expiring contract at that time, the settlement price will be the best bid or offer implied by the bid/offer in the spread at 12:00:50 p.m., whichever is closer to the last outright trade price in the expiring contract.

Only bids and offers that remain active through expiration at 12:01:00 p.m. will be considered in these calculations. In the event there is insufficient activity to make the aforementioned calculations, the Exchange may rely on earlier data or other available market information to determine an appropriate settlement price.

Questions regarding this advisory may be directed to the following individuals:

Tom Lord, Director, Settlements, 312.338.2881

Dean Payton, Managing Director, Market Regulation, 312.435.3658

CME Group Update

Section I:
Market Regulation Advisory Notices
NYMEX and COMEX Members

None for January

CME Group Update

Section J:

CME Member Trade Procedures

None for January

Section K:

Performance Bond Requirements

For a complete listing of all CME Exchange-minimum performance bond requirements, please consult the SPAN[®] Minimum Performance Bond Requirements document, which is available on the Web at this address:

www.cme.com/margins

For further information, contact the Risk Management Department at
(312) 648-3888

CME Group Update

Section L: News Releases

CME Group news releases can be viewed at

<http://cmegroup.mediaroom.com/>

Dec 27, 2011

[CME Group Announces 2012 Annual Meeting Date](#)

Dec 16, 2011

[CME Group Commends the State of Illinois' Efforts to Address Corporate Tax Disparity](#)

Dec 13, 2011

[CME Group's Duffy to Appear Before the Senate Agriculture Committee](#)

Dec 8, 2011

[CME Group's Duffy to Appear Before the House Agriculture Committee](#)

Dec 7, 2011

[CME Group Inc. Declares Quarterly Dividend](#)

Dec 7, 2011

[CME Group to Develop New Gulf Coast Crude Oil Futures Contract at Enterprise Terminal](#)

Dec 5, 2011

[CME Group Sets OTC Clearing Volume Record for the Fourth Consecutive Month, Surpasses \\$150 Billion in Total Notional Cleared](#)

Dec 4, 2011

[CME Clearing to Accept Offshore Chinese Renminbi \(CNH\) as Collateral for Futures Trading, Partner with HSBC for Far East Custodial Services](#)

Dec 2, 2011

[CME Group Volume Averaged 13.2 Million Contracts per Day in November 2011, Up 6 Percent from October 2011](#)

CME Group Update

Section M:

CME Disciplinary Actions

None for January

Section N:

CBOT Disciplinary Actions

- 1 Brian Michel (BMI)

FILE NO.: 11-8643-FC

MEMBER: BRIAN MICHEL (BMI)

**RULE
VIOLATIONS:** 514. TRADING INFRACTIONS.

A. The following shall constitute trading infractions:

9. conduct of an unbusinesslike nature;

FINDINGS: On December 5, 2011, a panel of the Floor Conduct Committee found that on November 23, 2011, Michel violated the rollover order execution policy in the Treasury futures pit. The Panel found that in so doing violated CME Rule 514.A.9, a minor offense.

PENALTY: The Panel imposed a fine of \$2,000.

**EFFECTIVE
DATE:** December 21, 2011

Section O:

NYMEX and COMEX Disciplinary Actions

- 1 Neil Brookes
- 2 Centaurus Advisors LLC
- 3 Robert Jenkins Trading LLC
- 4 Michael Narotski
- 5 Schneider Trading Associates Ltd.

FILE NO.: NYMEX 10-7565-BC

NON-MEMBER: NEIL BROOKES

**NYMEX
RULE**

VIOLATIONS: Rule 432.Q. General Offenses – Acts Detrimental

It shall be an offense to commit an act which is detrimental to the interest or welfare of the Exchange or to engage in any conduct which tends to impair the dignity or good name of the Exchange.

FINDINGS: Pursuant to an offer of settlement in which Neil Brookes neither admitted nor denied the rule violations upon which the penalty is based, on December 21, 2011, a Panel of the NYMEX Business Conduct Committee (“Panel”) found that on February 3, 2010, beginning at approximately 1:26:28 p.m. CST, an automated trading system (“ATS”) operated by Infinium Capital Management malfunctioned. The malfunction caused Infinium to enter 6,767 individual one-lot limit orders into the CME Globex electronic trading platform to purchase March 2010 Light Sweet Crude Oil futures (“Crude”) contracts over the course of approximately three seconds. By 1:26:52 p.m., Infinium had purchased 4,612 Crude contracts at prices ranging from 76.67 to 77.45. The remaining 2,155 one-lot orders were cancelled.

The Panel found that on February 3, 2010, Brookes, then an Infinium employee, was “live-testing” an algorithmic strategy he had developed. However, an error in the configuration file with which the algorithm was linked resulted in the placing of the series of “one-lot” orders described in the preceding paragraph. In his testing, Brookes relied upon an Infinium order control safety system which had successfully functioned many times before. That safety system was to automatically shutdown trading if Infinium obtained 10 fills or more per second. On February 3, 2010, that safety system did not function properly and the expected shutdown did not occur. Although Brookes had no responsibility for creating, maintaining, or supervising that safety system, he was responsible for entering the trades in question.

The Panel found that in so doing, Brookes violated NYMEX Rule 432.Q.

PENALTY: In consideration of the offer of settlement, the Panel:

1. fined Brookes \$50,000; and
2. suspended Brookes’ trading privileges on any CME Group trading or clearing platform, which shall include direct and indirect access to CME Globex, for 25 business days commencing on the effective date below and continuing through January 30, 2012, inclusive.

**EFFECTIVE
DATE:** December 23, 2011

FILE NO.: NYMEX 11-08072-BC

NON-MEMBER: CENTAURUS ADVISORS LLC

**NYMEX
RULE
VIOLATIONS:** Rule 562. Position Limit Violations

Any positions in excess of those permitted under the rules of the Exchange shall be deemed position limit violations.

Rule 432. General Offenses

It shall be an offense:

S. to fail, after hearing, to comply with an order of the Board, Exchange Staff, or any hearing committee.

FINDINGS: Pursuant to an offer of settlement in which Centaurus Advisors LLC (“Centaurus”) neither admitted nor denied the rule violations upon which the penalty is based and after voluntarily submitting itself to the jurisdiction of the Exchange for purposes of resolving this matter, on December 20, 2011, a panel of the NYMEX Business Conduct Committee (the “Panel”) found that on January 21, 2011, the CME Group Inc. Market Regulation Department (“Market Regulation”) ordered Centaurus not to increase its aggregate short May 2011 Henry Hub Natural Gas futures position pursuant to NYMEX Rule 560 (“Position Accountability”).

The Panel further found that on January 26, 2011, Centaurus violated Market Regulation’s imposed position limit by establishing an aggregate intraday peak position that exceeded the “do not increase” order by 0.11%. This was Centaurus’ fourth position limit rule violation in a 24 month period and further violated a previous order by the Business Conduct Committee to cease and desist from violating Exchange rules issued January 6, 2011.

The Panel found that in so doing, Centaurus violated NYMEX Rule 562 and Rule 432.S.

PENALTY: In accordance with the settlement offer, the Panel ordered Centaurus to pay a fine of \$75,000.

**EFFECTIVE
DATE:** December 22, 2011

FILE NO.: NYMEX 10-07499-BC

**NON
MEMBER:** ROBERT JENKINS TRADING LLC

**EXCHANGE
RULE:** 443. POSITION LIMIT VIOLATIONS

The Market Regulation Department and the BCC shall have the authority to enforce the position limit rules of the Exchange. For purposes of this rule, any positions in excess of those permitted under the rules of the Exchange shall be deemed position limit violations. Additionally, any person making a bid or offer that would, if accepted, cause such person to exceed the applicable position limits shall be in violation of this rule.

FINDINGS: Pursuant to an offer of settlement Robert Jenkins Trading LLC ("RJT") presented at a hearing on December 20, 2011, in which RJT neither admitted nor denied the rule violation upon which the penalty is based, a Panel of the NYMEX Business Conduct Committee ("BCC") found that RJT voluntarily submitted itself to the jurisdiction of the BCC for purposes of settling this matter, and that on June 24, 2010, a date subject to position limits for the expiring July 2010 Natural Gas Futures ("JUL10 NatGas") contracts, RJT maintained a long JUL10 NatGas position in excess of the applicable speculative spot month position limit. On June 24, 2010, RJT purchased 1,813 JUL10 NatGas futures contracts, placing it 813 contracts (81.3%) over the applicable 1,000 contract spot month position limit. The following day, RJT traded out of this position. The purchase and sale of the 813 contracts above the applicable limits resulted in profits of \$233,480.

The Panel found that as a result RJT violated Rule 443.

PENALTY: In accordance with the settlement offer, the Panel ordered RJT: (1) to pay a fine to the Exchange in the amount of \$25,000 and (2) to disgorge profits in the amount of \$233,480.

**EFFECTIVE
DATE:** December 22, 2011

FILE NO.: NYMEX 10-06-BC

MEMBER: MICHAEL NAROTSKI

**NYMEX
RULE**

VIOLATIONS: (legacy) Rule 6.11(B) Confirmation of Trades Executed on Trading Floor

Each Trading member executing a purchase or sale, on the Exchange trading floor, or his designated authorized representative, shall be responsible for assuring that such Member's transactions are properly assigned to the appropriate Clearing Member prior to his leaving the premises of the Exchange.

Rule 432.C. General Offenses – Dishonest Conduct

It shall be an offense to engage in dishonest conduct.

Rule 432.Y. General Offenses – Improper Use of Globex

It shall be an offense to improperly use the Globex platform or any electronic trading or clearing platform owned or controlled by CME Group or permit the unauthorized use of such platforms.

FINDINGS: Pursuant to an offer of settlement in which Michael Narotski neither admitted nor denied the rule violations upon which the penalty is based, on January 27, 2011, a Panel of the NYMEX Business Conduct Committee ("BCC Panel") found that on January 23, 2009, Narotski allocated an 8-lot March 2009 Crude Oil futures round-turn trade he executed for his personal account to an account of a former customer. Prior to allocating this 8-lot round-turn to the former customer, Narotski allocated 5-lots of the opening sell position and 8-lots of the closing buy position to a separate account, not his own. Narotski avoided realizing a \$5,160 loss in his own account by allocating this 8-lot round-turn away from his own account. Also on January 23, 2009, Narotski, using another member's unique username, logged into the trade management system to allocate the subject trades. On January 27, 2009, after Narotski informed the clearing firm holding the former customer account that the 8-lot round-turn trade belonged to Narotski, the positions were transferred back to Narotski. The BCC Panel found that in so doing, Narotski violated legacy NYMEX Rule 6.11(B), and Rules 432.C. and 432.Y. In a decision dated November 2, 2011, an Appellate Panel of the Board of Directors affirmed the decision of the BCC Panel.

PENALTY: In consideration of the offer of settlement, comparable sanctions, the absence of customer harm, and Narotski's lack of disciplinary history, the BCC Panel ordered that Narotski: (i) cease and desist from future violations of the aforementioned or similar rules; and (ii) pay a fine in the amount of \$5,000.

**EFFECTIVE
DATE:** December 19, 2011

FILE NO.: NYMEX 11-08045-BC

NON-MEMBER: SCHNEIDER TRADING ASSOCIATES LTD.

**NYMEX
RULE
VIOLATION:** Rule 562. Position Limit Violations

Any positions in excess of those permitted under the rules of the Exchange shall be deemed position limit violations.

FINDINGS: Pursuant to an offer of settlement in which Schneider Trading Associates Ltd. ("Schneider"), a non-member of the Exchange subject to its jurisdiction pursuant to NYMEX Rule 400, neither admitted nor denied the rule violation upon which the penalty is based, on December 20, 2011, a panel of the NYMEX Business Conduct Committee found that:

On January 18, 2011, a date subject to a 3,000 contract end of month position limit for the expiring February 2011 Light Sweet Crude Oil futures contract, Schneider maintained a long Light Sweet Crude Oil position which exceeded the end of month position limit by 1,492 contracts or 49.73% of the permitted level.

The Panel found that in so doing, Schneider violated NYMEX Rule 562.

PENALTY: In accordance with the settlement offer, the Panel ordered Schneider to pay a fine of \$25,000.

**EFFECTIVE
DATE:** December 22, 2011

Section P:

CME Weekly Member Sales and Transfers

- 1 Weekly Postings and Reported Lease Information

**WEEKLY POSTING
MEMBERSHIP/SHARE SALES AND TRANSFERS**

Members having any information regarding the transferees listed below are requested to communicate the same to the Shareholder Relations and Membership Services Department (“the Department”) on or before **December 26, 2011**.

In accordance with CME Rule 110, “At the conclusion of the 20 day claim filing period, the Market Regulation Department and the Department shall conduct an investigation of all claims properly filed against the seller’s membership or its proceeds.”

All claims against the seller of a membership shall be submitted in writing to the Department on or before **December 26, 2011**.

<u>TRANSFEEE</u>	<u>DIVISION</u>	<u>TRANSFEROR</u>	<u>RULE/ AMOUNT</u>	<u>DATE</u>
William F. Kulp	IOM	Michael C. Laporte	106D Reinstatement	11/28/2011
Michael J. Levin	IOM	Michael P. Dowd	106D Reinstatement	11/30/2011
Linda Raschke GRANAT FUND LLC	IOM	Michael J. Levin	106H/D Transfer	11/30/2011
Estate of Marianne Sufrin	IOM	Lisa E. Reznick	106D Reinstatement	11/30/2011
Nina Curtean	GEM	James T. McGuire	106D Reinstatement	11/30/2011
Michael H. Rosenbloom Trust Dated March 24, 1986, Michael H. Rosenbloom, Trustee	IOM	James T. Mazurski	106D Reinstatement	11/30/2011
Michael A. Lichter NEWEDGE USA, LLC	CME	John J. McKeivitt	106F Transfer	11/30/2011
Michael D. Zeidman Declaration of Trust Dated 5/25/83, Michael D. Zeidman, Trustee	CME	Michael A. Lichter	106D Reinstatement	11/30/2011
Robert C. O’Gorman	CME	Michael D. Zeidman Declaration of Trust Dated 5/25/83, Michael D. Zeidman, Trustee	106D Transfer	11/30/2011
Craig L. Mahlstedt	IOM	Jeremy J. Naselli	106D Reinstatement	11/30/2011
John J. McKeivitt	IMM	Newedge USA, LLC	106F Transfer	11/30/2011
Judd E. Brody	IMM	Granat Fund LLC (106H holder: Linda Raschke)	106D Reinstatement	11/30/2011

Sheila Nabat Dubow Trust, Sheila L. Nabat-Dubow, Trustee	IMM	Granat Fund LLC (106H holder: Linda Raschke)	106D Reinstatement	11/30/2011
Mark C. Groover	IOM	Hagop J. Kholamian	106D Reinstatement	11/30/2011
Linda Raschke GRANAT FUND LLC	IOM	Mark C. Groover	106H/D Transfer	11/30/2011
Steven M. Wulfohn	IMM	Benjamin J. Range	106D Reinstatement	11/30/2011
Lisa E. Reznick	IOM	The Michael M. Eugenides Revocable Trust of 1998, Michael M. Eugenides, Trustee	106D Transfer	11/30/2011
Terry A. Pasquale	IMM	Commodity Futures Trading (CFT) Inc.	106D Reinstatement	11/30/2011
Marvin Steinberg Amended and Restated Revocable Trust Dated, Marvin Steinberg, Trustee	IOM	Douglas W. Hartman	106D Reinstatement	11/30/2011
Vincent D. D'Agostino	IOM	R. H. Bailin	106D Transfer	11/30/2011
TW & RW Management, LLC	IOM	Ryan F. Fox	106D Reinstatement	11/30/2011
Robert A. Smearman	IOM	TW & RW Management, LLC	106D Transfer	11/30/2011
Megan M. Vanhazebroek	GEM	Scott J. Chiaravalloti	106D Reinstatement	12/01/2011
Kevin P. Riley	IOM	Chas A. Woodward	106D Reinstatement	12/01/2011
Willard M. Boris	IOM	William J. Babiarz	106D Reinstatement	12/01/2011
Mark B. Raber	IOM	Willard M. Boris	106D Transfer	12/01/2011
**Ted C. Bloch	IOM + B3	**Blue Capital Group LLC	\$110,000	12/01/2011
Lloyd A. Mackey	IOM	Stephen M. Gibbons	106D Reinstatement	12/01/2011
Terrence E. Morris	IOM + B3	Mark D. Rosenblatt (106D holder: Mark B. Raber)	\$110,000	12/01/2011
Howard L. Dardick	IOM	Eric J. Haffner	106D Reinstatement	12/02/2011
Kevin S. Kosek	IOM	Howard L. Dardick	106D Transfer	12/02/2011
Terry Steven Hospodar Revocable Trust, Terry S. Hospodar, Trustee	IMM	David M. Borun	106D Reinstatement	12/02/2011
John A. Koltes III ARCHELON LLC	IMM	Terry Steven Hospodar Revocable Trust, Terry S. Hospodar, Trustee	106H/D Transfer	12/02/2011

*New Applicant

**Shareholder

New Applicant Previously Posted

ELECTRONIC CORPORATE MEMBER FIRM APPROVAL

MGM Investments, LLC has been approved as a CME Rule 106.R. Electronic Corporate Member Firm – Volume Incentive Program, effective November 30, 2011.

ELECTRONIC CORPORATE MEMBER FIRM WITHDRAWAL

Commodity Futures Trading (CFT) Inc. has withdrawn as a CME Rule 106.R. Electronic Corporate Member Firm – Holding Member IMM Division, effective November 21, 2011.

TRADING MEMBER FIRM WITHDRAWAL

Group One Trading, L.P. has withdrawn as a CME Rule 106.H. Trading Member Firm – IOM Division, effective December 1, 2011.

TRADING MEMBER FIRM DIVISION CHANGE

Granat Fund LLC, a CME Rule 106.H. Trading Member Firm, has changed its division of membership from IMM to IOM, effective December 1, 2011.

CLEARING MEMBER APPROVAL

G.H. Financials, LLC has been approved as a CME Clearing Member (non-equity), effective November 30, 2011.

ASSIGNMENTS FOR CLEARING MEMBERSHIP

CME Rule 902.C., Assignment Process, provides for the following: all assignments are subject to a 10 day notice to the membership. A member shall submit any Rule 110 claims during the 10 day period.

CME MEMBER

G.H. Financials, LLC
G.H. Financials, LLC

CLEARING MEMBER

G.H. Financials, LLC
G.H. Financials, LLC

IMM MEMBER

G.H. Financials, LLC
G.H. Financials, LLC

CLEARING MEMBER

G.H. Financials, LLC
G.H. Financials, LLC

IOM MEMBER

G.H. Financials, LLC
G.H. Financials, LLC

CLEARING MEMBER

G.H. Financials, LLC
G.H. Financials, LLC

GEM MEMBER

G.H. Financials, LLC

CLEARING MEMBER

G.H. Financials, LLC

NEW APPLICANTS FOR MEMBERSHIP

The applicants listed below are applying for membership and will be transferred membership at a later date. Any member having any information regarding these applicants is requested to communicate the same to the Shareholder Relations and Membership Services Department on or before **December 26, 2011**. Photographs of new applicants will be displayed on the bulletin boards located on the trading floor.

<u>APPLICANT</u>	<u>DIVISION</u>
Gary R. Austin Woodridge, IL	IOM
Brogan M. Hardy Ames, IA	CME
Terrence E. Morris Naperville, IL	IOM

**BID, OFFER AND LAST SALE FOR MEMBERSHIP INTERESTS WITH ASSOCIATED CLASS B SHARES
AS OF FRIDAY, December 2, 2011 at 4:00 P.M.**

	<u>CME</u>	<u>IMM</u>	<u>IOM</u>	<u>GEM</u>
Date	11/21/2011	11/18/2011	12/01/2011	10/17/2011
Last	\$680,000	\$360,000	\$110,000	\$22,000
Bid	\$630,000	\$327,500	\$106,000	\$10,500
Offer	\$690,000	\$376,000	\$112,000	\$20,000

**BID, OFFER AND LAST SALE FOR MEMBERSHIP INTEREST SWAP MARKET
AS OF FRIDAY, December 2, 2011 at 4:00 P.M.**

	<u>CME for IMM</u>	<u>CME for IOM</u>	<u>IMM for IOM</u>
Date	10/05/2010	10/01/2004	04/29/2009
Last	\$205,000	\$175,000	\$230,000
Bid	\$100,000	NONE	\$85,000
Offer	\$375,000	NONE	\$325,000

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**WEEKLY POSTING
MEMBERSHIP/SHARE SALES AND TRANSFERS**

Members having any information regarding the transferees listed below are requested to communicate the same to the Shareholder Relations and Membership Services Department (“the Department”) on or before **January 3, 2012**.

In accordance with CME Rule 110, “At the conclusion of the 20 day claim filing period, the Market Regulation Department and the Department shall conduct an investigation of all claims properly filed against the seller’s membership or its proceeds.”

All claims against the seller of a membership shall be submitted in writing to the Department on or before **January 3, 2012**.

<u>TRANSFeree</u>	<u>DIVISION</u>	<u>TRANSFEROR</u>	<u>RULE/ AMOUNT</u>	<u>DATE</u>
Sander D. Levin	IMM	Element Capital Master Fund Limited	106D Reinstatement	12/05/2011
Element Capital Master Fund Limited	IMM	Sheila Nabat Dubow Trust, Sheila L. Nabat-Dubow, Trustee	106H/D Transfer	12/05/2011
James A. Sarno	GEM	Joseph S. Cascio	106D Transfer	12/06/2011
Raymond S. Cahnman AARDVARK TRADING LLC	CME + B1	Transmarket Group LLC (106 F holder: Raymond S. Cahnman)	\$660,000 (Match bid/offer)	12/06/2011
Aardvark Trading LLC	IMM + B2	Transmarket Group LLC	\$352,500 (Match bid/offer)	12/06/2011
Aardvark Trading LLC	GEM + B4	Transmarket Group LLC	\$15,000 (Match bid/offer)	12/06/2011
#Timothy M. Presutti	IOM + B3	Gerald E. Pam (106D holder: Robert C. Arosen)	\$109,500	12/06/2011
#Brogan M. Hardy	IMM	Steven M. Wulfsohn	106D Transfer	12/06/2011
Eduardo A. Campins AARDVARK TRADING LLC	IOM + B3	Transmarket Group LLC (106F holder: Eduardo A. Campins)	\$109,000 (Match bid/offer)	12/06/2011
Adam M. Clayman	IOM	John M. Roche	106D Reinstatement	12/07/2011
*Hans Andreas Engel	IMM	Randy G. Milka	106D Transfer	12/07/2011
Robert C. Arosen	IOM	Cary R. Northup	106D Transfer	12/07/2011
William Robert Paver and Joan Mildred Paver As Trustees UTA, William R. Paver, Trustee	IOM	John V. Lucas III	106D Reinstatement	12/08/2011
Michael Gamble	IOM	William F. Kulp	106D Transfer	12/08/2011

Jordan Glassman Revocable Trust U/A/D 1/17/77, Gregory Glassman, Trustee	IOM	Arthur M. Parker IV	106D Reinstatement	12/08/2011
**Mark T. Morse	IOM + B3	J. Todd Weingart Trust Dated 7/30/03, J. Todd Weingart, Trustee	\$112,000 (Match bid/offer)	12/08/2011
J. Todd Weingart	IOM	**Mark T. Morse	106D Transfer	12/08/2011

*New Applicant

**Shareholder

New Applicant Previously Posted

ELECTRONIC CORPORATE MEMBER FIRM APPROVALS

Quad Capital LLC has been approved as a CME Rule 106.R. Electronic Corporate Member Firm – Volume Incentive Program, effective December 6, 2011.

GFX Corporation has been approved as a CME Rule 106.R. Electronic Corporate Member Firm – Volume Incentive Program, effective December 9, 2011.

ELECTRONIC CORPORATE MEMBER FIRM WITHDRAWAL

PROMISEDLAND (SIMEX TRADER) PTE LTD has withdrawn as a CME Rule 106.R. Electronic Corporate Member Firm – Volume Incentive Program, effective December 6, 2011.

EQUITY MEMBER FIRM APPROVAL

Aardvark Trading LLC has been approved as a CME Rule 106.J. Equity Member Firm, effective December 6, 2011.

EQUITY MEMBER FIRM WITHDRAWAL

TransMarket Group LLC has withdrawn as a CME Rule 106.J. Equity Member Firm, effective December 6, 2011.

NEW APPLICANTS FOR MEMBERSHIP

The applicants listed below are applying for membership and will be transferred membership at a later date. Any member having any information regarding these applicants is requested to communicate the same to the Shareholder Relations and Membership Services Department on or before **January 3, 2012**. Photographs of new applicants will be displayed on the bulletin boards located on the trading floor.

<u>APPLICANT</u>	<u>DIVISION</u>
Hans Andreas Engel Binnigen, Switzerland	IMM
Rohan Y. Korde Briarcliff Manor, NY	IOM
William C. Rother Chicago, IL	CME
William A. Schur Great Neck, NY	IOM

BID, OFFER AND LAST SALE FOR MEMBERSHIP INTERESTS WITH ASSOCIATED CLASS B SHARES
AS OF FRIDAY, December 9, 2011 at 4:00 P.M.

	<u>CME</u>	<u>IMM</u>	<u>IOM</u>	<u>GEM</u>
Date	11/21/2011	11/18/2011	12/06/2011	10/17/2011
Last	\$680,000	\$360,000	\$109,500	\$22,000
Bid	\$630,000	\$336,000	\$102,000	\$10,500
Offer	\$690,000	\$365,000	\$115,000	\$20,000

BID, OFFER AND LAST SALE FOR MEMBERSHIP INTEREST SWAP MARKET
AS OF FRIDAY, December 9, 2011 at 4:00 P.M.

	<u>CME for IMM</u>	<u>CME for IOM</u>	<u>IMM for IOM</u>
Date	10/05/2010	10/01/2004	04/29/2009
Last	\$205,000	\$175,000	\$230,000
Bid	\$100,000	NONE	\$85,000
Offer	\$375,000	NONE	\$325,000

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**WEEKLY POSTING
MEMBERSHIP/SHARE SALES AND TRANSFERS**

Members having any information regarding the transferees listed below are requested to communicate the same to the Shareholder Relations and Membership Services Department (“the Department”) on or before **January 9, 2012**.

In accordance with CME Rule 110, “At the conclusion of the 20 day claim filing period, the Market Regulation Department and the Department shall conduct an investigation of all claims properly filed against the seller’s membership or its proceeds.”

All claims against the seller of a membership shall be submitted in writing to the Department on or before **January 9, 2012**.

<u>TRANSFeree</u>	<u>DIVISION</u>	<u>TRANSFEROR</u>	<u>RULE/ AMOUNT</u>	<u>DATE</u>
Eagle Seven LLC	GEM + B4	**Blue Capital Group LLC	\$15,000	12/12/2011
Brimstone Trading L.L.C.	IOM + B3	Robert J. Alt (106H/D holder: Glenview Capital Opportunity Fund, L.P.)	\$106,000	12/13/2011
Glenview Capital Opportunity Fund, L.P.	IOM	Adam M. Clayman	106H/D Transfer	12/14/2011
*Simon Vaughan	IMM	Judd E. Brody	106D Transfer	12/14/2011
*Omid M. Farr	IMM + B2	**SMW Trading Company, Inc.	\$345,000	12/14/2011
Mizuho Securities USA Inc.	IMM	Lawrence J. Dennis	106F Transfer	12/15/2011
Martin Liebman Trust Dated 8/10/82, Martin Liebman, Trustee	IMM	Larry Patient	106D Reinstatement	12/16/2011
#Gary R. Austin	IOM	Steven L. Krupnick	106D Transfer	12/16/2011
Eagle Market Makers, Inc	CME	Richard J. Cawley Jr.	106F Transfer	12/16/2011

*New Applicant

**Shareholder

New Applicant Previously Posted

NEW APPLICANTS FOR MEMBERSHIP

The applicants listed below are applying for membership and will be transferred membership at a later date. Any member having any information regarding these applicants is requested to communicate the same to the Shareholder Relations and Membership Services Department on or before **January 9, 2012**. Photographs of new applicants will be displayed on the bulletin boards located on the trading floor.

<u>APPLICANT</u>	<u>DIVISION</u>
Omid M. Farr San Jose, CA	IMM
Leonard F. Kardelis Chicago, IL	IOM
Simon Vaughan Ocean Village, Gibraltar	IMM

**BID, OFFER AND LAST SALE FOR MEMBERSHIP INTERESTS WITH ASSOCIATED CLASS B SHARES
AS OF FRIDAY, December 16, 2011 at 4:00 P.M.**

	<u>CME</u>	<u>IMM</u>	<u>IOM</u>	<u>GEM</u>
Date	11/21/2011	12/14/2011	12/13/2011	12/12/2011
Last	\$680,000	\$345,000	\$106,000	\$15,000
Bid	\$640,500	\$337,000	\$103,000	\$11,000
Offer	\$685,000	\$365,000	\$115,000	\$22,000

**BID, OFFER AND LAST SALE FOR MEMBERSHIP INTEREST SWAP MARKET
AS OF FRIDAY, December 16, 2011 at 4:00 P.M.**

	<u>CME for IMM</u>	<u>CME for IOM</u>	<u>IMM for IOM</u>
Date	10/05/2010	10/01/2004	04/29/2009
Last	\$205,000	\$175,000	\$230,000
Bid	\$100,000	NONE	\$85,000
Offer	\$375,000	NONE	\$325,000

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**WEEKLY POSTING
MEMBERSHIP/SHARE SALES AND TRANSFERS**

Members having any information regarding the transferees listed below are requested to communicate the same to the Shareholder Relations and Membership Services Department (“the Department”) on or before **January 17, 2012**.

In accordance with CME Rule 110, “At the conclusion of the 20 day claim filing period, the Market Regulation Department and the Department shall conduct an investigation of all claims properly filed against the seller’s membership or its proceeds.”

All claims against the seller of a membership shall be submitted in writing to the Department on or before **January 17, 2012**.

<u>TRANSFEEE</u>	<u>DIVISION</u>	<u>TRANSFEROR</u>	<u>RULE/ AMOUNT</u>	<u>DATE</u>
Robert J. Abrams	IMM + B2	Tradeforecaster Global Markets, LLC	\$351,000 (Match bid/offer)	12/19/2011
Robert J. Abrams	IOM + B3	Tradeforecaster Global Markets, LLC	\$109,000 (Match bid/offer)	12/19/2011
Brimstone Trading L.L.C.	IMM + B2	George Segal Revocable Trust, George I. Segal, Trustee	\$355,000	12/20/2011
#Anthony J. Spacucello	IOM	Edward J. Verdino	106D Transfer	12/20/2011
#Nicholas M. Latorre	GEM	J. Timothy Kelley Trust Dated 9/17/05, J. Timothy Kelley, Trustee	106D Transfer	12/21/2011
#Leonard F. Kardelis III ELDORADO TRADING GROUP LLC	IOM	Eldorado Trading Group LLC	106F Transfer	12/23/2011
Davis J. Johnston CMZ TRADING, LLC	IMM	CMZ Trading, LLC	106F Transfer	12/23/2011

*New Applicant

**Shareholder

New Applicant Previously Posted

ELECTRONIC COPORATE MEMBER FIRM APPROVAL

CornerStone Court Management LLC has been approved as a CME Rule 106.R. Electronic Corporate Member Firm – Volume Incentive Program, effective December 20, 2011.

TRADING MEMBER FIRM WITHDRAWALS

Discus Holdings Limited has withdrawn as a CME Rule 106.H. Trading Member Firm – IMM Division, effective December 21, 2011.

Eagle Seven, LLC has withdrawn as a CME Rule 106.H. Trading Member Firm – IMM Division, effective December 23, 2011.

EQUITY MEMBER FIRM APPROVAL

Eagle Seven, LLC has been approved as a CME Rule 106.J. Equity Member Firm, effective December 27, 2011.

FAMILY OF FUNDS MEMBER FIRM APPROVAL

Capital Fund Management S.A. has been approved as a CME Rule 106.S. Family of Funds Member Firm – IMM Division, effective December 22, 2011. The following fund is qualified to receive member firm benefits under its membership.

- **Discus Holdings Limited**

ASSIGNMENTS FOR CLEARING MEMBERSHIP

CME Rule 902.C., Assignment Process, provides for the following: all assignments are subject to a 10 day notice to the membership. A member shall submit any Rule 110 claims during the 10 day period.

GEM MEMBER
Jefferies Bache LLC

CLEARING MEMBER
Jefferies Bache LLC

NEW APPLICANTS FOR MEMBERSHIP

The applicants listed below are applying for membership and will be transferred membership at a later date. Any member having any information regarding these applicants is requested to communicate the same to the Shareholder Relations and Membership Services Department on or before **January 17, 2012**. Photographs of new applicants will be displayed on the bulletin boards located on the trading floor.

APPLICANT

DIVISION

Jonathan Cannon
Miller Place, NY

IOM

Jozsef Szamel
Budapest, Hungary

IMM

BID, OFFER AND LAST SALE FOR MEMBERSHIP INTERESTS WITH ASSOCIATED CLASS B SHARES AS OF FRIDAY, **December 23, 2011 at 4:00 P.M.**

	<u>CME</u>	<u>IMM</u>	<u>IOM</u>	<u>GEM</u>
Date	11/21/2011	12/20/2011	12/13/2011	12/12/2011
Last	\$680,000	\$355,000	\$106,000	\$15,000
Bid	\$640,000	\$350,000	\$103,000	\$11,000
Offer	\$680,000	\$365,000	\$115,000	\$22,000

BID, OFFER AND LAST SALE FOR MEMBERSHIP INTEREST SWAP MARKET
AS OF FRIDAY, December 23, 2011 at 4:00 P.M.

	<u>CME for IMM</u>	<u>CME for IOM</u>	<u>IMM for IOM</u>
Date	10/05/2010	10/01/2004	04/29/2009
Last	\$205,000	\$175,000	\$230,000
Bid	\$100,000	NONE	\$85,000
Offer	\$375,000	NONE	\$325,000

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Chicago Mercantile Exchange Inc.
Reported Lease Prices and Membership Interests for Lease
Thursday, December 29, 2011 10:00 AM
Lease Information Line (312) 930-3414

CURRENT LEASE INFORMATION IS AVAILABLE AT WWW.CMEGROUP.COM

I. REPORTED LEASE PRICE INFORMATION

II. MEMBERSHIP INTERESTS AVAILABLE FOR LEASE

I. REPORTED LEASE PRICE INFORMATION

1. **Lease prices** are updated as received and are listed in the Membership Services Department. Lease prices can be accessed on the CME Group Website (www.cmegroup.com).
2. To request a fax of this document, please call the LIL at (312) 930-3414.

Rule 106.D.12 NOW REQUIRES ALL LESSORS TO NOTIFY THE EXCHANGE OF ANY NEW OR RENEWED LEASE PRICES WITHIN TWO BUSINESS DAYS OF THE NEGOTIATED LEASE AGREEMENT. Lease Reporting Forms are available by contacting the Membership Services Department at (312) 435-3480. The Exchange will report all information submitted and makes no claim as to the completeness or accuracy of this information.

CME DIVISION - REPORTED LEASE INFORMATION - NEW LEASES

Effective Date	Term	Lease Price	Negotiated Date
10/18/2011	2 mo. + 14 days	\$1,750	10/17/2011
10/17/2011	3 mo. + 15 days	\$1,700	10/13/2011
10/10/2011	2 mo. + 25 days	\$1,700	10/05/2011
10/10/2011	5 mo. + 25 days	\$1,800	10/04/2011
10/01/2011	3 mo.	\$1,800	09/28/2011
09/19/2011	3 mo. + 12 days	\$1,750	09/15/2011
09/01/2011	5 mo. + 29 days	\$1,600	08/31/2011
09/02/2011	5 mo. + 29 days	\$1,600	08/31/2011
09/01/2011	3 mo.	\$1,600	08/30/2011
09/01/2011	6 mo.	\$1,600	08/25/2011

CME DIVISION - REPORTED LEASE INFORMATION - LEASE RENEWALS

Effective Date	Term	Lease Price	Negotiated Date
01/01/2012	3 mo.	\$2,000	12/28/2011
01/01/2012	1 mo.	\$2,000	12/27/2011
01/01/2012	3 mo.	\$2,000	12/27/2011
01/01/2012	3 mo.	\$2,000	12/27/2011
01/01/2012	3 mo.	\$2,000	12/23/2011
01/01/2012	3 mo.	\$1,950	12/23/2011
01/01/2012	3 mo.	\$2,000	12/21/2011
01/01/2012	3 mo.	\$2,000	12/19/2011
01/01/2012	12 mo.	\$1,900	12/19/2011
01/01/2012	3 mo.	\$2,000	12/19/2011

IMM DIVISION - REPORTED LEASE INFORMATION - NEW LEASES

Effective Date	Term	Lease Price	Negotiated Date
12/07/2011	3 mo.	\$1,100	12/06/2011
12/05/2011	2 mo. + 26 days	\$1,200	12/01/2011
12/02/2011	1 mo. + 30 days	\$1,250	12/01/2011
11/23/2011	2 mo. + 8 days	\$1,200	11/21/2011
11/21/2011	6 mo. + 12 days	\$1,200	11/16/2011
11/04/2011	1 mo. + 27 days	\$1,200	11/01/2011
11/04/2011	27 days	\$1,200	11/03/2011
10/31/2011	3 mo. + 1 day	\$1,200	10/28/2011
10/28/2011	6 mo. + 5 days	\$1,200	10/27/2011
10/28/2011	6 mo. + 5 days	\$1,200	10/26/2011

IMM DIVISION - REPORTED LEASE INFORMATION - LEASE RENEWALS

Effective Date	Term	Lease Price	Negotiated Date
01/01/2012	1 mo.	\$1,100	12/28/2011
01/01/2012	1 mo.	\$1,150	12/27/2011
01/01/2012	3 mo.	\$1,150	12/27/2011
01/01/2012	1 mo.	\$1,150	12/27/2011
01/01/2012	6 mo.	\$1,150	12/21/2011
01/01/2012	6 mo.	\$1,150	12/21/2011
01/01/2012	3 mo.	\$1,050	12/20/2011
01/01/2012	6 mo.	\$1,150	12/21/2011
01/01/2012	6 mo.	\$1,150	12/21/2011
01/01/2012	1 mo.	\$1,100	12/20/2011

IOM DIVISION - REPORTED LEASE INFORMATION - NEW LEASES

Effective Date	Term	Lease Price	Negotiated Date
01/01/2012	6 mo.	\$400	12/20/2011
01/01/2012	3 mo.	\$475	12/19/2011
01/01/2012	3 mo.	\$475	12/19/2011
12/07/2011	25 days	\$500	12/07/2011
12/05/2011	26 days	\$500	12/02/2011
12/01/2011	3 mo.	\$500	11/29/2011
12/01/2011	3 mo.	\$500	11/29/2011
12/01/2011	3 mo.	\$450	11/29/2011
12/01/2011	3 mo.	\$500	11/28/2011
11/25/2011	6 mo. + 6 days	\$500	11/23/2011

IOM DIVISION - REPORTED LEASE INFORMATION - LEASE RENEWALS

Effective Date	Term	Lease Price	Negotiated Date
01/01/2012	1 mo.	\$500	12/28/2011
01/01/2012	6 mo.	\$500	12/28/2011
01/01/2012	6 mo.	\$450	12/28/2011
01/01/2012	3 mo.	\$475	12/27/2011
01/01/2012	3 mo.	\$500	12/27/2011
01/01/2012	3 mo.	\$475	12/23/2011
01/01/2012	6 mo.	\$475	12/24/2011
01/01/2012	12 mo.	\$450	12/27/2011
01/01/2012	3 mo.	\$475	12/27/2011
01/01/2012	3 mo.	\$500	12/23/2011

GEM DIVISION - REPORTED LEASE INFORMATION - NEW LEASES

Effective Date	Term	Lease Price	Negotiated Date
12/07/2011	25 days	\$150	12/06/2011
11/03/2011	2 mo. + 28 days	\$150	11/02/2011
10/01/2011	1 mo.	\$175	09/30/2011
09/01/2011	3 mo.	\$150	08/30/2011
07/01/2011	1 mo.	\$175	06/30/2011
06/29/2011	3 mo. + 2 days	\$175	06/28/2011
05/25/2011	6 mo. + 7 days	\$150	05/24/2011
05/01/2011	1 mo.	\$175	04/26/2011
05/01/2011	3 mo.	\$200	04/20/2011
04/12/2011	1 mo.	\$200	04/11/2011

GEM DIVISION - REPORTED LEASE INFORMATION - LEASE RENEWALS

Effective Date	Term	Lease Price	Negotiated Date
01/01/2012	1 mo.	\$150	12/28/2011
01/01/2012	3 mo.	\$150	12/23/2011
01/01/2012	6 mo.	\$200	12/13/2011
01/01/2012	12 mo.	\$150	12/08/2011
12/01/2011	6 mo.	\$150	11/30/2011
12/01/2011	3 mo.	\$150	11/30/2011
12/01/2011	1 mo.	\$150	11/29/2011
12/01/2011	3 mo.	\$150	11/24/2011
12/01/2011	6 mo.	\$150	11/25/2011
12/01/2011	6 mo. + 7 days	\$150	11/23/2011

II. MEMBERSHIP INTERESTS AVAILABLE FOR LEASE

1. Lessors are requested to contact the Leasing Information Line "LIL" at (312) 930-3414 or the Membership Services Department at (312) 435-3480 to initially list a membership available for lease. Listings must be received by 6 p.m. to be included on the next days list. This list is updated once per day by 10 a.m. each morning.
2. Names will be posted on this list for five (5) business days. To renew your listing, please call the LIL voice mail renewal at (312) 930-3414.
3. All names will be listed on www.cmegroup.com under the "Memberships For Lease" section in date order as they are received by the Membership Services Department.

CME Memberships for Lease

Lessor	Date Available	Contact	Tel. Number
Stephen J. Heilman	Immed.	George Klahn	(312) 341-7725
Lonny J. Siegel Revocable Trust	01/01/2012	Milly Limas-Dutton	(312) 604-6114

IMM Memberships for Lease

Lessor	Date Available	Contact	Tel. Number
Dino M. Guerin Revocable Trust	Immed.	Margie Gralla	(312) 341-7755
Richard W. Kaspar Revocable Trust Agreement	Immed.	Paula McKinney	(847) 730-3463
Harvey C. Paffenroth Jr.	Immed.	Jennie Sorenson	(312) 795-7771
Thomas R. Corbett	Immed.	Maria Abbate	(312) 604-8809
Thomas J. Lane	Immed.	Becky Christie	(312) 604-6116
Julie A. Stein Revocable Trust Dated 12/14/00	Immed.	Tracy Christie	(773) 616-3069

RCP Investments, LP	Immed.	Karen Matrenec	(708) 757-6630
Lawrence W. Levin	Immed.	Milly Limas-Dutton	(312) 604-6114
Estate Of Richard J. Appel	Immed.	Margie Gralla	(312) 341-7755
Roman C. Macudzinski	Immed.	Margie Gralla	(312) 341-7755
James Christopher Profit Sharing Plan	Immed.	Margie Gralla	(312) 341-7755
Marjorie Norton Revocable Trust	Immed.	Karen Ellison	(312) 604-6117
James B. Scoville 1999 Revocable Trust	Immed.	Chris Askew	(312) 604-6026
Robert J. Allen	Immed.	Becky Christie	(312) 604-6116
Norman W. Lieber	Immed.	Becky Christie	(312) 604-6116
Helen Ann King Revocable Trust U/A/D 6/23/95	Immed.	Karen Matrenec	(708) 757-6630
Donna Shannon Mulchrone Living Trust	Immed.	Karen Matrenec	(708) 757-6630
Kenneth J. Ward	Immed.	Becky Christie	(312) 604-6116
Phillip T. Karafotas	Immed.	Karen Matrenec	(708) 757-6630
John E. Anderson	Immed.	Jennie Sorenson	(312) 795-7771
Clifford Kabumoto Trust	Immed.	Margie Gralla	(312) 341-7755
The Marjorie Susan Bennett Trust Dated May 15, 2007	Immed.	Karen Matrenec	(708) 757-6630
Seymour H. Persky	Immed.	Karen Matrenec	(708) 757-6630
Donald L. Minucciani Trust Dated 12/12/84	Immed.	Karen Matrenec	(708) 757-6630
Susan C. Gruebnau Declaration Of Trust U/A DTD. 11/21/2006	Immed.	Bernard Doyle	(312) 782-5600
B. Gregory Fedoriw	Immed.	Margie DeLorme	(312) 347-4803
Terry A. Pasquale	Immed.	Milly Limas-Dutton	(312) 604-6114
Diane Kaspar Oliver	Immed.	Susan Kramer	(708) 567-7957
Richard P. Clarke	01/01/2012	Margie DeLorme	(312) 347-4803

IOM Memberships for Lease

Lessor	Date Available	Contact	Tel. Number
Anthony Carl Cirro Living Trust Dated July 24, 2006	Immed.	Christine White	(847) 550-1901
Harold Burland Trust	Immed.	Larry Burland Fred Bourg	(312) 332-5176 (715) 977-0095
George Segal Revocable Trust	Immed.	Theresa Quinlan	(312) 559-8812
Barnett Bakal Revocable Trust U/A/D 12/28/88	Immed.	Theresa	(312) 559-8812
Susan C. Gruebnau Declaration Of Trust U/A DTD. 11/21/2006	Immed.	Bernard Doyle	(312) 782-5600

Craig J. Kaplan	Immed.	Karen Ellison	(312) 604-6117
Barry M. Hirsch	Immed.	Paula McKinney	(847) 730-3463
SMW Trading Company, Inc.	Immed.	Katie Tervin	(312) 913-6152
Clifford Kabumoto Trust	Immed.	Margie Gralla	(312) 341-7755
Lili Ann Zisook	Immed.	Sunny Robin	(312) 943-1812
Kirk F. Malcolm Revocable Trust Dated April 20, 2009	Immed.	Margie Gralla	(312) 341-7755
Joseph D. Ament	Immed.	Paula McKinney	(847) 730-3463
Valerie Turner Revocable Trust U/A/D 2/10/92	Immed.	Valerie Turner	(312) 670-0355
Martha Dykes Stevens Trust	Immed.	Robert Parks	(229) 924-4660
Roman C. Macudzinski	Immed.	Margie Gralla	(312) 341-7755
Jan L. Chattler	Immed.	Jan Chattler	(312) 320-9699
The Steinberg Family Trust	Immed.	Tracy Christie	(773) 616-3069
Charles D. Raymond	Immed.	Margie Gralla	(312) 341-7755
Thomas B. McIntosh	Immed.	Paula McKinney	(847) 730-3463
Carl W. Peterson Jr. Trust Under Declaration Dated April 5,	Immed.	Karen Matre nec	(708) 757-6630
June D. Nardi Trust	Immed.	June Nardi	(708) 602-3559
Michael D. Okun	Immed.	Karen Matre nec	(708) 757-6630
Michael P. McGuire	Immed.	Michael McGuire	(312) 720-0300
Samuel F. Adam Revocable Trust Dated June 9, 2000	Immed.	Nikki	(312) 726-9015
Bradley M. Mehr	Immed.	Brad Mehr	(630) 715-5630
Lloyd A. Mackey	Immed.	Robert Mackey	(630) 980-1650
Craig L. Mahlstedt	Immed.	Jennie Sorenson	(312) 795-7771
Jordan Glassman Revocable Trust U/A/D 1/17/77	Immed.	Karen Matre nec	(708) 757-6630
William Robert Paver And Joan Mildred Paver As Trustees Uta	Immed.	Maria Abbate	(312) 604-8809
Chase W. Christopher	Immed.	Margie Gralla	(312) 341-7755
Wayne M. Liebman	01/01/2012	Becky Christie	(312) 604-6116
John E. Diversey Jr.	01/01/2012	Margie DeLorme	(312) 347-4803
Barbara M. Walsh	01/01/2012	James Walsh	(847) 234-1615
Richard J. Fitzharris	01/01/2012	Becky Christie	(312) 604-6116
Revocable Trust Agreement Of Burton Rottman Dated 7/14/03	Immed.	Howard Rottman	(773) 580-7485

GEM Memberships for Lease

Lessor	Date Available	Contact	Tel. Number
Harold C. Stein Revocable Trust	Immed.	Milly Limas-Dutton	(312) 604-6114
Frederick & Barbara J. Hoekstra Trust U/T/A Dated 10/30/97	Immed.	Paula McKinney	(847) 730-3463
Barnett Bakal Revocable Trust U/A/D 12/28/88	Immed.	Theresa	(312) 559-8812
Barry S. Cohn Revocable Trust	Immed.	Karen Ellison	(312) 604-6117
Joel W. Greenberg	Immed.	Nora Collins	(312) 563-8041
William F. Kulp	Immed.	Gregg Williams	(312) 377-3508
Sheldon Snyder	Immed.	Sheldon Snyder Sheldon Snyder	(843) 846-0408 (312) 664-8059
Marvin Steinberg Amended And Restated Revocable Trust Dated	Immed.	Milly Limas-Dutton	(312) 604-6114
Kevin B. Coyner	Immed.	Same	(203) 516-0005
Robert G. Mulchrone	Immed.	Margie DeLorme	(312) 347-4803
Gerald Ordman	Immed.	Paula McKinney	(847) 730-3463
K.G. Birks Marital Trust	Immed.	Karen Matre nec	(708) 757-6630
Kraig L. Dippold	Immed.	Karen Matre nec	(708) 757-6630
Alan V Mitchell 1998 Trust	Immed.	Paula McKinney	(847) 730-3463
Burton S. Steck	Immed.	Paula McKinney	(847) 730-3463
J. Timothy Kelley Trust Dated 9/17/05	Immed.	Karen Matre nec James Kelley	(708) 757-6630 (312) 952-0436
Anthony Carl Cirro Living Trust Dated July 24, 2006	Immed.	Christine White	(847) 550-1901
RCP Investments, LP	Immed.	Karen Matre nec	(708) 757-6630
Charles J. Riley	Immed.	Becky Christie	(312) 604-6116
Lee J. Kanefield	Immed.	Karen Ellison	(312) 604-6117
Arthur G. Lyle Revocable Trust	Immed.	Karen Matre nec	(708) 757-6630
Woodrow H. Levin	Immed.	Becky Christie	(312) 604-6116
Walter W. Hautamaki	Immed.	Tracy Christie	(773) 616-3069
Alan V. Bush	Immed.	Same	(630) 234-1420
Trust Agreement Of Thomas A. Cicardo And Lori L. Cicardo	Immed.	Karen Matre nec	(708) 757-6630
Michael G. Mininni	Immed.	Val Visus	(312) 953-6329
Seymour H. Persky Revocable Trust Dated September 27, 2000	Immed.	Karen Matre nec	(708) 757-6630
Marsha A. Browdy	Immed.	Karen Matre nec	(708) 757-6630
Marc B. Hirsch	Immed.	Paula McKinney	(847) 730-3463

Richard D. Kohn	Immed.	Becky Christie	(312) 604-6116
John M. Baker Jr.	Immed.	Milly Limas-Dutton	(312) 604-6114
Dorothy L. Harris Revocable Trust	Immed.	Karen Matre nec	(708) 757-6630
Paul S. Doppelt	Immed.	Becky Christie	(312) 604-6116
Anthony Carl Cirro Living Trust Dated July 24, 2006	Immed.	Christine White	(847) 550-1901
Linda F. Channick	Immed.	Same	(312) 965-9545
Edward J. Verdino	Immed.	Becky Christie	(312) 604-6116
William H. Provenzano	Immed.	Same	(630) 244-7791
Todd S. Newberger	Immed.	Milly Limas-Dutton	(312) 604-6114
Stanley D. Kubacki	Immed.	Margie DeLorme	(312) 347-4803
Louis J. Hetrovicz Declaration Of Trust Dated December 21, 2	Immed.	Milly Limas-Dutton	(312) 604-6114
Harry J. /Dolores P. Grabarek Trust	Immed.	Margie DeLorme	(312) 347-4803
Thomas F. Pace Trust Dated 8-28-08	Immed.	Margie DeLorme	(312) 347-4803
James R. Levinson	Immed.	Karen Matre nec	(708) 757-6630
Joseph S. Cascio	Immed.	Becky Christie	(312) 604-6116
Nina Curtean	Immed.	Milly Limas-Dutton	(312) 604-6114
Megan M. Vanhazebroeck	Immed.	Milly Limas-Dutton	(312) 604-6114
Pines Family Living Trust Agreement Dated 2/28/00	01/01/2012	Maria Abbate	(312) 604-8809

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Section Q:

CBOT Member Weekly Sales and Transfers

- 1 Weekly Postings and Reported Lease Information



Special Executive Report

S-6039

December 05, 2011

WEEKLY POSTING MEMBERSHIP/SHARE SALES AND TRANSFERS

Members having any information regarding the transferees listed below are requested to communicate the same to the Shareholder Relations and Membership Services Department (“the Department”) on or before **December 26, 2011**.

In accordance with CME Rule 110, “At the conclusion of the 20 day claim filing period, the Market Regulation Department and the Department shall conduct an investigation of all claims properly filed against the seller’s membership or its proceeds.”

All claims against the seller of a membership shall be submitted in writing to the Department on or before **December 26, 2011**.

<u>TRANSFEEE</u>	<u>DIVISION</u>	<u>TRANSFEROR</u>	<u>RULE/AMOUNT</u>	<u>DATE</u>
CTC INVESTMENTS LLC	AM	@ PAUL C. GOTSKIND	\$120,000	11/28/2011
TODD E. MAYER	AM	CTC INVESTMENTS LLC	106F Transfer	11/28/2011
PAUL C. GOTSKIND	AM	@ PAUL D. NOWICKI	106D Reinstatement	11/28/2011
BRIAN I. CONNELLY	AM	MICHAEL CARENS	106D Reinstatement	11/29/2011
PAUL D. NOWICKI	AM	BRIAN I. CONNELLY	106D Transfer	11/29/2011
VINAY CHOUDHARY	AM	@ GRACE L. NEVILLE	106D Transfer	11/29/2011
KYLE S. MCBAIN	FULL	@ JAY HOMAN	106D Transfer	11/29/2011
EARLE M. COMBS IV	IDEM	SAUL M. SHAOUL	106D Reinstatement	11/29/2011
JAY HOMAN	FULL	@ MICHAEL B. ALEXANDER	106D Reinstatement	11/29/2011
MICHAEL C. CREADON	AM	DANIEL JISA	106D Reinstatement	11/30/2011
JULES SOBEL	AM	@ SONNY SCHNEIDER	106D Reinstatement	11/30/2011
DEAN L. SCHECK	COM	DAVID K. LERNER	106D Reinstatement	11/30/2011
PATRICK F. GIRONDI	FULL	@ JAMES C. WILBORN	106D Transfer	11/30/2011
JAMES C. WILBORN	FULL	WILLIAM J GORMAN	106D Reinstatement	11/30/2011
WILLIAM W. BRUCE	IDEM	BARRY R. MCCARTER	\$10,000	11/30/2011
MICHAEL H. KOZAK	GIM	@ ERIC A. LESKE	106D Reinstatement	11/30/2011
KEVIN P KOLB	IDEM	@ TERENCE X. HURLEY	106D Transfer	11/30/2011
SUSAN L. BARKER	GIM	DAPENG GUAN	106D Reinstatement	11/30/2011
DANIEL JISA	GIM	@ SUSAN L. BARKER	106D Transfer	11/30/2011
SHELDON H. SCHNEIDER	AM	CRAIG J. SCHNEIDER	106D Reinstatement	11/30/2011
WILLIAM P. SULLIVAN	FULL	PATRICK F. GIRONDI	106D Reinstatement	11/30/2011
WILLIAM J GORMAN	FULL	@ WILLIAM P. SULLIVAN	106C Transfer	11/30/2011
WILLIAM F. GOLDEN	FULL	BRANDON L. PALM	106D Reinstatement	11/30/2011

TRANSFEEE	DIVISION	TRANSFEROR	RULE/AMOUNT	DATE
MICHAEL P. DOWD	IDEM	@ MARC L. OVERMAN	106D Reinstatement	11/30/2011
TRANSMARKET GROUP LLC	IDEM	KEVIN P. KOLB	106D Reinstatement	11/30/2011
NICHOLAS A. RAPANOS	FULL	@ DAVID J. MASINO JR	106D Reinstatement	11/30/2011
JOHN E. BALDERSON	COM	@ SPARROW TRADING L.L.C.	106D Transfer	12/1/2011
MICHAEL D. CANTAL	FULL	REED J. FARRELL	106D Transfer	12/1/2011
REED J. FARRELL	COM	JEFFREY W. COLEMAN SR.	106D Reinstatement	12/1/2011
TIMOTHY C. ZAUG	AM	@ COMMODITY FUTURES TRADING (CFT) INC.	106D Reinstatement	12/1/2011
MICHAEL R. BUDICAK	FULL	MICHAEL R. BUDICAK LIVING TRUST, DATED SEPTEMBER 17, 2003, MICHAEL R. BUDICAK	106K Transfer	12/1/2011
SPARROW TRADING L.L.C.	COM	BENJAMIN W. PHILLIPS	106D Reinstatement	12/1/2011
BENJAMIN W. PHILLIPS	FULL	@ ROBERT M. GELDERMANN	106D Transfer	12/1/2011
T. GRAHAM ALEXA	FULL	@ WSP COMMODITY TRADING LLC	106F Transfer	12/1/2011
JEFFREY W. COLEMAN SR.	IDEM	@ KENNETH D. MIELY	106D Transfer	12/1/2011
PAMELA L. SCHUSSLER	IDEM	@ HOWARD GREEN	106D Transfer	12/2/2011
DENNIS M. O'SHAUGHNESSY	FULL	T. GRAHAM ALEXA	106D Reinstatement	12/2/2011
RAYMOND S. CAHNMAN	GIM	ALAN L KHOURY	106D Reinstatement	12/2/2011
MICHAEL BELLAVIA	AM	@ SHELDON H. SCHNEIDER	106D Transfer	12/2/2011
ALAN L. KHOURY	IDEM	@ NEIL J. BLUM	106D Transfer	12/2/2011
ESTATE OF DAVID L. ZYZDA	FULL	@ NIHAR C BHUPALAM	106D Reinstatement	12/2/2011
DIMITAR B. POPOV	FULL	@ ESTATE OF DAVID L.. ZYZDA	106D Transfer	12/2/2011
BRET C. LANNERT	AM	@ ROBERT CIOSEK	106F Transfer	12/2/2011
LEONARD Y. RUEST	FULL	@ GISELA KOCH	106D Transfer	12/2/2011
ROGER N. PHILLIPS	FULL	@ VICTOR PROEH	106D Transfer	12/2/2011

* = New Applicant

** = Shareholder

= New Applicant Previously Posted

@ = Only CBOT membership privilege to date for this person

NEW APPLICANTS FOR MEMBERSHIP

The applicants listed below are applying for membership and will be transferred membership at a later date. Any member having any information regarding these applicants is requested to communicate the same to the Shareholder Relations and Membership Services Department on or before **December 26, 2011**. Photographs of new applicants will be displayed on the bulletin boards located on the East side of the upper and lower trading floors.

<u>APPLICANT NAME</u>	<u>DIVISION</u>
MICHAEL D. DIXON NEW YORK CITY, NY 10021	FULL
ANDREW T. HALTERMAN CHICAGO, IL 60614	* FULL
JOHN W. NOELL III CHICAGO, IL 60614	* AM
ROBERT M. O'LEARY CHICAGO, IL 60661	* FULL
SEAN T. O'TOOLE KANSAS CITY, MO 64123	COM
DIMITAR B. POPOV CHICAGO, IL 60654	* FULL

* = Delegate Applicant
** = Revocable Family Transfer Applicant

ELECTRONIC CORPORATE MEMBER FIRM WITHDRAWALS

Schneider Trading Associates Limited has withdrawn as a CBOT Rule 106.R. Electronic Corporate Member Firm – Associate Membership, effective December 1, 2011.

Commodity Futures Trading (CFT) Inc. has withdrawn as a CBOT Rule 106.R. Electronic Corporate Member Firm –Associate Membership, effective December 2, 2011.

CLEARING MEMBER APPROVAL

G.H. Financials, LLC has been approved as a CBOT Clearing Member (non-equity), effective November 30, 2011.

CHICAGO BOARD OF TRADE

Weekly Bulletin

(As of December 02, 2011)

Last Sales		
B-1 (Full) Membership	\$270,000	November 15, 2011
B-2 (Associate) Membership	\$120,000	November 28, 2011
B-3 (GIM) Membership	\$60,000	June 16, 2011
B-4 (IDEM) Membership	\$10,000	November 30, 2011
B-5 (COM) Membership	\$37,000	October 27, 2011



Special Executive Report

S-6050

December 12, 2011

WEEKLY POSTING MEMBERSHIP/SHARE SALES AND TRANSFERS

Members having any information regarding the transferees listed below are requested to communicate the same to the Shareholder Relations and Membership Services Department (“the Department”) on or before **January 02, 2012**.

In accordance with CME Rule 110, “At the conclusion of the 20 day claim filing period, the Market Regulation Department and the Department shall conduct an investigation of all claims properly filed against the seller’s membership or its proceeds.”

All claims against the seller of a membership shall be submitted in writing to the Department on or before **January 02, 2012**.

<u>TRANSFEEE</u>	<u>DIVISION</u>	<u>TRANSFEROR</u>	<u>RULE/AMOUNT</u>	<u>DATE</u>
BERNADETTE M. MCCARTHY	AM	BRET C. LANNERT	106D Reinstatement	12/5/2011
NANDINO FRATTO	IDEM	@ THOMAS CUPELIS	106D Transfer	12/5/2011
ROBERT CIOSEK	AM	@ BERNADETTE M. MCCARTHY	106D Transfer	12/5/2011
MICHAEL T. FOX	FULL	MICHAEL T. FOX	106D Transfer	12/6/2011
AARDVARK TRADING LLC	FULL	TRANSMARKET GROUP LLC	\$288,500 (match bid/offer)	12/6/2011
JOHN A. VERCILLO	IDEM	@ NATHAN LAURELL	106D Reinstatement	12/6/2011
MARTIN D. MURPHY	FULL	@ ROBERT G. DURKIN	\$289,000	12/6/2011
ARAM D. MANYAN	FULL	MICHAEL V. LUNDQUIST	106D Reinstatement	12/6/2011
EDWARD V. FICHT	FULL	AARDVARK TRADING LLC	106F Transfer	12/6/2011
G-BAR LIMITED PARTNERSHIP G-BAR LIMITED PARTNERSHIP	FULL	@ KEVIN J. HORAN	106F Transfer	12/6/2011
MATTHEW JAWORSKI	AM	@ KUNAL KHANNA	106F Transfer	12/6/2011
MICHAEL S. BAHRMASEL	AM	MICHAEL S. BAHRMASEL	106D Transfer	12/6/2011
GEORGE MAKRIS	FULL	ARAM D. MANYAN	106D Transfer	12/6/2011
JAMES PAUL CORDES	AM	MIDWAY TRADING L.L.C.	\$120,000 (match bid/offer)	12/7/2011
STEVEN V. KUHLMANN	AM	MIDWAY TRADING L.L.C.	\$120,000 (match bid/offer)	12/7/2011
VICTOR D. FELDMAN	IDEM	JAMES A. POOLER	106D Reinstatement	12/7/2011
ANAND K. SINHA	AM	YRA G. HARRIS	106D Transfer	12/7/2011
KURT GREGUS	AM	MIDWAY TRADING L.L.C.	\$120,000 (match bid/offer)	12/7/2011
PETER J. KRZYZANOWSKI	COM	@ NUNZINO R. PIZZA	106D Transfer	12/7/2011
Laurie Jayne Calhoun	COM	MATTHEW THOMAS MCBRIDE	106D Transfer	12/7/2011

<u>TRANSFEEE</u>	<u>DIVISION</u>	<u>TRANSFEROR</u>	<u>RULE/AMOUNT</u>	<u>DATE</u>
BARRY J. WALSH	AM	BRIAN D. FAY	106F Transfer	12/7/2011
BRIAN D. FAY	AM	TIMOTHY E. CLARK	106D Transfer	12/7/2011
ROBERT KEIVAN	AM	MIDWAY TRADING L.L.C.	\$120,000 (match bid/offer)	12/7/2011
SCOTT C. MASTERS DECLARATION OF LIVING TRUST DTD JUNE 8, 2010, SCOTT C. MASTERS	FULL	SCOTT C. MASTERS	106K Transfer	12/8/2011
SEAN DOYLE	FULL	@ RICHARD A. PAGLIARO	106F Transfer	12/8/2011
NEAL DRISCOLL	FULL	@ MASON LYALL	106F Transfer	12/8/2011
GORDON W. GLADSTONE	FULL	@ TIMOTHY G. LAWRENCE	106D Reinstatement	12/8/2011
TIMOTHY MICHAEL CLARK	IDEM	@ LEONARD H. HOFFMAN	106D Reinstatement	12/8/2011
MARC L. OVERMAN	IDEM	@ DEBORAH A. DOPPELT	106D Transfer	12/9/2011
KENNETH D. MIELY	FULL	BENJAMIN WEINBERGER	106D Reinstatement	12/9/2011
BENJAMIN WEINBERGER	COM	THOMAS R. HARDY	106D Transfer	12/9/2011
CHRISTOPHER M. FOSS	IDEM	MARK D. WILSON	106D Transfer	12/9/2011
YAN KONG	FULL	@ YUNDE ZHONG	106F Transfer	12/9/2011
GREGORY V. HAWKER	FULL	@ HARLAN R. KRUMPFES	106D Transfer	12/9/2011

* = New Applicant

** = Shareholder

= New Applicant Previously Posted

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NEW APPLICANTS FOR MEMBERSHIP

The applicants listed below are applying for membership and will be transferred membership at a later date. Any member having any information regarding these applicants is requested to communicate the same to the Shareholder Relations and Membership Services Department on or before **January 02, 2012**. Photographs of new applicants will be displayed on the bulletin boards located on the East side of the upper and lower trading floors.

<u>APPLICANT NAME</u>	<u>DIVISION</u>
JENNIFER J. COTTON HERMISTON, OR 97838	* IDEM
ROBERT M. COX GLENVIEW, IL 60026	* COM
MICHAEL T. CUNNINGHAM CHICAGO, IL 60642	* AM
KEVIN J. DOHERTY CHICAGO, IL 60613	COM
SEAN DOYLE WILTON, CT 06897	FULL
NEAL DRISCOLL WILTON, CT 06897	FULL
HANS ANDREAS ENGEL BINNIGEN, SWITZERLAND	* AM
MATTHEW JAWORSKI LONDON, SW3 2SA	AM
YAN KONG CHICAGO, IL 60605	FULL
MICHAEL D. PENSINGER OAK LAWN, IL 60453	* FULL
JEREMY A. TATAR CHICAGO, IL 60614	* FULL

* = Delegate Applicant
** = Revocable Family Transfer Applicant

EQUITY MEMBER FIRM APPROVAL

Aardvark Trading LLC has been approved as a CBOT Rule 106.J. Equity Member Firm – Full Membership, effective December 6, 2011.

EQUITY MEMBER FIRM WITHDRAWALS

TransMarket Group LLC has withdrawn as a CBOT Rule 106.J. Equity Member Firm – Full Membership, effective December 6, 2011.

Midway Trading L.L.C. has withdrawn as a CBOT Rule 106.J. Equity Member Firm – Associate Membership, effective December 6, 2011.

CHICAGO BOARD OF TRADE

Weekly Bulletin

(As of December 09, 2011)

Last Sales		
B-1 (Full) Membership	\$289,000	December 06, 2011
B-2 (Associate) Membership	\$120,000	November 28, 2011
B-3 (GIM) Membership	\$60,000	June 16, 2011
B-4 (IDEM) Membership	\$10,000	November 30, 2011
B-5 (COM) Membership	\$37,000	October 27, 2011



Special Executive Report

S-6057

December 19, 2011

WEEKLY POSTING MEMBERSHIP/SHARE SALES AND TRANSFERS

Members having any information regarding the transferees listed below are requested to communicate the same to the Shareholder Relations and Membership Services Department ("the Department") on or before **January 09, 2012**.

In accordance with CME Rule 110, "At the conclusion of the 20 day claim filing period, the Market Regulation Department and the Department shall conduct an investigation of all claims properly filed against the seller's membership or its proceeds."

All claims against the seller of a membership shall be submitted in writing to the Department on or before **January 09, 2012**.

<u>TRANSFEEE</u>	<u>DIVISION</u>	<u>TRANSFEROR</u>	<u>RULE/AMOUNT</u>	<u>DATE</u>
JAMES R. CROKE	COM	@ THOMAS J. NEIL	106D Reinstatement	12/12/2011
STEPHEN R. COURNOYER	FULL	JEFFREY S. STEIN	106D Reinstatement	12/12/2011
SEAN T. O'TOOLE	COM	@ CRAIG J. SCHNEIDER	\$34,000	12/12/2011
GABRIEL M. ZELWIN	IDEM	@ RICHARD A. KIRSLIS	106D Transfer	12/12/2011
KEVIN J. GRIFFIN	FULL	ROBERT D. GILMAN	106D Transfer	12/13/2011
KORY R. TURKOW	GIM	@ MICHAEL H. KOZAK	106D Transfer	12/13/2011
ANDREW T. HALTERMAN	FULL	LARRY A. POGOFSKY FAMILY TRUST, LYNDIA POGOFSKY	106D Transfer	12/13/2011
ROBERT D. GILMAN	FULL	@ DENIS P. MURRAY	106D Reinstatement	12/13/2011
KEITH R. FOHRMAN	FULL	@ MARK D. NESBITT	\$288,000	12/13/2011
MARK D. NESBITT	FULL	KEVIN J. GRIFFIN	106D Reinstatement	12/13/2011
LYNDIA POGOFSKY	FULL	RODGER W. KNIGHT	106D Reinstatement	12/13/2011
KENNETH K. MILLER	AM	@ PROGENY TRADING COMPANY L.L.C.	\$120,500 (match bid/offer)	12/14/2011
SCOTT D. MCCARTY	COM	@ JAMES R. CROKE	106D Transfer	12/14/2011
GREGORY C. ROTHMAN	IDEM	@ NATALIA PLYAM	106D Reinstatement	12/14/2011
DAVID S. VANASCO	COM	@ RICHARD E. SCUMACI III	106D Reinstatement	12/14/2011
CHARLES M. WHITMAN	COM	@ SHARON S. KING	106D Reinstatement	12/14/2011
PROGENY TRADING COMPANY L.L.C.	AM	KENNETH K. MILLER	106D Reinstatement	12/14/2011
CURTIS J. CONOVER	IDEM	@ GREGORY C. ROTHMAN	106D Transfer	12/14/2011
STAVROS GIOKAS	FULL	@ MARK J. LIS	106D Reinstatement	12/14/2011

<u>TRANSFEEE</u>	<u>DIVISION</u>	<u>TRANSFEROR</u>	<u>RULE/AMOUNT</u>	<u>DATE</u>
MATTHEW G. ROBBINS	COM	DANIEL M. AMBROSINO	106D Transfer	12/15/2011
MICHAEL FORRESTER	FULL	CHRISTOPHER M. FOSS	106D Reinstatement	12/15/2011
J. BRIAN SCHAER	COM	@ DONALD F. URBAS JR.	106D Reinstatement	12/15/2011
TRANSMARKET GROUP LLC	AM	@ AMAR D. SHAH	106D Reinstatement	12/16/2011
DAVID L. SEAGREN	FULL	@ ANDREW D. SEAGREN	106C Reinstatement	12/16/2011
KEVIN F. MURPHY	AM	@ SEAN N. BIDZINSKI	106D Reinstatement	12/16/2011
RBC CAPITAL MARKETS LLC	AM	TRANSMARKET GROUP LLC	\$127,500	12/16/2011
RBC CAPITAL MARKETS LLC	FULL	@ WILLIAM F. EVANS	\$295,000	12/16/2011
VICTOR CASAN	FULL	@ ROBERT LEE STEELE	106F Transfer	12/16/2011

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NEW APPLICANTS FOR MEMBERSHIP

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<u>APPLICANT NAME</u>	<u>DIVISION</u>
CURTIS J. BILYEU CHICAGO, IL 60605	* FULL
CHRISTOPHER J. CHAPIN CHICAGO, IL 60661	FULL
MICHAEL S. CORDARO CHICAGO, IL 606005	AM
THOMAS J. JOHNSON CHICAGO, IL 60607	* AM
JOSEPH B. LOCICERO DANVILLE, CA 94526	* GIM
BENJAMIN T. WOLKE MINNEAPOLIS, MN 55413	* IDEM

* = Delegate Applicant
** = Revocable Family Transfer Applicant

No member firms to be posted this week.

CHICAGO BOARD OF TRADE

Weekly Bulletin

(As of December 16, 2011)

Last Sales		
B-1 (Full) Membership	\$295,000	December 16, 2011
B-2 (Associate) Membership	\$127,500	December 16, 2011
B-3 (GIM) Membership	\$60,000	June 16, 2011
B-4 (IDEM) Membership	\$10,000	November 30, 2011
B-5 (COM) Membership	\$34,000	December 12, 2011



Special Executive Report

S-6068

December 27, 2011

WEEKLY POSTING MEMBERSHIP/SHARE SALES AND TRANSFERS

Members having any information regarding the transferees listed below are requested to communicate the same to the Shareholder Relations and Membership Services Department (“the Department”) on or before **January 17, 2012**.

In accordance with CME Rule 110, “At the conclusion of the 20 day claim filing period, the Market Regulation Department and the Department shall conduct an investigation of all claims properly filed against the seller’s membership or its proceeds.”

All claims against the seller of a membership shall be submitted in writing to the Department on or before **January 17, 2012**.

<u>TRANSFEEE</u>	<u>DIVISION</u>	<u>TRANSFEROR</u>	<u>RULE/AMOUNT</u>	<u>DATE</u>
MICHAEL J. GAFFNEY II	AM	@ ROBERT SALSTONE	106D Reinstatement	12/19/2011
MICHAEL T. CUNNINGHAM	AM	KEVIN F. MURPHY LIVING TRUST, KEVIN F. MURPHY	106D Transfer	12/19/2011
BRIAN D. DRISCOLL	GIM	ADAM M. SCHAEFER	106D Reinstatement	12/19/2011
JOSEPH B. LOCICERO	GIM	@ BRIAN D. DRISCOLL	106D Transfer	12/19/2011
THOMAS J JOHNSON	AM	@ D. MICHAEL DAVIS JR.	106D Transfer	12/19/2011
MICHAEL D. PENSINGER	FULL	@ JAMIE A. SCHREMSE	106D Transfer	12/19/2011
JAMIE A. SCHREMSE	FULL	DOUGLAS H. MIN	106D Reinstatement	12/19/2011
MICHAEL S. CORDARO	AM	SCOTT STANCZAK	106F Transfer	12/20/2011
PAUL H. SCHWENDENER III	FULL	@ NEIL C. SUMMERS	106D Reinstatement	12/20/2011
CHARLES H. TALL IV	AM	@ PATRICK S HAMILTON	106F Transfer	12/20/2011
MATTHEW O'DONNELL	IDEM	ROBERT H. O'DONNELL	\$9,500 (match bid/offer)	12/21/2011
JENNIFER L. LUHM	FULL	@ ALEXANDER J. MESSING	106D Transfer	12/21/2011
CRAIG J. SCHNEIDER	AM	CHRISTIAN J. RANDLE	\$128,000	12/21/2011
MICHAEL D. POPA	IDEM	@ JOSIANE CAGGIANO	106D Reinstatement	12/21/2011
MICHAEL S. SHORR	FULL	@ G-BAR LIMITED PARTNERSHIP	106F Transfer	12/22/2011
LEHMAN BROTHERS INC	AM	STEPHEN V. ZWICK	106F Reinstatement	12/23/2011
LEHMAN BROTHERS INC LEHMAN BROTHERS INC	COM	STEPHEN V. ZWICK	106F Transfer	12/23/2011

<u>TRANSFeree</u>	<u>DIVISION</u>	<u>TRANSFEROR</u>	<u>RULE/AMOUNT</u>	<u>DATE</u>
LEHMAN BROTHERS INC LEHMAN BROTHERS INC	FULL	STEPHEN V. ZWICK	106F Transfer	12/23/2011
LEHMAN BROTHERS INC LEHMAN BROTHERS INC	COM	STEPHEN V. ZWICK	106F Transfer	12/23/2011
JOSEPH A. KOEHLER	AM	@ ARTHUR M. PARKER IV	106D Reinstatement	12/23/2011
LEHMAN BROTHERS INC	AM	STEPHEN V. ZWICK	106F Reinstatement	12/23/2011
LEHMAN BROTHERS INC	AM	STEPHEN V. ZWICK	106F Reinstatement	12/23/2011
LEHMAN BROTHERS INC	A 1/2	STEPHEN V. ZWICK	106F Reinstatement	12/23/2011
LEHMAN BROTHERS INC LEHMAN BROTHERS INC	GIM	@ KEITH J. SOBBE	106F Transfer	12/23/2011
LEHMAN BROTHERS INC LEHMAN BROTHERS INC	FULL	STEPHEN V. ZWICK	106F Transfer	12/23/2011

* = New Applicant

** = Shareholder

= New Applicant Previously Posted

@ = Only CBOT membership privilege to date for this person

NEW APPLICANTS FOR MEMBERSHIP

The applicants listed below are applying for membership and will be transferred membership at a later date. Any member having any information regarding these applicants is requested to communicate the same to the Shareholder Relations and Membership Services Department on or before **January 17, 2012**. Photographs of new applicants will be displayed on the bulletin boards located on the East side of the upper and lower trading floors.

<u>APPLICANT NAME</u>	<u>DIVISION</u>
KELLY S. GILLIKIN CHICAGO, IL 60605-2860	* AM
RAYMOND P. MARTIN WILTON, CT 06897	FULL
MATTHEW O'DONNELL CHICAGO, IL 60611	IDEM
BLAINE R. REAMES CHICAGO, IL 60605	* AM
DANIEL C. WALZ CHICAGO, IL 60607	* COM

* = Delegate Applicant
** = Revocable Family Transfer Applicant

No member firms to be posted this week.

CHICAGO BOARD OF TRADE
Weekly Bulletin
(As of December 23, 2011)

Last Sales		
B-1 (Full) Membership	\$295,000	December 16, 2011
B-2 (Associate) Membership	\$128,000	December 21, 2011
B-3 (GIM) Membership	\$60,000	June 16, 2011
B-4 (IDEM) Membership	\$10,000	November 30, 2011
B-5 (COM) Membership	\$34,000	December 12, 2011

MEMBERS/POTENTIAL LESSEES SHOULD BE AWARE THAT THIS LISTING SERVICE IS NO GUARANTEE THAT EITHER A MEMBERSHIP WILL BE AVAILABLE OR THAT AN INDIVIDUAL WILL TAKE A MEMBERSHIP OFFERED. THE TERMS OF A LEASE AGREEMENT, I.E., LENGTH, PRICE, ETC. ARE NEGOTIABLE BETWEEN THE TWO PARTIES. THIS DOCUMENT WILL BE UPDATED DAILY BY THE MEMBER SERVICES DEPARTMENT IF APPLICABLE.

AM MEMBERSHIPS AVAILABLE FOR LEASING

<u>MEMBER</u>	<u>DATE POSTED</u>	<u>AVAILABLE FOR USE</u>	<u>CONTACT PERSON</u>	<u>TELEPHONE NUMBER</u>
ELLIS, GREGORY J.	11/30/2011	01/01/2012	Kevin Globe	312-784-0423
CLARK, TIMOTHY E.	12/01/2011	12/01/2011	Margie DeLorme	(312) 347-4803
KOEHLER, JOSEPH A.		12/10/2011	Kathy Holloway	(312) 886-2003
PALMER, JAMES J.	12/07/2011	01/01/2012	Kevin Globe	312-784-0423
BUTZOW, WILLIAM G.	12/19/2011	12/19/2011	Penny Sheinfeld	(312) 347-1727
SOBEL, JULES	12/20/2011	12/20/2011	Jules Sobel	310-649-5151 Room
CONROY, MARTIN T.	12/22/2011	12/22/2011	Chris Askew	(312) 604-6026
BRIAN KELLY 2005 TRUST DATED NOVEMBER 15, 2005	12/29/2011	12/29/2011	Martha	(312) 347-4205

COM MEMBERSHIPS AVAILABLE FOR LEASING

<u>MEMBER</u>	<u>DATE POSTED</u>	<u>AVAILABLE FOR USE</u>	<u>CONTACT PERSON</u>	<u>TELEPHONE NUMBER</u>
SEGAL, GEORGE I.	05/25/2011	06/01/2011	Theresa Quinlan	(312) 559-8812
COMBS IV, EARLE M.	07/28/2011	07/28/2011	Kathy Holloway	(312) 886-2003
SCHECK, DONALD W.	08/03/2011	08/15/2011	Penny Sheinfeld	(312) 347-1727
SINGER, JUSTIN M.	09/21/2011	09/21/2011	Paula McKinney	847-730-3463
BABY, CHARLES P.	11/08/2011	11/08/2011	Kathy Holloway	(312) 886-2003
LOUIS P. BOURNAKIS REVOCABLE TRUST U/A/D 10/19/2000	12/07/2011	12/07/2011		(312) 886-2003
STONESTREET, MATTHEW F.	12/14/2011	12/14/2011	Bill Levinske	(312) 408-9422
VANASCO, DAVID S.		01/10/2012	Margie DeLorme	(312) 347-4803
LAYTON, JAMES A.	12/23/2011	12/23/2011	Kathy Holloway	(312) 886-2003
SCHAER, J. BRIAN	12/29/2011	12/29/2011	Bill Levinske	(312) 408-9422

FULL MEMBERSHIPS AVAILABLE FOR LEASING

<u>MEMBER</u>	<u>DATE POSTED</u>	<u>AVAILABLE FOR USE</u>	<u>CONTACT PERSON</u>	<u>TELEPHONE NUMBER</u>
FETCHENHIER, JOEL J.	07/25/2011	07/25/2011	Paula McKinney	(847) 730-3463
ZATOPA, A. ALAN	09/26/2011	09/26/2011	Kathy Holloway	(312) 886-2003
RAPANOS, NICHOLAS A.	10/21/2011	10/21/2011	Paula McKinney	(847) 730-3463
PROEH, VICTOR	10/25/2011	11/24/2011	Kathy Holloway	312) 886-2003
GLADSTONE, GORDON W.	10/27/2011	10/27/2011		(312) 886-2003
DORF, LAWRENCE C.	11/10/2011	11/10/2011		(312) 886-2003
FORRESTER, MICHAEL				(312) 886-2003
LANCE R. GOLDBERG REVOCABLE TRUST DATED 11-15-2006		11/29/2011		(312) 886-2003
MIELY, KENNETH D.	11/11/2011	12/11/2011	Bill Levinske	(312) 408-9422
COURNOYER, STEPHEN R.	11/22/2011	12/13/2011		(312) 408-9422
ERDMIER, DOUGLAS	11/25/2011	11/25/2011	Nancy Webber	(312) 604-6501
RYAN, MARY A.	11/30/2011	12/26/2011	Kathy Rowley	(630) 651-2038
ROTHMAN, MICHAEL C.	12/01/2011	01/01/2012	Kathy Holloway	(312) 886-2003
BURKE, THOMAS K.	12/07/2011	12/07/2011		(312) 886-2003
VERCILLO, JOHN A.			Penny Sheinfeld	(312) 347-1727
MCKERR, JAMES J.	12/08/2011	12/08/2011	Jennie Sorenson	(312) 795-7771
FOHRMAN, KEITH R.	12/13/2011	12/14/2011	Margie DeLorme	(312) 347-4803

MEMBERS/POTENTIAL LESSEES SHOULD BE AWARE THAT THIS LISTING SERVICE IS NO GUARANTEE THAT EITHER A MEMBERSHIP WILL BE AVAILABLE OR THAT AN INDIVIDUAL WILL TAKE A MEMBERSHIP OFFERED. THE TERMS OF A LEASE AGREEMENT, I.E., LENGTH, PRICE, ETC. ARE NEGOTIABLE BETWEEN THE TWO PARTIES. THIS DOCUMENT WILL BE UPDATED DAILY BY THE MEMBER SERVICES DEPARTMENT IF APPLICABLE.

FULL MEMBERSHIPS AVAILABLE FOR LEASING

<u>MEMBER</u>	<u>DATE POSTED</u>	<u>AVAILABLE FOR USE</u>	<u>CONTACT PERSON</u>	<u>TELEPHONE NUMBER</u>
ARGIRES, CHRIS J.	12/19/2011	12/19/2011	Kathy Holloway	(312) 886-2003
MCPMAHON SR., WILLIAM E.				(312) 886-2003
DIBENEDETTO, ALDO	12/22/2011	12/22/2011	Penny Sheinfeld	(312) 347-1727
CHUN, YOUNG NAM	12/28/2011	02/01/2012	Richard Chun	(847) 234-6867
EHRlich, REED N.		01/03/2012	Bob Brennan	(312) 939-8950
O'MALLEY, THOMAS P.		01/01/2012	Thomas P. O'Malley	(847) 720-4360
SKORONSKI, ROBERT D		01/03/2012	Bob Brennan	(312) 939-8950
MARY JANE CONRAD TRUST U/A/D 10/15/1992	12/29/2011	12/29/2011	Paula	(970) 759-5631

IDEM MEMBERSHIPS AVAILABLE FOR LEASING

<u>MEMBER</u>	<u>DATE POSTED</u>	<u>AVAILABLE FOR USE</u>	<u>CONTACT PERSON</u>	<u>TELEPHONE NUMBER</u>
SEGAL, GEORGE I.	12/06/2010	12/06/2010	Theresa Quinlan	(312) 559-8812
ROBERT T. BERNAT TRUST	12/15/2010	12/15/2010	Kathy Holloway	(312) 886-2003
BLUM, NEIL J.	02/11/2011	02/11/2011		(312) 886-2003
KIRSLIS, RICHARD A	04/04/2011	04/04/2011	Paula McKinney	(847) 730-3463
SHERIDAN, JAMES M.	04/21/2011	04/21/2011		(847) 730-3463
CUPELIS, THOMAS	06/13/2011	06/13/2011		(847) 730-3463
COMBS IV, EARLE M.	07/28/2011	07/28/2011	Kathy Holloway	(312) 886-2003
FELDMAN, VICTOR D.	10/26/2011	10/26/2011	Chris Askew	(312) 604-6026
HACHEM, KHALIL A.	11/17/2011	11/17/2011	Khalil A. Hachem	(727) 360-9130
VERCILLO, JOHN A.	11/30/2011	11/30/2011	Penny Sheinfeld	(312) 347-1727
VASILIOU, BYRON D.	12/07/2011	12/07/2011	Kathy Holloway	(312) 886-2003
JOSEFIK, RANDY	12/08/2011	12/08/2011	Randy Josefik	(708) 579-5736 (847) 519-3740
ADAM, SAMUEL E	12/20/2011	12/20/2011	Carolyn Anderson	773-752-6950
POPA, MICHAEL D.		01/18/2012	Michael Popa	(219) 838-7475

Total: 58

NOTICE

In accordance with Rule 221.00 (f), the following member(s), and their respective delegates, have filed delegation agreements with the Exchange.

<u>Member (Membership Type)-Session</u>	<u>Delegate</u>	<u>Commence</u>	<u>Terminate</u>
SPARROW TRADING L.L.C. COM	BALDERSON, JOHN E.	12/01/2011	11/30/2016
MCBRIDE, MATTHEW THOMAS COM	CALHOUN, LAURIE JAYNE	12/07/2011	11/30/2016
SICKLEY, DAVID J. GIM	CARENS, MICHAEL	12/28/2011	12/31/2021
DIBENEDETTO, ALDO FULL	CRIVELLO, JEFFERY J.	12/30/2011	02/29/2012
KEVIN F. MURPHY LIVING TRUST AM	CUNNINGHAM, MICHAEL T.	12/19/2011	12/30/2016
WILSON, MARK D. IDEM	FOSS, CHRISTOPHER M	12/09/2011	12/11/2016
CUPELIS, THOMAS ~ IDEM	FRATTO, NANDINO	12/06/2011	12/31/2016
HALLY, THOMAS F. X. IDEM	GLOBE, KEVIN J.	12/01/2011	11/30/2016
LARRY A. POGOFKY FAMILY TRUST ~ FULL	HALTERMAN, ANDREW T.	12/14/2011	12/31/2021
HURLEY, TERENCE X. ~ IDEM	KOLB, KEVIN P	12/01/2011	11/30/2016
PIZZA, NUNZINO R ~ COM	KRZYZANOWSKI, PETER J	12/08/2011	12/31/2016
DRISCOLL, BRIAN D. ~ GIM	LOCICERO, JOSEPH B.	12/28/2011	11/30/2016
MESSING, ALEXANDER J. ~ FULL	LUHM, JENNIFER L.	12/21/2011	06/30/2012
DENENBERG, ALAN J. ~ GIM	MURRAY, ANTHONY F.	12/28/2011	12/31/2021
DOPPELT, DEBORAH A. ~ IDEM	OVERMAN, MARC L.	12/09/2011	12/31/2021
SCHREMSER, JAMIE A. ~ FULL	PENSINGER, MICHAEL D.	12/20/2011	12/31/2016
GELDERMANN, ROBERT M. ~ FULL	PHILLIPS, BENJAMIN W	12/01/2011	11/30/2016
AMBROSINO, DANIEL M. COM	ROBBINS, MATTHEW G.	12/16/2011	12/31/2016
GREEN, HOWARD IDEM	SCHUSSLER, PAMELA L.	12/02/2011	12/31/2021
KOZAK, MICHAEL H. ~ GIM	TURKOW, KORY R	12/13/2011	12/11/2016
KIRSLIS, RICHARD A IDEM	ZELWIN, GABRIEL M.	12/13/2011	12/13/2012
DANIEL RYAN LIVING TRUST IDEM	ZEMAN, MATTHEW J.	12/01/2011	11/30/2012

& - with stock

~ - only available membership leased out

* - CBOE Exerciser only

**RENEWAL
NOTICE**

In accordance with Rule 221.00 (f), the following member(s), and their respective delegates, have filed delegation agreements with the Exchange.

<u>Member (Membership Type)-Session</u>	<u>Delegate</u>	<u>Commence</u>	<u>Terminate</u>
HALLY, THOMAS F. X. IDEM	GLOBE, KEVIN J.	12/01/2011	11/30/2016
DANIEL RYAN LIVING TRUST IDEM	ZEMAN, MATTHEW J.	12/01/2011	11/30/2012

& - with stock

* - CBOE Exerciser

AM MEMBERSHIP - REPORTED LEASE INFORMATION - NEW LEASE

<u>Effective Date</u>	<u>Term</u>	<u>Lease Price or Percentage</u>
12/19/2011	5 yr. 0 mo. 0 days	1319.64

COM MEMBERSHIP - REPORTED LEASE INFORMATION - NEW LEASE

<u>Effective Date</u>	<u>Term</u>	<u>Lease Price or Percentage</u>
12/01/2011	5 yr. 0 mo. 0 days	259.43
12/07/2011	5 yr. 0 mo. 0 days	259.43
12/08/2011	5 yr. 0 mo. 0 days	259.43
12/16/2011	5 yr. 0 mo. 16 days	259.43

FULL MEMBERSHIP - REPORTED LEASE INFORMATION - NEW LEASE

<u>Effective Date</u>	<u>Term</u>	<u>Lease Price or Percentage</u>
12/01/2011	5 yr. 0 mo. 0 days	2554.85
12/14/2011	10 yr. 0 mo. 0 days	2555.00
12/20/2011	5 yr. 0 mo. 0 days	2554.85
12/21/2011	0 yr. 6 mo. 0 days	2554.85
12/30/2011	0 yr. 2 mo. 2 days	2000.00

GIM MEMBERSHIP - REPORTED LEASE INFORMATION - NEW LEASE

<u>Effective Date</u>	<u>Term</u>	<u>Lease Price or Percentage</u>
12/13/2011	5 yr. 0 mo. 0 days	642.29
12/28/2011	10 yr. 0 mo. 0 days	642.29
12/28/2011	0 yr. 6 mo. 0 days	650.00
12/28/2011	5 yr. 0 mo. 0 days	642.29

IDEM MEMBERSHIP - REPORTED LEASE INFORMATION - NEW LEASE

<u>Effective Date</u>	<u>Term</u>	<u>Lease Price or Percentage</u>
12/01/2011	1 yr. 0 mo. 0 days	60.00
12/01/2011	5 yr. 0 mo. 0 days	67.97
12/01/2011	4 yr. 11 mo. 29 days	67.97
12/02/2011	10 yr. 0 mo. 0 days	68.00
12/06/2011	5 yr. 0 mo. 25 days	67.97
12/09/2011	10 yr. 0 mo. 0 days	68.00
12/09/2011	5 yr. 0 mo. 0 days	67.97
12/13/2011	0 yr. 1 mo. 0 days	70.00



CME GROUP HEADQUARTERS

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Chicago, IL 60606
cmegroup.com

CME GROUP GLOBAL OFFICES

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